# Pioneering function of Isl1 in epigenetic regulation during second heart field development

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# **Table of Contents**

List of Figures	5
List of Tables	7
Zusammenfassung	8
Abstract	9
1. Introduction	10
1.1 Heart development	10
1.1.1 Mouse heart development	10
1.1.2 Congenital heart disease	14
1.1.3 Cell types and cardiac lineages in mammalian heart	17
1.1.4 Signaling pathways in cardiac development	19
1.1.5 Transcriptional factors involved in heart development	25
1.2 Isl1	31
1.2.1 Protein structure of ISL1	31
1.2.2 Expression and function of Isl1 during development	32
1.2.3 Expression and function of Isl1 during heart development	33
1.2.4 ISL1 and CHD	36
1.3 Pioneer transcription factors	36
1.4 Epigenetic regulation	40
1.4.1 Chromatin structure	40
1.4.2 Epigenetic control mechanisms	41
2. Objectives	51
3. Results	52
3.1 Isl1 regulates cardiogenesis in CPCs by directly targeting a large downstream targets	
3.1.1 Generation of <i>Isl1</i> KO ESC line	52
3.1.2 Establishing in vitro mESC-based cardiac differentiation protocol	53
3.1.3 Isl1 KO ESC lines can differentiate into CPCs	54
3.1.4 Isl1 loss-of-function results in downregulation of a large number of ge for cardiogenesis in CPCs	
3.1.5 Isl1 directly regulates a large amount of genes in CPCs	57

3	3.2 Isl1 is essential in regulating second heart field development in mouse embryos	. 58
	3.2.1 <i>Isl1</i> knockout leads to significant gene expression changes at early stage of momentum	
	3.2.2 Reduced level of <i>Isl1</i> results in cardiac defects and deregulation of target gene E10.5 <i>Isl1</i> hypomorphic embryos	
	3.2.3 ChIP-Seq analysis identified Isl1 primary downstream targets in vivo	62
3	3.3 Isl1 orchestrates a regulatory network driving cardiogenesis	. 63
3	3.4 Baf60c is a key Isl1 downstream target	. 66
	3.4.1 Baf60c is directly regulated by Isl1	66
	3.4.2 RNA-Seq analysis identified Baf60c regulated genes in CPCs	67
	3.4.3 Isl1 and Baf60c share common targets	69
	3.5 Isl1 works in concert with the Brg1-based SWI/SNF complex to regulate its ta	_
	3.5.1 Isl1 interacts with Brg1 and Baf60c	70
	3.5.2 The interaction of Isl1 with Brg1 does not depend on Baf60c	
	3.5.3 Co-occupancy of Isl1 and Brg1 in CPCs	72
	3.5.4 Isl1 works cooperatively with Brg1 to regulate its target gene expression	74
	3.5.5 Isl1 recruits the Brg1 complex to its target sequences	75
3	3.6 Isl1 acts as a pioneer factor in cardiogenesis	. 76
	3.6.1 Isl1 binding correlates with sites of open chromatin	76
	3.6.2 Isl1 and Brg1/Baf60c complex work synergistically to open the chromatin du SHF development	_
	3.6.3 Isl1 plays a pioneering function in all three cardiovascular lineages	80
	3.6.4 Isl1 acts as a pioneer factor independently of Gata4	81
3	3.7 Working model	. 83
4.	Discussion	.84
	4.1 Isl1 is critical for SHF development and depletion of <i>Isl1</i> leads to cardiac defect seen in human CHD patients	
4	4.2 Isl1 organizes a regulatory gene network driving second heart field development .	. 87
4	4.3 Baf60c is a key downstream target of Isl1	. 89
	4.4 Isl1 works together with Brg1-Baf60c-based SWI/SNF complex to regulate gexpression program during cardiogenesis	
4	4.5 Isl1 functions as a pioneer factor during cardiogenesis	. 91

	4.6 Isl1 plays a pioneering function independently of Gata4	93
	4.7 Conclusions and perspectives	95
5	5. Materials and Methods	97
	5.1 Chemicals used in this study	97
	5.2 Kits used in this study	98
	5.3 Cell culture medium and supplements	99
	5.4 Further materials	.100
	5.5 Equipment used in this study	.101
	5.6 RNA extraction, cDNA synthesis and qPCR	.102
	5.6.1 RNA extraction	. 102
	5.6.2 cDNA synthesis	. 104
	5.6.3 Quantitative real-time polymerase chain reaction (qPCR)	. 105
	5.7 Mouse lines	.106
	5.8 Genomic DNA extraction	.106
	5.9 Genotyping	.107
	5.10 Protein extraction and co-immunoprecipitation (Co-IP)	.108
	5.11 SDS-PAGE and Western Blot	.108
	5.11 SDS-PAGE and Western Blot  5.12 Culturing of primary cells and cell lines	
		.111
	5.12 Culturing of primary cells and cell lines	<b>.111</b> . 111
	5.12 Culturing of primary cells and cell lines	. <b>111</b> . 111 . 112
	5.12 Culturing of primary cells and cell lines	. <b>111</b> . 111 . 112 . 112
	5.12 Culturing of primary cells and cell lines	.111 .111 .112 .112
	5.12 Culturing of primary cells and cell lines  5.12.1 Mouse embryonic fibroblasts (MEFs)  5.12.2 Mouse embryonic stem cell lines (mESCs)  5.12.3 HEK293T cell line  5.13 Generation of stable ES cell lines	.111 .111 .112 .112 .113
	5.12 Culturing of primary cells and cell lines  5.12.1 Mouse embryonic fibroblasts (MEFs)  5.12.2 Mouse embryonic stem cell lines (mESCs)  5.12.3 HEK293T cell line  5.13 Generation of stable ES cell lines  5.14 Generating Isl1 KO mESC lines by CRISPR-Cas9 technique	.111 .111 .112 .112 .113 .114
	5.12 Culturing of primary cells and cell lines  5.12.1 Mouse embryonic fibroblasts (MEFs)  5.12.2 Mouse embryonic stem cell lines (mESCs)  5.12.3 HEK293T cell line  5.13 Generation of stable ES cell lines  5.14 Generating Isl1 KO mESC lines by CRISPR-Cas9 technique  5.15 Cardiac differentiation	.111 .111 .112 .112 .113 .114 .114
	5.12 Culturing of primary cells and cell lines  5.12.1 Mouse embryonic fibroblasts (MEFs)  5.12.2 Mouse embryonic stem cell lines (mESCs)  5.12.3 HEK293T cell line  5.13 Generation of stable ES cell lines  5.14 Generating Isl1 KO mESC lines by CRISPR-Cas9 technique  5.15 Cardiac differentiation  5.16 Chromatin immunoprecipitation (ChIP)	.111 .112 .112 .113 .114 .114 .116
	5.12 Culturing of primary cells and cell lines  5.12.1 Mouse embryonic fibroblasts (MEFs)  5.12.2 Mouse embryonic stem cell lines (mESCs)  5.12.3 HEK293T cell line  5.13 Generation of stable ES cell lines  5.14 Generating Isl1 KO mESC lines by CRISPR-Cas9 technique  5.15 Cardiac differentiation  5.16 Chromatin immunoprecipitation (ChIP)  5.17 Bioinformatic analysis	.111 .112 .112 .113 .114 .116 .117
	5.12 Culturing of primary cells and cell lines  5.12.1 Mouse embryonic fibroblasts (MEFs)  5.12.2 Mouse embryonic stem cell lines (mESCs)  5.12.3 HEK293T cell line  5.13 Generation of stable ES cell lines  5.14 Generating Isl1 KO mESC lines by CRISPR-Cas9 technique  5.15 Cardiac differentiation  5.16 Chromatin immunoprecipitation (ChIP)  5.17 Bioinformatic analysis  5.17.1 RNA-Seq	.111 .112 .112 .113 .114 .116 .117 .117
	5.12 Culturing of primary cells and cell lines  5.12.1 Mouse embryonic fibroblasts (MEFs)  5.12.2 Mouse embryonic stem cell lines (mESCs)  5.12.3 HEK293T cell line  5.13 Generation of stable ES cell lines  5.14 Generating Isl1 KO mESC lines by CRISPR-Cas9 technique  5.15 Cardiac differentiation  5.16 Chromatin immunoprecipitation (ChIP)  5.17 Bioinformatic analysis  5.17.1 RNA-Seq  5.17.2 ChIP-Seq	.111 .111 .112 .113 .114 .116 .117 .117 .118
	5.12 Culturing of primary cells and cell lines  5.12.1 Mouse embryonic fibroblasts (MEFs)  5.12.2 Mouse embryonic stem cell lines (mESCs)  5.12.3 HEK293T cell line  5.13 Generation of stable ES cell lines  5.14 Generating Isl1 KO mESC lines by CRISPR-Cas9 technique  5.15 Cardiac differentiation  5.16 Chromatin immunoprecipitation (ChIP)  5.17 Bioinformatic analysis  5.17.1 RNA-Seq  5.17.2 ChIP-Seq  5.17.3 ATAC-Seq.	.111 .112 .112 .113 .114 .116 .117 .118 .119 .120

5.18.2 ChIP-qPCR primers	123
6. References	125
7. Acknowledgements	150
8. Appendix	Error! Bookmark not defined.

# **List of Figures**

#### **Introduction part**

Figure 1 Overview of heart development

Figure 2 Common types of congenital heart disease

Figure 3 Origins and lineage relationships of cardiac cell types

Figure 4 Cellular hierarchy of cardiac progenitors and their lineage specification

Figure 5 Illustration showing the core features of Wnt, FGF, BMP, Hh, and Notch signaling pathways

Figure 6 Cardiac gene regulatory networks

Figure 7 Schematic diagram of ISL1 domains and the intermolecular and intramolecular interactions

Figure 8 Isl1 expression profile in mouse heart

Figure 9 Chromatin binding by pioneer factors

Figure 10 Activities of pioneer factors

Figure 11 Schematic representation shows the organization and packaging of genetic materials

Figure 12 Domain structure of the core ATPase subunits and functional classification of the chromatin remodeling complexes

Figure 13 Tissue/cell-type-specific assemblies of BAF complexes

Figure 14 Roles of BAF chromatin remodeling complex in heart development

## Results part

Figure 15 Generating Isl1 KO ESC lines by CRISPR-Cas9 technique

Figure 16 Characterization of directed cardiac differentiation of mouse ESCs

Figure 17 Marker gene expression analyses at different stages of cardiac differentiation Figure 18 Analysis of CPCs differentiated from control and Isl1 KO lines Figure 19 RNA-Seq data analysis in control and Isl1 KO CPCs Figure 20 Genome tracks of some representative Isl1 targets in CPCs Figure 21 Isl1 regulates a large group of genes associating with cardiogenesis in CPCs Figure 22 Analysis of RNA-Seq data from E8.5 Isl1 KO embryos Figure 23 Reduced Isl1 expression leads to defects in cardiac morphogenesis Figure 24 Analysis of RNA-Seq data from E10.5 Isl1 hypomorphic embryos Figure 25 Intersection of RNA-Seq and Isl1 ChIP-Seq data in mouse embryos Figure 26 Venn diagram showing Isl1 targets from different datasets Figure 27 Isl1-regulatory gene network Figure 28 GO terms enriched in Isl1 target genes Figure 29 Baf60c is a key Isl1 downstream target Figure 30 Analysis of CPCs differentiated from control and *Baf60c* KD mESC lines Figure 31 Analysis of RNA-Seq data in control and Baf60c KD CPCs Figure 32 Isl1 and Baf60c share common target genes in CPCs Figure 33 Isl1 interacts with Brg1/Baf60c complex Figure 34 Baf60c doesn't mediate the interaction between Isl1 and Brg1 in CPCs and vice versa Figure 35 Isl1 co-occupied with Brg1 on its target genes in CPCs Figure 36 A large subset of genes differentially regulated in *Isl1* KO CPCs are co-bound by Isl1 and Brg1 Figure 37 Isl1 works unitedly with Brg1 to regulate its target gene expression

Figure 38 Brg1 binding at Isl1- bound targets is decreased by Isl1 depletion in CPCs

Figure 39 Isl1 binding correlates with sites of open chromatin

Figure 40 Isl1 and Brg1-Baf60c-based SWI/SNF complex shape the chromatin landscape at Isl1 target sites in cardiac progenitors

Figure 41 Isl1 and Brg1-Baf60c-based SWI/SNF complex induces chromatin reorganization in cardiac progenitors

Figure 42 Isl1 and Brg1-Baf60c complex promote chromatin accessibility in all three cardiovascular lineages

Figure 43 Isl1 acts as a pioneer factor like Gata4

Figure 44 Isl1 acts as a pioneer factor independently of Gata4

Figure 45 Gata4 occupancy at Isl1- bound sequences is decreased in *Isl1* deficient CPCs

Figure 46 Model of the role of Isl1 in cardiogenesis by controlling epigenetic mechanisms and memory

## **List of Tables**

## Materials and methods part

- 5.1 Chemicals used in this study
- 5.2 Kit used in this study
- 5.3 Cell culture medium and supplements
- 5.4 Further materials
- 5.5 Equipment used in this study
- 5.18 Primers used in this study

# Zusammenfassung

Während der Embryogenese, bestimmen koordinierte Abfolgen weitreichender transkriptioneller Änderungen und die Reorganisation des Chromatins die Zelltyp-Spezifizierung. Pionertranskriptionsfaktoren stellen in diesem Prozess eine entscheidende Rolle, bei der Programmierung des Epigenoms und der Rekrutierung weiterer regulatorischer Faktoren, dar. Der LIM-homeodomain Transkriptionsfaktor Isl1 ist entscheidend für die frühe Entwicklung des Herzens und fungiert als Marker der kardialen Vorläuferzellen des sogenannten "second heart field". Allerdings sind, durch die frühe embryonale Letalität von Isl1-Knockout Mäusen, Studien zur Rolle von Isl1 in späteren Phasen der Herzentwicklung unmöglich. Dadurch bleiben die Funktion von Isl1 in der epigenetischen Regulation der second heart field Entwicklung und die zugrundeliegenden Mechanismen weitgehendst ungeklärt.

Im Zuge unserer Studie konnten wir, mittels eines Isl1-hypomorphen Mausmodells, Isl1 als kritischen Faktor für die kardiale Entwicklung während der späten embryonalen Entwicklung identifizieren. Mittels high-throughput sequencing und unter Verwendung eines Isl1-Knockout Mausmodells und des Isl1-hypomorphen Mausmodells in Kombination mit einem gerichteten kardialen Differenzierungssystem, konnten wir ein regulatorisches Netzwerk in kardialen Vorläuferzellen identifizieren, deren Derivate durch Isl1 organisiert sind. Mit Hilfe von Analysen des genomweiten Profilings von Isl1-Bindestellen und von ATAC-Sequenzierungsdaten konnten wir Isl1 als entscheidenden Faktor der frühen Kardiogenese identifizieren. Weiterhin konnten wir zeigen, dass Isl1 mit Brg1 und Baf60c interagiert. Isl1 Brg1-Baf60c-basiertem induziert zusammen mit dem SWI/SNF Komplex Genexpressionsprogramme in kardialen Vorläuferzellen und unterstützt die Zell-Spezifizierung durch die Organisation des Genome.

Abschließend konnten wir Isl1 als entscheidenden Pionertranskriptionsfaktor in der kardialen Zelldifferenzierung charakterisieren. Diese Erkenntnisse gewähren Einblicke in die molekularen Mechanismen der Kardiogenese und eröffnen somit neue Möglichkeiten für ein besseres Verständnis der kardialen Entwicklung.

## **Abstract**

Coordinated series of large-scale transcriptional changes and chromatin reorganization drive cell type specification and differentiation during embryogenesis. In this process, pioneer transcription factors play key roles in programming the epigenome and facilitating recruitment of additional regulatory factors. Isl1, a LIM-homeodomain transcription factor, marks the second heart field and plays a key role in early cardiac development. However, the early embryonic lethality of *Isl1* knockout mouse makes it impossible to study the role of Isl1 at later stages of mouse heart development. The role of Isl1 in epigenetic regulation during second heart field development and the mechanisms behind are still not clear.

In this study, using an *Isl1* hypomorphic mouse model, we found Isl1 is critical for cardiac development even at the later stages of embryonic development. To search for the downstream targets of Isl1 during cardiogenesis, we performed RNA-Sequencing with an *Isl1* knockout mouse model and the *Isl1* hypomorphic mouse model, together with a mESC-based directed cardiac differentiation system. In combination with ChIP in mESC-derived cardiac progenitor cells and in mouse embryos followed by high-throughput sequencing, we identified a regulatory gene network in cardiac progenitor cells and their derivatives organizing by Isl1.

To investigate the molecular mechanism underlying the role of Isl1 in second heart field development, we firstly revealed that Isl1 interacts with Brg1 and Baf60c in cardiac progenitor cells by co-immunoprecipitation. We further performed ATAC-sequencing in mESC-derived cardiac progenitor cells and in mouse embryos. Analysis of ATAC-sequencing data, combined with genome-wide profiling of Isl1 binding in mESC-derived cardiac progenitor cells and in mouse embryos, we identified that Isl1 acts as a pioneer factor during cardiogenesis. Moreover, we found Isl1 might recruit Brg1 to its target sites and works cooperatively with the Brg1-Baf60c-based SWI/SNF complex to reorganize the chromatin landscape of target genes with critical functions in cardiac development, thus inducing gene expression programs in cardiac progenitors and promoting cardiac cell fate specification.

In conclusion, our study highlights a pioneering function of Isl1 in cardiac lineage commitment and provides exciting novel insights into the molecular machinery orchestrating cardiogenesis.

## 1. Introduction

## 1.1 Heart development

In vertebrates, the heart is the first organ to form and is crucial to supply the embryo with nutrients and oxygen while removing metabolic waste. Therefore, the normal formation and function of the heart are essential for fetal life (Bruneau 2013). So far, numerous studies have defined the process of heart morphogenesis. Mouse heart development resembles that of the human, and thus has been supplied as a good model for understanding human cardiogenesis and congenital heart disease (CHD). A better understanding of heart development might in the long run have an impact on clinical management of CHD and help to generate efficient therapies.

#### 1.1.1 Mouse heart development

In mouse embryos, myocardial cells are derivatives of the mesoderm, which emerges from the primitive streak during gastrulation (Buckingham, Meilhac et al. 2005). At around embryonic day 6.5 (E6.5), cardiac progenitor cells leave the primitive streak and migrate in an anterior-lateral direction to positions under the head folds, forming two groups of cells on either side of the midline (Figure 1A) (Buckingham, Meilhac et al. 2005). The cells then extend across the midline to form the cardiac crescent where differentiated myocardial cells are now observed (E7.5) (Figure 1B) (Buckingham, Meilhac et al. 2005). The region of the crescent, which fuses at the midline to form the primitive cardiac tube, is termed the first heart field (FHF, shown in red in Figure 1) (Vincent and Buckingham 2010). The second population of cells, which resides medially and posteriorly to the first heart field at E7.5, and later locates behind the heart tube, is referred to as the second heart field (SHF, shown in green in Figure 1) (Vincent and Buckingham 2010, Xin, Olson et al. 2013). The FHF is the source of the early left ventricle (LV) whereas the SHF gives rise to the outflow tract (OFT) and the right ventricle (RV), and both populations contribute to the atria (Dyer and Kirby 2009, Vincent and Buckingham 2010, Buckingham 2016).

One of the key steps in this refinement was the identification of Islet 1 (*Isl1*) as a SHF marker (Schoenwolf 2000, Waldo, Kumiski et al. 2001, Cai, Liang et al. 2003, Vincent and Buckingham 2010). According to marker gene expression and their contribution to the heart, the SHF is further divided into two main subdomains: anterior SHF (aSHF, shown in dark

green in Figure 1C) and posterior SHF (pSHF, shown in light green in Figure 1C) (Vincent and Buckingham 2010). The aSHF is marked by expression of *Fgf8*, *Fgf10*, and *Tbx1* and mainly contributes to the right ventricle and outflow tract myocardium progenitors (Robert G.Kelly 2001, Xu, Morishima et al. 2004). In contrast, the pSHF cells contribute to the atria at the venous pole of the heart, expressing *Isl1*, but not the aSHF marker genes (Galli, Dominguez et al. 2008, Vincent and Buckingham 2010).

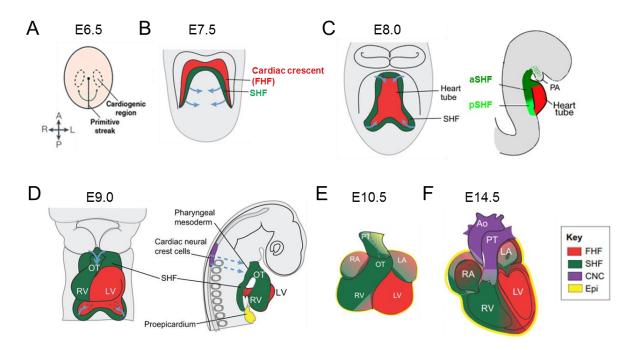


Figure 1 Overview of mouse heart development (modified from (Buckingham, Meilhac et al. 2005, Meilhac, Lescroart et al. 2014, Zaffran, Robrini et al. 2014, Santini, Forte et al. 2016))

Different stages in cardiac morphogenesis. FHF and SHF cells and their descendants are shown in red and green, respectively. Solid arrows indicate ongoing rearrangement of FHF and SHF cells. (A) Myocardial progenitor cells originate in the primitive streak (PS), from where they migrate to the anterior of the embryo at about E6.5. (B) Formation of the cardiac crescent (CC), with the second heart field (SHF) lying medial to it. (C) FHF cells form the primary heart tube, with SHF cells being added to the poles of the primary heart tube. At E8.0, frontal and lateral views showing the heart tube and subdomains of the SHF, including aSHF (anterior second heart field, in dark green) and pSHF (posterior SHF, in light green). (D) At E9.0, frontal and lateral views of the heart region showing looped heart tube. Dashed arrows indicate migration of cardiac neural crest cells to the heart. (E and F) The chambered hearts at E10.5 (E) and E14.5 (F) are shown, with lineage contributions to the heart from the FHF (first heat field), SHF (second heart field), cardiac neural crest (CNC) and proepicardium (PE). PA: pharyngeal arch; OT: outflow tract; RA: right atrium; RV: right ventricle; LA: left atrium; LV: left ventricle; Ao: aorta; PT: pulmonary trunk; Epi: epicardium.

The early FHF-derived cardiac tube provides a scaffold for subsequent growth. The primary heart tube grows by division of existing cardiomyocytes and by addition of the SHF myocardial progenitors to the arterial pole, where blood is pumped out the heart, and to the venous pole, where blood enters the heart. As the heart tube elongates, it undergoes a rightward looping and subsequently ballooning, result in formation of the four-chambered heart (Buckingham, Meilhac et al. 2005, Xin, Olson et al. 2013). During later stages, the mature shape of the heart is generated by differentiation of cardiac cell populations and extensive remodeling of the heart, resulting in a well-developed heart with distinct in- and outflow tracts, cardiac valves separating the different compartments, and a mature conduction system (Scheuermann and Boyer 2013) (Figure 1).

#### 1.1.1.1 Proepicardium

During heart development, in addition to the cells of FHF and SHF, there are other two cell populations also contribute to the formation of the heart: proepicardium (PE) and the cardiac neural crest (CNC) (Laugwitz, Moretti et al. 2008, Vincent and Buckingham 2010, Santini, Forte et al. 2016). Proepicardium (shown in yellow in Figure 1D) is a transitory structure, which arises from the lateral plate mesoderm (LPM) and forms at the posterior end of the heart tube between E8.5 and E9.5. Proepicardial cells subsequently undergo an epithelial-to-mesenchymal transition (EMT) and invade the myocardium (Rickert-Sperling, Kelly et al. 2015, Meilhac and Buckingham 2018). Proepicardium gives rise to the epicardium which covers the external surface of the heart, smooth muscle cells associated with coronary arteries and cardiac fibroblasts (Maya-Ramos, Cleland et al. 2013, Rickert-Sperling, Kelly et al. 2015) (Figure 3B). Wt1 (Wilms Tumor 1), Tbx18 (T-Box 18) and Tcf21 (Transcription Factor 21) are usually used as PE markers, even though they are also expressed in other tissues (Maya-Ramos, Cleland et al. 2013, Meilhac and Buckingham 2018). Another two PE markers, Scx (Scleraxis BHLH Transcription Factor) and Sema3D (Semaphorin 3D) are more specifically expressed in the PE and epicardium (Maya-Ramos, Cleland et al. 2013).

#### 1.1.1.2 Cardiac neural crest

Cardiac neural crest (CNC) (shown in purple in Figure 1D) originate from the neural tube. CNC cells migrate to the pharyngeal arches and a subset continues to migrate through the anterior SHF into the outflow tract, playing a critical role in the remodeling of the outflow tract region. Interactions between neural crest cells and the anterior SHF affect the behavior

of both cell populations and their contributions to the heart (Vincent and Buckingham 2010). Fate mapping studies in both quail/chick chimeras and mouse embryos showed that CNC contributes to the aortopulmonary septum and valve formation which leads to the separation of the distal OFT into aorta and pulmonary trunk (Karen Waldo 1998, Xiaobing Jiang 2000). Moreover, the CNC plays a key role in the development of pharyngeal arch arteries and also gives rise to the cardiac ganglia (Kirby and Hutson 2010). Pax3 is a vital regulator of CNC and serves as a marker of CNC cells in mouse embryos (S.J. Conway 1997, Jun Li 2000).

#### 1.1.1.3 Chamber septation and valves formation

In mouse embryos, the first anatomic features of septation are seen at E10.5, when a distinct ridge appears in the atrial roof (the primary atrial septum) (Anderson, Spicer et al. 2014). During E11.5, this muscular septum extends through the cavity of the initially common atrial chamber, carrying on its leading edge a mesenchymal cap (MC), which arises through epithelial-to-mesenchymal transition (EMT) from the endocardium overlying the cap. With continuing growth of the muscular primary atrial septum, this mesenchymal cap approaches, and then fuses with, the superior endocardial cushion of the atrioventricular (AV) canal (Robert H Anderson 2003, Anderson, Spicer et al. 2014). The endocardial cushions contain mesenchymal cells that are derived from the endocardium through EMT. This primary atrial septum partially septates the atrial chamber and leaves a gap (the ostium primum) between the mesenchymal cap (MC) and the AV canal. The MC then merges anteriorly with the AV cushions and posteriorly with the dorsal mesenchymal protrusion (DMP) to fill the ostium primum. The DMP comes from the SHF and bulges into the atrial chamber as a mesenchymal protrusion. These mesenchymal tissues are later muscularised to form sturdy septum. While the ostium primum is closing, the upper margin of the primary atrial septum dissolves, creating a second opening (the ostium secundum) between the right and left atria. The ostium secundum is later sealed by a muscular septum (the secondary atrial septum), which is formed by part of the atrial roof that folds inward. The primary and secondary atrial septum then fuses to complete the septation of atrial chamber (Robert H Anderson 2003, Lin, Lin et al. 2012). Along with the atrial septum formation, an interventricular muscular septum emerges within the ventricular chamber (Robert H Anderson 2003). The interventricular septum (IVS) forms by outgrowth of two adjacent cell

populations in a small segment of the left and right ventricles (Bruneau 2013). It fuses with atrioventricular cushions, dividing the ventricular chamber into left and right ventricles (Lin, Lin et al. 2012). In addition, a subset of endocardial cushion cells in the atrioventricular canal (AVC) develops into atrioventricular (mitral and tricuspid) valves, whereas the OFT endocardial cushions give rise to semilunar (aortic and pulmonic) valves. The formation of heart valves ensures the blood flows in one direction from the atria to ventricles and then to the arteries (Lin, Lin et al. 2012).

#### 1.1.1.4 Trabeculation

During vertebrate cardiac chamber maturation, ventricular myocardium undergoes trabeculation which is a process forming highly organized sheet-like muscular structures, termed trabeculae, in the inner surface of the ventricular wall. This is a result of the extrusion and expansion of differentiated cardiomyocytes into the lumen of the ventricular chambers (Liu, Bressan et al. 2010, Samsa, Yang et al. 2013). In mouse, the trabeculation occurs at approximately E9.5 and forms a mature trabeculated network at around E14.5 (Liu, Bressan et al. 2010, Samsa, Yang et al. 2013), increasing the myocardial surface area to facilitate the uptake of oxygen and nutritions (Meilhac and Buckingham 2018). Trabeculae are no longer required when the coronary vascular system develops, so they undergo compaction at the later stages (Meilhac and Buckingham 2018).

#### 1.1.2 Congenital heart disease

Congenital heart disease (CHD) is the most common cause of major congenital anomalies, representing a major global health problem (Denise van der Linde, Konings et al. 2011). In man, CHD occurs in 0.5%-1% of live births, with similar prevalence all over the world (Harris 2011, Kernell, Sydsjö et al. 2014, Triedman and Newburger 2016). CHD is usually referred to as abnormality in cardiac structures or associated vessels which are of functional significance (van der Bom, Zomer et al. 2011). It is classified into two main groups: acyanotic and cyanotic CHD (Figure 2). Infants with cyanotic CHD appear a bluish color of the skin and mucous membranes, due to the mixing of large amount of oxygenated and deoxygenated blood, while infants with acyanotic CHD initially do not (Bruneau 2008, Abramzon, Bosaleh et al. 2019). Acyanotic CHD includes ventricular septal defect (VSD), atrial septal defect (ASD), and patent ductus arteriosus (PDA), and so on. Like acyanotic CHD, cyanotic CHD also contains many different types of CHD, such as Tetralogy of Fallot (ToF), Persistent truncus

arteriosus (PTA) and Transposition of the great arteries (TGA). Here I summarized some of the common types of CHD.

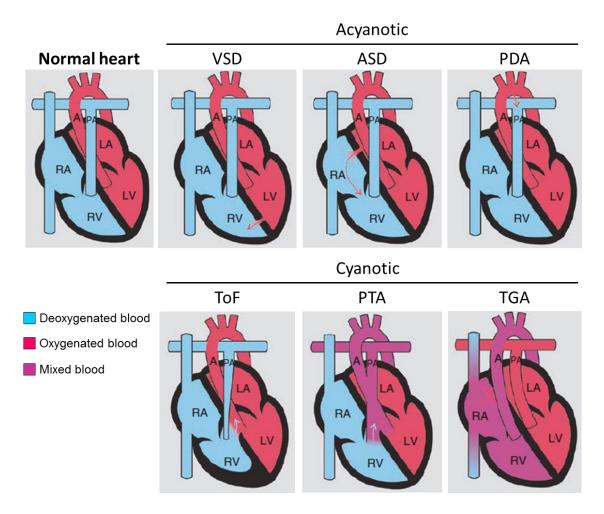


Figure 2 Common types of congenital heart disease (adapted from (Abramzon, Bosaleh et al. 2019))

Graphical representation shows the characteristics of some of the main defects with acyanotic and cyanotic CHD. RA: Right atrium; LA: Left atrium; RV: Right ventricle; LV: Left ventricle; A: Aorta; PA: Pulmonary artery; VSD: ventricular septal defect; ASD: atrial septal defect; PDA: Patent ductus arteriosus; ToF: Tetralogy of Fallot; PTA: Persistent truncus arteriosus; TGA: Transposition of the great arteries.

#### 1.1.2.1 Ventricular septal defect and atrial septal defect (VSD and ASD)

VSD and ASD are the most common CHD in newborns. They are result from incomplete cardiac chamber septation during heart development. The hole in the atrial or ventricular septum leads to communications between the left and right atria or ventricles, respectively (Garg 2006, Midgett and Rugonyi 2014). The presence of a VSD can result in pulmonary hypertension as more blood needs to be pumped to the pulmonary vessels and can force the

left ventricle to work harder to pump the needed blood to the body (Garg 2006, Midgett and Rugonyi 2014). Moreover, VSDs are commonly associated with other types of CHD, such as Patent ductus arteriosus (PDA) (Abramzon, Bosaleh et al. 2019). In addition, VSDs sometimes undergo spontaneous closure shortly after birth. In contrast, ASD is generally the most frequent CHD found in adults, especially in women (Hoffman and Kaplan 2002, Abramzon, Bosaleh et al. 2019).

#### 1.1.2.2 Patent ductus arteriosus (PDA)

Patent ductus arteriosus (PDA) is one of the common CHDs, which occurs when the ductus arteriosus that supposed to close after birth does not close (Midgett and Rugonyi 2014). Ductus arteriosus (DA) is a normal connection between the aorta and the pulmonary artery when babies are developing in the uterus. During pregnancy, it is not necessary for blood to circulate through the fetus's lungs because oxygen is provided through the placenta. However, when the baby is born, the blood must receive oxygen from the lungs and this hole is supposed to close. When it fails to close, the oxygenated blood from the aorta will mix with deoxygenated blood from the pulmonary artery. PDA can increase blood pressure in the pulmonary arteries causing pulmonary hypertension (Midgett and Rugonyi 2014).

#### 1.1.2.3 Tetralogy of Fallot (ToF)

Tetralogy of Fallot (ToF) is the most common cyanotic CHD. It is a combination of four congenital abnormalities, including (1) right ventricular outflow tract obstruction, such as pulmonary stenosis, narrowing of the pulmonary valve opening; (2) VSD; (3) overriding aorta (OA), an OFT alignment defect, in which the aorta is shifted slightly to the right and lies directly above the VSD; and (4) RV hypertrophy, in which muscular wall of the RV is thicken due to the overworking (Abramzon, Bosaleh et al. 2019). Frequent associated defects can be found in ToF patients such as ASD, right aortic arch, and coronary artery anomalies (Abramzon, Bosaleh et al. 2019).

