

# Impact of Porosity on the Charge Transport in Mesoporous Oxides and Composite Materials

Einfluss der Porosität auf den Ladungstransport  
in mesoporösen Oxiden und  
Kompositmaterialien

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von  
Erdogan Celik

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Dekan / dean

Prof. Dr. Stefan B. Hennemann

1. Gutachter / 1<sup>st</sup> reviewer

Dr. Matthias T. Elm

2. Gutachter / 2<sup>nd</sup> reviewer

Prof. Dr. Peter J. Klar

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Erdogan Celik

## Zusammenfassung

Poröse Materialien sind aufgrund ihres großen Oberflächen-Volumen-Verhältnisses und ihrer Korngrößen im Nanometerbereich ein ideales Modellsystem, um den Einfluss von Oberflächen- und Grenzflächeneffekten auf die elektrischen und protonischen Transporteigenschaften zu untersuchen. Die Defektchemie nanostrukturierter Oxidkeramiken mit einer hohen Grenzflächendichte ist nicht mit der entsprechender Volumenmaterialien vergleichbar, da die Bildung einer Raumladungszone (Space charge layers - SCLs) an den Grenzflächen zu Änderungen der lokalen Defektchemie führt und somit die elektrischen Transporteigenschaften beeinflusst. Ziel dieser Dissertation ist es, ein besseres Verständnis des Einflusses der (Oberflächen-) Defektchemie von (meso-)porösen Oxiden auf die elektrischen und protonischen Transporteigenschaften zu erlangen. Als Modellsysteme wurden Yttriumoxid-stabilisiertes Zirconiumdioxid (YSZ) sowie  $\text{CeO}_2$ - und  $\text{TiO}_2$ -beschichtetes YSZ verwendet.

Die poröse YSZ-Dünnschichten mit einer hohen spezifischen Oberfläche wurden auf zwei unterschiedlichen Wegen hergestellt. Mit Hilfe gepulster Laserdeposition wurden poröse Oxid-Dünnschichten mit einer unregelmäßigen Porenstruktur abgeschieden, während mit Hilfe des EISA-Verfahrens (EISA: evaporation-induced self-assembly, deutsch: evaporationsinduzierte Selbstorganisation) mesoporöse Oxide mit einer regelmäßigen Porenstruktur präpariert wurden. Zur Herstellung der beschichteten Systeme wurde die Oberfläche mittels Atomlagenabscheidung gleichmäßig mit unterschiedlich dicken  $\text{CeO}_2$  oder  $\text{TiO}_2$ -Beschichtungen unterschiedlicher Dicken Oxidmaterialien modifiziert, um Kompositmaterialien mit definierten strukturellen und elektrischen Eigenschaften herzustellen. Die Dünnschichten wurden mittels verschiedener analytischer Verfahren strukturell charakterisiert. Die elektrochemische Charakterisierung erfolgte bei unterschiedlichen Temperaturen, Sauerstoffpartialdrücken und relativer Luftfeuchtigkeit mittels elektrochemischer Impedanzspektroskopie.

Die Doktorarbeit ist in drei Teile gegliedert. In Kapitel 1 wurden die Transportmechanismen der Ladungsträger in Metalloxiden detailliert beschrieben. Darüber hinaus gibt dieses Kapitel einen Überblick über die Defektchemie der in dieser Arbeit untersuchten Metalloxide sowie die Beschreibung von Raumladungsschichten an Grenzflächen. Das zweite Kapitel fasst die wissenschaftlichen Ergebnisse dieser Dissertation zusammen, die in drei Forschungsartikeln veröffentlicht wurden. Hierzu wurden die Transporteigenschaften poröser YSZ-Dünnschichten untersucht, welche mittels PLD hergestellt wurden. Die Schichten zeigen in trockener Atmosphäre eine

konstante Sauerstoffionen-Leitfähigkeit. In steigender Luftfeuchtigkeit tritt ebenfalls eine protonische Leitfähigkeit auf, da Wassermoleküle an der Oberfläche adsorbieren. Durch eine dünne Beschichtung mit amorphem  $\text{TiO}_2$  wird die Adsorption der Wassermoleküle erschwert, wodurch die protonische Leitfähigkeit unterdrückt wird. Eine Erhöhung der Schichtdicke führt zu einer Kristallisation der  $\text{TiO}_2$ -Beschichtung, was die Adsorption der Wassermoleküle erleichtert und somit wieder zu einer Erhöhung der protonischen Oberflächenleitfähigkeit führt. Impedanzmessungen der elektrischen Eigenschaften mesoporöser YSZ-Dünnschichten zeigen, dass eine Variation der Porengröße nahezu keinerlei Einfluss auf die ionische Leitfähigkeit der Dünnschichten hat. Eine Beschichtung mit einer dünnen  $\text{CeO}_2$ -Schicht ermöglicht es, gemischtleitende Kompositmaterialien herzustellen, wobei die  $\text{CeO}_2$ -Beschichtung insbesondere bei tieferen Temperaturen eine dominante elektronische Leitfähigkeit zeigt. Zusätzlich zeigen die Untersuchungen, dass die elektronische Teilleitfähigkeit mit zunehmender  $\text{CeO}_2$ -Schichtdicke abnimmt. Insgesamt zeigen die gewonnenen Ergebnisse den großen Einfluss einer Oberflächenbeschichtung auf die Transporteigenschaften der untersuchten Kompositmaterialien und weisen Möglichkeiten auf, die elektrochemischen Eigenschaften der Komposite für elektrochemische Anwendungen durch eine Optimierung der Beschichtung anzupassen. Eine Zusammenfassung der Ergebnisse, abschließende Bemerkungen zu den zukünftigen wissenschaftlichen Herausforderungen und Vorschläge für weitere Arbeiten schließen die Dissertation ab.