#### 1.1.2.4 Persistent truncus arteriosus (PTA)

Persistent truncus arteriosus (PTA) is another kind of cyanotic CHD. It results from the failed division of the pulmonary artery and the aorta during fetal development, in which the single common arterial trunk usually overrides the interventricular septum (Mavroudis 2015). In most cases, it is associated with other CHD defects, especially VSD. The deoxygenated blood

from the RV and the oxygenated blood from the LV mix together when they are ejected out into the common trunk, going to both the body and the lungs. Therefore, it makes the heart to work harder and is risky of pulmonary hypertension (Midgett and Rugonyi 2014).

#### 1.1.2.5 Transposition of the great arteries (TGA)

Transposition of the great arteries (TGA) is one of the most common and severe cyanotic CHD in neonates (Abramzon, Bosaleh et al. 2019). It is principally a result of failed OFT rotation (Neeb, Lajiness et al. 2013). In TGA, The aorta and pulmonary artery are fully septated but the positioning of them is reversed, with the aorta arising from the RV and the pulmonary trunk arising from the left ventricle (LV) (Neeb, Lajiness et al. 2013). It leads to the heart pumps the deoxygenated blood in RV from the body again to the body through the aorta; while pumps the oxygenated blood in LV from the lungs to the lungs again through the pulmonary artery. TGA is often associated with other CHDs, such as ASD, VSD and PDA (Martins and Castela 2008). In this case, the mixing of oxygenated blood and deoxygenated blood by other defects is beneficial, since they provide at least some oxygen to the body. TGA causes high mortality rate without treatment, thus surgical treatment is usually needed (Abramzon, Bosaleh et al. 2019).

#### 1.1.3 Cell types and cardiac lineages in mammalian heart

The heart is predominantly made up of epicardium, endocardium, and myocardium, as well as cardiac fibroblasts (Doetschman and Azhar 2012). Epicardium is the outermost layer of the heart, whereas endocardium is the innermost layer. In the middle is the myocardium, which forms the muscular walls of the heart (Lin, Lin et al. 2012). The epicardial cells are derived from the proepicardium, some of which subsequently undergo epithelial-to-mesenchymal transition (EMT) and give rise to cardiac fibroblasts and smooth muscle cells in coronary arteries (Ramón Muñoz-Chápuli 2002). The endocardium contains a large group of endothelial cells, which are mainly derived from the cardiogenic mesoderm. Moreover, the endothelial cells also form the interior lining of blood vessels and surround the cardiac valves (Xin, Olson et al. 2013). The myocardium is primarily constituted with cardiomyocytes, which originate from the cardiogenic mesoderm (Doetschman and Azhar 2012). The cardiac conduction cells mainly consist of purkinje fiber and pacemaker cells (Doetschman and Azhar 2012). They are specialized cardiomyocytes that generate and conduct electrical impulses (Xin, Olson et al. 2013). In addition, cardiac neural crest cells mostly contribute to the aortic

smooth muscle cells in distal outflow tract region, to the septum between the aortic and pulmonary trunks and valves, and autonomic nervous system cells in the heart (Meilhac 2012, Kloesel, DiNardo et al. 2016). These types of cells all work together to form the functional heart.

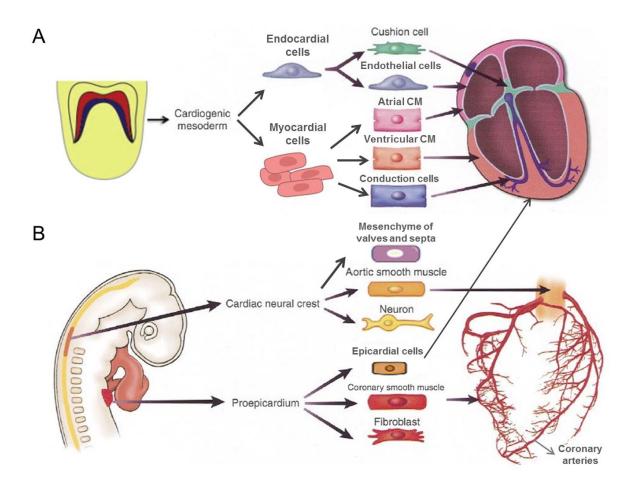


Figure 3 Origins and lineage relationships of cardiac cell types (adapted from (Mikawa and Takashi 1999, Meilhac 2012, Chong, Forte et al. 2014))

Schematic diagram summarizes the lineage tree of cardiac cell types, which derive from three origins: cardiogenic mesoderm, cardiac neural crest and the proepicardium. (A) Cells from the cardiogenic mesoderm, containing precursors of the endocardium and myocardium. Conduction cells are specialized cardiomyocytes (CM), including the pacemaker cells and purkinje fibres. (B) Cells from cardiac neural crest and the proepicardium. Cardiac neural crest cells mainly contribute to the smooth muscle of the aortic and pulmonary trunks, and the pharyngeal arch arteries, to the septum between the aortic and pulmonary trunks and valves, and to the ganglia of the developing heart. Proepicardium gives rise to the epicardium. A subset of these cells, termed epicardial-derived cells (EPDCs), mainly differentiates into the coronary smooth muscle cells, as well as cardiac fibroblasts.

Interestingly, studies from different labs suggest that, with respect to lineage diversification, different cardiac cell types arise from a common progenitor (Kattman, Huber et al. 2006, Moretti, Caron et al. 2006, Wu, Fujiwara et al. 2006, Bu, Jiang et al. 2009). Moreover, the

work from Moretti et al. revealed the existence of multipotent *Isl1*<sup>+</sup> cardiovascular progenitors (Moretti, Caron et al. 2006), which can generate the three major cell types of the heart: cardiomyocytes, smooth muscle and endothelial cells (Moretti, Caron et al. 2006, Wu, Fujiwara et al. 2006, Laugwitz, Moretti et al. 2008, Bu, Jiang et al. 2009) (Figure 4).

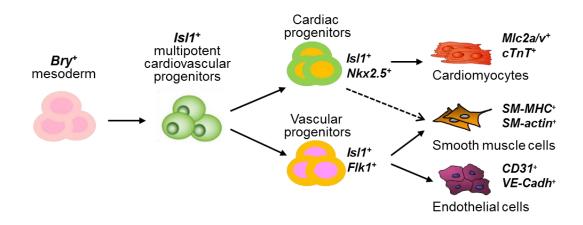


Figure 4 Cellular hierarchy of cardiac progenitors and their lineage specification (adapted from (Moretti, Caron et al. 2006, Wu, Fujiwara et al. 2006, Laugwitz, Moretti et al. 2008))

 $Isl1^+$  cardiovascular progenitors are multipotent which can give rise to all three major cell types in the heart (Moretti, Caron et al. 2006).  $Nkx2.5^+$  progenitor cells are reported to be bipotent (Wu, Fujiwara et al. 2006) or multipotent (Ma, Zhou et al. 2008). However, lineage reconstruction from single cell analysis shows that  $Nkx2.5^+$  cells are primed to cardiomyocyte lineage (DeLaughter, Bick et al. 2016, Jia, Preussner et al. 2018).

#### 1.1.4 Signaling pathways in cardiac development

Signaling pathways and networks orchestrated by signaling molecules play key roles in regulating cardiac morphogenesis. Perturbation of signaling molecules during cardiogenesis leads to congenital heart defects. Over the past years, a number of studies have identified key signaling pathways controlling cardiogenesis, such as Wnt, Bmp, FGF, Hedgehog and Notch signaling pathways (Figure 5).

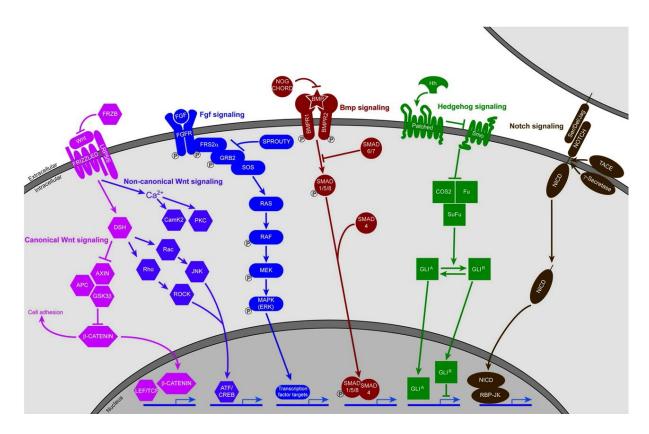


Figure 5 Illustration showing the core features of Wnt, FGF, BMP, Hh, and Notch signaling pathways (Rochais, Mesbah et al. 2009)

This simplified schema summarizes the key steps from ligand binding to target gene transcription for each signaling pathway (Rochais, Mesbah et al. 2009). Details are described in the context in subsections of each pathway.

#### 1.1.4.1 Wnt signaling pathway

Wnt signaling pathway is a key pathway during development and it plays multiple roles in regulating cellular behavior including proliferation, differentiation, cell migration, and cell polarity (Tian, Cohen et al. 2010). It consists of canonical Wnt signaling pathway and noncanonical Wnt signaling pathway.

In canonical Wnt signaling pathway (also called, Wnt/ $\beta$ -catenin signaling pathway), binding of Wnt ligands to the Fzd (Frizzled)-LRP5/6 receptor complex allows the activation of the intracellular effector DSH (Disheveled), which results in the uncoupling of  $\beta$ -catenin from a multiprotein degradation complex composed of APC (adenomatous polyposis coli), Axin, and GSK3 $\beta$  (glycogen synthase kinase 3 $\beta$ ).  $\beta$ -catenin then translocates to the nucleus and, in association with LEF/TCF, activates target gene transcription. Binding of Wnt ligand to receptors can be blocked by its binding to secreted FRZB (frizzled-related molecules).

Canonical Wnt pathway has been reported to play diverse roles during heart development which will lead to different effects depending on the time of action (Gessert and Kuhl 2010, Buikema, Zwetsloot et al. 2014). Canonical Wnt signaling initially promotes cardiogenesis, but later is inhibitory as progenitors begin to differentiate into various cardiac derivatives (Naito, Shiojima et al. 2006, Kwon, Arnold et al. 2007, Bruneau 2013). Cell culture studies of cardiac differentiation revealed that canonical Wnt activation induces nascent mesoderm from pluripotent stem cells, but once mesoderm is induced, inhibition of canonical Wnt signaling is necessary for cardiac specification and prolonged Wnt/ $\beta$ -catenin activation inhibits cardiac differentiation and instead, induces other lineages (Kattman, Witty et al. 2011, Xiaojun Lian 2012, Burridge, Matsa et al. 2014, Loh, Chen et al. 2016, Sadahiro, Isomi et al. 2018). Consistently, inhibition of Wnt/ $\beta$ -catenin signaling results in failure of ESCs to generate  $Flk1^+$  mesodermal precursors and subsequent mature mesodermal lineages (Lindsley, Gill et al. 2006).

In addition to playing earlier roles in mesoderm development, canonical Wnt signaling also promotes cardiac progenitor cell proliferation and plays an inhibitory role in later cardiomyocyte differentiation (Cohen, Tian et al. 2008). *In vivo* activation of  $\beta$ -catenin signaling in  $IsI1^+$  progenitors of the SHF leads to their massive accumulation, inhibition of differentiation, and outflow tract (OFT) morphogenic defects (Qyang, Martin-Puig et al. 2007). Wnt2 was also shown to regulate the expansion of cardiac progenitor cells in the posterior SHF through canonical Wnt pathway (Tian, Yuan et al. 2010). In contrast, the expression of secreted Wnt antagonists Crescent, which competes with Fzd for Wnt binding via its homology to the Fzd extracellular domain, and Dkk1, which inhibits canonical Wnt signaling by causing Lrp5/6 receptor internalization, induces cardiac gene expression and the appearance of beating cardiomyocytes in noncardiogenic mesoderm in chick and *Xenopus* embryos (Marvin MJ 2001, Valerie A. Schneider 2002). Moreover, tissue-specific deletion of  $\beta$ -catenin in the SHF with IsI1-Cre results in nearly complete loss of the right ventricle, suggesting  $\beta$ -catenin is required for right ventricle formation (Kwon, Arnold et al. 2007, Kwon, Cordes et al. 2008).

Noncanonical Wnt signaling pathway consists of two signaling pathways. One is downstream of DSH and the other one is independent of DSH. In the first pathway, activated DSH promotes small G protein (Rac and Rho) activation, leading to the activation of JNK (c-Jun N-

terminal kinase) and ROCK (Rho-associated kinase). Ultimately, the ATF/CREB complex is activated and results in target gene transcription. The second pathway, called Wnt/Ca<sup>2+</sup> pathway, results in intracellular Ca<sup>2+</sup> release and activation of the Ca<sup>2+</sup>/CamK2 (calmodulin-dependent kinase 2) and the PKC (protein kinase C) pathways.

Two noncanonical Wnt ligands, Wnt5a and Wnt11, are expressed at the arterial pole of the heart as SHF cells migrate through on their way to the right ventricle, and mice with homozygous mutations of Wnt5a or Wnt11 have outflow tract defects consistent with those caused by disruption of the SHF (Tian, Cohen et al. 2010, Sinha, Li et al. 2015, van Vliet, Lin et al. 2017). Wnt5a and Wnt11 were reported to promote cardiac differentiation and inhibit the proliferation of SHF progenitor cells (Terami, Hidaka et al. 2004, Koyanagi, Haendeler et al. 2005, Koyanagi, Iwasaki et al. 2009, Abdul-Ghani, Dufort et al. 2011, Cohen, Miller et al. 2012, Bisson, Mills et al. 2015). They are co-required for repressing canonical Wnt signaling in SHF progenitors and promote SHF progenitor development cooperatively (Cohen, Miller et al. 2012, Bisson, Mills et al. 2015). In addition, Wnt2 is strongly expressed in the early developing murine heart, and was reported to be essential for efficient cardiomyocyte differentiation from ES cells through JNK/AP-1 pathway (Onizuka, Yuasa et al. 2012).

#### 1.1.4.2 FGF signaling pathway

In FGF (Fibroblast Growth Factors) signaling pathway, binding of FGF ligands leads to the autophosphorylation of the FGF receptor tyrosine kinase, allowing interaction of the FRS2 $\alpha$  docking protein and subsequent activation of the GRB2/SOS (growth factor receptor-bound protein 2/Son of sevenless) complex. Activated SOS, a guanine nucleotide exchange factor, in turn, activates the small G protein RAS, triggering a cascade of phosphorylation leading to the successive activation of RAF, MEK, and mitogen-activated protein kinase (ERK) kinases. p-ERK phosphorylates target transcription factors (including Ets family members) to activate gene expression. Sprouty blocks the phosphorylation cascade by interacting with the FRS2 $\alpha$ /GRB2/SOS complex.

FGFs are among the critical signals controlling communications within and between developing cardiac progenitors (Urness, Bleyl et al. 2011). The human/mouse FGF family comprises 22 members (Itoh, Ohta et al. 2016). Among them, FGF ligands implicated in second heart field development include Fgf3, Fgf8, Fgf10 and Fgf15 (Francou, Saint-Michel et al. 2013). Fgf8 is the best understood one among these FGFs regulating cardiovascular

development. Fgf8 signaling was shown to promote SHF proliferation and inhibit myocardial differentiation in chick SHF explants (Hutson, Zeng et al. 2010). Studies from two independent groups showed that *Fgf8* deletion in the SHF leads to severe truncations of the right ventricle and the outflow tract (Ilagan, Abu-Issa et al. 2006, Park, Ogden et al. 2006), indicating the critical role of Fgf8 in SHF development. It was later reported that the role of mesodermal Fgf8 and Fgf10 overlaps during SHF and pharyngeal arch arteries (PAA) development, highlighting the sensitivity of cardiovascular development to FGF dosage (Watanabe, Miyagawa-Tomita et al. 2010). Moreover, Fgf3 and Fgf10 also function redundantly and are dosage sensitively required during cardiovascular development (Urness, Bleyl et al. 2011). In addition, targeted disruption of *Fgf15* in mouse embryos leads to OFT alignment defects and reduced cell proliferation within the OFT myocardium, indicating that Fgf15 is required for proper morphogenesis of cardiac outflow tract (Vincentz, McWhirter et al. 2005).

#### 1.1.4.3 BMP signaling pathway

In the BMP (Bone Morphogenetic Proteins) signaling pathway, BMP ligands bind to a receptor complex composed of type 1 and type 2 BMP receptors. Upon receptor activation, Smad proteins (Smad1/5/8) are phosphorylated and associate with a coactivator Smad (Smad 4). The resulting Smad complex enters the nucleus and activates target gene expression. BMP signaling can be inhibited by the secreted proteins NOG (Noggin), CHORD (Chordin) and intracellular Smad proteins (Smad 6/7).

BMPs are a group of signaling molecules that belongs to the Transforming Growth Factor-β (TGFβ) superfamily of proteins (Wang, Green et al. 2014). A lot of studies implicate the role of BMP signaling in regulating cardiogenesis of different species, such as chicken (Birgit Andre´e 1998), mouse (Liu, Selever et al. 2004, Wang, Greene et al. 2010), zebrafish (Emma de Pater 2012) and *Xenopus* (Shi, Katsev et al. 2000). Bmp2 acts as a cardiac specification factor that elicits ectopic expression of the early cardiac markers *Nkx2.5* and *Gata4*, when it is ectopically expressed in chicken (Birgit Andre´e 1998). In SHF explants from chick embryos, Bmp2 decreases SHF proliferation and promotes myocardial differentiation (Hutson, Zeng et al. 2010). Bmp4 was shown to be required for outflow tract septation and branchial arch artery remodeling in mouse embryos (Liu, Selever et al. 2004). Bmp2 and Bmp4 were reported to control the timing of progenitor gene silencing in OFT myocardium by regulating

the expression of miRNAs in mouse embryos (Wang, Greene et al. 2010). Bmp10 is another Bmp member, shown to be essential in regulating cardiac growth and chamber maturation in mouse embryos (Chen, Shi et al. 2004). Bmp10 is also required for maintaining normal expression levels of several key cardiogenic factors (including Nkx2.5 and Mef2c) in the developing myocardium at mid-gestation (Chen, Shi et al. 2004) and works in axis with myocardin (Myocd) in this process (Huang, Elicker et al. 2012). In *Xenopus*, BMP signaling is also required for heart formation as well as maintenance of *Nkx2.5* expression (Shi, Katsev et al. 2000). In zebrafish, Pater et al. reported that Bmp signaling activity is strictly controlled during cardiomyocyte differentiation, since it is required to induce cardiac differentiation of cardiac progenitors but dispensable and even deleterious once differentiation is initiated (Emma de Pater 2012). They further illustrated that *smad6a* is expressed in the cardiac fields and linear heart tube to inhibit Bmp signaling (Emma de Pater 2012).

### 1.1.4.4 Hedgehog signaling pathway

The Hh (Hedgehog) signaling pathway is one of the key regulators of metazoan development. In this pathway, the Patched receptor inhibits activity of the transmembrane protein Smo (Smoothened) in the absence of Hh ligand. The Cos2/Fu/SuFu (Costal-2/Fused/Suppressor of fused) complex is thus able to convert the transcription factor GLI into a repressor form (GLI<sup>R</sup>). In the presence of Hh ligand, Hh binds to Patched and thereby releases the inhibition of SMO. SMO blocks the Cos2/Fu/SuFu complex, leading to the generation of the activator form of GLI (GLI<sup>A</sup>) that translocates to the nucleus to drive target gene transcription.

Three different Hedgehog proteins-SHH (Sonic hedgehog), IHH (Indian hedgehog), and DHH (Desert hedgehog), exist in vertebrates. Among them, SHH is the most understood one in heart development. In mouse embryos, loss of *Shh* causes several cardiac abnormalities, including ventricular hypoplasia, septation defects and outflow tract shortening (Tsukui T 1999, Washington Smoak, Byrd et al. 2005). Mice ablated of *Smo* using *Isl1-Cre* exhibit cardiovascular defects similar to those observed in *Shh* null mice, defining a spatial requirement for hedgehog signaling within *Isl1* expression domains for aortic arch and outflow tract formation (Lin, Bu et al. 2006). In chick embryos, inhibition of *Shh* leads to reduced SHF proliferation and pulmonary atresia, suggesting that Shh maintains proliferation in SHF progenitors and is required for normal arterial pole formation (Dyer and Kirby 2009).

#### 1.1.4.5 Notch signaling pathway

In Notch signaling pathway, interaction of Notch receptor with transmembrane ligand proteins (Ser, Serrate; Del, Delta; Jag, Jagged) in a neighboring cell triggers the proteolytic cleavage of Notch by TACE (tumor necrosis factor (TNF)  $\alpha$ -converting enzyme) and  $\gamma$ -secretase. This cleavage releases the Notch intracellular domain (NICD) and allows its translocation to the nucleus. NICD forms a transcriptional complex with RBPJ $\kappa$  (recombination signal binding protein J $\kappa$ ) to activate target genes.

The Notch signaling pathway is an ancient and highly conserved signaling pathway that plays important roles in development. It is also involved in multiple processes during vertebrate cardiogenesis. Analysis of standard and endothelial-specific Notch1 and RBPJk mutants shows that trabeculation is severely impaired and only primitive, poorly organized trabeculae can be observed in these mutants (Grego-Bessa, Luna-Zurita et al. 2007). Functional analysis reveals the requirement of the transcription factor Hey2 (Hes related family BHLH transcription factor with YRPW motif 2) (Kokubo, Miyagawa-Tomita et al. 2004) or the synergistic functions of Hey1 and Hey2 (Kokubo, Miyagawa-Tomita et al. 2005) or Heyl (Hey1 and Hey-like protein) in valve development (Fischer, Steidl et al. 2007). Endothelialspecific deletion of Jag1 (Jagged1) in mice results in cardiovascular defects, displaying right ventricular hypertrophy, overriding aorta, ventricular septal defects, coronary vessel abnormalities and valve defects and loss of Jag1 disrupts EMT during endocardial cushion formation (Hofmann, Briot et al. 2012). Moreover, conditional inactivation of Jag1 in second heart field tissues results in OFT and aortic artery defects resembling those seen in patients with congenital heart disease (CHD), indicating that Jag1 is an essential Notch ligand in the SHF (High, Jain et al. 2009, MacGrogan, Nus et al. 2010).

#### 1.1.5 Transcriptional factors involved in heart development

The expression of *Mesp1* is one of the known earliest sign toward cardiovascular development (Yumiko Saga 2000). Mesp1 promotes the expression of cardiac transcription factors while repressing genes that maintain pluripotency (Bondue 2008). As cardiac precursors migrate away from the primitive streak to form the cardiac crescent, *Mesp1* is downregulated while genes encoding cardiac transcription factors are activated (Y. Saga 1996, Paige, Plonowska et al. 2015). Here I summarized some of the representative transcriptional factors involved in heart development.

#### 1.1.5.1 GATA binding factors

Among the six GATA family transcription factors, Gata1, Gata2 and Gata3 are sub-classified as "hematopoietic GATA factors", while Gata4, Gata5 and Gata6 are "cardiac GATA factors", due to their priming roles in different systems (Tremblay, Sanchez-Ferras et al. 2018).

Gata4 is one of the earliest transcription factors expressed in mouse cardiac cells. It can be detected in the precardiac mesoderm as early as primitive streak stage (around E7.0) in mouse embryos and expands subsequently to more structures such as myocardium, endocardium, endocardial cushion and septum tissues, and persists in myocardium even after birth (Heikinheimo, Scandrett et al. 1994). Homozygous Gata4-deficient mice arrest in development between E7.0 and E9.5 and die short after, because of severe defects including the loss of a ventral heart tube resulting from a failure in lateral to ventral folding. In detail, in the absence of Gata4, the failed migrating of cardiac precursors to the ventral midline leads to forming of cardiac structures in bilateral side of the embryo, which is called cardia bifida (Kuo, Morrisey et al. 1997, Molkentin, Lin et al. 1997). However, studies from chimera mice suggest that the cardiac defects are secondary due to ventral patterning defects, resulting from lacking of Gata4 in the visceral endoderm, rather than the mesoderm (Narita, Bielinska et al. 1997, Watt, Battle et al. 2004). Analysis of mouse embryos with Gata4 deficiency in different tissues reveals important activities of Gata4 in proepicardium development, chamber formation, generation of the endocardial cushions, and septation of the OFT (Watt, Battle et al. 2004, Zeisberg, Ma et al. 2005, Rivera-Feliciano, Lee et al. 2006). Moreover, Gata4 was reported to act as a pioneer factor which can bind its target DNA sequences within condensed chromatin (Cirillo, Lin et al. 2002). In mouse heart, Gata4 shapes the chromatin environment and regulates gene expression by recruiting the histone acetyltransferase P300, which deposits H3K27ac deposition at cardiac enhancers to stimulate transcription (He, Gu et al. 2014).

In addition, data from *Gata5*-/- mice suggest a role of Gata5 in endocardial cell differentiation and cardiac valve formation (Laforest, Andelfinger et al. 2011). *Gata6* inactivation in vascular smooth muscle cells (VSMCs) leads to perinatal lethality and cardiovascular defects, including interrupted aortic arch and persistent truncus arteriosus, suggesting a role of Gata6 in regulating morphogenetic patterning of the cardiac outflow tract and aortic arch (Lepore, Mericko et al. 2006). Gata4, Gata5 and Gata6 interact with each other (Laforest and

Nemer 2011) and have partially redundant roles in the regulation of heart development (Holtzinger and Evans 2007, Peterkin, Gibson et al. 2007). They also work cooperatively with other cardiac transcription factors in regulation of cardiac gene expression in the developing heart, such as *Nkx2.5*, *Tbx5*, *Tbx20*, *Mef2c*, *Srf* and so on (Durocher, Charron et al. 1997, Stennard, Costa et al. 2003, He, Kong et al. 2011, Schlesinger, Schueler et al. 2011). Mutations of *GATA4*, *GATA5* or *GATA6* in human all lead to CHD, including cardiac septal defects and OFT defects, which are consistent with the phenotypes observed in the mouse models (Garg, Kathiriya et al. 2003, Kodo, Nishizawa et al. 2009, Wei, Bao et al. 2013).

#### 1.1.5.2 T-box transcription factors

Among T-box gene family members, *Tbx1*, *Tbx2*, *Tbx3*, *Tbx5*, *Tbx18*, and *Tbx20* are expressed and have functions in the developing heart.

Tbx1 is expressed in the mesoderm and endoderm of the pharyngeal arches, and OFT in mouse (Xu, Morishima et al. 2004, Maeda, Yamagishi et al. 2006). Lineage tracing experiments have demonstrated that these Tbx1-positive cells contribute extensively to myocardial wall and endothelium of the OFT, and some contribute to the myocardium of the right ventricle, suggesting that *Tbx1* expressed mainly in the SHF (Xu, Morishima et al. 2004). Tbx1 is required for normal development of the pharyngeal arch arteries in a gene dosagedependent manner. Deletion of one copy of Tbx1 affects the development of the fourth pharyngeal arch arteries, whereas homozygous mutation severely disrupts the pharyngeal arch artery system, which phenocopies the cardiac defects observed in DiGeorge syndrome in human (Elizabeth A. Lindsay 2001). Tbx1 was later reported to be required for posterior SHF cells to contribute to the arterial and venous poles of the heart tube (Rana, Theveniau-Ruissy et al. 2014), providing an explanation for the occurrence of venous pole defects seen in DiGeorge syndrome. In addition, Fgf8 and Fgf10 act downstream of Tbx1 in the SHF, while Foxc1 and Foxc2 act upstream of the Tbx1-FGF cascade (Hu, Yamagishi et al. 2004, Xu, Morishima et al. 2004, Seo and Kume 2006) (Figure 5). Moreover, Tbx1 regulates the balance between myocardial proliferation and differentiation in the SHF through Isl1, Hod and Nkx2.6 (Liao, Aggarwal et al. 2008).

*Tbx2* and *Tbx3* are expressed in the non-chamber myocardium of the atrioventricular canal (AVC) and are redundantly required for AVC patterning by repressing chamber myocardial genes (Christoffels, Burch et al. 2004, Harrelson, Kelly et al. 2004, Christoffels 2012). *Tbx2* is

expressed in the OFT as well, and misexpression of *Tbx2* impairs deployment of SHF derived progenitor cells to the OFT and also leads to defects in OFT remodeling in mouse embryonic heart (Harrelson, Kelly et al. 2004, Dupays, Kotecha et al. 2009). Whereas, *Tbx3* is expressed in the cardiac conduction system as well, and is required for its specification (Bakker, Boukens et al. 2008). Interestingly, Tbx3 is reported to be required for mouse OFT development, even though it is supposed not to express in the OFT, through an indirect way, by regulation of intercellular signaling pathways coordinating proliferation and deployment of the SHF (Mesbah, Harrelson et al. 2008).

Both Tbx5 and Tbx20 play roles in the cardiac chamber formation. Tbx5 is expressed in the inflow tract, atria, AV cushion, and left ventricle, appearing to be restricted to the FHFderived region (Benoit G. Bruneau, Malcolm Logan et al. 1999). By contrast, Tbx20 is primarily expressed in the outflow tract and the right ventricle during heart chamber formation, which are derived from the SHF (Koshiba-Takeuchi, Morita et al. 2016). Mice with Tbx20 complete knockdown showed defects in heart formation, including hypoplasia of the outflow tract and right ventricle (Takeuchi, Mileikovskaia et al. 2005, Koshiba-Takeuchi, Morita et al. 2016). In the developing heart, *Tbx5* and *Tbx20* are complementarily expressed in the left and right ventricle, respectively (Takeuchi 2003, Plageman and Yutzey 2004). In humans, mutations in TBX5 are related to Holt-Oram syndrome (Basson, Bachinsky et al. 1997, Li, Newbury-Ecob et al. 1997, Benoit G. Bruneau, Malcolm Logan et al. 1999), while mutations in TBX20 result in ASD, ToF, PTA and so on (Kirk 2007, Posch 2010, Pan, Geng et al. 2015, Huang, Wang et al. 2017). Moreover, Tbx5 and Tbx20 act in combination with Nkx2.5 and Gata4 to promote the specification of chamber myocardium (Paige, Plonowska et al. 2015). In mice, Tbx5 and Gata4 regulate the expression of connexin 30.2, which is required for slow conduction in the atrioventricular node (Munshi, McAnally et al. 2009).

*Tbx18* is expressed in the proepicardium (PE), in the epicardium, and sinus venosus region in mouse (Florian Kraus 2001). Expression domains of *Tbx18* are adjacent but distinct from *Nkx2.5* and *Isl1* expression domains. It is required for the venous pole formation in the heart (Christoffels, Mommersteeg et al. 2006).

#### 1.1.5.3 Hand1 and Hand2

In mice, Hand1 (eHand) and Hand2 (dHand) are involved in ventricular chamber formation.

After cardiac looping, they are preferentially expressed in the left ventricle and right

ventricle, derivatives of the first and second heart fields, respectively (Tiffani Thomas 1998). Mice that lack *Hand2* die at E10.5 from right ventricular hypoplasia and vascular defects (Deepak Srivastava 1997, Yamagishi, Olson et al. 2000, Tsuchihashi, Maeda et al. 2011). In contrast, embryos homozygous for *Hand1* deletion displayed defects in the left ventricle and endocardial cushions, and exhibited dysregulated ventricular gene expression (McFadden, Barbosa et al. 2005). Moreover, Hand1 acts downstream of Nkx2.5 during cardiac morphogenesis (Harvey 1997, McFadden, Barbosa et al. 2005), whereas Hand2 is a direct downstream target of Gata4 and Isl1 (D.G. McFadden 2000, Caputo, Witzel et al. 2015) (Figure 5).

#### 1.1.5.4 Nkx2.5

The homeobox gene *Nkx2.5* (also called *Csx*), a homolog of Drosophila *tinman*, is one of the earliest known markers of vertebrate heart development (R.J. Schwartz 1999). *Nkx2.5* can be detected in the early cardiac crescent at E7.5. As development proceeds, *Nkx2.5* is expressed in almost all the cardiac regions derived from both first and second heart fields, including left ventricle, outflow tract and right ventricle, as well as atria and sinus venosus. *Nkx2.5* is also detected in the pharyngeal region. However, *Nkx2.5* deletion in the pharyngeal endoderm does not affect heart formation, which may be result from the compensation of endodermal expression of *Nkx2.3* and *Nkx2.6*. In contrast, mesodermal deletion of *Nkx2.5* showed a hypoplastic outflow tract and right ventricle and an arrested looping heart tube, as seen in *Nkx2.5* null mouse embryos, leading to embryonic lethality at around E10.5 (I Lyons 1995, M. Tanaka 1999, Zhang, Nomura-Kitabayashi et al. 2014). These studies indicate that Nkx2.5 is pivotal for early heart formation in mouse embryos.

Nkx2.5 acts genetically upstream of multiple genes essential for heart development, including some cardiac transcription factors, such as *Mef2c*, *Hand1* and *Myocd* (M. Tanaka 1999, Ueyama, Kasahara et al. 2003). *Isl1* is also reported to be a downstream target of Nkx2.5 but is negatively regulated (Dorn, Goedel et al. 2015, Colombo, de Sena-Tomas et al. 2018) (Figure 5).

#### 1.1.5.5 Mef2c

Among the four Mef2 transcription factor family members (Mef2a, -b, -c, and -d), Mef2c is the most extensively studied in heart development. Studies in mouse embryos showed that

Mef2c is required for looping of the cardiac tube, development of the right ventricle, and expression of a subset of cardiac genes, such as *Hand2*, *Mlc1a* and cardiac α-actin (Qing Lin 1997). Moreover, deletion of *Mef2c* causes embryonic lethal in mouse at around E10.5 (Lin, Schwarz et al. 1997). Mice with *Mef2c* deleted in the anterior second heart field (aSHF) display cardiac defects similar with the ones observed in human CHD (Qiao, Wang et al. 2017, Lu, Wang et al. 2018), such as tetralogy of Fallot (ToF), great arteries (d-TGA) with VSD; or double-outlet right ventricle (DORV), indicating an essential role of Mef2c in OFT alignment (Barnes, Harris et al. 2016). Interestingly, a recent publication further demonstrated that cardiovascular development and survival in mouse require Mef2c function in the myocardial but not the endothelial lineage (Materna, Sinha et al. 2019).

Mef2c works cooperatively with other cardiac transcription factors in regulating cardiac gene expression, such as *Gata4*, *Nkx2.5* and *Tbx5* (Nemer 2000, Vincentz, Barnes et al. 2008, Ghosh, Song et al. 2009). Moreover, *Mef2c* is a direct downstream target of Isl1, Gata4 and Nkx2.5 (M. Tanaka 1999, Dodou, Verzi et al. 2004, Wang, Li et al. 2016) (Figure 6).

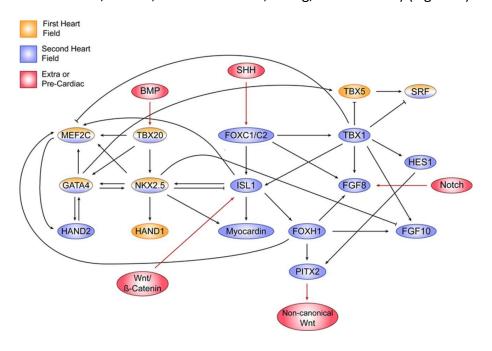


Figure 6 Cardiac gene regulatory networks (Paige, Plonowska et al. 2015)

The diagram shown is a brief overview of a subset of known transcription factor interactions and signaling pathways that drive the differentiation of first heart field (FHF; orange) and second heart field (SHF; blue) cardiac progenitor cells (CPCs) during development. Factors colored by both orange and blue represent regulators of both FHF and SHF. Arrows indicate increased expression of one transcription factor or signaling molecule because of activity of another transcription factor. Signaling pathways that activate expression of certain transcription factors are shown in red.

Spatiotemporal and quantitative regulation of cardiac transcription factors must occur in a precise manner to ensure fine regulation of downstream targets (Bruneau 2002). Although networks of interacting transcription factors are being defined (Figure 6), the full complement of their target genes is still not clear, nor is how these factors are integrated at the level of chromatin to activate transcriptional programs (Bruneau 2013).

#### 1.2 Isl1

#### 1.2.1 Protein structure of ISL1

Islet1 (Isl1) is a LIM-homeodomain transcription factor. The gene encoding Isl1 contains six exons, and is located on chromosome 13 in mouse and chromosome 5 in human (Karlsson, Thor et al. 1990). Like other members in the LIM-homeodomain transcription factor family, ISL1 contains a pair of closely spaced N-terminal LIM domains followed by a central homeodomain (HD); in addition, ISL1 also contains a LBD domain (Figure 7) (Bhati, Lee et al. 2012). ISL1 binds DNA through its homeodomain while its LIM domains usually act as a protein-protein interaction motif (Karlsson, Thor et al. 1990, Bach 2000). LBD domain mediates the interaction of ISL1 with LIM-Homeodomain (LHX) proteins. For example, ISL1 interacts with LDB1 through its LIM domains and with LHX3 through its LBD domain, in the regulation of motor neurons specification (Gadd, Bhati et al. 2011). Although the LIM interaction domain of LDB1 (LDB1<sub>LID</sub>) and the LIM binding domain of ISL1 (ISL1<sub>LBD</sub>) share low levels of sequence homology, they bind LHX3 in an identical manner (Mugdha Bhati 2008). In addition, ISL1 LBD domain can also mediate an intramolecular interaction with LIM domains of ISL1 (ISL1<sub>LIM1+2</sub>), even though in a low affinity, to inhibit DNA binding, with inhibition being relieved by interaction of the LIM domains with another binding partner, such as LDB1<sub>LID</sub> (Figure 7) (Gadd, Jacques et al. 2013).

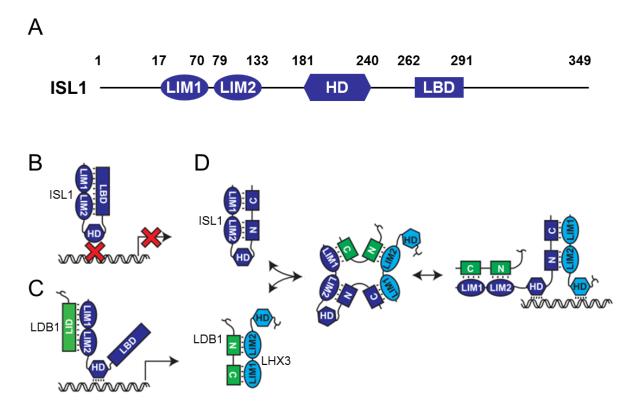


Figure 7 Schematic diagram of ISL1 domains and the intermolecular and intramolecular interactions (adapted from (Bhati, Lee et al. 2012, Gadd, Jacques et al. 2013))

(A) Diagram shows domains of mouse ISL1 protein. Numbers refer to the mouse proteins with the domain boundaries as defined in SWISS-PROT (Bhati, Lee et al. 2012). (B) The intramolecular interaction between ISL1 $_{\text{LIM1+2}}$  and ISL1 $_{\text{LBD}}$  could impose steric effects on the homeodomain, impacting DNA binding. (C) When LDB1 $_{\text{LID}}$  binds ISL1, the intramolecular interaction is displaced, and this inhibition would be released. (D) Cofactor exchange mechanism. When ISL1 approaches the binary LDB1/LHX3 complex the intramolecular interaction between ISL1 $_{\text{LIM1+2}}$  and ISL1 $_{\text{LBD}}$  may facilitate the formation of an intermediate ternary complex in which the N- and C-terminal halves of ISL1 $_{\text{LBD}}$  and LDB1 $_{\text{LID}}$  contact different molecules to enable ISL1 to efficiently displace LDB1 $_{\text{LID}}$ /LHX3 $_{\text{LIM1+2}}$  and generate the ternary complex in a stepwise manner (Gadd, Jacques et al. 2013). HD: homeodomain; LBD: LIM binding domain; LID: LIM interaction domain; N and C: the N-and C-terminal halves of ISL1 $_{\text{LBD}}$  and LDB1 $_{\text{LID}}$  domains.

#### 1.2.2 Expression and function of Isl1 during development

Genetic studies have demonstrated that Isl1 plays essential roles during embryogenesis. A better visualization of Isl1 expression profile provides further insight into its potential roles during development. Previous studies have revealed expression of Isl1 in multiple tissues and cell types, including normal adult islet cells (Karlsson, Thor et al. 1990), pancreas (Ahlgren, Pfaff et al. 1997), heart progenitor cells (Schoenwolf 2000, Cai, Liang et al. 2003, Sun, Liang et al. 2007), hindlimb (Yang, Cai et al. 2006), cochlear epithelium of the inner ear (Radde-Gallwitz, Pan et al. 2004), retina (Elshatory, Everhart et al. 2007), nervous system

(Samuel L. Pfaff 1996, Sun, Dykes et al. 2008), thyroid gland (Westerlund, Andersson et al. 2008) and so on.

Isl1 regulates gene expression by binding through its homeodomain to AT-rich consensus elements containing a core TAAT motif (YTAATGR) (Karlsson, Thor et al. 1990, Drucker 1995, Golzio, Havis et al. 2012). ISL1 is originally identified as a protein that binds to an insulin gene enhancer and regulates its expression (Karlsson, Thor et al. 1990). Initial experiments localized Isl1 to the islet cells, suggesting a possible role for this protein in the regulation of insulin gene expression and/or islet cell development (Karlsson, Thor et al. 1990, M Wang 1994). In pancreas, Isl1 is also expressed in the mesenchymal cells surrounding the dorsal lobe, and plays an important role in pancreas development (Ahlgren, Pfaff et al. 1997). Isl1 also functions as a positive regulator of proglucagon gene transcription in the endocrine pancreas (Drucker 1995). Later people found that Isl1 is also expressed in the central and peripheral nervous system (M Wang 1994, Zhuang, Zhang et al. 2013). It is required for the generation of motor neurons (Pfaff, Mendelsohn et al. 1996) and has essential roles in multiple aspects of motor neuron development, including motor neuron cell body localization, motor column formation and axon growth (Liang, Song et al. 2011). A recent study revealed a temporal requirement for Isl1 in multiple aspects of sympathetic neuron development (Zhang, Huang et al. 2018). In addition, Isl1 is also involved in the regulation of other cell types and organs, such as dentition (Mitsiadis 2003) and external genitalia (Ching, Infante et al. 2018). Importantly, more and more studies have determined that Isl1 is critical in heart development.

#### 1.2.3 Expression and function of Isl1 during heart development

With an Isl1-nlacZ mouse line and co-immunostaining for Isl1 and lineage markers, Sun et al. reported the detailed expression pattern of Isl1 in mouse heart (Sun, Liang et al. 2007) (Figure 8). They showed that Isl1 is expressed in distinct subdomains of the heart and in diverse cardiovascular lineages although Isl1 expression is downregulated in most cardiac progenitors as they differentiate (Sun, Liang et al. 2007), which is consistent with the earlier studies (Schoenwolf 2000, Cai, Liang et al. 2003). Cai et al. showed that Isl1 is detectable in the cardiac progenitor cells at the early cardiogenic crescent stages (around E7.0) (Cai, Liang et al. 2003). At this stage, *Isl1*-expressing cells are contiguous with, but medial and dorsal to, *MIc2a*-expressing cells, which is one of the earliest markers for differentiated

cardiomyocytes (Cai, Liang et al. 2003) (Figure 8A). Studies demonstrate that Isl1 expressing progenitors migrate into the heart shortly following fusion of cardiac primordia (Park, Ogden et al. 2006, Sun, Liang et al. 2007). At E8.5, Isl1 is actively expressed in outflow tract and partially in the right atria and right ventricle, but not in the remaining myocardium (Figure 8B). By E9.0, most Isl1 progenitors have migrated into the heart. Isl1 is asymmetrically expressed in the right atria, and becomes progressively confined to a subdomain within the right atria, in the region of the cardiac pacemaker (Figure 8C-G). At E11.5 and E13.5, Isl1 is observed in outflow tract and in the region of the sinoatrial (SA) and atrioventricular (AV) nodes. At E14.5, Isl1-nlacZ expression is still detected in subdomains within the outflow tract, aorta, pulmonary artery, venous valves, atrial septum, and in regions corresponding to those of the sinoatrial and atrioventricular nodes, and in clusters of cells in the region of cardiac ganglia (Figure 8G). At postnatal day 3, Isl1-nlacZ expression is observed in the region of the sinoatrial node (SAN) and at the base of the aorta/pulmonary artery, but less extensively, with the exception of cardiac ganglia which still exhibits strong expression (Sun, Liang et al. 2007). Moreover, they also showed that Isl1 expressing cells not only contribute to myocardial lineages, but also contribute to endothelial and vascular smooth muscle lineages including smooth muscle of the coronary vessels by co-immunostaining of ISL1 with MF20 (myosin heavy chain 1E, MYH1E), CD31 (also called platelet endothelial cell adhesion molecule, PECAM1) and  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) (Sun, Liang et al. 2007).

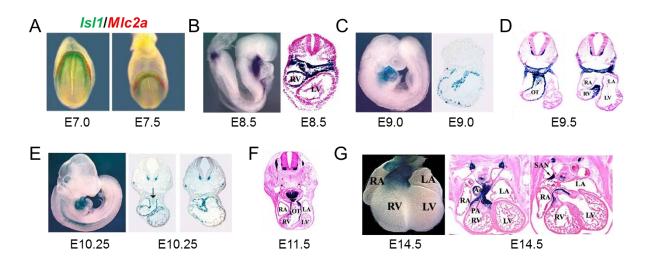


Figure 8 Isl1 expression profile in mouse heart (adapted from (Cai, Liang et al. 2003, Sun, Liang et al. 2007))

(A) Embryos were stained with double whole-mount *in situ* hybridization, utilizing probes for *Isl1* (green) and Mlc2a (red) mRNAs at E7.0 and E7.5 (Cai, Liang et al. 2003). (B-G) Analysis of  $\beta$ -galactosidase expression in Isl1-nlacZ knock-in embryos using X-gal staining at E8.5-E14.5 and

representative corresponding sections. The arrow in (E) indicates X-gal-positive cells within the endocardium of the outflow tract (Cai, Liang et al. 2003, Sun, Liang et al. 2007). LA: left atria; LV: left ventricle; OT: outflow tract; RA: right atria; RV: right ventricle; PA: pulmonary artery; Ao: aorta; SAN: sinoatrial node.

In the course of cardiogenesis, Isl1 marks the second heart field progenitors (Cai, Liang et al. 2003). The key role of Isl1 in SHF development is evident from genetic studies in mice, showing that *Isl1*-deficient mouse embryos are embryonic lethal due to lacking of all structures derived from the SHF including the right ventricle, the outflow tract and large portions of the atria, since Isl1 is required for proliferation, survival, migration of SHF CPCs and their differentiation into different cardiac lineages (Cai, Liang et al. 2003, Moretti, Caron et al. 2006, Kwon, Qian et al. 2009). Isl1 was later shown to play a key role in survival, proliferation, and function of pacemaker cells as well (Liang, Zhang et al. 2015). In *Xenopus*, Isl1 was reported to be necessary for heart morphogenesis, cardiac marker gene expression, and vasculogenesis (Brade, Gessert et al. 2007), whereas in zebrafish isl1 is required to complete the cardiomyocyte differentiation process at the venous pole (de Pater, Clijsters et al. 2009).

Isl1 is known to cooperate with other transcriptional factors such as Gata4 and Tbx20, to regulate a cascade of transcriptional events involving its downstream target genes, such as Mef2c, Myocd, Shh, Fgf10 and Bmp4 that collectively control lineage specification of the cardiac progenitors (Dodou, Verzi et al. 2004, Vincent and Buckingham 2010, Golzio, Havis et al. 2012, Watanabe, Zaffran et al. 2012). In addition, Isl1 also works in concert with other cofactors, such as epigenetic regulators and signaling molecules, in regulating cardiac development and cardiac lineage differentiation. For example, Isl1 and Ldb1 works together to orchestrate a network for transcriptional regulation and coordination in three dimensional space, driving cardiac progenitor cell differentiation and heart development (Caputo, Witzel et al. 2015). In addition, the LIM protein Ajuba binds Isl1 and represses its transcriptional activity, which is required to downregulate the expression of key transcription factors in the SHF such as Mef2c, and to enable Isl1 to suppress its own expression (Witzel, Jungblut et al. 2012). Pacheco-Leyva et al. reported that Cited2 and Isl1 interact physically and cooperate to promote embryonic stem cell (ESC) differentiation toward cardiomyocytes (Pacheco-Leyva, Matias et al. 2016). Jmjd3 (Jumonji domaincontaining protein 3) also physically interacts with Isl1 to alter the cardiac epigenome, instructing gene expression changes that drive cardiac differentiation (Wang, Li et al. 2016). These cofactors act together with Isl1 in a coordinated manner to keep the proper spatiotemporal regulation during cardiac differentiation and heart development. Despite the critical role of Isl1 in cardiac development and disease, detailed insights into its molecular mode of action are critically missing.

### 1.2.4 ISL1 and CHD

As a key transcription factor in regulating cardiac development, ISL1 is involved in congenital heart disease (CHD). Genetic variation in ISL1 is associated with risk of non-syndromic CHD has been revealed through a 2-stage case-control study in white and black/African American populations (Stevens, Hakonarson et al. 2010). This study identified eight genic and flanking ISL1 single nucleotide polymorphisms (SNPs) (rs6867206, rs4865656, rs6869844, rs2115322, rs6449600, IVS1+17C>T, rs1017, rs6449612) that are significantly associated with complex CHD (Stevens, Hakonarson et al. 2010). Later the same conclusion was shown and different ISL1 variants were found to be associated with CHD in a Chinese cohorts (Lang, Tian et al. 2013, Luo, Sun et al. 2014). The rs3762977 and IVS1+17C>T variants of ISL1 were identified to be closely associated with the risk of developing ventricular septal defects (VSD) through a study enrolled with 512 congenital VSD patients and 612 healthy controls (Lang, Tian et al. 2013). Another SNP (rs1017) in ISL1 contributes to the risk of CHD in Chinese Han people (Luo, Sun et al. 2014). In addition, a study among 389 infants born with tetralogy of Fallot (ToF) or d-transposition of the great arteries (d-TGA) found that ISL1 haploinsufficiency is associated with d-TGA (Osoegawa, Schultz et al. 2014). A recent study revealed a novel heterozygous ISL1 mutation (c.409G > T or p.E137X) that contributes to congenital heart defects, by analyzing a cohort of CHD patients and healthy controls (Ma, Wang et al. 2018).

## 1.3 Pioneer transcription factors

A transcription factor (TF) is a protein that controls the rate of transcription of genetic information from DNA to messenger RNA, by binding to a specific DNA sequence (Karin 1990, Latchman 1997). The function of transcription factors is to regulate "turn on and off"-genes in order to make sure that they are expressed in the right cell at the right time and in the right amount throughout the life of the cell and the organism. Therefore, transcription

factors are responsible for the spatial and temporal fine-tuning of transcriptional programs. Although they recognize and bind to specific DNA sequences, the recruitment of transcription factors is also dependent on the chromatin landscape (Tanaka, Tawaramoto-Sasanuma et al. 2004). In eukaryotes, genomic DNA is tightly packaged into a higher order chromatin structure. Thus, chromatin structure becomes a general obstacle for eukaryotic transcription by blocking the access of the basic RNA polymerase machinery, as well as most transcription factors (Iwafuchi-Doi 2019). In the context of embryonic development and cell differentiation, many TFs initiate the assembly of transcriptional complexes at specific enhancers, which in turn trigger nucleosome repositioning so as to increase accessibility for other factors (Spitz and Furlong 2012). Biochemical and genomic studies indicate that such a group of factors can be considered "pioneers" by virtue of their ability to engage target DNA sites in closed chromatin prior to the apparent engagement, opening, or modification of the site by other factors (Iwafuchi-Doi and Zaret 2014). This "pioneer" function was first identified in yeast (Almer, Rudolph et al. 1986) and subsequently at the mouse albumin enhancer during endoderm development (Gualdi, Bossard et al. 1996, Bossard and Zaret 1998). And this subset transcription factors are called "pioneer transcription factors" or "pioneer factors" (Iwafuchi-Doi 2019) (Figure 9).

### Non-pioneer facotrs:

- · Can access open chromatin;
- Cannot access closed chromatin;
- Bind DNA that is not occupied by nucleosomes;
- Cooperates with pioneer factors to bind nucleosomal DNA

### Pioneer facotrs:

- · Can access open chromatin;
- Can access and scan closed chromatin;
- Independent binding of nucleosomal DNA;
- · Allow other factors to bind

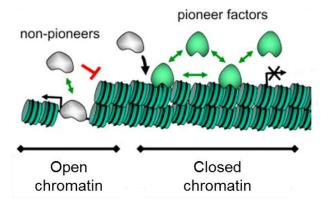


Figure 9 Chromatin binding by pioneer factors (adapted from (Iwafuchi-Doi and Zaret 2016, Zaret, Lerner et al. 2016))

Chromatin is depicted as consisting of open domains and closed domains. Pioneer factors (green blobs) are able to target the closed domains, whereas non-pioneer factors (gray blobs) are typically dependent upon pioneers for binding there (black arrow). The green arrows depict transient, on-and-

off association with chromatin as the factors scan the different domains; the red bars indicate the factors being impeded from scanning certain domains.

In recent years, more key transcription factors have been identified as pioneer transcription factors, such as FOXA (Forkhead box A), GATA1/2/3/4, OCT3/4 (Octamer-binding transcription factor 3/4), SOX2 (SRY (sex determining region Y)-box 2), KLF4 (Kruppel like factor 4), PAX7 (Paired box 7), ASCL1 (Achaete-scute family BHLH transcription factor 1), P53 (Tumor protein p53), and so on (Cirillo, Lin et al. 2002, Nili, Field et al. 2010, May, Soneji et al. 2013, Soufi, Garcia et al. 2015, Park, Guilhamon et al. 2017, Mayran, Khetchoumian et al. 2018). Although these pioneer factors belong to diverse structural classes of transcription factors and show different binding repertoires in different cell types, for example, SOX2 binds different target subsets in mouse cortex and spinal cord (Hagey, Zaouter et al. 2016), they have the common activities (Figure 10). Firstly, they scan the nucleosomal DNA in closed chromatin for their target sequences and then bind to their recognition sites (Iwafuchi-Doi and Zaret 2014, Iwafuchi-Doi and Zaret 2016, Iwafuchi-Doi 2019). The ability to target closed chromatin is based on the inherent capacity of pioneer factors to recognize their target DNA sequences on the nucleosome, either by full or partial motif recognition (Cirillo, McPherson et al. 1998, Iwafuchi-Doi and Zaret 2016).

The FOXA family of transcription factors has been most extensively studied in mechanistic depth by biochemical, genetic, and genomic studies. The binding domains of FOXA transcription factors possess a "winged helix" structure, which binds to one side of a DNA helix and leaves the other side of DNA to bind a nucleosome core particle (Clark, Halay et al. 1993, Cirillo, McPherson et al. 1998, Zaret, Caravaca et al. 2010). This structural similarity to linker histones H1/H5 allows FOXA transcription factors to access closed chromatin (Clark, Halay et al. 1993, Zaret, Caravaca et al. 2010). As the second step of pioneering activity, pioneer factors locally open chromatin structure and create a permissive state for gene regulation. In contrast to the chromatin binding step, the molecular mechanisms underlying the chromatin opening step remain to be determined for most pioneer factors. FOXA pioneer factors have the intrinsic ability to open chromatin by themselves as the C-terminal domain of FOXA can bind directly to core histone proteins and is required for opening chromatin (Cirillo, Lin et al. 2002). However, for some pioneer factors, they can recruit chromatin remodelers and achieve chromatin opening. For example, OCT4 recruits the

chromatin remodeler BRG1 and establishes accessible chromatin structure in pluripotent stem cells (King and Klose 2017). Once chromatin is open, pioneer factors can recruit cofactors (for example, transcription factors, chromatin modifiers, histone variants, and repressors) and allow their access to the site for gene and epigenetic regulation (Iwafuchi-Doi and Zaret 2014, Zaret and Mango 2016, Iwafuchi-Doi 2019).

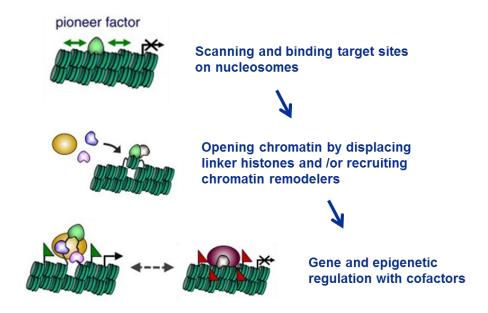


Figure 10 Activities of pioneer factors (adapted from (Zaret and Mango 2016, Iwafuchi-Doi 2019))

Pioneer factors can scan nucleosomal DNA for their target sites in closed chromatin (top). Opening chromatin and increased residence time at targeted sites allow for cooperative interactions with chromatin remodelers and more stable binding (middle). Binding of pioneer factors and cofactors can lead to activated sequences (bottom left) with open chromatin features (green flags) and a state of competence to be expressed or direct transcriptional activity, or repressed sequences (bottom right) with closed chromatin features (red flags).

In the context of embryonic development, pioneer factors start being expressed in the early stages and play a role in establishing competence for specific cell fates (Iwafuchi-Doi and Zaret 2014, Zaret and Mango 2016, Iwafuchi-Doi 2019). Genetics and genomics studies demonstrate the role of pioneer factors in cell programming and reprogramming. FOXA pioneer factors have the ability to specify liver fate by altering chromatin organization after binding nucleosomal target-DNA sequences (Cirillo, Lin et al. 2002). PAX7 specifies intermediate pituitary melanotrope cell identity through pioneer activity (Budry, Balsalobre et al. 2012). NeuroD1 (Neurogenic differentiation factor 1) and ASCL1 (also known as MASH1), have also been suggested to have pioneer activity during neural development (Wapinski, Vierbuchen et al. 2013, Pataskar, Jung et al. 2016). The three pluripotency factors

OCT4, KLF4 and SOX2 have pioneer activity on a global scale by widely affecting the epigenome beyond their initial binding sites (Soufi, Donahue et al. 2012, Soufi, Garcia et al. 2015). In addition, the aberrant activation or inhibition of pioneer factors can compromise large-scale chromatin structure and ultimately human health (Iwafuchi-Doi 2019). In many forms of cancer, pioneer factors are misregulated, mutated, or their genomic locus amplified; alternatively, the binding sites of pioneer factors are mutated (Jozwik and Carroll 2012). Although more key transcription factors have been identified as pioneer transcription factors, the molecular mechanisms behind their special properties are only beginning to be revealed (Iwafuchi-Doi 2019). Understanding the pioneering mechanisms will help us to precisely control cell fate for research and therapeutic purposes.

### 1.4 Epigenetic regulation

### 1.4.1 Chromatin structure

In the nucleus of eukaryotes, DNA is packaged as chromatin. The nucleosome is the fundamental unit of chromatin and it is composed of an octamer of the four core histones (H2A, H2B, H3 and H4,) around which 147 base pairs of DNA are wrapped (Kouzarides 2007). Each of the histone proteins consists of a structured core and an unstructured tail domain. These histone tails are the most common sites of post-translational modifications (Biswas, Voltz et al. 2011). H1 histone associates with the linker DNA located between the nucleosomes. Nucleosome spacing determines chromatin structure, which can be broadly divided into "closed chromatin" and "open chromatin" (Figure 11). "Closed chromatin" is condensed and usually associated with relative transcriptional repression. In contrast, "open chromatin" is a state which allows proteins governing transcription to access the DNA and effect RNA synthesis (Gillette and Hill 2015). Chromatin structure and gene accessibility to transcriptional machinery are regulated by modifications to both DNA and histone tails (Handy, Castro et al. 2011).

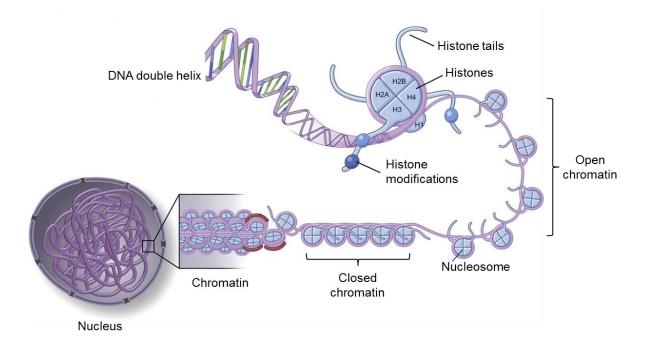


Figure 11 Schematic representation shows the organization and packaging of genetic materials (adapted from (Yan, Matouk et al. 2010))

Schematic diagram shows different levels of chromatin compaction. DNA is wrapped around a cluster of histone proteins to form nucleosomes. Nucleosome is represented by DNA wrapped around eight histone proteins, H2A, H2B, H3, and H4. H1 serves as a linker protein. Histone tails are shown protruding from histone proteins and histone modifications are shown with round balls. Nucleosomes are further organized to create open and closed regions of chromatin, which in turn create three-dimensional structures that encompass different levels of gene organization in the chromosomes packed into a cell nucleus.

### 1.4.2 Epigenetic control mechanisms

In 1940s, Waddington first proposed the term "epigenetics" by combining the words "epigenesis" and "genetics", which was defined as the study of heritable changes in gene expression, that are not a result of changes in the DNA sequence, but rather due to alterations related to the packaging (thereby altering DNA accessibility) and/or translation of genetic information (Morris 2001, Bird 2007, Goldberg, Allis et al. 2007, Lorenzen, Martino et al. 2012, Vallaster, Vallaster et al. 2012). Over the past decade, epigenetics has become an important and rapidly expanding field of research in Biology (Portela and Esteller 2010, Ponchel and Burska 2016). Chromatin structure can be dynamically regulated by three classes of chromatin regulators: DNA methylation (Me) by DNA modifiers, histone modification by histone modifiers, and chromatin organization by chromatin remodelers (Chang 2012). They are reported in a lot of publications to play a central role in various biological processes, including stem cell fate decision (Lunyak and Rosenfeld 2008), cell

proliferation and differentiation (Srinageshwar, Maiti et al. 2016, Marroncelli, Bianchi et al. 2018), embryonic development (Hemberger, Dean et al. 2009) and diseases (Portela and Esteller 2010). Here I only focus on the chromatin organization by chromatin remodelers.

### 1.4.2.1 Chromatin remodelers

Chromatin remodeling is widely used to describe changes in chromatin structure that occur during regulatory processes (Aalfs and Kingston 2000). It can occur independently or in concert with other events, such as transcription (Aalfs and Kingston 2000). The complexes that relocate nucleosomes or alter the structure of nucleosomes are called "chromatin remodelers" or "chromatin remodeling complexes".

The chromatin remodeling complexes are primarily made up of a single ATPase and multiple associated subunits (Hota and Bruneau 2016). The core catalytic subunit is the ATPase subunit. On the basis of the sequence and structure of the core ATPase subunit, the ATP-dependent chromatin remodeling complexes are divided into four major subfamilies (Figure 12A): ISWI (imitation switch), CHD (chromo domain helicase DNA-binding), INO80 (inositol requiring 80)/SWR (SWI2/SNF2 related), and SWI/SNF (switch/sucrose non-fermentable) subfamilies (Ho and Crabtree 2010, Mazina and Vorobyeva 2016, Clapier, Iwasa et al. 2017). With energy derived from ATP hydrolysis, these complexes work on chromatin remodeling in a variety of ways (Figure 12B): (A) nucleosome assembly: factors, especially those belonging to the ISWI and CHD subfamily remodelers, participate in the random distribution of newly formed nucleosomes, as well as their maturation and arrangement into regularly-spaced chromatin structures; (B) Chromatin access: factors that mainly belong to the SWI/SNF family mediate DNA accessibility by repositioning nucleosomes, ejecting octamers or evicting histone dimers; (C) Nucleosome editing: factors of the INO80 subfamily change nucleosome composition by exchanging canonical and variant histones (like H2AZ).

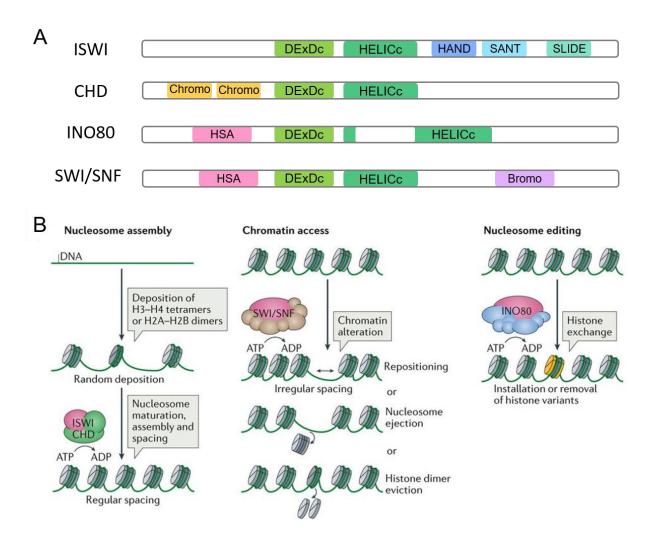


Figure 12 Domain structure of the core ATPase subunits and functional classification of the chromatin remodeling complexes (adapted from (Manelyte and Langst 2013, Hota and Bruneau 2016, Clapier, Iwasa et al. 2017, Sokpor, Castro-Hernandez et al. 2018))

(A) Diagram shows the domain organization of the catalytic subunits of ISWI, CHD, INO80/SWR and SWI/SNF subfamilies of chromatin remodelers. All of these subunits are SNF2 family proteins. They all contain an ATPase domain, which consists of DEXDc and HELICc domains, with each subfamily possessing additional domains. For example, ISWI proteins harbor a C-terminal SANT domain as well as SANT-like ISWI (SLIDE) and HAND domains. CHD proteins are defined by the presence of tandem N-terminal chromo domains, with some family members containing N-terminal plant homeodomain (PHD) domains. INO80R/SWR proteins notably contain a split ATPase domain, with a spacer between the DEXDc and HELICc domains. By contrast, SWI/SNF proteins are defined by the presence of an N-terminal helicase-SANT (HSA) domain and a C-terminal bromo domain. Image is adapted from (Manelyte and Langst 2013, Hota and Bruneau 2016). (B) Summary of the ways of actions of chromatin remodelers. The core ATPase subunits are depicted in pink; additional subunits in different complexes are depicted in green, brown and blue, respectively. Image is adapted from (Clapier, Iwasa et al. 2017, Sokpor, Castro-Hernandez et al. 2018). In addition, to avoid of confusion, "CHD" represents "chromo domain helicase DNA-binding" only in 1.4.2.1 part, whereas in the other parts of this thesis, "CHD" refers to "congenital heart disease".

### 1. The ISWI subfamily remodeling complex

The ISWI subfamily of remodeling complexes contains one catalytic subunit and one or more accessory subunits. In mammals, the core ATPase subunit of this complex is SNF2H or SNF2L. They are functionally distinct and are found in different complexes, as distinguished by their accessory subunits. SNF2L is present in the NURF (nucleosome remodeling factor) and CERF (CECR2-containing remodeling factor) complexes, whereas SNF2H is present in the NoRC (nucleolar remodeling complex), WICH (WSTF ISWI chromatin remodeling complex), ACF (chromatin-assembly factor), RSF (remodeling and spacing factor) and CHRAC (chromatin accessibility complex) complexes (Hota and Bruneau 2016, Sokpor, Castro-Hernandez et al. 2018). NURF complexes and NoRC are involved in transcriptional activation and repression. By contrast, ACF, CHRAC and WICH complexes are required for the regulation of chromatin structure (including nucleosome assembly and spacing), the replication of DNA through heterochromatin and the segregation of chromosomes (Dirscherl and Krebs 2004, Ho and Crabtree 2010).

### 2. The CHD subfamily remodeling complex

The CHD subfamily of chromatin remodeling complexes contains core ATPase subunits of CHD proteins, which comprises nine chromo domain containing members (Figure 12). These CHD proteins are subdivided into 3 groups according to their structural properties. Group I of CHD proteins contain CHD1 and CHD2. They can act as monomeric units to directly regulate chromatin and transcription (Sokpor, Castro-Hernandez et al. 2018). CHD3 and CHD4 are members of Group II of CHD proteins. They are core catalytic components (ATPases) of the nucleosome remodeling deacetylase NuRD complex, which contain histone deacetylases (HDACs) and function as transcriptional repressors (Ho and Crabtree 2010). Group III CHD proteins contain CHD5-9, in which CHD7 is the most extensively studied one. Mutations in *CHD7* result in CHARGE syndrome in humans, which is characterized by coloboma of the eyes, heart defects, choanal atresia, severe retardation of growth and development, and genital and ear abnormalities (Vissers, van Ravenswaaij et al. 2004).

### 3. The INO80 remodeling complex

The INO80/SWR complexes are characterized by the presence of a conserved split ATPase domain (Figure 12). Similar with the other remodeling complexes, they also contain core

ATPase subunits and some associated subunits. In mammals, the core catalytic subunits include INO80, P400 and SRCAP (homologues of yeast SWR1). They work together with other subunits to play a role in different biological processes, such as transcriptional regulation and DNA damage repair (Ho and Crabtree 2010).

### 4. The SWI/SNF remodeling complex

The SWI/SNF subfamily is one of the most-studied subfamilies of chromatin remodeling complexes. It is conserved from yeast to mammals. In mammals, SWI/SNF complexes are known as brahma-associated factor (BAF) complexes. The ATPase subunit of BAF complex is encoded by two homologs, BRM (Brahma) and BRG1 (brahma-related gene 1), and only one of them is present in each complex, which gives rise to a diversity of stable assemblies that differ between cell types and that have distinct functions (Wang, Xue et al. 1996, Lessard, Wu et al. 2007). BRG1 and BRM are associated with different promoters during cellular proliferation and differentiation, and in response to specific signaling pathways by preferential interaction with certain classes of transcription factors. They may direct distinct cellular processes by recruitment to specific promoters through protein-protein interactions that are unique to each ATPase (Kadam and Emerson 2003).

In addition to the core ATPase unit BRG1/BRM, BAF complex contains a lot of associated subunits. The BAF complex composition undergoes progressive changes during the process of development and in different cell types (Wang, Xue et al. 1996, Lessard, Wu et al. 2007) (Figure 13). For example, the BAF complex in mouse embryonic stem cell (ESC), called esBAF, is defined by the presence of BRG1 but not BRM, and BAF155 but not BAF170 (Ho, Ronan et al. 2009). It is essential for ESC self-renewal and pluripotency, and is a critical component and regulator of the core transcriptional network of ES cells (Ho, Jothi et al. 2009, Ho, Ronan et al. 2009). When ESCs differentiate into neuronal progenitors, the esBAF complex undergoes several subunit exchanges: it incorporates BRM and excludes BAF60B, which is now called npBAF, to differentiate it from the BAF complexes in other cell types. As neural progenitors exit the cell cycle, the BAF45a/53a subunits, which are necessary and sufficient for neural progenitor proliferation, are replaced by the homologous BAF45b, BAF45c, and BAF53b. Preventing the subunit switch impairs neuronal differentiation, indicating that this

molecular event is essential for the transition from neural stem/progenitors to postmitotic neurons (Lessard, Wu et al. 2007).

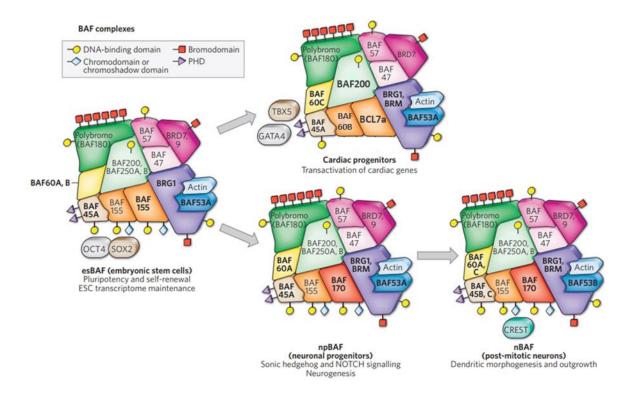


Figure 13 Tissue/cell-type-specific assemblies of BAF complexes (adapted from (Ho and Crabtree 2010))

Schematic diagram shows the tissue/cell-type specific subunits of BAF complexes. All of the subunits form the whole complex by occupying specific positions, as in a jigsaw puzzle. The domains that enable the subunits to interact with DNA are depicted at the surface of each protein. The BAF complex in embryonic stem cells (ESCs) is called esBAF; in neuronal progenitors, npBAF; and in neurons, nBAF. In cardiac progenitors, the composition of the BAF complex is also distinct and recently is characterized by proteomic analysis (Hota, Johnson et al. 2019), unlike the other BAF complexes shown. In some cases, key transcription factors that work in cooperation with BAF complexes (such as OCT4 and SOX2 in ESCs, CREST in neurons and GATA4 and TBX5 in cardiac progenitors) are depicted.

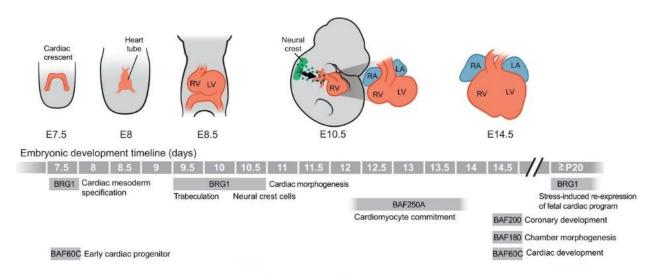
Recently, using immunoprecipitation with mass spectrometry, Hota et al. determined the dynamic composition of BAF complexes during mammalian cardiac differentiation, identifying several cell-type specific subunits. For example, ESC-derived BRG1 complexes were enriched for BRD9, GLTSCR1L, BCL7B/C, BAF155 and BAF60A, consistent with previous reports (Kidder, Palmer et al. 2009, Ho and Crabtree 2010, Kadoch and Crabtree 2013), while BRG1 partners in mesoderm (PDE4D, CRABP2, ARID1B), cardiac progenitor cells (BAF60B/C, POLYBROMO-1, BAF200, BAF47, BCL7A, BRD7, and BAF45A) and cardiomyocytes stages (BAF170, BAF60C, BAF57, SS18I1, BAF45C, WDR5, and CC2D1B) were also identified (Hota,

Johnson et al. 2019). There is a fine balance in the composition of the BAF complex, and perturbation of one subunit extends to the association of other subunits in the complex, and different subunits or isoforms substitute for the absence of one or more subunits, for example, both BAF60A and BAF60B substitute for the lack of BAF60C, and depletion of BAF45C is balanced by enrichment of BAF45A, which indicating that subunit switching and substitution in BAF complex composition could be an important mechanism in cardiac lineage specification (Hota, Johnson et al. 2019).

Tissue-specific BAF complexes have been reported to interact with a variety of transcription factors in different cell types, allowing the complexes to take on context-dependent functions arising from their different interaction partners (Ho and Crabtree 2010). For example, ectopic expression of Baf60c but not Baf60a, in coordination with the transcription factors Gata4 and Tbx5, is sufficient to induce the development of beating cardiomyocytes from non-cardiogenic mesoderm in the developing embryos (Takeuchi and Bruneau 2009). BAF complexes can function as both transcriptional activators and repressors and can even switch between these two modes of action at the same gene (Chi, Wan et al. 2003, Ho and Crabtree 2010).

### 1.4.2.2 Roles of BAF complex in cardiac development and diseases

BAF complex components are essential for multiple stages of cell differentiation during heart development, for heart formation and postnatal heart function (Figure 14) (Hota and Bruneau 2016). Among all the subunits in BAF complex, BRG1 and BAF60C, in particular, have crucial roles in multiple transcriptional regulatory pathways.



## Figure 14 Roles of BAF chromatin remodeling complex in heart development (adapted from (Hota and Bruneau 2016))

Stages of mammalian heart development are pictured, with mouse embryonic day (E) indicated below. Key demonstrated roles for specific chromatin-remodeling factors are positioned at relevant stages below the developmental timeline. LA, left atrium; LV, left ventricle; RA, right atrium; RV, right ventricle.

Brg1, also known as Smarca4, is ubiquitously expressed in the developing mouse embryos from unfertilized egg, two-cell, four-cell, morula, and blastocyst stages (Bultman, Gebuhr et al. 2000, Singh, Foley et al. 2017). Brg1 is highly expressed in mouse embryos and is downregulated in adult cardiomyocytes (Chang 2012). Brg1 homozygous (Brg1<sup>-/-</sup>) mouse die during the peri-implantation stage of development (Bultman, Gebuhr et al. 2000). In contrast, heterozygous loss of Brg1 in mouse results in dilated and disorganized ventricles, ventricular septal defects (VSDs) and a double outlet right ventricle, anomalies that resemble human congenital heart defects, indicating that Brg1 is haploinsufficient in mouse heart (Takeuchi, Lou et al. 2011).

In the developing mouse heart, Brg1 is critical for cardiac morphogenesis and regulation of cardiac gene expression programs. During cardiac differentiation, Brg1 is essential for modulating active and repressive chromatin states during mesoderm lineage commitment, in particular the activation of developmentally important enhancers (Alexander, Hota et al. 2015). Brg1 is also a crucial regulator of cardiomyocyte gene expression and differentiation, and its dosage is critical during mouse and zebrafish heart development (Takeuchi, Lou et al. 2011, Hota, Johnson et al. 2019). In mouse embryos, Brg1 represses the expression of Adamts1 in the endocardium and endocardial specific loss of Brg1 leads to trabeculation defects (Stankunas, Hang et al. 2008). Moreover, Brg1 deletion in the myocardium leads to thin compact myocardium and fails to form an interventricular septum (Hang, Yang et al. 2010). It was further shown that Brg1 promotes myocardial cell proliferation by maintaining Bmp10 and suppressing  $p57^{kip2}$  expression in mouse embryos (Hang, Yang et al. 2010). In addition, Brg1 is required for the formation and differentiation of neural crest cells (NCCs), which are essential for the formation of pharyngeal arch arteries and the cardiac outflow tract. Brg1 maintains a NCC progenitor pool by promoting proliferation and inhibiting apoptosis, facilitates NCC maturation to vascular smooth muscle cells and regulates neural crest cell migration to the outflow tract (Wei Li, Yiqin Xiong et al. 2012).

Baf60c, also called Smarcd3, is a subunit of BAF complex. *Baf60c* is initially restricted to the developing heart, as early as E7.5. In the looping heart tube (E8.5-E9.0), *Baf60c* expression is more pronounced at the poles of the heart, which will give rise to the outflow tract anteriorly and to the atria posteriorly. *Baf60c* is also expressed in myotome of somites, dorsal neural tube, and limb bud mesenchyme from E9.5 onwards (Lickert, Takeuchi et al. 2004). *Baf60c* is highly expressed in the heart at the early stages of embryonic development but gradually downregulated at the postnatal stages (Nakamura, Koshiba-Takeuchi et al. 2016). Mouse embryos with reduced levels of *Baf60c* showed defective cardiac differentiation and severe heart defects including outflow tract defects, impaired trabecular formation and hypoplastic atrium and right ventricle (Lickert, Takeuchi et al. 2004). Conditional deletion of *Baf60c* in cardiomyocytes resulted in postnatal dilated cardiomyopathy with impaired contractile function (Sun, Hota et al. 2017).

BAF complexes orchestrate many aspects of heart development, and genetically interact with cardiac transcription factors to finely modulate cardiac gene expression (Hang, Yang et al. 2010, Takeuchi, Lou et al. 2011). Congenital heart defects in humans can result from haploinsufficiency of transcription factors such as TBX5, NKX2.5, and GATA4 (Bruneau 2008). Brg1 interacts with these factors to regulate cardiac gene expression and Baf60c can enhance interactions between transcription factors and Brg1, at least when overexpressed in cell culture, suggesting that Baf60c may function as a bridge between the BAF complex and select cardiac transcription factors (Lickert, Takeuchi et al. 2004, Wang 2012). Genetically, Brg1 interacts with cardiac transcription factors in a dose-dependent manner to regulate heart development in mice and disrupting the balance between Brg1 and disease-causing cardiac transcription factors, including Tbx5, Tbx20 and Nkx2.5, causes severe cardiac anomalies (Takeuchi, Lou et al. 2011). Baf60c, together with the cardiac transcription factors Tbx5, Nkx2.5 and Gata4, can induce non-cardiac mesoderm to differentiate into cardiomyocytes, suggesting that Baf60c may have a central function in the specification of cardiac fate in addition to its later role in cardiac morphogenesis (Takeuchi and Bruneau 2009, Wang 2012).

Targeting subunits and domains of chromatin remodelers is currently being evaluated as a therapeutic strategy in the prevention and treatment of human diseases. Chromatin remodelers harbor epigenetic reader domains that may serve as novel drug targets (Längst

and Manelyte 2015). JQ1 molecule, inhibiting BRD4 protein through its bromo domain, was the first discovered inhibitor, which is already in clinical trials (Delmore, Issa et al. 2011, Längst and Manelyte 2015). Brm and Brg1 bromo domains are also potentially drugable with specific Pfi-3 inhibitor (http://www.thesgc.org) (Längst and Manelyte 2015). Moreover, HDACs and PARP1, which interact with Brg1, are therapeutic targets for cardiac hypertrophy, as pharmacological inhibition of their activities or genetic mutations of class I HDACs and PARP1 in mice reduce hypertrophy. Upregulation of Brg1 is observed in heart tissues obtained from patients with hypertrophic cardiomyopathy, and the level of Brg1 upregulation correlates strongly with the severity of hypertrophy and MHC switch (Hang, Yang et al. 2010). Deletion of Brg1 in adult hearts which prevents stress-induced Brg1 reactivation, reduces cardiac hypertrophy, abolishes cardiac fibrosis, and reverses the pathological MHC switch (Chang 2012), indicating that Brg1-based BAF complex may act as a target for treating cardiac hypertrophy and failure.

## 2. Objectives

The work on Isl1 described in the introduction has demonstrated that Isl1 is crucial for early heart development. However, still many questions remain. In this study, we want to address the following questions regarding the role of Isl1 in second heart field (SHF) development:

# 1. What is the genome wide binding profile of Isl1 during cardiogenesis? What are Isl1 primary downstream targets in a genome-wide view during SHF development?

To elucidate the role of Isl1 in greater details during SHF development, we firstly want to investigate the downstream targets of Isl1. To achieve this aim, we selected the mESC-based *in vitro* cardiac differentiation approach and *Isl1* knockout mouse as model systems. mESC-based *in vitro* cardiac differentiation strategy offers a powerful tool to generate large amount of cardiac progenitor cells with high homogeneity and makes it easier to observe cardiac differentiation at different time points. Moreover, *Isl1* knockout mouse lines help us to explore the regulatory network of Isl1 *in vivo*. Furthermore, ChIP-Seq can help us to identify the genome wide binding profile of Isl1 during cardiogenesis. In combination of RNA-Seq and ChIP-Seq techniques, we hope to identify Isl1 directly regulated downstream targets during cardiogenesis in a genome-wide view.

### 2. What is the role of Isl1 in epigenetic regulation during SHF development?

After identifying the transcriptional regulatory network of Isl1, our next aim is to investigate how these genes are regulated? Transcriptional regulation is associated with chromatin landscape. Pioneer transcription factors are capable of reorganizing the chromatin state and play critical roles in cell fate control during embryonic development (Zaret and Mango 2016). As a transcription factor, we hypothesize that Isl1 could function as a pioneer factor in regulating SHF development. To investigate whether Isl1 acts as a pioneer factor and the role of Isl1 in epigenetic regulation during SHF development, we plan to use biochemical and molecular approaches in this study. Co-immunoprecipitations can help to identify Isl1 working partners in this process. ATAC-Seq combined with ChIP-Seq analysis in both mESC-derived CPCs and embryonic tissues should be possible to clarify the role of Isl1 in organizing chromatin landscape at its target sites.

Altogether, we hypothesize that Isl1 could work as a pioneer factor to reshape the chromatin landscape in regulating a transcriptional gene network during SHF development.

### 3. Results

# 3.1 Isl1 regulates cardiogenesis in CPCs by directly targeting a large group of downstream targets

### 3.1.1 Generation of IsI1 KO ESC line

To investigate the role of Isl1 during cardiogenesis using the cell culture system, we generated a mouse embryonic stem cell (mESC) line with *Isl1* deletion using CRISPR-Cas9 technique. Gene editing was done in Nkx2.5-GFP mESC line (Wu, Fujiwara et al. 2006, Hsiao, Yoshinaga et al. 2008) which expresses GFP specifically in cardiac progenitor cells and later in cardiomyocytes, driven by a cardiac-specific enhancer together with a Nkx2.5 base promoter (Figure 15A-B). This allows us to check cardiac differentiation efficiency and sort cardiac progenitor cells and cardiomyocytes by flow cytometry. Exons 1-4 of *Isl1* were deleted, covering the LIM1, LIM2 and Homeodomain (HD) of ISL1 protein. The knockout (KO) efficiency was checked by Western blot which showed Isl1 is efficiently deleted (Figure 15C).

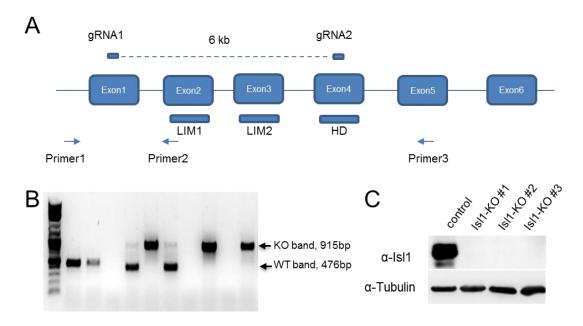


Figure 15 Generating Isl1 KO ESC lines by CRISPR-Cas9 technique

(A) Strategy for generating Isl1 KO ESC lines by CRISPR-Cas9 technique. Exons 1-4 were deleted by guide1 and guide2 and primers 1-3 were used for genotyping. (B) Genotyping of Isl1 KO lines by PCR. KO band is 915bp amplified by primers1 and 3 and WT band is 476bp amplified by primers1 and 2. (C) Western Blot to check Isl1 knockout efficiency in CPCs.  $\alpha$ -Tubulin was used as loading control.

### 3.1.2 Establishing in vitro mESC-based cardiac differentiation protocol

Embryonic stem cells (ESCs) are pluripotent which can undergo differentiation in vitro to generate derivatives of the three primary germ layers and hence potentially all the cell types present in the body. In most cases, the *in vitro* differentiation recapitulates the stepwise stages of embryological development for the cell types of interest. To explore the role of Isl1 during cardiac differentiation, we used a directed differentiation method of mESCs into cardiomyocytes in which the mesoderm (MES), cardiac progenitor cells (CPCs), and cardiomyocytes (CMs) were sequentially induced (Figure 16A) (Kattman, Witty et al. 2011, Wamstad, Alexander et al. 2012) as a model system. In this protocol, ESCs were aggregated in petri dishes and cultured for 2 days in the absence of exogenous factors (days 0-2), subsequently treated with Activin A, BMP4, and VEGF for 40 hours to induce mesoderm under serum-free conditions (days 2-3.7), and then dissociated to a monolayer culture to differentiate into CPCs and CMs (days 3.7-10) under the induction of VEGF, bFGF and FGF10 (Figure 16A). This directed cardiac differentiation protocol efficiently specified ESCs into mesoderm, CPCs and CMs at specific stages. By this system we can get roughly 75% cardiac progenitors at day5 (GFP positive cells; Figure 16B-C) and cardiomyocytes at day10 (Wamstad, Alexander et al. 2012).

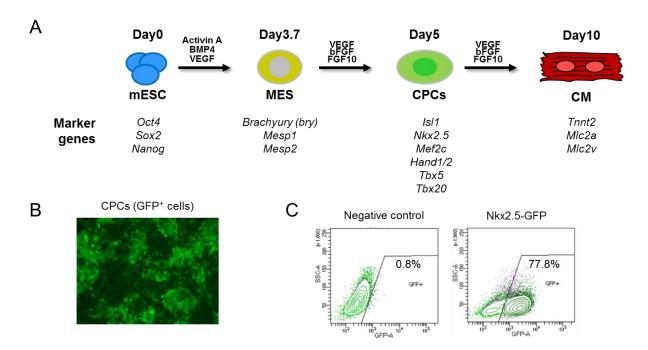


Figure 16 Characterization of directed cardiac differentiation of mouse ESCs

(A) Schematic representation of the differentiation process and timeline of directed CM differentiation protocol and stage collection. (B) Fluorescent images of day5 cultures for Nkx2.5-GFP reporter line. (C) Flow cytometry analysis of GFP positive cells in negative control (E14 line) and

Nkx2.5-GFP lines (GFP transgenic line with E14 background) at day5. mESCs: mouse embryonic stem cells; MES: mesoderm cells; CPCs: cardiac progenitor cells; CM: cardiomyocytes.

Based on marker gene expression analysis (Figure 17A-D), we picked four stages of differentiation that represent key cell types in the transition from pluripotent cells to cardiomyocytes: undifferentiated embryonic stem cells (ESCs) expressing pluripotency genes (*Oct4*, *Sox2* and *Nanog*), cells expressing mesodermal markers (*Brachyury*, *Mesp1* and *Mesp2*) (MES), cells expressing cardiac transcription factors (*Nkx2.5*, *Isl1*, *Mef2c*, *Hand1/2* and *Tbx5/20*) but not yet beating (CPCs), and functional cardiomyocytes (CMs) with CM-specific gene expression (*Tnnt2*, *Mlc2a* and *Mlc2v*).

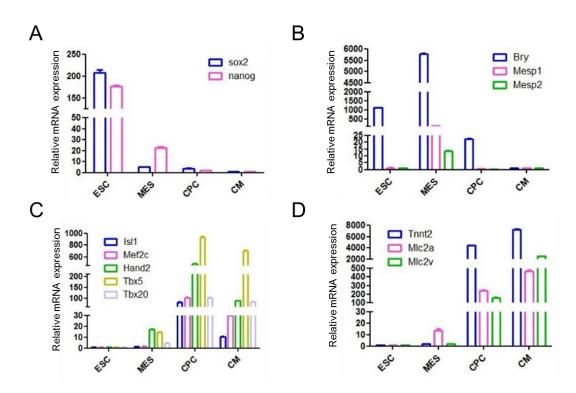


Figure 17 Marker gene expression analyses at different stages of cardiac differentiation

Marker genes expression measured by qPCR throughout directed cardiac differentiation protocol: (A) ESC markers; (B) MES markers; (C) CPC markers; and (D) CM marker genes.

### 3.1.3 IsI1 KO ESC lines can differentiate into CPCs

To check whether our *Isl1* KO (*Isl1*<sup>-/-</sup>) lines generated with CRISPR-Cas9 technique can differentiate properly, we performed the directed cardiac differentiation and harvested cells at cardiac progenitor stage for fluorescence-activated cell sorting (FACS) and qPCR analysis (Figure 18). We can get around 60% GFP positive cells at day5 and *Isl1* depletion did not

change the number of CPCs (Figure 18B). As expected, loss of *Isl1* led to downregulation of known Isl1 target genes (Figure 18C). These results suggest that our *Isl1* KO ESC lines are successfully generated and they can differentiate into cardiac progenitors by *in vitro* cardiac differentiation.

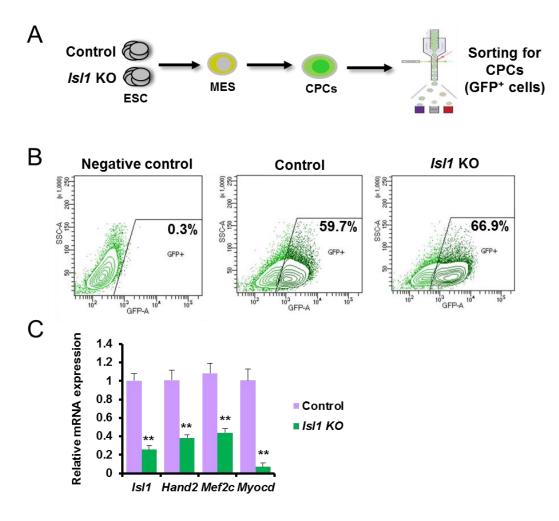


Figure 18 Analysis of CPCs differentiated from control and Isl1 KO lines

(A) Schematic diagram shows cardiac differentiation of control and *Isl1* KO lines and FACS analysis at cardiac progenitor stage. (B) FACS results of CPCs from control and *Isl1* KO lines. CPCs from E14 line are used as negative control. (C) qPCR to check some Isl1 known targets and some CPC marker genes. Data represent mean±SEM, n=3.

# 3.1.4 *Isl1* loss-of-function results in downregulation of a large number of genes critical for cardiogenesis in CPCs

To identify downstream targets of Isl1 in CPCs, we performed RNA-Seq of sorted Nkx2.5-GFP<sup>+</sup> CPCs derived by differentiation of control or *Isl1*<sup>-/-</sup> Nkx2.5-GFP mESCs (Figure 19). We observed strong correlation between replicates for control and *Isl1* KO samples,

demonstrating high reproducibility across experiments (Figure 19A). From the results, we identified 1014 differentially expressed genes (fold change > 1.5; log2 fold change < -0.58, > 0.58; p value < 0.05) (Figure 19B). GO analysis of genes downregulated in the *Isl1* KO CPCs revealed over-representation of GO terms linked to heart development, cardiac muscle contraction, sarcomere organization and outflow tract morphogenesis while upregulated genes are highly associated with regulation of transcription and multicellular organism development (Figure 19C).

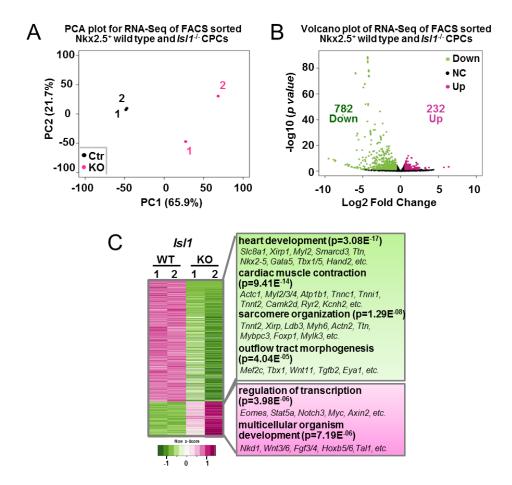


Figure 19 RNA-Seq data analysis in control and Isl1 KO CPCs

(A) Principal component analysis (PCA) of RNA-Seq data from control and *Isl1* KO CPCs (n=2), providing insights into the association between samples. (B) Volcano plot of RNA-Seq data from control and *Isl1* KO CPCs (n=2). Each point represents an individual gene and all genes differentially expressed with fold change >1.5; log2 fold change < -0.58 and p value < 0.05 are highlighted in green (downregulated genes) and red (upregulated genes). (C) Heatmap of differentially regulated genes (782 downregulated and 232 upregulated genes with fold change > 1.5; log2 fold change < -0.58, > 0.58 and p value < 0.05) in CPCs caused by *Isl1* KO (n=2). Representative genes and enriched GO terms are presented on the right side.

### 3.1.5 Isl1 directly regulates a large amount of genes in CPCs

To investigate whether Isl1 directly regulates these target genes, we harvested cardiac progenitor cells derived from mESCs through cardiac differentiation and performed chromatin immunoprecipitation followed by high-throughput sequencing (ChIP-Seq) to map the genome-wide binding of Isl1 in CPCs. ChIP-Seq analysis identified a lot of target genes directly regulated by Isl1 (Figure 20). Some are its known targets, such as *Mef2c*, *Hand2*, *Myocd*, as we expected. Interestingly, there are also a lot of new targets which have not been reported, such as signaling molecules *Jag1* and *Wnt2*, cardiac transcription factor *Gata4*, and cardiomyocytes structural/contraction associated gene *Mybpc3*, *Tnni1*, *Ryr2* (Figure 20).

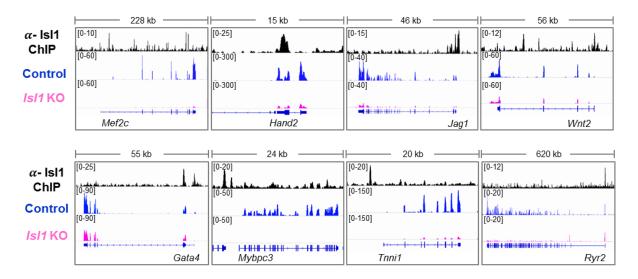


Figure 20 Genome tracks of some representative Isl1 targets in CPCs

Screenshots of RNA-Seq and ChIP-Seq data in CPCs showing genome tracks of some representative Isl1 target genes.

Intersection of the RNA-Seq data to the ChIP-Seq data revealed more than 60% downregulated genes that were bound by Isl1 in CPCs and 56% upregulated genes with Isl1 occupancy (Figure 21A and 21B). GO analysis of genes downregulated in the *Isl1* KO CPCs that were bound by Isl1 revealed over-representation of GO terms linked to positive regulation of transcription and heart development while hemopoiesis and embryonic hindlimb morphogenesis for the common upregulated genes (Figure 21C and 21D).

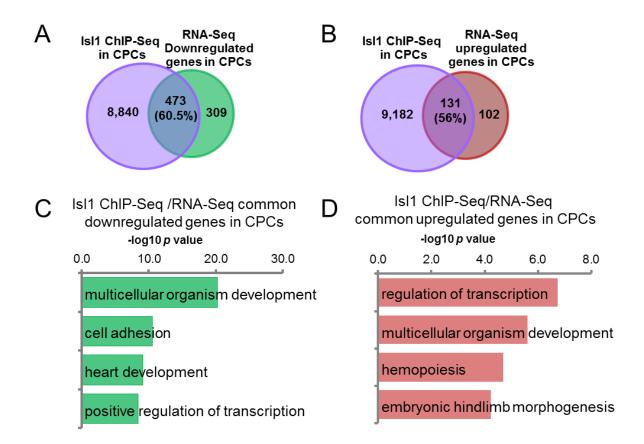


Figure 21 Isl1 regulates a large group of genes associating with cardiogenesis in CPCs

(A-B) Common genes of Isl1 bound genes and downregulated (A) or upregulated genes (B) caused by *Isl1* loss-of-function in CPCs respectively. (C-D) Representative GO items for downregulated genes (C) and upregulated genes (D) with Isl1 binding in CPCs analyzed using DAVID 6.8.

# 3.2 Isl1 is essential in regulating second heart field development in mouse embryos

# 3.2.1 *Isl1* knockout leads to significant gene expression changes at early stage of mouse embryonic development

To gain insight into the molecular mechanisms underlying Isl1 function in cardiogenesis *in vivo*, we performed RNA-Seq (Figure 22) from dissected pharyngeal mesoderm/hearts of E8.5 *Isl1* knockout embryos at which stage the *Isl1*<sup>+</sup> cells are restricted in the cardiogenic region (Figure 8).

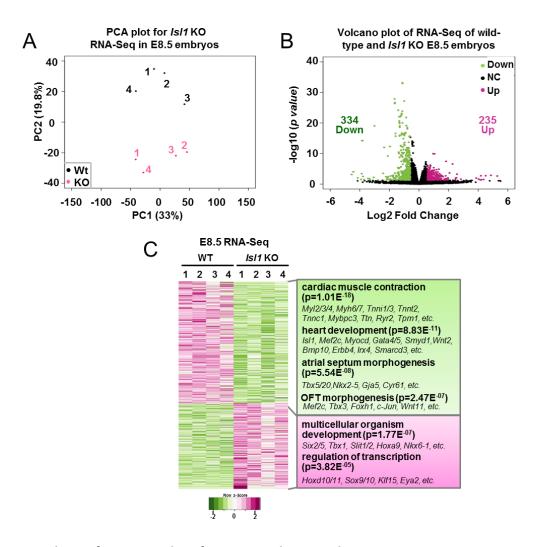


Figure 22 Analysis of RNA-Seq data from E8.5 Isl1 KO embryos

(A) PCA plot of RNA-Seq data from E8.5 Isl1 KO and control embryos (n=4). (B) Volcano plot of RNA-Seq data from E8.5 Isl1 KO embryos versus control (n=4). Each point represents an individual gene and all genes differentially expressed with fold change > 1.5; log2 fold change < -0.58 and p value < 0.05 are highlighted in green (downregulated genes) and red (upregulated genes). (C) Heatmap of differentially regulated genes (334 downregulated and 235 upregulated genes with fold change >1.5; log2 fold change < -0.58, > 0.58 and p value < 0.05) in the cardiogenic region of Isl1 KO E8.5 embryos versus control (n=4). Representative genes and enriched GO terms are presented on the right side.

The RNA-Seq results showed four replicates of control and four *Isl1* KO samples clustered together very well and showed consistent results (Figure 22A). From the RNA-Seq data, we identified 569 differentially expressed genes in E8.5 *Isl1* knockout embryos (fold change > 1.5; log2 fold change < -0.58, > 0.58; p value < 0.05) (Figure 22B). Gene Ontology (GO) analysis revealed over-representation for GO terms linked to cardiac muscle contraction, heart development, atrial septum and OFT morphogenesis in genes downregulated in E8.5 *Isl1* knockout embryos, whereas genes involved in multicellular organism development and

transcription regulation were overrepresented in upregulated genes (Figure 22C). This is consistent with our results from *Isl1* KO mESC-derived cardiac progenitor cells.

# 3.2.2 Reduced level of *Isl1* results in cardiac defects and deregulation of target genes in E10.5 *Isl1* hypomorphic embryos

The critical role of Isl1 in heart development has been demonstrated using an *Isl1* KO mouse line. *Isl1* KO embryos show early embryonic lethality (at around E10.5) (Cai, Liang et al. 2003, Yang, Cai et al. 2006). This severe phenotype makes it impossible to analyze the role of Isl1 in heart development at later stages during development. For this purpose, we utilized an *Isl1* hypomorphic mouse line (Liang, Song et al. 2011) which survives until birth.

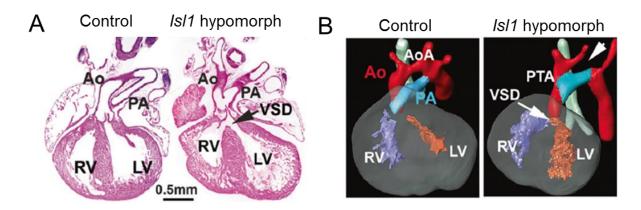


Figure 23 Reduced Isl1 expression leads to defects in cardiac morphogenesis

*Isl1* hypomorphic mice presented various degrees of cardiac outflow tract (OFT) defects with ASDs and VSDs. Here only representative images are shown (Thanks for our collaborators to provide this figure). **(A)** Histological analysis of *Isl1* hypomorphic hearts at E17.5 showing ventricular septal defect (VSD, arrow) and PTA compared to control hearts. **(B)** 3D reconstruction of control and *Isl1* hypomorphic hearts showing PTA and VSD. Ao: Aorta; AoA: aortic arch; PA: pulmonary artery; LV: left ventricle; RV: right ventricle; VSD: ventricular septal defect; PTA: persistent truncus arteriosus.

Histological analyses of *Isl1* hypomorphic embryos at E17.5 revealed various degrees of cardiac outflow tract (OFT) septation abnormalities, including partial OFT septal defects with aortic stenosis and misalignment and persistent truncus arteriosus (PTA). Nearly all *Isl1* hypomorphic mice presented ventricular septal defects (VSDs) and atrial septal defects (ASDs) (Figure 23A). MRI and 3D reconstructions confirmed the presence of various cardiac outflow tract (OFT) abnormalities, VSDs and ASDs (Figure 23B). To conclude, reduced level of *Isl1* in *Isl1* hypomorphic mice led to cardiac defects as seen in human CHD patients,

suggesting Isl1 is also crucial for heart development at the later stages of embryonic development.

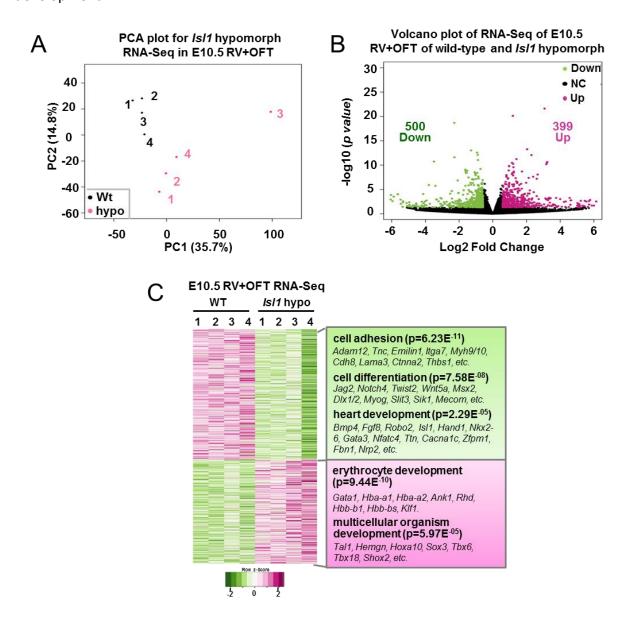


Figure 24 Analysis of RNA-Seq data from E10.5 Isl1 hypomorphic embryos

(A) PCA plot of RNA-Seq data from E10.5 *Isl1* hypomorphic and control embryos (n=4). (B) Volcano plot of RNA-Seq data from E10.5 *Isl1* hypomorphic embryos versus control (n=4). Each point represents an individual gene and all genes differentially expressed with fold change > 1.5; log2 fold change < -0.58 and p value < 0.05 are highlighted in green (downregulated genes) and red (upregulated genes). (C) Heatmap of differentially regulated genes (500 downregulated and 399 upregulated genes with fold change > 1.5; log2 fold change < -0.58, > 0.58 and p value < 0.05) in the SHF of E10.5 embryos caused by reduced *Isl1* expression (n=4). Representative genes and enriched GO terms are presented on the right side.

To explore the molecular mechanisms responsible for the cardiac defects observed at later stages of heart development in *Isl1* hypomorphic embryos, we isolated the OFT and RV of

E10.5 *Isl1* hypomorphic embryos, structures derived from the *Isl1*<sup>+</sup> SHF CPCs, still expressing Isl1, and when the phenotype is not so severe yet, to avoid secondary effect on gene expression changes. We performed RNA-Seq with 4 replicates for control and *Isl1* hypomorphic embryos, respectively. The RNA-Seq data showed consistent results of all the replicates (Figure 24A). From the results, we identified 899 deregulated genes caused by reduced level of *Isl1* (fold change > 1.5; log2 fold change < -0.58, > 0.58; p value < 0.05) (Figure 24B). In addition to many transcriptional regulators of cardiac morphogenesis, we found significant overrepresentation of genes involved in cell adhesion and differentiation that have been shown to play key role in cardiac looping morphogenesis, cushion formation, neural crest addition, ventricular and OFT septation in the downregulated genes (Figure 24C). Interestingly, we identified genes involved in erythroid development and hemogenic lineage specification in genes upregulated upon *Isl1* loss (Figure 24C). Given the antagonistic relationship between hemangiogenic and cardiogenic mesoderm specification (Van Handel, Montel-Hagen et al. 2012), this might suggest that Isl1 is important for establishing cardiac fate and prevents the acquisition of hemogenic fate.

### 3.2.3 ChIP-Seq analysis identified IsI1 primary downstream targets in vivo

To investigate whether Isl1 directly regulates these downstream targets *in vivo*, we performed chromatin immunoprecipitation followed by high-throughput sequencing (ChIP-Seq) to map the genome-wide binding of Isl1 in dissected cardiogenic regions of E8.25-E9.0 embryos. The ChIP-Seq data identified 12,968 Isl1 direct targets. Intersection of our RNA-Seq data from E8.5 *Isl1* KO embryos to this ChIP-Seq data revealed up to 60% downregulated genes that were bound by Isl1 in mouse cardiogenic region at E8.5 and more than 53% upregulated genes with Isl1 occupancy (Figure 25A and 25B). GO analysis of genes downregulated in the *Isl1* KO embryos that were bound by Isl1 revealed over-representation of GO terms linked to heart development, cardiac muscle contraction, atrial septum and OFT morphogenesis, while multicellular organism development and cellular response to hypoxia for the common upregulated genes (Figure 25C and 25D).

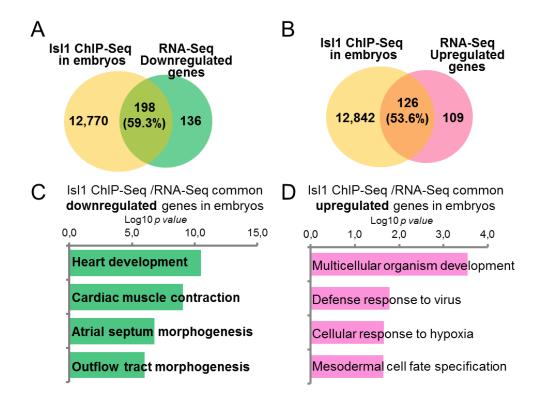


Figure 25 Intersection of RNA-Seq and Isl1 ChIP-Seq data in mouse embryos

(A-B) Common genes with Isl1 binding and downregulated (A) or upregulated (B) caused by *Isl1* loss-of-function in cardiogenic region of mouse embryos, respectively. (C-D) Representative GO items for downregulated genes (C) and upregulated genes (D) with Isl1 binding in mouse embryos analyzed using DAVID 6.8.

## 3.3 Isl1 orchestrates a regulatory network driving cardiogenesis

To get a better view of Isl1 regulatory network during cardogenesis, we compared our *in vivo* RNA-Seq and Isl1 ChIP-Seq datasets with the ones in mESC-derived CPCs (Figure 26). Analysis showed 71% of genes bound by Isl1 in ESC-derived CPCs were also bound in E8.25-E9.0 embryos. Importantly, 75% of genes deregulated in E8.5 *Isl1* knockout embryos were bound by Isl1, whereas 67% of genes deregulated in OFT and RV of E10.5 *Isl1* hypomorphic embryos were bound by Isl1 (Figure 26).

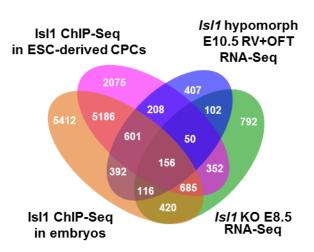


Figure 26 Venn diagram showing Isl1 targets from different datasets

Venn diagram representing the overlap of genes bound by Isl1 in E8.25-E9.0 embryos or ESC-derived CPCs (n=2) and differentially expressed in dissected pharyngeal mesoderm/hearts of E8.5 *Isl1* knockout embryos as well as OFT and RV of E10.5 *Isl1* hypomorphic embryos (p value < 0.05) compared to control embryos (n=4).

Gene network analysis uncovered distinct groups of Isl1 primary downstream targets (Figure 27), e.g. (i) transcription factors, such as *Mef2c* and *Myocd*, known Isl1 downstream targets (Dodou, Verzi et al. 2004, Kwon, Qian et al. 2009), and other key regulators of SHF development, such as *Tbx3*, *Tbx20*, *Eya1*, *Foxc1* (Vincent and Buckingham 2010); (ii) signaling molecules, such as *Fgf10* (Golzio, Havis et al. 2012, Watanabe, Zaffran et al. 2012), and other key components of the Wnt, BMP and FGF signaling pathways; (iii) cardiomyocyte structural genes and genes involved in cardiac contraction, such as *Myl2*, *Myl3*, *Ttn*, *Ryr2*, *Cacna1c*, *Tpm1*, *Tmod1* and *Mybpc3*. The observation that Isl1 binds to cardiomyocytes structural genes is consistent with the disrupted sarcomerogenesis in *Isl1* hypomorphic embryos, but is somewhat surprising because cardiac structural genes are only highly expressed when *Isl1* transcription is turned off.

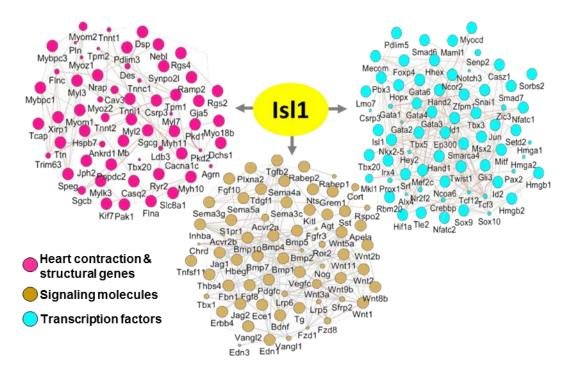


Figure 27 Isl1-regulatory gene network

Gene regulatory networks orchestrated by Isl1 in mouse embryos identified in heart development associated genes using GeneMANIA and Cytoscape.

GO analysis after intersection of the RNA-Seq data with ChIP-Seq data revealed overrepresentation of GO terms linked to heart development, cell adhesion and differentiation, atrial septum and outflow tract morphogenesis, as well as cardiac muscle fiber development and cardiac conduction (Figure 28A-C), consistent with the defects observed in *Isl1* hypomorphic embryos. Importantly, we observed enrichment of GO terms involved in response to hypoxia in E8.5 embryos (Figure 28A), consistent with the critical role of Isl1 in regulating SHF progenitor cell function in response of spatial differences in oxygenation during cardiogenesis (Yuan, Qi et al. 2017).

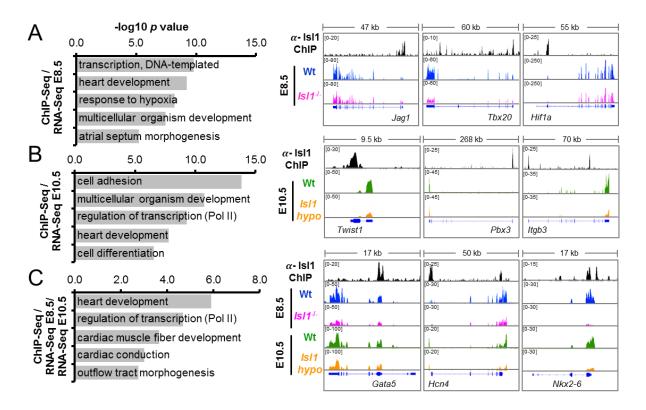


Figure 28 GO terms enriched in Isl1 target genes

(A-C) GO terms enriched in genes bound by Isl1 deregulated in E8.5 *Isl1* knockout embryos (A), in E10.5 OFT+RV of *Isl1* hypomorphic embryos (B), or in both E8.5 *Isl1* knockout embryos and E10.5 OFT+RV of *Isl1* hypomorphic embryos (C). Examples of genes regulated and bound by Isl1 in (A), (B), (C), showing genome tracks of Isl1 ChIP-Seq and RNA-Seq reads, are presented on the right side of the panel.

## 3.4 Baf60c is a key Isl1 downstream target

### 3.4.1 Baf60c is directly regulated by Isl1

We next want to clarify the subset of Isl1 primary downstream targets differentially regulated both *in vitro* and *in vivo*, so we further analyzed our RNA-Seq and ChIP-Seq datasets from both mESC-derived CPCs and the ones generated from embryonic tissues. We identified a group of genes directly regulated by Isl1 and deregulated in both systems (Figure 29A). Among them, in addition to known Isl1 targets, such as *Hand2* and *Mef2c* (Dodou, Verzi et al. 2004, Caputo, Witzel et al. 2015), we found many novel primary downstream targets with a role in cardiogenesis (Figure 29A). One of the targets, *Baf60c* (*Smarcd3*) (Figure 29A-B), a cardiac-specific component of the Brg1-based SWI/SNF chromatin remodeling complex, plays a crucial role in heart development (Lickert, Takeuchi et al. 2004, Sun, Hota et al. 2017, Hota, Johnson et al. 2019). *Baf60c* level was significantly decreased in

both *Isl1* KO mESC-derived CPCs and in embryos (Figure 29A), confirming Baf60c as a primary downstream target of Isl1. In addition, Baf60c downregulation is further validated by qPCR results in *Isl1* KO and control CPCs (Figure 29C).

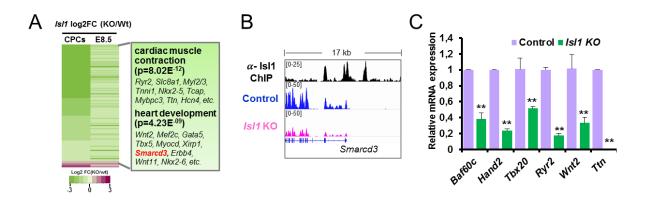


Figure 29 Baf60c is a key Isl1 downstream target

(A) Heatmap of genes bound by Isl1 and deregulated in both RNA-Seq analysis of dissected pharyngeal mesoderm/hearts of wild-type and E8.5 *Isl1* knockout embryos, and of control and *Isl1* KO Nkx2.5<sup>+</sup> CPCs (log2 fold change < -0.58, > 0.58, p value < 0.05). Representative genes and enriched GO terms are presented on the right side. (B) Genome tracks of Isl1 ChIP-Seq and RNA-Seq on *Baf60c* in control and *Isl1* knockout CPCs. (C) Relative mRNA expression of Isl1 direct targets in sorted CPCs of control and *Isl1* KO lines. Data are mean±SEM, n=3.

### 3.4.2 RNA-Seq analysis identified Baf60c regulated genes in CPCs

To investigate the role of Baf60c in cardiac progenitor cells, we decided to generate mESC lines with *Baf60c* knockdown (KD). To establish *Baf60c* KD stable mESC lines, we packaged Baf60c shRNA into lentivirus and infected WT mESC lines following with puromycin selection. To check the differentiation and *Baf60c* KD efficiency of these cell lines, we performed cardiac differentiation and collected the cells at day5 for sorting of GFP<sup>+</sup> CPCs by flow cytometry (Figure 30A). *Baf60c* is efficiently knocked down, as shown in qPCR results (Figure 30B). Knockdown of *Baf60c* did not affect the differentiation of mESCs to CPCs, as seen by FACS analysis for Nkx2.5-GFP<sup>+</sup> cells (Figure 30C).

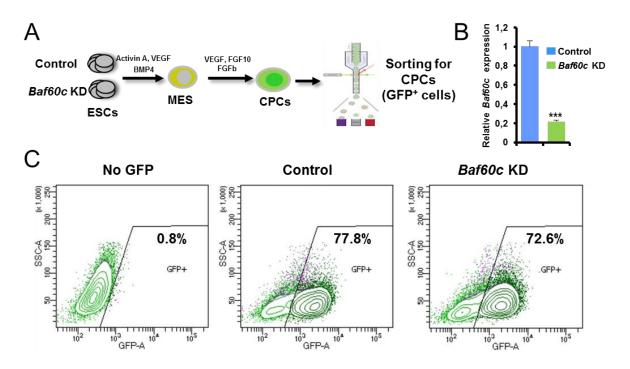


Figure 30 Analysis of CPCs differentiated from control and Baf60c KD mESC lines

(A) Scheme depicting directed cardiac differentiation and sample harvesting strategy. (B) qPCR for Baf60c to check the KD efficiency in sorted control and *Baf60c* KD CPCs. (C) Flow cytometry analysis of control and *Baf60c* KD CPCs.

To address whether Baf60c plays a role in mediating Isl1 function in cardiogenesis, we performed RNA-Seq of sorted Nkx2.5-GFP<sup>+</sup> CPCs derived by directed cardiac differentiation of control or *Baf60c* knockdown Nkx2.5-GFP mESCs (Figure 31). Principal component analysis (PCA) of the duplicates showed consistent results (Figure 31A). The RNA-Seq data identified 878 downregulated genes and 893 upregulated genes following Baf60c depletion (fold change >1.5; log2 fold change < -0.58, > 0.58 and p value < 0.05) (Figure 31B). GO analysis of downregulated genes showed that Baf60c activates genes involved in cardiac muscle contraction, sarcomere organization and ion transport, while repressing genes involved in multicellular organism development and anterior/posterior pattern specification (Figure 31C).

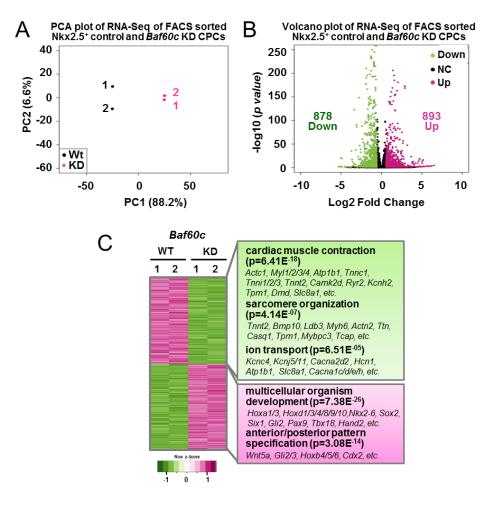


Figure 31 Analysis of RNA-Seq data in control and Baf60c KD CPCs

(A) PCA plot of RNA-Seq data from control and *Isl1* KO CPCs (n=2). (B) Volcano plot of RNA-Seq data from control and Baf60c KD CPCs (n=2). Each point represents an individual gene and all genes differentially expressed with fold change > 1.5; log2 fold change < -0.58 and p value < 0.05 are highlighted in green (downregulated genes) and red (upregulated genes). (C) Heatmap of differentially regulated genes (878 downregulated and 893 upregulated genes with fold change >1.5; log2 fold change < -0.58, > 0.58 and p value < 0.05) in CPCs caused by Baf60c KD (n=2). Representative genes and enriched GO terms are presented on the right side.

### 3.4.3 Isl1 and Baf60c share common targets

Next, to test whether Isl1 and Baf60c regulate common genes in CPCs, we compared the RNA-Seq data from controls, *Baf60c* KD CPCs and *Isl1*-/- CPCs. We found there are 432 common genes differentially expressed following *Isl1* or *Baf60c* depletion (Figure 32A, fold change > 1.5; log2 fold change < -0.58, > 0.58 and p value < 0.05). GO analysis of common deregulated genes showed that Isl1 and Baf60c activate genes involved in cardiac muscle contraction, sarcomere organization and heart development, while repressing genes involved in immune system process and cellular response to interferon-gamma (Figure 32B).

These results indicate that Baf60c may work in axis with Isl1 to promote chromatin reorganization at cardiomyocyte structural genes and cardiac contraction associated genes in CPCs.

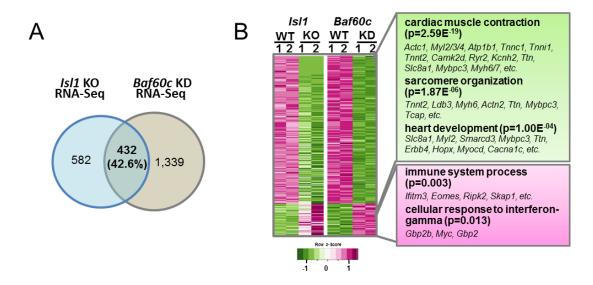


Figure 32 Isl1 and Baf60c share common target genes in CPCs

**(A)** Venn diagram showing overlap of differentially regulated genes in sorted *Isl1* knockout and *Baf60c* knockdown CPCs (fold change > 1.5; log2 fold change < -0.58, > 0.58 and p value < 0.05; n=2). **(B)** Heatmap of genes downregulated or upregulated in both *Isl1* knockout and *Baf60c* knockdown versus control CPCs (fold change > 1.5; log2 fold change < -0.58, > 0.58 and p value < 0.05; n=2). Representative genes and enriched GO terms are presented on the right side.

# 3.5 Isl1 works in concert with the Brg1-based SWI/SNF complex to regulate its target genes

#### 3.5.1 Isl1 interacts with Brg1 and Baf60c

As mentioned above, Baf60c is a cardiac-specific subunit of the Brg1-based SWI/SNF complex, which plays an important role in heart development (Lickert, Takeuchi et al. 2004). To analyze whether Isl1 may also work unitedly with the Brg1-based SWI/SNF complex, we first analyzed whether Isl1 and Brg1 interact with each other. Co-immunoprecipitation experiments in ESC-derived CPCs revealed that Isl1 binds to both Brg1 and Baf60c (Figure 33A). The binding of Isl1 to Brg1 was further validated in E8.25-E9.0 embryos (Figure 33B). The interaction of Isl1 and Brg1 in mESC-derived CPCs and in mouse embryos suggests Isl1 may work together with Brg1/Baf60c complex in regulating cardiogenesis.

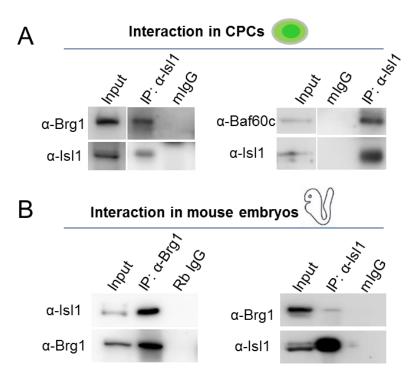


Figure 33 Isl1 interacts with Brg1/Baf60c complex

(A) Co-IP showing interaction between Isl1 and Brg1/Baf60c in mESC-derived CPCs. (B) Co-IP showing interaction between Isl1 and Brg1 in mouse embryos. 30 embryos at E8.25-E9.0 were used for each IP. And immunoprecipitation was done by both Brg1 and Isl1 antibodies.

### 3.5.2 The interaction of Isl1 with Brg1 does not depend on Baf60c

Baf60c was reported to mediate interactions between cardiac transcription factors such as Tbx5, Nkx2.5, Gata4 and the Brg1 complex to drive cardiac specific gene expression (Lickert, Takeuchi et al. 2004, Takeuchi and Bruneau 2009). To test whether Baf60c promotes the binding of Isl1 to the Brg1 complex, we performed co-immunoprecipitation in control and *Baf60* KD ESC-derived CPCs using Isl1 antibody, suggesting that, in contrast to Tbx5, Nkx2.5 and Gata4, Baf60c does not mediate the association of Isl1 with the Brg1 complex in CPCs (Figure 34A), and *vice versa* (Figure 34B). Taken together, these results suggested that Isl1 not only plays a role in regulating Baf60c but also works together with the Brg1-Baf60c complex during cardiogenesis.

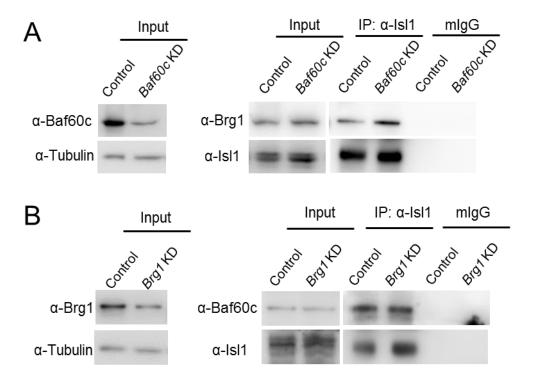


Figure 34 Baf60c doesn't mediate the interaction between Isl1 and Brg1 in CPCs and *vice* versa

(A) Co-immunoprecipitation with anti-Isl1 antibody and Western blot analysis for Brg1 in control or Baf60c KD CPCs, showing that the interaction between Isl1 and Brg1 does not depend on Baf60c. (B) Co-immunoprecipitation with anti-Isl1 antibody and Western blot analysis for Baf60c in control or Brg1 KD CPCs, showing that the interaction between Isl1 and Baf60c does not depend on Brg1.  $\alpha$ -Tubulin was used as loading control.

### 3.5.3 Co-occupancy of Isl1 and Brg1 in CPCs

To further investigate how Isl1 and Brg1 work together in regulating cardiogenesis, we compared the ChIP-Seq data for Brg1 (Hota, Johnson et al. 2019) and Isl1 in CPCs. Analysis showed high co-occupancy of Brg1 and Isl1 at Isl1 binding sites, especially near the transcription start sites (TSS) of target genes (Figure 35A-C), such as *Smarcd3*, *Tbx20*, *Wnt2* and *Ryr2*, confirming our hypothesis that Isl1 works cooperatively with Brg1 in regulating its target genes during cardiogenesis.

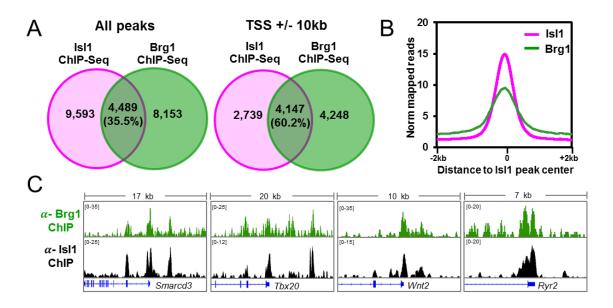


Figure 35 Isl1 co-occupied with Brg1 on its target genes in CPCs

(A) Venn diagram representing the overlap of Isl1 and Brg1 ChIP-Seq peaks (All peaks: left panel; peaks near TSS: right panel). (B) Average ChIP-Seq tag intensities at Isl1 peaks. (C) Examples of IGV snapshots showing co-occupancy of Isl1 and Brg1 on the target genes in CPCs.

Furthermore, by analyzing the ChIP-Seq data with our RNA-Seq data in *Isl1* KO CPCs, we found that 59.3% of the genes bound by Isl1 were bound by Brg1, while 44% of the genes deregulated by *Isl1* loss-of-function were concomitantly bound by Isl1 and Brg1 (Figure 36). As Brg1/Baf60c complex is chromatin remodeler, these results led us to think that Isl1 may work together with the Brg1-Baf60c complex to regulate nucleosomal structure and expression of its target genes.

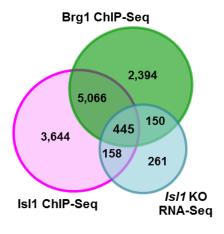


Figure 36 A large subset of genes differentially regulated in *Isl1* KO CPCs are co-bound by Isl1 and Brg1

Venn diagram representing the overlap of genes bound by Isl1 and Brg1 (n=2) and differentially expressed in Isl1 KO CPCs (n=2).

#### 3.5.4 Isl1 works cooperatively with Brg1 to regulate its target gene expression

To investigate our hypothesis *in vivo*, we inactivated *Brg1* (Bultman, Gebuhr et al. 2000) in SHF progenitor cells using an *Isl1-Cre* driver mouse line. We dissected the outflow tract and right ventricle, which are derived from the *Isl1*<sup>+</sup> SHF progenitors, and analyzed the expression of Isl1 targets genes. qPCR expression analysis of dissected OFT and RV of E10.5 wild-type, *Isl1-Cre*<sup>+/-</sup>, *Isl1-Cre*<sup>+/-</sup>/*Brg1*<sup>fl/+</sup> and *Isl1-Cre*<sup>+/-</sup>/*Brg1*<sup>fl/fl</sup> embryos revealed significant downregulation of Isl1 primary targets in *Isl1-Cre*<sup>+/-</sup>/*Brg1*<sup>fl/+</sup> embryos and further decrease in *Brg1*-deficient embryos (Figure 37A). These results suggested that Brg1 regulates a common group of target genes with Isl1 during SHF development, and demonstrated a dosage requirement for Brg1 in regulating gene expression programs associating with SHF development, similar with the report that allelic balance between Brg1 and cardiac transcription factors Tbx5, Tbx20 and Nkx2.5 is essential for cardiogenesis (Takeuchi, Lou et al. 2011).

Furthermore, to check whether *Brg1* loss-of-function in the SHF derivatives affects gene expression in the tissue arisen from the FHF, we dissected the left ventricle and analyzed the expression of Isl1 target genes. As we expected, no major changes were observed in the left ventricle of these embryos (Figure 37B), suggesting Brg1 regulates Isl1 target genes specifically in the SHF derived tissue. Moreover, to test whether Brg1 generally regulates gene expression in OFT and RV, we analyzed the expression of some example genes that are not Isl1 targets (Figure 37C). The expression of these genes is not changed with *Brg1* depletion, suggesting that the role of Brg1 in the SHF development is specific (Figure 37C).

Taken together, the dosage-sensitive interdependence of Isl1 and Brg1, in combination with the interactions and co-occupancy of Isl1 and BAF complex members, supports the hypothesis that the Brg1-Baf60c complex might regulate gene expression coordinated with Isl1 in SHF development.

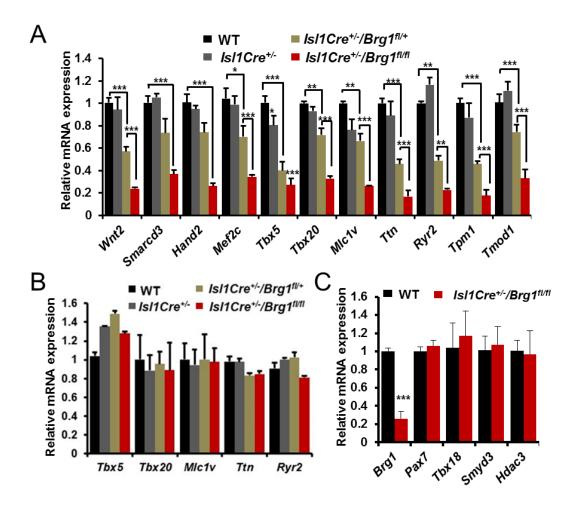


Figure 37 Isl1 works unitedly with Brg1 to regulate its target gene expression

(A) Relative mRNA expression levels of Isl1/Brg1-Baf60c common targets in dissected OFT and RV of wild-type,  $Isl1-Cre^{+/-}$ ,  $Isl1-Cre^{+/-}$ /Brg1<sup>+/fl</sup> and  $Isl1-Cre^{+/-}$ /Brg1<sup>fl/fl</sup> embryos. Data are mean±SEM, n=4. (B) Relative mRNA expression levels of Isl1/Brg1-Baf60c common targets in dissected left ventricle of wild-type,  $Isl1-Cre^{+/-}$ ,  $Isl1-Cre^{+/-}$ /Brg1<sup>+/fl</sup> and  $Isl1-Cre^{+/-}$ /Brg1<sup>fl/fl</sup> embryos. Data are mean±SEM, n=3. (C) Relative mRNA expression levels of genes not bound by Isl1 in dissected OFT and RV of wild-type,  $Isl1-Cre^{+/-}$ /Brg1<sup>+/fl</sup> and  $Isl1-Cre^{+/-}$ /Brg1<sup>fl/fl</sup> E10.5 embryos. Data are mean±SEM, n=3.

#### 3.5.5 Isl1 recruits the Brg1 complex to its target sequences

To examine whether Brg1 was directed to Isl1 target sequences via Isl1, we performed Brg1 ChIP in control and *Isl1* KD CPCs followed by qPCR analysis. Knockdown of *Isl1* led to a significant reduction of Brg1 occupancy at Isl1 targets (Figure 38), suggesting that Isl1 might recruit the Brg1 complex to promote chromatin reorganization at its target genes.

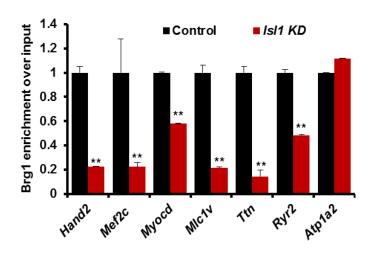


Figure 38 Brg1 binding at Isl1- bound targets is decreased by Isl1 depletion in CPCs

ChIP followed with qPCR analysis with Isl1 antibody was done in control and Isl1 KD mESC-derived CPCs. Atp1a2 was used as a negative control (n=2).

## 3.6 Isl1 acts as a pioneer factor in cardiogenesis

### 3.6.1 Isl1 binding correlates with sites of open chromatin

Pioneer transcription factors play critical roles in programming the epigenome and instructing lineage specification and differentiation (Zaret and Carroll 2011, Spitz and Furlong 2012, Soufi, Garcia et al. 2015). To initiate cell programming, pioneer transcription factors engage "closed" chromatin covered by nucleosomes and impart competence for transcription by chromatin opening. To test whether Isl1 acts as a pioneer factor, we analyzed whether Isl1 binding might induce the formation of accessible chromatin in CPCs by performing genome-wide analysis of open chromatin landscapes using ATAC ("assay for transposase-accessible chromatin") sequencing (ATAC-Seq) (Buenrostro, Giresi et al. 2013) in mESC-derived CPCs. In ATAC-Seq, Tn5 transposase integrates sequencing adapters into regions of accessible chromatin, whereas steric hindrance in less accessible chromatin makes such transposition less probable (Buenrostro, Giresi et al. 2013). Therefore, amplifiable DNA fragments suitable for high-throughput sequencing are preferentially generated at locations of open chromatin (Buenrostro, Giresi et al. 2013).

Comparison of ATAC-Seq data and Isl1 binding profiles revealed a 42% overlap of Isl1 ChIP-Seq and ATAC-Seq peaks in CPCs. At the promoter proximal regions (TSS +/-10kb) more than 76% of Isl1 binding sites showed open chromatin (Figure 39A). GO analysis of genes characterized by open chromatin at Isl1 binding sites showed enrichment of genes involved

in transcriptional regulation, covalent chromatin modification and heart morphogenesis (Figure 39B-C), suggesting a role of Isl1 binding in the formation of accessible chromatin required for cardiogenesis, similar to other "pioneer factors".

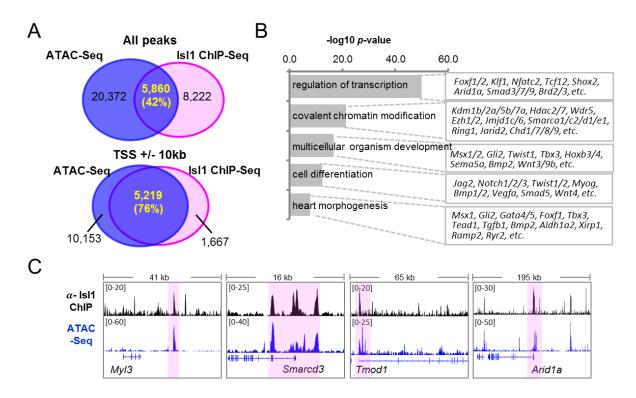


Figure 39 Isl1 binding correlates with sites of open chromatin

(A) Overlap of all Isl1 ChIP-Seq and ATAC-Seq peaks (top) or peaks at Transcription Start Sites (TSS) +/- 10kb (down) in mESC-derived CPCs. (B) Representative genes and GO terms enriched in genes showing open chromatin configuration at Isl1 binding sites in CPCs. (C) Examples of genes bound by Isl1, showing open chromatin configuration at Isl1 binding sites in mESC-derived CPCs. Genome tracks of Isl1 ChIP-Seq and ATAC-Seq reads of mESC-derived CPCs.

# 3.6.2 Isl1 and Brg1/Baf60c complex work synergistically to open the chromatin during SHF development

As described in the introduction, the typical activity of a pioneer factor is to bind to the closed chromatin; then recruit chromatin remodelers to the binding sites and they work cooperatively to open the chromatin. To further investigate how Isl1 opens the closed chromatin during cardiogenesis, we hypothesized that Isl1 works together with Brg1/Baf60c remodeling complex to reorganize the chromatin landscape at its target genes. To confirm our hypothesis, we analyzed whether loss of *Isl1* or *Brg1* might affect chromatin opening by performing ATAC-Seq in E8.5 (6 somites) wild-type, *Isl1*-/- or *Isl1-Cre*+/-/*Brg1*<sup>fl/fl</sup> embryos. We observed 73% overlap of all ATAC-Seq peaks in E8.5 embryos compared to ESC-derived CPCs,

while up to 93% overlap at promoter proximal regions (Figure 40A), showing high similarity between the open chromatin of *in vitro* differentiated CPCs to CPCs in early embryos. Importantly, we observed significant reduction of chromatin accessibility in *Isl1* and *Brg1* knockout embryos at Isl1-ATAC (IA) peaks (Figure 40B-C), whereas chromatin accessibility was not affected at ATAC only (A) sites in *Isl1* knockout embryos (Figure 40C). In addition, the high average ATAC signal at Isl1-ATAC (IA) sites compared to ATAC only (A) sites further supports a role of Isl1 in chromatin opening in CPCs (Figure 40C).

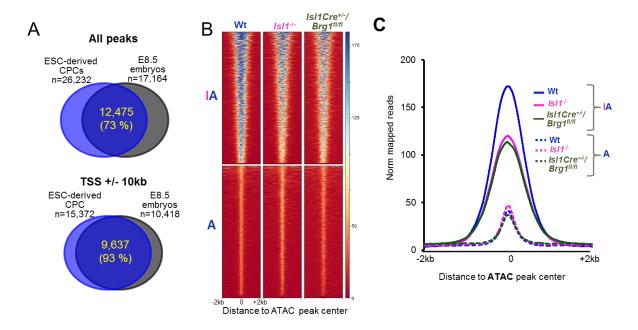


Figure 40 Isl1 and Brg1-Baf60c-based SWI/SNF complex shape the chromatin landscape at Isl1 target sites in cardiac progenitors

(A) Overlap of all ATAC-Seq peaks (top) or peaks at Transcription Start Sites (TSS) +/- 10kb (bottom) in ESC-derived CPCs and E8.5 embryos. (B) Heat map of tag densities of ATAC-Seq in wild-type,  $Isl1^{-/-}$  and  $Isl1-Cre^{+/-}/Brg1^{fl/fl}$  embryos at TOP1000 Isl1-ATAC (IA) or ATAC only (A) peaks. (C) Average ATAC-Seq tag intensities in wild-type,  $Isl1^{-/-}$  and  $Isl1-Cre^{+/-}/Brg1^{fl/fl}$  embryos at Isl1-ATAC (IA) or ATAC only (A) peaks.

GO analysis of differential ATAC-Seq peaks in *Isl1* and/or *Brg1*-deficient embryos revealed that the Isl1/Brg1 complex plays a key role in activating expression program essential for heart development, while repressing nervous system development (Figure 41A-B). Importantly, we observed significant overrepresentation of GO terms related to chromatin modification in ATAC-Seq peaks decreased in *Isl1* knockout embryos, including multiple components of the SWI/SNF chromatin remodeling complexes such as Smarcd3 (Baf60c), Arid1a (Baf250a), Smarcc2 (Baf170), Dpf3 (Baf45c) as well as Pbrm1 (Baf180) (Figure 41A and

41C). In addition, some target genes showed decreased chromatin accessibility only in *Isl1* knockout embryos (Figure 41C, e.g. *Dpf3* and *Tbx3*), suggesting that Isl1 might work together with other chromatin modifiers in regulating these genes. In contrast, genes not bound by Isl1 did not show changes in chromatin accessibility (Figure 41C, e.g. *Myh3*).

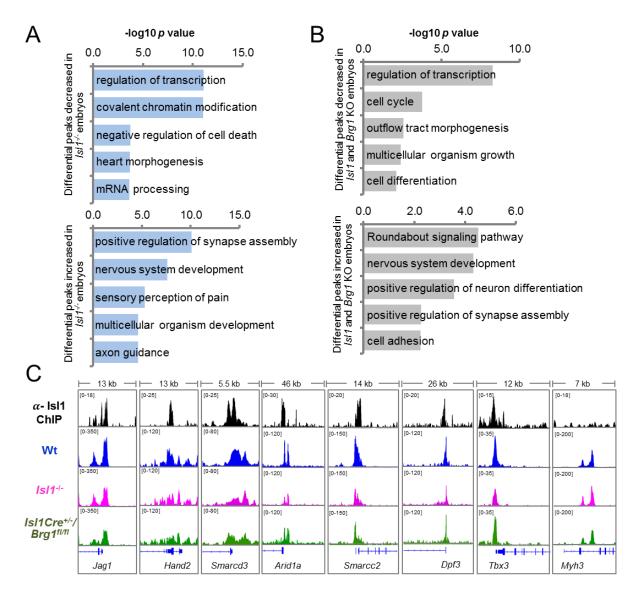


Figure 41 Isl1 and Brg1-Baf60c-based SWI/SNF complex induces chromatin reorganization in cardiac progenitors

(A) GO terms enriched in ATAC-Seq peaks decreased (top panel) or increased (lower panel) more than 2 fold in Isl1<sup>-/-</sup> versus control embryos. (B) GO terms enriched in ATAC-Seq peaks decreased (top panel) or increased (lower panel) in both Isl1<sup>-/-</sup> and Isl1-Cre<sup>+/-</sup>/Brg1<sup>fl/fl</sup> embryos versus control embryos. (C) Examples of genes showing decreased ATAC-Seq signal at Isl1 binding sites. Genome tracks of Isl1 ChIP-Seq and ATAC-Seq of wild-type, Isl1<sup>-/-</sup> and Isl1-Cre<sup>+/-</sup>/Brg1<sup>fl/fl</sup> embryos are presented. Myh3 was shown as a negative control.

### 3.6.3 Isl1 plays a pioneering function in all three cardiovascular lineages

As described in the introduction, *Isl1*-positive cardiovascular progenitors are multipotent and can differentiate into all three cardiovascular lineages: cardiomyocytes, smooth muscle cells and endothelial cells (Moretti, Caron et al. 2006). Analysis of chromatin accessibility at promoters of cardiac, smooth muscle and endothelial development/differentiation genes revealed decrease in open chromatin at all cardiovascular lineage-related genes in *Isl1* and *Brg1*-deficient embryos (Figure 42A-D). Notably the ATAC-Seq signal was highly decreased at genes involved in cardiac contraction (Figure 42B). Nevertheless, these data suggest that Isl1 might play a pioneering function for cardiac cell lineage settlement and requires the Brg1-based SWI/SNF chromatin remodeling complex.

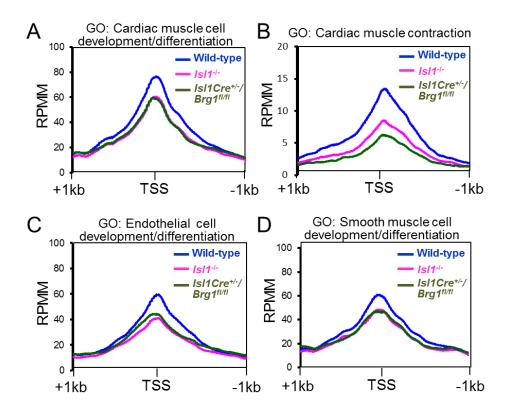


Figure 42 Isl1 and Brg1-Baf60c complex promote chromatin accessibility in all three cardiovascular lineages

(A-D) Average ATAC-Seq tag intensities at TSS +/- 1kb of cardiac development and differentiation genes (A), cardiac contraction genes (B), as well as smooth muscle (C) and endothelial (D) development and differentiation genes in E8.5 wild-type, Isl1<sup>-/-</sup> and Isl1-Cre<sup>+/-</sup>Brg1<sup>fl/fl</sup> embryos.

#### 3.6.4 Isl1 acts as a pioneer factor independently of Gata4

The core cardiac transcription factor Gata4 represents a prototypical example of a pioneer factor, it binds efficiently to its target sequences on nucleosomal DNA (Cirillo and Zaret 1999) and induces chromatin reorganization driving heart development and disease (He, Gu et al. 2014). To address whether Gata4 binding might affect Isl1 binding or *vice versa*, we first compared the binding sites of Gata4 (Luna-Zurita, Stirnimann et al. 2016) and Isl1 in CPCs with ATAC-Seq peaks. Using the published Gata4 ChIP-exo data (Luna-Zurita, Stirnimann et al. 2016), we called peaks in each replicate and used high confidence peaks found in all three ChIP-Seq replicates for further analysis. This analysis identified 2,417 common Isl1-Gata4-ATAC peaks, showing that Isl1 and Gata4 often co-occupy at sites characterized with open chromatin (Figure 43). In addition, we identified accessible chromatin sites bound only by Isl1 or Gata4, supporting the notion that Isl1, similar to Gata4, might function as a pioneer transcription factor (Figure 43).

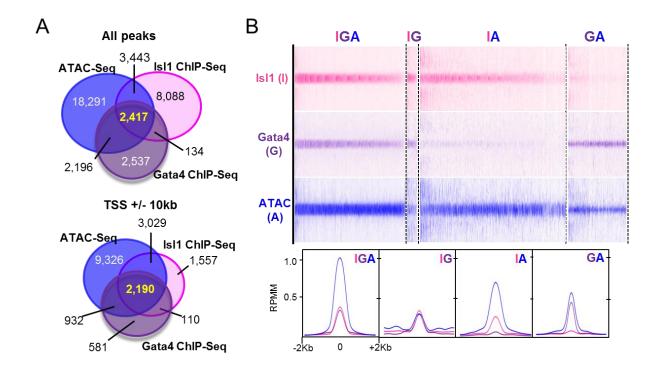


Figure 43 Isl1 acts as a pioneer factor like Gata4

(A) Overlap of all Isl1 ChIP-Seq, Gata4 ChIP-exo (Luna-Zurita, Stirnimann et al. 2016) and ATAC-Seq peaks (top) or peaks at Transcription Start Sites (TSS) +/- 10kb in CPCs. (B) Heatmap (top) and aggregation plot (bottom) of mapped reads of Isl1 ChIP-Seq, Gata4 ChIP-exo and ATAC-Seq at ± 2kb around peak midpoints of Isl1, Gata4 and ATAC (IGA), Isl1 and Gata4 (IG), Isl1 and ATAC (IA), Gata4 and ATAC (GA) occupancy.

Moreover, ATAC-Seq analysis in E8.5 embryos with loss of Isl1 or Brg1 showed reduction in chromatin accessibility in both Isl1-ATAC sites bound or not bound by Gata4 (IGA or IA only), supporting the notion that Isl1 acts as pioneer factor also independently of Gata4 (Figure 44).

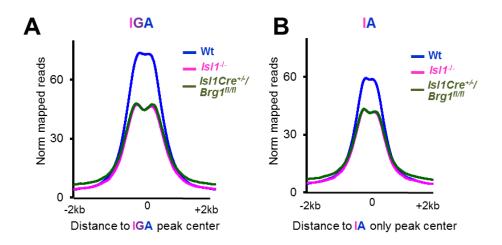


Figure 44 Isl1 acts as a pioneer factor independently of Gata4

Average ATAC-Seq tag intensities at common peaks between Isl1, Gata4 and ATAC-Seq (IGA) in wild-type,  $Isl1^{-/-}$  and  $Isl1-Cre^{+/-}/Brg1^{fl/fl}$  embryos (A) or at Isl1 and ATAC-Seq only peaks (IA) (B).

In addition, Gata4 binding was decreased in *Isl1* depleted CPCs (Figure 45), however we cannot rule out whether Isl1 is necessary for Gata4 association at Isl1 target sites or that lower Gata4 occupancy could be due to the decreased levels of Gata4 in *Isl1*-deficient CPCs (Figure 20).

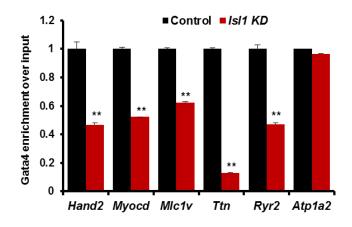


Figure 45 Gata4 occupancy at Isl1- bound sequences is decreased in Isl1 deficient CPCs

ChIP-qPCR analysis of Gata4 binding at Isl1 target sites in CPCs. Atp1a2 was used as a negative control (n=2).

## 3.7 Working model

Taken together, these data suggest a pioneering function of Isl1 in SHF development. Isl1 acts as a pioneer transcription factor by binding to closed chromatin and then recruits the Brg1-Baf60c-based SWI/SNF complex to its target binding sites. Isl1 works in concert with the Brg1-Baf60c-based SWI/SNF complex to confer permissive lineage-specific alterations in CPC chromatin landscape, which allow gene expression directly in CPCs or at a later time point in cardiomyocytes (Figure 46). Expression changes of Isl1 target genes lead to cardiac development defects not only at the early embryonic stages, but also at the later stages when Isl1 transcription is turned off.

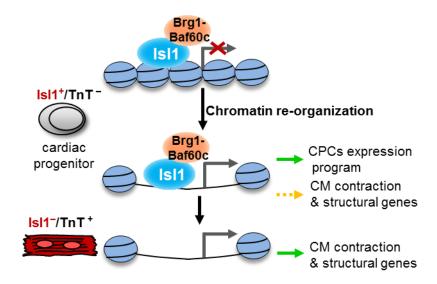


Figure 46 Model of the role of Isl1 in cardiogenesis by controlling epigenetic mechanisms and memory

Isl1 binds to compacted chromatin at genes involved in CPC function, as well as to genes involved in cardiomyocyte contraction and structural organization. Isl1 then recruits Brg1-Baf60c complex to the binding sites and works cooperatively with the Brg1-Baf60c-based SWI/SNF complex to open up chromatin and allow gene expression directly in CPCs, or at a later time point in cardiomyocytes.

# 4. Discussion

The mammalian heart is the first functional organ to develop. Normal cardiac development is crucial for embryonic survival due to its critical nature in distributing blood through the vessels and the vital exchange of nutrients, oxygen, and wastes both to and from the developing baby. Heart development is controlled by a tight spatial and temporal regulation of gene networks, including signaling molecules, transcription factors and so on. Accurate knowledge of normal cardiac development is essential for proper understanding of congenital cardiac malformations that represent the most common congenital anomaly in newborns (Schleich, Abdulla et al. 2013), and might help to generate efficient therapies.

During cardiac development, heart tube elongation occurs by progressive addition of second heart field (SHF) progenitor cells to the poles of the heart. Unlike FHF cells, SHF cells show continued proliferation and delayed differentiation, and represent a reservoir of multipotent cardiac progenitor cells (CPCs) during cardiogenesis (Meilhac, Lescroart et al. 2014). Perturbation of SHF development results in congenital heart defects. As described in the introduction part, SHF derived cells are involved in most of the common CHDs, especially in the outflow tract (OFT) region. These include arterial pole defects resulting from OFT hypoplasia or failure of OFT remodeling, especially the OFT alignment and septation defects, such as persistent truncus arteriosus (PTA), transposition of the great arteries (TGA), overriding aorta (OA), which is usually associated with Tetratolgy of Fallot (TOF) and double outlet right ventricle (DORV), in which the divided aorta and pulmonary trunks both exit the right ventricle (RV) only, as well as venous pole anomalies including atrial and atrioventricular septal defects (Kelly 2012, Neeb, Lajiness et al. 2013).

The SHF is marked by Isl1 expression (Cai, Liang et al. 2003, Buckingham, Meilhac et al. 2005). Isl1 plays critical roles in cardiac development which has been demonstrated by a lot of studies (Cai, Liang et al. 2003, Moretti, Caron et al. 2006, Moretti, Lam et al. 2007, Bu, Jiang et al. 2009, Wang, Li et al. 2016, Quaranta, Fell et al. 2018). However, detailed insights into its molecular mode of action underlying Isl1 function in cardiac progenitor cells have not been fully elucidated, especially in epigenetic regulation during cardiogenesis.

In this study, using an *Isl1* knockout mouse line and an *Isl1* hypomorphic mouse line, well established directed cardiac differentiation method and genome-wide profiling of Isl1,

binding together with RNA- and ATAC-sequencing of cardiac progenitor cells and their derivatives, we uncover a regulatory network downstream of Isl1 that orchestrates cardiogenesis. We show that Isl1 binds to and regulates the expression of signaling molecules, transcription factors, epigenetic modifiers, and cardiomyocytes structural/contraction genes, with critical functions in cardiogenesis. We further identified Isl1 as a novel pioneer factor which works in concert with Brg1-Baf60c-based SWI/SNF complex to open the "closed" chromatin and orchestrating gene expression programs during cardiogenesis.

# 4.1 Isl1 is critical for SHF development and depletion of *Isl1* leads to cardiac defects as seen in human CHD patients

As the *Isl1* knockout mouse are lethal at early stage during embryonic development, we utilized an *Isl1* hypomorphic mouse line which can survive until birth, allowing us to analyze the role of Isl1 in SHF derived structures that are dependent on Isl1, as well as the molecular regulation of Isl1 during later stages of embryonic heart development. *Isl1* hypomoyphic mouse embryos showed ventricular and atrial septal defects (VSDs and ASDs) and various degrees of OFT abnormalities, including partial outflow tract septation and misalignment or common arterial trunk (data done by our collaborators). Similarly, in human patients, genetic variations in *ISL1* have been associated with susceptibility to ventricular septal defect (Lang, Tian et al. 2013) and non-syndromic, complex congenital heart disease (Stevens, Hakonarson et al. 2010), whereas *ISL1* haploinsufficiency is associated with d-transposition of the great arteries (Osoegawa, Schultz et al. 2014).

Intersection of the RNA-Seq results from *Isl1* KO and *Isl1* hypomorphic embryos, together with Isl1 ChIP-Seq data, identified many novel Isl1 primary targets. Consistent with the phenotypes observed in the mouse models, we found an important group of Isl1 target genes playing key roles in outflow tract morphogenesis, such as *Wnt5a/11*, *Tgfb2*, *Jag1*, *Smad6*, *Pbx3*, *Msx2*, *Fog2* (*Zfpm2*), *Tbx1/3/20*, *Plxna2* (Lin, Lin et al. 2012). In addition, many Isl1 target genes are critical for development of the atrioventricular canal and atrial or ventricular septation, such as *Wnt2*, *Gata4/6*, *Tbx5*, *Nkx2.5* and *Hand1/2* (Lin, Lin et al. 2012, Bruneau 2013). Moreover, mutations in these genes have been found in human CHD cases. For example, *TBX20* loss-of-function mutations are present in patients with ToF, PTA and

DORV (Pan, Geng et al. 2015, Huang, Wang et al. 2017). Mechanistically, this loss-of-function mutation resulted in reduced synergistic activation between TBX20 and NKX2.5 as well as GATA4 (Huang, Wang et al. 2017). Multiple mutations in NKX2.5 and GATA4 have also been causally linked to various types of CHD, such as ASD, VSD and ToF (McElhinney, Geiger et al. 2003, Ding, Tong et al. 2015, Mattapally, Nizamuddin et al. 2015). GATA6 mutations are found in CHD cases with PTA, VSD or ToF (Kodo, Nishizawa et al. 2009, Meenakshi Maitra 2010, Wang, Luo et al. 2012). A heterozygous mutation in HAND2 (p.S65I), which significantly diminished its transcriptional activity, was identified in a patient with congenital ventricular septal defect (VSD) as well (Sun, Wang et al. 2016).

As described in the introduction, cardiac neural crest cells (CNCCs) migrate to the OFT region during cardiac development and play an essential role in OFT septation and alignment, which is a very important process and various common types of CHDs are caused by disruption of this process. Cells from SHF and CNCCs communicate with each other and work cooperatively to form different cardiovascular structures. Semaphorin 3c (Sema3c) is an important factor in this communication. During heart development, the OFT myocardium secretes Sema3c (ligand) to attract CNCCs, which express Plxna2 (receptor), and promotes them to migrate into the OFT (Lin, Lin et al. 2012). Knockout of Plxna2 or Sema3c in mice impairs the migration of neural crest cells, leading to PAA defects and PTA (Christopher B. Brown 2001, Leonard Feiner 2001). Interestingly, both Sema3c and Plxna2 are Isl1 direct targets found in our study and showed decreased levels with Isl1 loss-of-function. Besides, Isl1 is also reported to be expressed in a subset of CNCCs (Engleka, Manderfield et al. 2012). So, Isl1 may play a role in regulating CNCCs behaviors not only by the interactions between SHF and CNCCs, but could also function directly in CNCCs. The role of Isl1 in regulating both SHF cells and CNCCs in the OFT may give a better explanation of various OFT defects observed in the Isl1 hypomorphic embryos.

In addition, we also found many genes associated with epithelial to mesenchymal transition (EMT) to be downregulated in our *Isl1* hypomorphic data and bound by Isl1, such as *Wnt5a*, *Bmp4*, *Tgfb2*, *Erbb3*, *Snai1/2*, *Twist1/2*, *NFATc2/4* and *Msx1/2* (Lin, Lin et al. 2012, von Gise and Pu 2012, Bruneau 2013). EMT is an important process during cardiac development. Epicardial cells give rise to mesenchymal cells that migrate into the myocardium and differentiate into fibroblasts and coronary smooth muscle cells (von Gise and Pu 2012). The

atrioventricular cushion mesenchyme forms from an EMT of endocardial cells, further leading to the formation of mitral and tricuspid (atrioventricular) valves and cardiac chamber septation (Lin, Lin et al. 2012, von Gise and Pu 2012). The cushions in the OFT also arise from endocardium through EMT, in combined with CNCCs, contribute to aortic and pulmonic (semilunar) valves development and OFT septation (Lin, Lin et al. 2012, von Gise and Pu 2012). Errors of EMT lead to CHD (von Gise and Pu 2012, Rickert-Sperling, Kelly et al. 2015), especially cardiac septal defects and valve defects, as well as OFT defects. In the *Isl1* hypomorphic mice, in addition to ASDs and VSDs, we also observed aortic stenosis, which is a narrowing of the aortic valve opening. Thus, disruption of EMT process could be another reason for CHD caused by Isl1.

# 4.2 Isl1 organizes a regulatory gene network driving second heart field development

The analysis of our high-throughput sequencing datasets revealed a genome-wide transcriptional regulatory network orchestrated by Isl1 during SHF development. We found that Isl1 directly regulates large groups of genes during cardiogenesis. In addition to known Isl1 targets, we identified a lot of novel primary downstream targets, many of which have been reported to be involved in heart development or are critical for SHF specification and expansion, especially signaling molecules and cardiac transcription factors. For example, Fgf10, a known Isl1 target, acts as a marker of the anterior SHF (Golzio, Havis et al. 2012, Watanabe, Zaffran et al. 2012). Together with Fgf8, Fgf10 promotes the proliferation of the cardiac progenitor cells that form the arterial pole of the heart (Watanabe, Zaffran et al. 2012). Interestingly, Fgf8 was also found to be an Isl1 direct downstream target in our study. It is required for SHF expansion, and thus for OFT elongation, septation and subsequent ventriculoarterial alignment through a cell autonomous mechanism (Hubert, Payan et al. 2018, Pérez-Pomares and Kelly 2018). Besides, Wnt2 is a newly identified Isl1 primary target in our data. Cell culture studies showed that Wnt2<sup>-/-</sup> ES cells exhibit impaired cardiomyocyte differentiation but enhanced hematopoietic differentiation (Wang, Gilner et al. 2007), which is consistent with our finding that Isl1 controls cardiomyocyte lineage commitment, whereas inhibits hemopoiesis gene expression. In addition, the forkhead transcription factors Foxc1 and Foxc2, which play key roles in cardiovascular development, are reported to act upstream of Isl1 in the SHF (Kang, Nathan et al. 2009). In this study, we found Isl1 directly binds on both genes in CPCs. This mutual regulation is also seen between other cardiac transcription factors, such as Gata4 and Nkx2.5, thus forms the complex transcriptional regulatory networks (Figure 6) in cardiogenesis.

Moreover, we did not observe any change in the expression of Isl1 primary target genes in the left ventricle of *Isl1* hypomorphic embryos (data not shown), while they were significantly changed in dissected right ventricles (Figure 24), suggesting a specific role of Isl1 in the second heart field. Interestingly, we also found some first heart field genes such as *Tbx5* and *Hand1*, also regulated by Isl1 in the embryos (Figure 23 and 24). Since Isl1 has been reported to be transiently expressed in CPCs of the first heart field (Laugwitz, Moretti et al. 2008), we cannot exclude a possibility that Isl1 also plays a pioneer role in the first heart filed. In addition, Tbx5 was reported to play an essential role in the pSHF for atrial septation (Xie, Hoffmann et al. 2012) and Hand1 was also reported to show expression in the SHF-derived myocardial cuff (Barnes, Firulli et al. 2010). Given the dynamic nature of cardiac gene expression, it cannot be ruled out that at some stages the FHF marker genes transiently expressed in the SHF and *vice versa*, even though they play a primary role in their own heart fields.

Importantly, in our cell culture studies and *in vivo* analysis, we found highly similar gene networks regulated by Isl1. For example, we found Isl1 regulates cardiomyocyte structural genes and genes involved in cardiac contraction and sarcomerogenesis in both datasets (Figure 19 and 23), suggesting that Isl1 directly controls cardiomyocyte identity, CPC differentiation and sarcomeric maturation. Moreover, consistent with our observation in *Isl1* hypomorphic cardiomyocytes with less prominent sarcomere structures, we also observed significant decrease of cardiomyocytes structural genes in the *Isl1* hypomorphic data at the later stages when Isl1 is no longer expressed in the myocardium (Cai, Liang et al. 2003). We reason that Isl1 binds to closed chromatin and spawns permissive cardiomyocyte lineage-specific alterations in the chromatin landscape of CPCs, which enables subsequent recruitment of additional regulatory factors activating these genes in cardiomyocytes when Isl1 itself is switched off, thereby setting up a lasting regulatory network driving cardiogenesis.

In addition, data done by my colleague with *Isl1* loss-of-function at distinct stages of directed cardiac differentiation of mouse ESCs (Wamstad, Alexander et al. 2012) (data not shown) further confirm the transient requirement of Isl1 in CPCs for the expression of cardiomyocyte structural and contraction genes. Briefly, cardiomyocyte marker genes and Isl1 direct targets were significantly downregulated in day10 cardiomyocytes only when Isl1 was knocked down at day3.7 during the differentiation of mesodermal precursors into cardiac progenitors but not at day8 after the appearance of beating cardiomyocytes. Taken together, our study supports the requirement of Isl1 in CPCs in setting up a transcriptional program to ensure proper cardiomyocyte differentiation.

# 4.3 Baf60c is a key downstream target of Isl1

Among the targets of Isl1 identified in our data, one of the targets, Baf60c (Smarcd3), a cardiac-specific component of the Brg1-based SWI/SNF chromatin remodeling complex, attracted our attention, since epigenetic mechanisms are central to establishment and maintenance of transcriptional memory (Jaenisch and Bird 2003, Bernstein, Mikkelsen et al. 2006, Reik 2007). Baf60c is expressed specifically in the heart and somites in the early mouse embryo and Baf60c knockdown embryos by RNAi showed shortened OFT, hypoplastic right ventricle and atria, causing lethality at E10.0-E11.0, suggesting a specific role of baf60c in the SHF (Lickert, Takeuchi et al. 2004, Lei, Liu et al. 2013). Myofibrillar defects and impaired contractile function are found in postnatal mice with Baf60c conditional deletion in cardiomyocytes (Sun, Hota et al. 2017). Baf60c was shown to be essential for cardiac growth and cardiomyocyte function at several stages of embryonic development, by regulating broad networks of genes encoding proteins essential for function of the contractile apparatus (Sun, Hota et al. 2017). Consistent with this, Baf60c was further shown to be an important modulator of the fundamental program of gene expression essential for cardiac structure and function (Sun, Hota et al. 2017). This is highly consistent with our RNA-Seq results which showed that Baf60c-activated genes are associated with cardiomyocytes structure (sarcomere organization) and function (cardiac muscle contraction), as well as ion transport (Figure 31) which is critical in controlling the contractile apparatus (Kuo and Ehrlich 2015). This is accordant with the reported data in  $Baf60c^{-/-}$  embryonic hearts (E10.5 and

E12.5) and P7 *Baf60c*<sup>Myh6KO</sup> neonatal hearts (Sun, Hota et al. 2017), which identified Baf60c targets such as *Myl1/3/4/7*, *Tnni1/2*, *Casq1* and *Tcap*, that are also shown in our results.

As a downstream target of Isl1, Baf60c shares quite a lot of common targets with Isl1 (up to 43%) in cardiac progenitor cells (Figure 32), including signaling molecules such as *Wnt2* and *Jag1*, transcription factors such as *Myocd* and *Hopx*, and especially cardiomyocytes structural genes such as *Myl2/3/4*, *Tnnt2*, *Actn2* and *Myh6*, and cardiac contraction associated genes such as *Atp1b1*, *Camk2d*, *Ryr2* and *Tnni1*. We found that Baf60c together with Isl1 is required for the activation of genes involved in cardiomyocyte contraction, sarcomere organization and transcriptional regulation, whereas Baf60c alone activates genes participating in ion transport (Figure 31 and Figure 32). This is consistent with the gene expression changes observed in *Baf60c* knockout embryos (Sun, Hota et al. 2017).

# 4.4 Isl1 works together with Brg1-Baf60c-based SWI/SNF complex to regulate gene expression program during cardiogenesis

Baf60c is a subunit of the Brg1-based SWI/SNF complex, which plays an important role in heart development (Hota and Bruneau 2016). Brg1, as the core catalytic subunit in this complex, was reported to be involved in cardiogenesis by more and more studies (Bultman, Gebuhr et al. 2000, Griffin, Curtis et al. 2010, Hang, Yang et al. 2010, Chang 2012, Wei Li, Yiqin Xiong et al. 2012, Alexander, Hota et al. 2015, Qian, Xiao et al. 2017, Hota, Johnson et al. 2019). Mef2c-Cre Brg1<sup>fl/fl</sup> embryos showed hypoplastic outflow tracts and right ventricles (Hang, Yang et al. 2010). Accordantly, in our study, using an Isl1-Cre, Brg1-deficient embryos showed a shortened outflow tract, a small right ventricle at E9.5 and died by E14.5. Consistent with severe SHF structure defects, we observed that the expression of Isl1 target genes involved in outflow tract formation and SHF specification (Mef2c, Tbx20, Hand2, Smarcd3, and so on) was shown to be impaired in SHF derivatives of Isl1/Brg1 double heterozygous embryos, and further decreased in Isl1-Cre+/-/Brg1fl/fl embryos (Figure 23 and 36). This is quite similar with the publication that the requirement of the balance between the dosage of Brg1 and disease-causing cardiac transcription factors, including Tbx5, Tbx20 and Nkx2.5 in heart development (Takeuchi, Lou et al. 2011). Thus, disruption of a delicate balance between Isl1 and Brg1/BAF complex could also be a mechanistic cause of CHDs shown in Isl1 haploinsufficiency patients (Osoegawa, Schultz et al. 2014).

Moreover, Brg1 interacts with cardiac transcription factors Tbx5, Nkx2.5 and Gata4, and this interaction is induced by Baf60c (Lickert, Takeuchi et al. 2004). In our study, we also found Brg1 and Baf60c interact with the transcription factor Isl1 in cardiac progenitor cells (Figure 33). In contrast, Baf60c does not seem to moderate Isl1 binding to the Brg1 complex, since we observed similar levels of Brg1 was co-immunoprecipitated by Isl1 in *Baf60c* KD CPCs compared with control (Figure 34). Considering Baf60c as a direct target of Isl1 (Figure 29), the loss of Baf60c upon Isl1 depletion may additionally affect the function of Tbx5, Nkx2.5 and Gata4, as an imbalance between their levels and the Brg1-Baf60c complex, was shown to result in impaired cardiac development and transcriptional activation of their targets (Takeuchi, Lou et al. 2011).

The interaction of Isl1 with Brg1/Baf60c led us to think that Isl1 may work cooperatively with Brg1 to induce chromatin reorganization and regulate target gene expression in cardiac progenitor cells. By comparing the ChIP-Seq data for Brg1 and Isl1, we noticed that they are highly co-occupied at Isl1 target sites in cardiac progenitors, especially near the TSS (Figure 35). Furthermore, they share a large group of common targets, such as *Wnt2*, *Smarcd3*, *Ryr2* and *Tbx20*. (Figure 36 and 37). A newly published study showed that Brg1-activated genes are enriched for sarcomere organization and assembly, heart morphogenesis and cardiac contraction, with a genome-wide transcriptome analysis in CPCs (Hota, Johnson et al. 2019). This is prominently consistent with what we found in our *Isl1* KO CPCs (Figure 19) and our in vivo data (Figure 23). Furthermore, we observed that Brg1 occupancy on Isl1 target sites was decreased with *Isl1* loss-of-function (Figure 38). Taken all together, we conclude that Isl1 might recruit the Brg1 complex to regulate nucleosome structure and expression of its target genes.

# 4.5 Isl1 functions as a pioneer factor during cardiogenesis

In this study, we showed that Isl1 recruits the Brg1-based SWI/SNF complex to its target sites to promote chromatin accessibility, which is the typical working pattern of pioneer factors (Figure 10) (Iwafuchi-Doi 2019). Furthermore, Brg1 was reported to initiate cardiac gene expression in cardiac precursor cells and maintain the cardiac program to facilitate cardiomyocyte differentiation by modulating temporal chromatin accessibility together with

Baf60c and Baf170 (Hota, Johnson et al. 2019). This suggests that Isl1 might works as a pioneer factor in organizing the transcription regulation in cardiac development. The classic characteristic of pioneer factors is capable of binding closed chromatin and open closed chromatin regions. A posdoc in our lab performed nucleosome assembly assays using *in vitro* assembled nucleosomes with recombinant human histones and a DNA fragment containing Isl1 motifs within the *Ttn* promoter, which is bound by Isl1 (Wang, Li et al. 2016) and downregulated in *Isl1* and *Baf60c* depleted CPCs (Figure 32), followed with electrophoretic mobility shift assays (EMSA), which have been used to identify many pioneer factors (Lai, Verhage et al. 2018). She found that Isl1 recognizes its DNA binding motif even when the DNA is wrapped around nucleosomes, which enables it to engage its target sites even in condensed chromatin. In combination with my data that Nkx2.5, which is supposed not to be a pioneer factor, only binds to the free *Ttn* probe but not to the assembled nucleosomes (data not shown), we further demonstrated that the binding of Isl1 to the nucleosomal DNA is specific.

We further performed ATAC-Seq, which is recently used to identify regions of open chromatin and assess genome-wide chromatin accessibility (Buenrostro, Giresi et al. 2013), in mESC-derived CPCs and in control versus Isl1 and Brg1 knockout embryos, to reveal that Isl1 acts as a pioneer factor to alter the chromatin accessibility in cardiac progenitor cells together with Brg1, thus modulate cardiomyocyte cell fate. ATAC-Seq analysis combined with genome-wide binding analysis of Isl1 revealed that open chromatin regions are centered on Isl1 binding sites in CPCs (Figure 39). Furthermore, ATAC-Seq analysis in Isl1 and Brg1-deficient embryos together with control samples showed that loss of either Isl1 or Brg1 led to remarkable decrease of chromatin accessibility at ATAC/Isl1 binding sites (IA), but no significant change in ATAC-Seq only sites (A, sites without Isl1 binding) (Figure 40 and 41). In combination with the data showing Isl1 recruits Brg1 to its target sites (Figure 38), our data confirmed our hypothesis that Isl1 acts as a pioneer factor and works in association with Brg1 in shaping the chromatin landscape during cardiogenesis. Intriguingly, some target genes showed decreased chromatin accessibility only in Isl1 knockout embryos, such as Dpf3 and Tbx3 (Figure 41), suggesting that Isl1 might also work together with other chromatin remodelers to regulate their expression.

A large body of studies have demonstrated that the SWI/SNF complexes undergo progressive changes in subunit composition during developmental transitions and that the unique subunit composition at each developmental stage correlates with a gene expression program that is required for maintaining particular cell state (Wu, Lessard et al. 2009, Ho and Crabtree 2010, Toto, Puri et al. 2016). During cardiac differentiation, using immunoprecipitation with mass spectrometry, Hota et al. recently determined the dynamic composition of BAF complexes during mammalian cardiac differentiation, identifying several cell-type specific subunits (Hota, Johnson et al. 2019) (Figure 13) and there is a fine balance exists in the composition of the BAF complex (Hota, Johnson et al. 2019). Interestingly, we found significant decrease of chromatin accessibility at multiple components of the SWI/SNF chromatin remodeling complexes such as Smarcd3 (Baf60c), Arid1a (Baf250a), Smarcc2 (Baf170), Dpf3 (Baf45c), as well as Pbrm1 (Baf180) in Isl1 knockout embryos, suggesting a mechanism by which Isl1 reinforces its function in chromatin reorganization to drive cardiogenesis. Ablation of Baf250a, a critical regulatory subunit in SWI/SNF BAF complex, in the SHF using Mef2c-Cre leads to persistent truncus arteriosus, trabeculation defects, reduced cardiomyocyte proliferation and differentiation, and embryonic lethality around E13.0 (Lei, Gao et al. 2012). Baf180 deficiency in mouse embryos causes severe hypoplastic ventricle development and trophoblast placental defects, indicating a role of Baf180 in cardiac chamber maturation (Doerks, Copley et al. 2002). The cardiac-specific subunits of the complex, Baf45c and Baf60c, also play instrumental roles during cardiogenesis and cardiomyocyte differentiation (Lickert, Takeuchi et al. 2004, Lange, Kaynak et al. 2008, Sun, Hota et al. 2017). Baf170 was reported to facilitate cardiomyocyte differentiation, at least in part, via modulating chromatin accessibility (Hota, Johnson et al. 2019). Altogether, these data suggest that Isl1 not only works in concert with Brg1-Baf60c-based SWI/SNF chromatin remodeling complex in shaping the chromatin landscape of its targets, but also functions in the reorganization of the chromatin structure of its subunits during cardiogenesis.

# 4.6 Isl1 plays a pioneering function independently of Gata4

During heart development, the complex morphogenetic and molecular events are regulated by many transcription factors, acting as a mechanistic link to the transcription apparatus for gene activation (Kathiriya, Nora et al. 2015). Gata4 plays a key role in heart development which was revealed by a lot of studies (Kuo, Morrisey et al. 1997 (Lun Zhou, 2017 #3171, Watt, Battle et al. 2004, Rivera-Feliciano, Lee et al. 2006, Laforest and Nemer 2011)}. It represents a prototypical example of a pioneer factor, which binds efficiently to its target sequences on nucleosomal DNA (Cirillo and Zaret 1999) and induces chromatin reorganization driving heart development and disease (He, Gu et al. 2014). Since Isl1 and Gata4 have overlapping expression in heart development (Heikinheimo, Scandrett et al. 1994), we analyzed whether Gata4 binding affects the pioneering function of Isl1 and vice versa. Comparison of Gata4 and Isl1 binding sites in CPCs with ATAC-Seq peaks, using the published Gata4 ChIP-exo data (Luna-Zurita, Stirnimann et al. 2016), showed that 41% Isl1 binding sites which characterized with open chromatin are co-bound by Gata4 (Figure 43A), suggesting that Isl1 and Gata4 often co-occupy at target sites with accessible chromatin. This is consistent with the finding that Gata4 and Isl1 share common targets in the developing heart, such as Hand2 (D.G. McFadden 2000). Furthermore, the ATAC-Seq signal at their cooccupied sites is higher than the ones they bind alone (Figure 43B bottom and Figure 44), suggesting that Gata4 binding reinforces the pioneering function of Isl1 or the pioneer functions of Isl1 and Gata4 lead to a synergistic effect on chromatin opening at their common target sites. Consistently, transcription of Fgf10 mediated by Isl1 is reported to be enhanced by the presence of Gata4 (Golzio, Havis et al. 2012).

Moreover, we identified 59% of accessible chromatin sites with Isl1 binding are not bound by Gata4 (Figure 43), supporting the notion that Isl1 functions as a pioneer transcription factor not depending on Gata4 at these binding sites. In addition, the high average ATAC signal at Isl1-ATAC (IA) sites compared to ATAC only (A) sites further supports a role of Isl1 in chromatin opening in CPCs (Figure 40). Importantly, reduction in chromatin accessibility was observed in both Isl1-ATAC sites bound or not bound by Gata4 (IGA or IA) when Isl1 is depleted in mouse embryos (Figure 44), supporting our conclusion that Isl1 acts as pioneer factor independently of Gata4. Interestingly, we observed that Gata4 binding at Isl1 target sites was decreased in CPCs with Isl1 loss-of-function in our study (Figure 45). Since Gata4 is found to be a direct downstream target of Isl1 in our study and knockout of Isl1 leads to downregulation of Gata4 in both mESC-derived CPCs and in embryonic tissues, the lower Gata4 occupancy could be a result of reduced level of Gata4 caused by Isl1 loss-of-function.

## 4.7 Conclusions and perspectives

In our study, we found that Isl1 is not only indispensable for early stage of cardiogenesis but also important for later stages of heart development. Reduced *Isl1* level caused various types of cardiac phenotype similar with what presented in human CHD patients. Isl1 acts as a master transcription factor in SHF development by orchestrating a regulating network driving cardiogenesis and functions as a pioneer factor to reorganize the chromatin accessibility together with Brg1/Baf60c remodeling complex, to further regulate target gene expression and determine cardiac lineage cell fate.

In our work, we answered the questions we want to address in the objectives, but further studies need to be done to better understand the mechanisms underlying the role of Isl1 in regulating SHF development. For example, we identified Isl1 works jointly with Brg1 in the reorganization of chromatin landscape, but it is not clear how does Brg1 affect Isl1 behavior. Studies on Isl1 binding with Brq1 depletion are necessary to prove whether Brg1 affects Isl1 binding on its target sites or only affects the process of chromatin opening. Besides, we suspect that Isl1 may work together with other chromatin remodeling complexes to shape the chromatin accessibility at some target genes, it is not clear which chromatin remodeler also participates in this process. In addition, to recruit cofactors (transcription factors, chromatin modifiers, repressors, and so on) and allow their binding to the open sites is one of the typical activities of a pioneer factor as described in the introduction (Figure 10). In this study, we identified quite a lot of new Isl1 targets, but it is still not very clear which cofactors are recruited to the open sites to work cooperatively with Isl1 in regulating these target genes after chromatin landscape reorganization. The identification of additional cofactors of Isl1, for example, using mass spectrometry, could help to clarify the clearer regulatory pattern.

During development, a fraction of transcription factors are retained on condensed mitotic chromosomes and "bookmark" specific loci, thus facilitating rapid gene reactivation postmitosis and providing a transcriptional memory (Bellec, Radulescu et al. 2018, Mayran and Drouin 2018). Interestingly, the majority of the known mitotically retained TFs function as pioneer factors, such as FOXA1, GATA1 and SOX2 (Caravaca, Donahue et al. 2013, Bellec, Radulescu et al. 2018). In our study, we found Isl1 binds a group of cardiomyocytes structural genes in CPCs which are supposed to be highly expressed at the later stages of

heart development when Isl1 is no longer actively transcribed. As a pioneer factor, further studies are needed to address whether Isl1 has a bookmarking function during cardiogenesis. To determine whether Isl1 acts as a bookmarking factor in CPCs, mitotic and asynchronous subcellular fractionations (Liu, Pelham-Webb et al. 2017) in CPCs will be necessary. Expression of Isl1 in both fractions could be detected by WB and performing Isl1 ChIP-Seq in both fractions would be helpful to determine the binding of Isl1 on specific target sites and regulation of Isl1 on different subsets of genes at different cell states.

In addition, we firstly found Isl1 acts as a pioneer factor in cardiogenesis in this study, it will be interesting to determine whether Isl1 also functions as a pioneer factor in other tissues or developmental processes of other organs, for example, in the neural system, since Isl1 is highly expressed in the neural system (Zhuang, Zhang et al. 2013) and was reported to be essential for sympathetic neuron proliferation, differentiation and diversification, as well as motor neuron development (Liang, Song et al. 2011, Zhang, Huang et al. 2018).

# 5. Materials and Methods

# 5.1 Chemicals used in this study

Chemicals	Source of supply	Reference number
2-Log DNA ladder (0.1-10.0 kb)	NEB	N3200L
Agarose	Carl Roth	3810.4
Ammonium persulfate (APS)	Sigma	A3678-25G
Ampicillin sodium salt	Sigma	A99518-25G
aqueous 30 % acrylamide and bisacrylamide stock solution (37.5:1)	Carl Roth	3029.1
BSA powder	Carl Roth	8076.2
BSA (used for ChIP)	NEB	B9000s
Bromophenol Blue	Sigma	B0126
Chloroform	Carl Roth	3313.4
Dimethyl Sulfoxide (DMSO)	Sigma	D-8779
Dithiothreitol (DTT)	Carl Roth	6908.3
Dry-milk, non-fat milk	BIO-RAD	170-6404
Ethylene glycol tetraacetic acid (EGTA )	Carl Roth	3054.2
Ethanol	Carl Roth	K928.3
Ethanol	Sigma	459844
Ethidium bromide solution	Sigma	E1510-10ML
Formaldehyde	Sigma	F8775
Glycerol	Carl Roth	3783.1
Glycine	Carl Roth	398.2
Herculase II Fusion Enzyme with dNTPs Combo	Agilent	600677
H2A/H2B dimers	NEB	M2508S
H3/H4 tetramers	NEB	M2509S
Igepal	Sigma	CA-630
Isopropanol	Carl Roth	6752.4
LB-agar (Lennox)	Carl Roth	X965.2
LB-medium (Lennox)	Carl Roth	X964.2
LiCl	Carl Roth	3739.1
Methanol	Carl Roth	4627.5

MgCl <sub>2</sub>	Sigma	M2393-500G
Nonidet P-40 (NP-40)	Fluka	74385
NaCl	Sigma	S3014
Na <sub>2</sub> EDTA	Sigma	E5134-250G
NaH <sub>2</sub> PO <sub>4</sub> · H <sub>2</sub> O	Sigma	53522-1KG
Na <sub>2</sub> HPO <sub>4</sub> · 12H <sub>2</sub> O	Sigma	04273
Phenol/Chloroform/Isoamyl alcohol	Carl Roth	A156.1
Protein A sepharose 6MB	GE Healthcare	17-0469-01
Protein G sepharose 4 fast flow	GE Healthcare	17-0618-01
Proteinase K	Sigma	P8044
Paraformaldehyde (PFA)	Sigma	15,812-7
Protease inhibitor cocktail set I	Calbiochem	535142
Phosphatase inhibitor Cocktail set V	Calbiochem	524632
Phenylmethylsulfonyl fluoride (PMSF)	Serva	32395
Potassium chloride (KCI)	Carl Roth	6781.3
PageRuler Plus Prestained Protein Ladder	Thermo Scientific	26619
Qiazol	Qiagen	79306
RedTaq PCR Reaction Mix	Sigma	R2523
Sodium dodecyl sulfate (SDS)	Sigma	L4390-100G
RNAse inhibitor	Applied biosystems	N8080119
Tetramethylethylenediamine (TEMED)	Carl Roth	2367.1
Triton X-100	Sigma	X100-500ML
Trizol	Invitrogen	15596026
Tris	Carl Roth	4855.2
Tween 20	Sigma	P1379
Urea	Carl Roth	2317.2
β-mercaptoethanol	Sigma	M3148

# 5.2 Kits used in this study

Source of supply	Reference number
GE Healthcare	RPN2232

GenElute <sup>™</sup> gel extraction kit	Sigma	NA1111
GenElute <sup>TM</sup> HP plasmid midiprep kit	Sigma	NA0200
GenElute <sup>TM</sup> HP plasmid miniprep kit	Sigma	NA0160
GenElute <sup>™</sup> PCR clean-up kit	Sigma	NA1020
High-Capacity cDNA Reverse Transcription Kit	Applied Biosystems	4368813
Nextera DNA Library Prep Kit	Illumina	15028211
Pierce™ BCA Protein Assay Kit	Thermo Scientific	23225
QIAquick PCR Purification Kit	Qiagen	28104
Quant-iT™ PicoGreen™ dsDNA Assay Kit	Invitrogen	P11496
RNeasy Microarray Tissue Mini Kit	Qiagen	73304
2x SYBR Green master mix	Applied Biosystems	4367659

# 5.3 Cell culture medium and supplements

Medium/supplements	Source of supply	Reference number
FBS	Gibco	10270-106
DMEM (1x)	Gibco	10938-025
DMEM + Glutamax	Gibco	61965-026
L-Glutamine	Gibco	25030-024
sodium pyruvate	Gibco	11360-039
Penicillin-Streptomycin	Gibco	15140-122
non-essential amino acids	Gibco	11140-035
leukemia inhibitory factor	Millipore	ESG1107
mitomycin	Sigma	M4287
KnockOut DMEM medium	Gibco	10829-018
KnockOut Serum Replacement	Gibco	10828-028
β-mercaptoethanol	Sigma	M3148
X-tremeGENE HP DNA Transfection Reagent	Roche	6366236001
Lipofectamine 2000	Invitrogen	11668019
Puromycin	Gibco	A11138-03
Neurobasal medium	Gibco	1103049
DMEM/F12	Gibco	21331020

		12440053
Ham's F12 Nutrient Mix	Gibco	11765054
StemPro™-34 SFM	Gibco	10639011
N2 supplement	Gibco	17502-048
B27 supplement	Gibco	17504-044
B27 supplement without Vitamin A	Gibco	12587-010
Activin A	R&D systems	338-AC
BMP4	R&D systems	314-BP
VEGF	R&D systems	293-VE-010
bFGF	R&D systems	233-FB
FGF10	R&D systems	345-FG
7.5% BSA (	Gibco	15260037
1-Thioglycerol	Sigma	M6145
L-Ascorbic Acid S	Sigma	A4403
Trypsin-EDTA (1x) 0.05%	Gibco	25300-054
DPBS	Gibco	14190-094
gelatin	Sigma	G9391
Poly (2-hydroxyethyl methacrylate)	Sigma	P3932
DMSO S	Sigma	D2650
POLYBRENE	Merck	TR-1003-G

# 5.4 Further materials

Further materials	Source of supply	Reference number
15ml Centrifuge Tubes	Greiner Bio-One	188271
50ml Centrifuge Tubes	Greiner Bio-One	227261
5ml Plastic pipet	Greiner Bio-One	606180
10ml Plastic pipet	Greiner Bio-One	607180
25ml Plastic pipet	Greiner Bio-One	760180
6-cm dish (cell culture)	Greiner Bio-One	628160
10-cm dish (cell culture)	Greiner Bio-One	664160
15-cm dish (cell culture)	Greiner Bio-One	639160

10-cm petri-dish	Greiner Bio-One	633180
6-well plates (cell culture)	Greiner Bio-One	657160
12-well plates (cell culture)	Greiner Bio-One	665180
24-well plates (cell culture)	Greiner Bio-One	662160
48-well plates (cell culture)	Greiner Bio-One	677180
96-well plate F bottom with lid	Greiner Bio-One	655180
96-well plate V bottom with lid	Greiner Bio-One	651180
Forceps (Dumont 5 antimagnetic e)	Carl Roth	LH66.1
MicroAMP fast optical 96-well reaction plate with barcode 0.1mL	Applied Biosystems	4346906
MicroAMP Optical adhensive film	Applied Biosystems	4311971
Reservior	VWR	89094-664

# 5.5 Equipment used in this study

Equipment	Source of supply
Bacterial incubator 37°C	Thermo Scientific
Binocular microscope M165FC	Leica
Binocular microscope MZ16FA	Leica
Cell culture incubator	Thermo Scientific
Cell culture safety cabinet	Thermo Scientific
Centrifuge HERAEUS Fresco 17	Thermo Electron Corporation
Centrifuge HERAEUS Multifuge 1S-R	Thermo Electron Corporation
Centrifuge HERAEUS Pico 17	Thermo Electron Corporation
Cold light source KL2500 LCD	Zeiss
Confocal microscope LSM 700	Zeiss
Developing machine	GE Healthcare
Fluorescence microscope DM6000B	Leica
Heating block TH 21	HLC BioTech
Light microscope Wilovert S	Hund Wetzlar
Nanodrop 2000c Spectrophotometer	peQLab
Qubit™ Fluorometer	Thermo Scientific

Real-Time StepOne Plus Real-Time PCR System	Applied Biosystems
Rocking platform 444-0142	VWR
Rotator SB3	Stuart
Scale LC 4800 P	Sartorius
SDS-PAGE apparatus	Bio-Rad
Western Blotting Transfer apparatus	Bio-Rad
Sonifier Sonopuls GM 2070	Bandelin electronic
Thermal cycler C1000 Touch	BIO-RAD
Thermo block 5436	Eppendorf
Thermo block MHR 23	HLC BioTech
UV light source ebq100	Leistungselektronik Jena
UV light source EL 6000	Leica
UV-Crosslinker	Analytikjena
Vortexer VF2	IKA®-Labortechnik Staufen
Water bath cell culture WMB 22	Memmert
Water bath Julabo U3	Julabo Labortechnik GMBH

# 5.6 RNA extraction, cDNA synthesis and qPCR

#### 5.6.1 RNA extraction

Total RNA from cell or tissue samples was extracted with TRIzol™ Reagent (Invitrogen, 15596026) for qPCR or with QIAzol Lysis Reagent and RNeasy Microarray Tissue Mini Kit (Qiagen, 73304) for RNA-Seq according to the user guides.

#### **RNA** extraction with TRIzol™ Reagent:

- (1) Lyse and homogenize samples in TRIzol™ Reagent: for cells, pipet the lysate up and down several times to homogenize; for tissue, add 1 ml of TRIzol™ Reagent per sample and homogenize using a homogenizer. Incubate for 5 min at room temperature (RT, 15-25°C). Samples can be stored at -80°C for later manipulation or continued to step 2 immediately.
- (2) Add 0.2 ml of chloroform per 1 ml of TRIzol™ Reagent used for lysis. Then securely cap the tube and vortex for 15 s.
- (3) Incubate for 2-3 min at RT. Centrifuge the sample for 15 min at 12,000  $\times$  g at 4°C.

- (4) The mixture separates into a lower red phenol-chloroform, and interphase, and a colorless upper aqueous phase. Transfer the aqueous phase containing the RNA to a new tube.
- (5) Add 1 volume of isopropanol to the aqueous phase. Incubate for 10 min at RT.
- (6) Centrifuge for 10 min at 12,000  $\times$  g at 4°C. Total RNA precipitate forms a white gel-like pellet at the bottom of the tube.
- (7) Discard the supernatant with a micropipette.
- (8) Wash the pellet in 1 ml of 75% ethanol.
- (9) Vortex the sample briefly. Then centrifuge for 5 min at  $7500 \times g$  at  $4^{\circ}$ C.
- (10) Discard the supernatant with a micropipette.
- (11) Centrifuge the pellet for 5 min at 7500× g at 4°C. Then remove the supernatant completely.
- (12) Air dry the RNA pellet for around 10 min.
- (13) Dissolve the pellet in 20-50  $\mu$ l of RNase-free water.

#### RNA extraction with QIAzol Lysis Reagent and RNeasy Microarray Tissue Mini Kit:

- (1) Lyse and homogenize samples in QIAzol Reagent: for cells, pipet the lysate up and down several times to homogenize; for tissue, add 1 ml of QIAzol Reagent per sample and homogenize using a homogenizer. Incubate for 5 min at RT. Samples can be stored at -80°C for later manipulation or continued to step 2 immediately.
- (2) Add 0.2 ml of chloroform per 1 ml of QIAzol Reagent used for lysis. Then securely cap the tube and vortex for 15 s.
- (3) Incubate for 2-3 min at RT. Centrifuge the sample for 15 min at 12,000 × g at 4°C.
- (4) The mixture separates into a lower red phenol-chloroform, and interphase, and a colorless upper aqueous phase. Transfer the upper phase containing the RNA to a new tube.
- (5) Add 1 volume of 70% ethanol, and mix thoroughly by pipetting up and down. Transfer up to 700  $\mu$ l of the sample to an RNeasy Mini spin column placed in a 2 ml collection tube. Close the lid gently, and centrifuge for 15 s at 13,000 rpm at RT. Discard the flow-through. If your

sample is more than 700  $\mu$ l, repeat this step using the remainder of the sample. Discard the flow-through.

- (6) Add 700 μl Buffer RW1 to the RNeasy spin column. Close the lid gently, and centrifuge for 15 s at 10,000 rpm to wash the membrane. Discard the flow-through.
- (7) Add 500µl Buffer RPE to the RNeasy spin column. Close the lid gently, and centrifuge for 15 s at 13,000 rpm to wash the membrane. Discard the flow-through.
- (8) Add 500 μl Buffer RPE to the RNeasy spin column. Close the lid gently, and centrifuge for 2 min at 13,000 rpm to wash the membrane. Discard the flow-through.
- (9) Place the RNeasy spin column in a new 2 ml collection tube, and discard the old collection tube with the flow-through. Close the lid gently, and centrifuge at full speed for 1 min.
- (10) Place the RNeasy spin column in a new 1.5 ml collection tube. Add 30-50  $\mu$ l RNase-free water directly to the spin column membrane. Close the lid gently. To elute the RNA, centrifuge for 1 min at 13,000 rpm.
- (11) Repeat step 10 using another volume of RNase-free water, or using the eluate from step 10 (if high RNA concentration is required). Reuse the collection tube from step 10.

Total RNA quality was checked by running an agarose gel in 1× TBE buffer (0.089M Tris, 0.089M  $H_3BO_3$ , 2 mM  $Na_2EDTA$  pH 8.0) with RNA mixed with 2× RNA loading buffer (100  $\mu$ l formamide, 40  $\mu$ l formaldehyde, 20  $\mu$ l 10× TBE and 2  $\mu$ l Ethidium bromide). RNA concentration was measured by NanoDrop2000/2000c or TECAN spectrophotometers.

### 5.6.2 cDNA synthesis

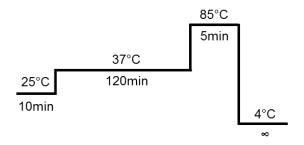
cDNA synthesis was done with the High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems, 4368813) and PCR machine from BioRad (C1000 Touch™ Thermal Cycler). Generally, 1 µg total RNA was mixed with 2× RT master mix for each sample.

#### 2× RT master mix:

Component	Volume
10× RT Buffer	2 μΙ
25× dNTP Mix (100 mM)	0.8 μΙ

10× RT Random Primers	2 μΙ
MultiScribe™ Reverse Transcriptase	1 μΙ
RNase Inhibitor	1 μΙ
Nuclease-free H₂O	3.2 μΙ
Total per reaction	10 μΙ

## Program used in the thermal cycler for cDNA synthesis:



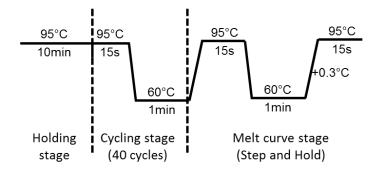
## 5.6.3 Quantitative real-time polymerase chain reaction (qPCR)

qPCR was done with Power SYBR Green PCR Master Mix (Applied Biosystems, 4367659) and the qPCR machine from Applied Biosystems (StepOne Plus System). cDNA synthesized from 1  $\mu$ g RNA was diluted by 1 to 10 and 1  $\mu$ l dilution was used for qPCR reaction.

### qPCR reactions were carried out in 10 μl volume:

Component	Volume
2× SYBR® Green buffer	5 μΙ
5 μM primer F stock	0.5 μΙ
5 μM primer R stock	0.5 μΙ
Template (gDNA/cDNA)	1 μΙ
H <sub>2</sub> O	3 μΙ
Total per reaction	10 μΙ

## For qPCR, following thermal cycle condition was used:



### 5.7 Mouse lines

*Isl1* knockout mouse line is a kind gift from Sylvia Evans and the floxed Brg1 mouse line is kindly provided by Pierre Chambon and Daniel Metzger.

### 5.8 Genomic DNA extraction

Mice tails or ear tissues were incubated in 200-500  $\mu$ l lysis buffer with proteinase K over night at 55°C with 400 rpm shaking. Next day proteinase K was heat inactivated by incubating the samples at 95°C for 10 min. Then the tissue debris was pelleted for 5 min, 6,000 rpm and supernatant was transferred into new tubes and mixed with equal volume Isopropanol to precipitate genomic DNA (gDNA). The samples were centrifuged for 15 min (15,000 rpm, 4°C). Then supernatant was removed. Genomic DNA pellet was washed with 70% EtOH and centrifuged for 5 min with 15,000 rpm at 4°C. Discard the supernatant. The pellet was air-dried for 10 min at RT. Finally, dissolve the pellet in 100-300  $\mu$ l ddH<sub>2</sub>O.

Lysis buffer for mouse tails or ear tissues:

Final concentration	Stock	Volume of stock for 200 ml solution
100 mM Tris pH 8.5	1 M Tris pH 8.5	20 ml
5 mM EDTA	0.5 M EDTA pH 8.0	2 ml
0.2% SDS	10% SDS	4 ml
200 mM NaCl	5 M NaCl	8 ml
H <sub>2</sub> O		166 ml
Total volume		200 ml

Freshly adding proteinase K (10  $\mu$ g/ $\mu$ l proteinase K stock is 100× for adult tissues and 10× for embryonic tails) before using.

### 5.9 Genotyping

1 μl of gDNA was used in the genotyping PCR reaction (total reaction volume 10 or 20 μl). REDTaq® ReadyMix™ PCR Reaction Mix (Sigma, R2523) was used for PCR reactions.

**PCR reaction** (take 20 µl reaction system as an example):

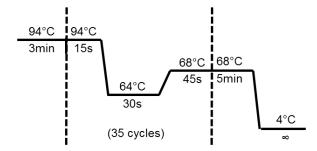
10 μl 2xRedTaq

1 μl primer1/2/3

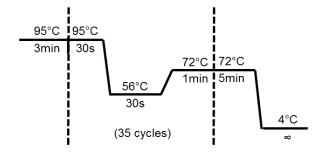
1 μl gDNA

 $ddH_2O$  to 20  $\mu l$ 

### Program used in the thermal cycler for genotyping of Isl1 mice:



### Program used in the thermal cycler for genotyping of Brg1 mice:



## Primers used for genotyping:

Isl1-F, ACTATTTGCCACCTAGCCACAGCA

Isl1-(384)-R, AATTCACACCAAACATGCAAGCTG

Isl1-Cre-Jes-R, CTAGAGCCTGTTTTGCACGTTC

Brg1\_P1 (TH185), GTCATACTTATGTCATAGCC

Brg1\_P2 (TG57), GCCTTGTCTCAAACTGATAAG

Brg1\_P3 (TB82), GATCAGCTCATGCCCTAAGG

10× DNA loading buffer for agarose gel electrophoresis (protocol from Takara):

0.9% SDS

50% Glycerol

0.05% Bromophenol Blue

**DNA** gel electrophoresis:

Load the PCR products in 1% agarose gel and run at 145V for 30 min in parallel with DNA ladder. Then check the results and take images with a UV gel imaging system.

5.10 Protein extraction and co-immunoprecipitation (Co-IP)

mESC-derived CPCs and homogenized embryo tissues were lysed in Co-IP buffer (50 mM Tris HCl pH 7.5, 10 mM EGTA, 100 mM NaCl, 0.1% Triton X-100, freshly adding protease inhibitor and phosphatase inhibitor) on ice for 15 min; then sonicate for 5-10 s with the tip sonifier. Then centrifugation was done at 4°C for 15 min with top speed. Transfer the supernatant to a new 1.5 ml tube. Protein concentration was determined with BCA method (Thermo scientific, 23225). Protein was then subjected to Co-IP or added with 2× SDS sample buffer (125 mM Tris-Cl pH 6.8, 4% SDS, 20% glycerol, 100 mM DTT, 0.02% Bromophenol blue) for Western Blot (WB).

For Co-IP done in mESC-derived CPCs, 5 million cells are used for each sample. For Co-IP done in embryos, 30 E8.25-E9.0 mouse embryos are used for each sample. The lysates were precleared with Protein-G-Sepharose beads (GE Healthcare, 17-0618) for 1-3 hours at 4°C; then incubated with the indicated antibodies overnight at 4°C followed by 1h incubation with Protein-G-Sepharose beads (GE Healthcare, 17-0618). Immunoprecipitates were washed 3-5 times with lysis buffer, then dissolved in 2× SDS sample buffer; incubated at 95°C for 10 min and subjected to standard WB analysis.

5.11 SDS-PAGE and Western Blot

Proteins were mixed with 2× SDS sample buffer (125 mM Tris pH 6.8, 4% SDS, 20% glycerol, 100 mM DTT, 0.02% bromophenol blue) and incubated at 95°C for 10 min. Electrophoretical separation was performed with 10-15% SDS-PAGE gels (Bio-Rad). Proteins were transferred to nitrocellulose membrane using a wet-blot system (Bio-Rad) for 1-2 hours at 100V according to the molecular weight of the protein. Membranes were blocked for 1 hour at

108

room temperature (RT) with shaking in 5% milk (Bio-Rad) or BSA (Carl Roth) solved in 1× TBST buffer (0.242% Tris, 0.8% NaCl, 0.1% Tween-20). The primary antibodies were diluted in 5% milk or BSA in TBST and incubated with the membrane at 4°C overnight. Blots were washed 3 times (shaking for 10 min per washing) at RT with TBST. Secondary antibodies were diluted in TBST (1:10,000, HRP-conjugates). The incubation was followed by 3 times washing (shaking for 10 min per washing) at RT with TBST. After additional washing steps, the blots were developed by adding ECL reagent (GE Healthcare) and imaged with a developing system (GE Healthcare).

### Separation gel (5 ml)

Component		Volume		
	10%	12%	15%	
ddH <sub>2</sub> O	1.9 ml	1.6 ml	1.1 ml	
30% aqueous acrylamide solution with 0.8% bisacrylamide (37.5:1)	1.7 ml	2.0 ml	2.5 ml	
Buffer 1.5M Tris, pH8.8	1.3 ml	1.3 ml	1.3 ml	
10% SDS	50 μΙ	50 μΙ	50 μΙ	
10% APS	50 μΙ	50 μΙ	50 μΙ	
TEMED	2 μΙ	2 μΙ	2 μΙ	

### 4% stacking gel (2 ml)

Component	Volume
ddH <sub>2</sub> O	1.4 ml
30% aqueous acrylamide solution with 0.8% bisacrylamide (37.5:1)	0.33 ml
Buffer 1.5 M Tris, pH 6.8	0.25 ml
10% SDS	20 μΙ
10% APS	20 μΙ
TEMED	2 μΙ

## 1× SDS PAGE running buffer:

0.125 M Tris base

0.96 mM Glycin

0.5% SDS

### 1× Transfer buffer:

25 mM Tris

0.2 mM Glycin

10% Methanol (freshly adding)

## 10× TBS (pH 7.6):

2.42% Tris

8% NaCl

## Primary antibodies used for Co-IP and WB:

Antibody	Host	Usage	Company
Isl1	Mouse	WB (1 to 10) and Co-IP	DSHB, 39.4D5
Brg1	Rabbit	WB (1 to 1000) and Co-IP	Millipore, 07-478
Baf60c	Rabbit	WB (1 to 500)	a kind gift from Pier Lorenzo Puri
α-tubulin	Mouse	WB (1 to 3000)	Sigma, T5168
IgG	Mouse	Co-IP	CST, 2729
IgG	Rabbit	Co-IP	Santa Cruz, sc-2025

# Secondary antibodies used for WB:

Antibody	Host	Dilution	Company
α-mouse HRP	donkey	1 to 10,000	Jackson ImmunoResearch,
			715-035-150

α-rabbit HRP	donkey	1 to 10,000	Jackson ImmunoResearch,
			111-035-003

## 5.12 Culturing of primary cells and cell lines

### 5.12.1 Mouse embryonic fibroblasts (MEFs)

MEFs are isolated from WT E13.5 mouse embryos. The isolation and culture processes are as follows:

- (1) Sacrifice the pregnant mouse.
- (2) Lie down the mouse on its back and swab with 70% ethanol. Using scissors make a cut across the belly and cut away the skin to expose the gut. With sterile forceps and scissors, dissect out the uterus and place it into a petri dish with sterile PBS.
- (3) Isolate the embryos from the uterus, and release the embryos from the embryonic sacs. Transfer embryos to a second petri dish with sterile PBS.
- (4) Remove the embryo heads and limbs and scoop out the liver, intestines and heart with a pair of forceps. Transfer the embryo carcass into a sterile 15mL tube with a sufficient volume of Trypsin/EDTA to cover the carcasses. Using scissors finely mince the tissue.
- (5) Incubate the tissue for 15 min at 37°C, then pipette the tissue a few times through a glass Pasteur pipette to dissociate the tissue. Allow the large pieces of cellular debris to settle. Remove the supernatant into a fresh tube and add 50 ml of MEF medium. Transfer to a 10-cm<sup>2</sup> dish. Seed cells from 2-3 embryos/dish. Incubate at 37°C with 5% CO<sub>2</sub>.
- (6) MEFs should attach and begin to divide in 1-3 days. After 2 days, change the medium. When the cells are confluent, usually in 3-4 days, the cultures are ready for freezing. Freeze them in MEF medium with 10% DMSO and 10% fetal bovine serum (FBS), at  $2\times10^6$  cells/vial (referred to as P0). Or continue culturing them by splitting them by 1:2 to 1:4 when they are very confluent. You can passage them up to P7.

#### MEF medium:

500 ml DMEM high glucose (4.5 g/l)

6 ml 100× Sodium pyruvate

6 ml 100× Penicillin-Streptomycin

6 ml 100× MEM NEAA

6 ml 100× L-Glutamine

50 ml fetal bovine serum

8 ul β-mercaptoethanol

### **Preparing feeders:**

Mitomycin C powder (Sigma, M4287) was dissolved in sterilized  $ddH_2O$  to get a concentration of 1 mg/ml and then filtered by 0.22  $\mu$ m filter. Add newly prepared mitomycin C (10  $\mu$ g/ml final concentration) to dishes with confluent MEFs. Treat the cells for 2 hours in the incubator. Then remove the medium and wash the treated cells for 3 times with PBS. Trypsin the cells and freeze them. Store the cells in liquid nitrogen for long term use. When you use, thaw the cells by 1 to 1 in MEF medium.

### 5.12.2 Mouse embryonic stem cell lines (mESCs)

E14 Tg(Nkx2.5-eGFP) ES cells (Hsiao, Yoshinaga et al. 2008) were maintained on mitomycin C treated mouse embryonic fibroblasts (feeders) in KnockOut ESC medium and cultured at 37°C with 5% CO<sub>2</sub>. Split regularly every second day.

KnockOut ESC medium: KnockOut DMEM medium (Gibco, 10829-018) containing 4.5 mg/ml D-glucose, supplemented with 10% KnockOut serum replacement (Gibco, 10828-028), 2 mM L-Glutamine (Gibco, 25030-024), 0.07 mM  $\beta$ -mercaptoethanol (Sigma, M3148), 0.1 mM nonessential amino acids (Gibco, 11140-035), 1 mM sodium pyruvate (Gibco, 11360-039), 100 U/ml Penicillin-Streptomycin (Gibco, 15140-122) and 1,000 U/ml of leukemia inhibitory factor (LIF ESGRO, Millipore).

### 5.12.3 HEK293T cell line

HEK293T cells (ATCC, CRL-11268) were cultured in DMEM medium containing 4.5 mg/ml D-glucose, plus 10% FBS (Gibco, 10270-106), 2 mM L-Glutamine (Gibco, 25030-024), 1 mM sodium pyruvate (Gibco, 11360-039), 100 U/ml Penicillin-Streptomycin (Gibco, 15140-122) at  $37^{\circ}$ C incubator with 5% CO<sub>2</sub> and split regularly at 80% confluency.

### 5.13 Generation of stable ES cell lines

HEK293T cells were plated one day before the transfection on 6-well plate. The cells were transfected with the following plasmids the next day when they reach around 50% confluency:

975 ng pCMV ΔR8.74 (addgene, 22036, lentiviral packaging plasmid)

525 ng pMD2.G (addgene, 12259, lentiviral envelope expressing plasmid)

1.5 μg shRNA/PLKO (lentiviral vectors)

**shRNA targeting sequences**: (From shRNA library kept in Max Planck institute for heart and lung research)

Isl1 shRNA-3: CTAACCCAGTAGAGGTGCAAA

Isl1 shRNA-5: CGGCAATCAAATTCACGACCA

Baf60c shRNA-1: CGCCTAAAGTTCTCTGAGATT

Baf60c shRNA-2: GCTGCGCCTTTATATCTCCAA

Brg1 shRNA-1: GCTGCCAAATACAAACTCAAT

Brg1 shRNA-2: CCCACGATACAACCAGATGAA

Transfection was done according to the handbook of X-tremeGENE HP DNA Transfection Reagent (Roche, 6366236001). Plasmid: transfection reagent = 1:3. Change the medium to DMEM ESC medium (DMEM containing 4.5 mg/ml D-glucose, plus 15% FBS, 2 mM L-Glutamine, 1 mM sodium pyruvate, 100 U/ml Penicillin-Streptomycin, 0.1 mM non-essential amino acids, 0.1 mM  $\beta$ -mercaptoethanol) for the transfected HEK293T cells the next day. 72 hours after the transfection, virus was harvested by collecting the supernatant and centrifuging for 20 min at 4,200 rpm at RT. 0.1-0.2 million ES cells were infected with 2 ml virus in ESC medium plus 1,000 U/ml LIF and 8  $\mu$ g/ml polybrene (Sigma, TR-1003-G) plating in one well of 5% Poly (2-hydroxyethyl methacrylate) (Sigma, P3932) coated 6-well plate. The next day, plate the infected cells on feeders. One day later, change the medium to KnockOut ESC medium. The next day, start the selection with 5  $\mu$ g/ml puromycin. Two days later, change the medium. Now the cells can be harvested to check the knockdown efficiency and expanded for further experiments.

## 5.14 Generating Isl1 KO mESC lines by CRISPR-Cas9 technique

Cas9 plasmid PX459 was obtained from Addgene (plasmid #62988). sgRNAs were synthesized and cloned into PX459. mESCs (E14:Nkx2.5-GFP line) were transfected with PX459-Isl1 gRNA1 and PX459-Isl1 gRNA2 transiently by using Lipofectamine 2000 (Invitrogen). After 24 hours of transfection, mESCs were selected with 4  $\mu$ g/ml puromycin for 48 hours and plated on feeder cells with 5,000 cells per 6-cm dish. After culture for around 7 days, clones were picked and screened by PCR.

#### gRNA sequences:

Isl1-gRNA1, CCGATTTAAGCCGGCGGAGT

Isl1-gRNA2, TCATGAGCGCATCTGGCCGA

#### Primers used for screening *Isl1* knockout clones:

primer1, GGCTTAATCCTCTCCTGCGG

primer2, TTTTTGGTGGATCGCCCATGTC

primer3, CTTGCCAAGAGTTGGGGTGA

### 5.15 Cardiac differentiation

### **Directed cardiac differentiation:**

ES cells were differentiated into cardiomyocytes by directed differentiation method described in (Wamstad, Alexander et al. 2012). Briefly, undifferentiated ES cells were grown on gelatin coated dishes in Neurobasal medium: DMEM/F12 (1:1; Gibco, 1103049 and 21331020) medium supplemented 2,000 U/ml LIF and 10 ng/ml BMP4 (R&D, 314-BP) for 2 days and differentiated by aggregation in low attachment petri dishes at a cell density of 75,000 cells/ml in IMDM: F12 medium (3:1; Gibco, 12440053 and 11765054). After 48h aggregates were dissociated and re-aggregated in the presence of Activin A (R&D, 338-AC; 5 ng/ml), VEGF (R&D, 293-VE-010; 5 ng/ml) and BMP4 (R&D, 314-BP; 0.1-0.8 ng/ml; Bmp4 concentration empirically determined depending on lot). 40h following the second aggregation, aggregates were dissociated and plated as a monolayer in Stempro-34 medium supplemented with Stempro34 nutrient supplement (Gibco, 10639011), L-Glutamine (2 mM, Gibco, 25030024), L-Ascorbic Acid (Sigma, A4403, 50 μg/ml), VEGF (R&D #293-VE-010; 5

ng/ml), bFGF (R&D, 233-FB; 10 ng/ml), and FGF10 (R&D, 345-FG; 25 ng/ml) growth factors. We usually obtain around 60-80% of Nkx2.5-GFP<sup>+</sup> CPCs using this protocol.

Nkx2.5-GFP<sup>+</sup> cells were FACS sorted at CPC stage for RNA extraction. FACS sorted was done by cell sorting core facility in medical faculty Mannheim of Heidelberg University.

### Neurobasal: DMEM/F-12 Growth medium composition for 50 ml

50% Neurobasal 25 ml

50% DMEM-F12 25 ml

0.5× N2 supplement 0.25 ml

0.5× B27 supplement 0.5 ml

1× Penicillin-Streptomycin 0.5 ml

1× L-Glutamin 0.5 ml

0.05% BSA 0.33 ml (Stock 7.5% BSA)

 $1.5 \times 10^4$  M monothioglycerol 0.5 µl

BMP4 10 ng/ml

LIF 2,000 U/ml

Differentiation medium: 50 ml

75% IMDM (with L-Gln) 37.5 ml

25% F12 (with L-Gln) 12.5 ml

0.5× N2 supplement 0.25 ml

0.5× B27 supplement without VA 0.5 ml

1× Penicillin-Streptomycin 0.5 ml

50 μg/ml L-Ascorbic acid 0.5 ml (100× Stock)

 $4.5 \times 10^{-4}$  M monothioglycerol 2 µl

Add growth factors when necessary

### Hanging drops:

ES cells from control and Isl1 KO Nkx2.5-GFP ESC lines were cultured by hanging drops of 500

cells per drop in 15 µl ESC medium, in the absence of LIF. After 2 days in the hanging drop

culture, the resulting embryoid bodies (EBs) were transferred to petri dishes in ESC medium.

The following time points were used for analysis: day2/3 for mesoderm; day4/5 for CPCs and

day9/10 for CMs.

5.16 Chromatin immunoprecipitation (ChIP)

Cells at different stages of differentiation and tissue from mouse embryos are dissociated to

obtain single cells suspension. Then cells were fixed with 1% formaldehyde (Sigma, F8775)

for 10 min at RT. Formaldehyde was quenched with glycine at final concentration of 125 mM

and washed three times with precooled PBS on ice. Cells were lysed in L1 lysis buffer (50 mM

Tris pH 8.0, 2 mM EDTA pH 8.0, 0.1% NP-40, 10% glycerol) for 5 min, and then nuclei were

resuspended in L2 nuclear resuspension buffer (1% SDS, 5 mM EDTA pH 8.0, 50 mM Tris pH

8.0) after centrifuging 5 min at 3,000 rpm 4°C, followed by shearing. The samples then were

diluted by 1:10 with DB-dilution buffer (0.5% NP-40, 200 mM NaCl, 5 mM EDTA, 50 mM Tris

pH 8.0). After preclearing, chromatin was incubated with primary antibody overnight at 4°C

followed by 1 hour incubation with Protein-A/G Sepharose beads (GE Healthcare).

Immunoprecipitates were washed four times with NaCl-washing buffer (0.1% SDS, 1% NP-40,

2 mM EDTA, 500 mM NaCl, 20 mM Tris pH 8.0), followed by three washes with LiCl-washing

buffer (0.1% SDS, 1% NP-40, 2 mM EDTA, 500 mM LiCl, 20 mM Tris pH 8.0) and three washes

with TE buffer (Tris pH 8.0 20 mM; EDTA 2 mM), then eluted with EB-extraction buffer (TE

pH 8.0, 2% SDS). Reverse cross-linking was done by incubation at 65°C overnight with

shaking. DNA was purified with phenol/chloroform extraction and precipitation. Purified

DNA was subjected to qPCR analysis or ChIP-Seq.

**Antibodies used for ChIP:** 

Isl1: Developmental Studies Hybridoma Bank, 39.4D5

Brg1: Millipore, 07-478

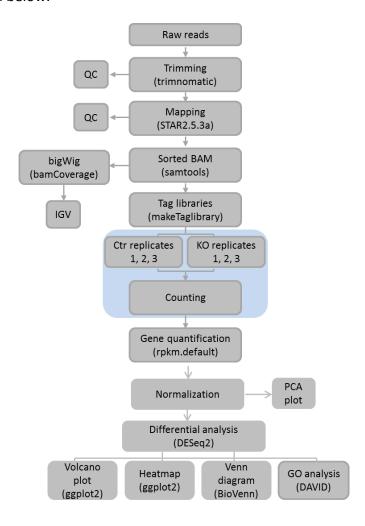
Gata4: Santa Cruz, sc-1237

116

### 5.17 Bioinformatic analysis

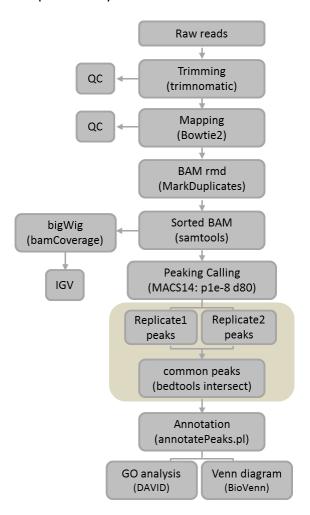
### 5.17.1 RNA-Seq

Sequencing was performed on the NextSeq500 instrument (Illumina) using v2 chemistry. All RNA libraries result in minimum of 30 million reads per library. All RNA-Seq reads were mapped to the mm9 reference genome using the STAR (PMID: 23104886) (-alignIntronMin 20 -alignIntronMax 500000). Samples were quantified by the use of analyzeRepeats.pl (PMID: 20513432) (mm9 -count exons -strand both -noadj). Differential gene expression and normalization was performed with DESeq2.Reads per kilobase per millions mapped (rpkm) for heatmap was obtained by the use of rpkm.default from EdgeR. The KNIME 2.9.1 platform (Konstanz, Germany) was used to filter differentially regulated genes. Heatmaps from the RNA-Seq were performed using heatmap.2 from ggplot2 in R. The PCA plots in each RNA-Seq set were obtained by the use of prcomp into a custom R-script. RNA-Seq data analysis workflow is shown below.



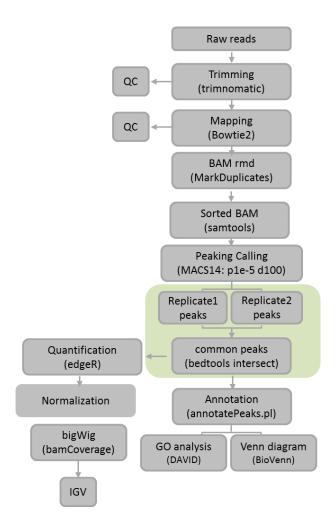
### 5.17.2 ChIP-Seq

ChIP-Seq reads were mapped to the mouse reference genome mm9 (UCSC assembly) using the default settings of bowtie2. Genome-wide distribution of the reads was performed using ngs.plot with mm9 Ensembl (version 67) as reference annotation. Peaks for Isl1-ChIP-Seq in Embryos and CPCs were called with the use of MACS14 PMID: 18798982 with the parameter (p1e8 d80 --nomodel --shiftsize 80). ChIP-Seq peak annotation was performed using annotatePeaks.pl (default settings). For data visualizing bam files were converted to BigWig files by the use of BamCoverage from deepTools2 (PMID: 24799436) (-b 20 -smooth 40 -e 150 --normalizeTo1x 2150570000). In Isl1 CPCs ChIP-Seq common peaks between both ChIP-Seq replicates, were obtained by the use of bedtools intersect (-wa). Venn diagrams were generated with BioVenn online tools (PMID: 18925949) and protein coding genes were used for all comparisons. ChIP-Seq data analysis workflow is shown below.



### 5.17.3 ATAC-Seq

Pharyngeal mesoderm and hearts of E8.5 embryos were dissected. Tissues or 50,000 CPCs were lysed in 50 µl cold lysis buffer (10 mM Tris-HCl pH 7.4, 10 mM NaCl, 3 mM MgCl<sub>2</sub>, 0.1% Igepal CA-630) and used for ATAC Library preparation using Tn5 Transposase from Nextera DNA Library Prep Kit (Illumina #15028211). After centrifugation (10 min, 500 g at 4°C), cell pellet was resuspended in the transposition reaction mix (25 µl TD-Buffer, 2.5 µl Tn5, 22.5 µl water) and incubated at 37°C for 30 min with gentle mixing. Immediately following the transposition reaction, purification was done using MinElute PCR Purification Kit (Qiagen, cat# 28004). Amplification of Library together with Indexing was performed as described in (Buenrostro, Giresi et al. 2013). Libraries were mixed in equimolar ratios and sequenced on NextSeq500 platform using V2 chemistry. The sequencing quality was assessed using FastQC (Anders and Huber 2010) (http://www.bioinformatics.babraham.ac.uk/projects/fastqc). Reads were mapped with bowtie2 to mm9 mouse genome. Samtools view (-F 1804 -f2) was used to remove reads unmapped, mate unmapped, not primary alignment. The MarkDuplicates.jar from Picard 1.136 was use to remove PCR artefacts. The mapped reads from two samples were merged using bam tools merge program (Barnett, Garrison et al. 2011). Peaks calling from each repetition was performed with by the use of MACS14 (PMID: 18798982) (p1e5 -nomodel and -shiftsize 100). Similar to Isl1-ChIP-Seq Bedtools v2.15 (intersect -wa) (PMID: 20110278) was used to obtain binding sites overlapping in at least 1bp each of the repetition. BamCoverage function of deepTools2 (PMID: 24799436) (-b 20 smooth 40 -e 150) was used to create normalized bigwig files (reads per genome coverage, RPGC). Further bigWigAverageOverBed (https://github.com/ENCODE-DCC/kentUtils) was used to quantify the mapped reads on the peaks areas. The quantified matrix was normalized using edgeR (PMID: 19910308). Heatmaps and density plots were creating using computer matrix followed by plotHeatmap or plotProfile from deepTools2 (PMID: 24799436). ATAC-Seq data analysis workflow is shown below.



For ATAC-Seq analysis three wild-type, three *Isl1-<sup>J-</sup>* and three *Isl1-Cre<sup>+/-</sup>/Brg1<sup>fl/fl</sup>* E8.5 (6 somites) embryos and ESC-derived CPCs from two independent differentiations were used.

### 5.17.4 Others

The Isl1 regulated gene network presented in Results Figure 27 was built by submitting a curated list of Isl1 primary downstream targets to the GeneMANIA web based software. The network was subdivided into transcription factors, signaling molecules, muscle structural and contractile genes and other genes involved in heart development and visualized using Cytoscape.

The taq heatmap was created using ngsplot PMID: 24735413 followed by the use of a home script. Bedtools v2.15 (intersect -wa) (PMID: 20110278) was used to find the common binding areas among Isl1-ChIP-Seq in CPCs, ATAC-Seq in CPCs and Gata4-ChIP-Seq in CPC (GSE72223). Similar settings were using to cross the common areas between Isl1- and Brg1-ChIP-Seq (GSE116281) and ATAC-Seq in CPCs vs ATAC-Seq in E8.5 embryos.

Gene ontology analysis was performed using DAVID Bioinformatics Resources 6.8. The other GO term related to cardiac muscle cell differentiation, endothelial cell differentiation and smooth muscle cell development/differentiation was obtained from (http://amigo.geneontology.org/amigo/landing). Computer matrix followed by plot profile from deepTools2 (PMID: 24799436) was used to plot the ATAC-Seq from E8.5 embryos on the TSS of the set of genes.

Brg1-ChIP-Seq (GSE116281) and Gata4-ChIP-Seq (GSE72223) were publically available data. Sequencing data (ChIP-Seq, RNA-Seq and ATAC-Seq) have been deposited in the Gene Expression Omnibus (GEO) under accession code GSE80383.

### 5.18 Primers used in this study

### 5.18.1 qPCR primers

Gene name	Sequence of qPCR primers
qGAPDH_F	AACTTTGGCATTGTGGAAGG
qGAPDH_R	GGATGCAGGGATGATGTTCT
qSox2_F	AGAACCCCAAGATGCACAAC
qSox2_R	TCTCGGTCTCGGACAAAGT
qNanog_F	ACCTGAGCTATAAGCAGGTTAAGA
qNanog_R	GGGATACTCCACTGGTGCTG
qBry_F	AGGGAGACCCCACCGAACGC
qBry_R	CCGGGAACATCCTCCTGCCGTT
qMesp1_F	GTTTAAGCCCGGCCGTCTGCA
qMesp1_R	ATGTCGCTGCAAGAGCGGAGA
qMesp2_F	TAGAAAGAGCCGCTGACTCC
qMesp2_R	CTAGGCTCTGGGGACATCTG
qMef2c_F	TCCATCAGCCATTTCAACAA

qMef2c_R	AGTTACAGAGCCGAGGTGGA
qIsl1_F	ACGTGCTTTGTTAGGGATGG
qIsl1_R	CAAGAAGTCGTTCTTGCTGA
qHand2_F	CGGAGAGGCGTTCA
qHand2_R	CAGGGCCCAGACGTGCTGTG
qTbx5_F	ATGGTCCGTAACTGGCAAAG
qTbx5_R	ACAAGTTGTCGCATCCAGTG
qTbx20_F	GCAGCAGAGAACACCATCAA
qTbx20_R	GTGAGCATCCAGACTCGTCA
qGata4_F	TCTCACTATGGGCACAGCAG
qGata4_R	GCGATGTCTGAGTGACAGGA
qTnnt2_F	ATCCCCGATGGAGAGAGT
qTnnt2_R	CTGTTCTCCTCCTCACG
qMlc2a (Myl7)_F	CCCATCAACTTCACCGTCTT
qMlc2a (Myl7)_R	CGTGGGTGATGTAGCAG
qMlc2v (Myl2)_F	CTGCCCTAGGACGAGTGAAC
qMlc2v (Myl2)_R	CCTCTCTGCTTGTGGTCA
qTtn_F	ACCACCCAACTTCAGTCAGC
qTtn_R	TCCCGGTAGAACTTCACCAC
qRyr2_F	TCTGCCAGGACTGTTTGTCC
qRyr2_R	GACTGAGGAAGGATCAGGGGA
qBaf60c (Smarcd3)_F	GAGATCAGTGCTCTGGACAGT
qBaf60c (Smarcd3)_R	GAGCAGGTCTTGGACGTAGC
qMyocd_F	GCTGAGACTCACCATGACAC

qMyocd_R	TGGACCTTTCAGTGGCGGTA
qBrg1_F	CACCTACCATGCCAACACTG
qBrg1_R	CGCTTGTCCTTCTTGGTC
qPax7_F	CATGGTGGGCCATTTCCACT
qPax7_R	GGCCCGGGCAGAACTAC
qTbx18_F	AATGAGCTGGATGACCAAGG
qTbx18_R	GGACAGATCATCTCCGCAAT
qSmyd3_F	CTCCGACCCCTTGGCTTAC
qSmyd3_R	TGGCAATTCGGCATTGAGAAC
qHdac3_F	CTCACGCCAGTATCTGGACC
qHdac3_R	CATACGTCAGGAGGTCTGCC
qMlc1v (Myl3)_F	ATCACATACGGGCAGTGTGG
qMlc1v (Myl3)_R	TTGAGCTCTTCCTGTTTTGGC
qWnt2_F	CTGATGTAGACGCAAGGGGG
qWnt2_R	CCTGTAGCTCTCATGTACCACC
qTpm1_F	TCCAGCTGAAAGAGGCCAAG
qTpm1_R	CTTCTGAGAGCTCAGCCCG
qTmod1_F	GAGGATGAAATCCTGGGGGC
qTomd1_R	GGTGGTCTGATCCTTCTGCC

# 5.18.2 ChIP-qPCR primers

Gene name	Sequence of ChIP primers	ChIP
Hand2_F	AATTGCCGAGGTCCTCTTCT	Brg1/Gata4 ChIP
Hand2_R	CTCAGAGCCAGCCAACTACT	Brg1/ Gata4 ChIP

Ttn_F	CAGAATATGCAAATCCAGGTGC	Brg1/Gata4 ChIP
Ttn_R	CGAGGCATTGGTGAAGACTC	Brg1/ Gata4 ChIP
Ryr2_F	TAGGATGGATCTCACTGGATGGT	Brg1/Gata4 ChIP
Ryr2_R	AAAGTTAAGCCTTGCCCCACA	Brg1/ Gata4 ChIP
Myocd_F	CTGTCAGGCTAGCTGATGAA	Brg1/Gata4 ChIP
Myocd_R	ATACAACATCTTCCCCTTCACTTCC	Brg1/ Gata4 ChIP
Mlc1v_F	GTTCTCGACTCCTCCGGGAA	Brg1/Gata4 ChIP
Mlc1v_R	CTCCCCACGTGTCCTTGTC	Brg1/ Gata4 ChIP
Atp1a2_F	CGGAAAAGGCTGGGTTAAGT	Brg1/Gata4 ChIP
Atp1a2_R	GGGGAGTGGATTGTTTTCT	Brg1/ Gata4 ChIP
Mef2c_F	TCAGTGTCTGCTCCTGCTTC	Brg1 ChIP
Mef2c_R	TTCCCTCCACACCTTACTGG	Brg1 ChIP

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