## Abstract

Porous materials are an ideal model system to study the influence of the surface on the electrical and protonic transport properties due to their large surface-to-volume ratio and their grains in the nanometer size range. The defect chemistry of nanostructured oxide ceramics with a high density of interfaces, such as grain boundaries and free surfaces, is not comparable with that of the respective bulk materials. This is due to space charge layers (SCLs) at the interfaces, which cause changes to the local defect chemistry, and thus affect the electrical transport properties. The objective of this dissertation was to get a better understanding of the impact of the (surface) defect chemistry on the electrical and protonic transport properties of (meso-)porous zirconia-based oxide materials, in particular of yttria-stabilized zirconia (YSZ) and CeO<sub>2</sub>- and TiO<sub>2</sub>-coated YSZ.

Accordingly, porous thin films of YSZ with a high surface area were prepared using two different routes. Pulsed laser deposition (PLD) has been used to produce porous oxide thin films with a random pore structure, while an evaporation-induced self-assembly (EISA) process has been used to deposit mesoporous oxides with a regular pore arrangement. The pores were uniformly coated by means of atomic layer deposition (ALD) with varying thicknesses of oxide materials, such as CeO<sub>2</sub> and TiO<sub>2</sub>, to prepare composite materials with tailored structural and electrical properties. The thin films were structurally characterized by several analytical techniques, and electrochemical characterization was done by electrochemical impedance spectroscopy (EIS) measurements varying temperature, oxygen partial pressure or relative humidity.

The thesis is divided into three parts. In chapter 1, a detailed description of the transport mechanisms of the charge carriers in metal oxides is presented. In addition, this chapter gives an overview about the defect chemistry of the metal oxides investigated in this work as well as the description of space charge layers at interfaces. The second chapter summarizes the scientific results of this doctoral thesis, which have been published in three research articles.

The transport properties of porous PLD-derived YSZ thin films were investigated using EIS. Under dry conditions, the pristine YSZ thin film exhibits only dominant oxygen ion conductivity. However, a significant increase in total conductivity with increasing relative humidity is observed. Here, the additional protonic contribution arises from the adsorption of water molecules at the material surface. While an amorphous titania coating layer results in a decrease of the protonic conductivity compared to pristine YSZ, the crystalline TiO<sub>2</sub> layer increases the protonic conductivity contribution again. The crystallinity of the titania layer can be adjusted by varying the thickness, which

significantly influences the transport properties of the composites. EIS measurements of mesoporous sol–gel-derived YSZ thin films reveal no effect of pore size on the total electrical conductivity. The composites with thin ceria coatings exhibit mixed ionic/electronic conductivity, but a dominant electronic contribution at low temperatures. In addition, investigations show that the electronic contribution significantly decreases with increasing CeO<sub>2</sub> layer thickness. Surface engineering enables to modify certain structural and electrochemical properties by active oxide layer coating, which tailors the transport mechanisms (electronic, ionic and protonic conductivity) in the nanostructured composites. A short summary of the results, final comments on the prospective scientific challenges, and suggestions for further work conclude the thesis.



## Table of contents

<b>1. Introduction</b> .....	<b>1</b>
<b>2. Theoretical Background</b> .....	<b>5</b>
<b>2.1. Electrical Transport in Solids</b> .....	<b>5</b>
2.1.1. Ionic Conductivity .....	5
2.1.2. Electronic Conductivity.....	7
2.1.3. Protonic Conductivity .....	8
<b>2.2. Defect Chemistry at Interfaces (Space Charge Region)</b> .....	<b>10</b>
<b>2.3. Defect Chemistry of Solid Metal Oxides</b> .....	<b>11</b>
2.3.1. Defect Chemistry of Ytria-stabilized Zirconia (YSZ) .....	11
2.3.2. Defect Chemistry of Cerium (IV) oxide (CeO <sub>2</sub> ).....	16
2.3.3. Defect Chemistry of Titanium (IV) oxide (TiO <sub>2</sub> ).....	18
<b>2.4. Sample Preparation</b> .....	<b>21</b>
2.4.1. Pulsed Laser Deposition (PLD).....	21
2.4.2. Evaporation-induced Self-assembly (EISA) .....	23
2.4.3. Atomic Layer Deposition (ALD).....	24
<b>3. Results and Discussion</b> .....	<b>26</b>
<b>3.1. Publication I: Ordered Mesoporous Metal Oxides for Electrochemical Applications: Correlation between Structure, Electrical Properties and Device Performance</b> .....	<b>26</b>
<b>3.2. Publication II: Tailoring the Protonic Conductivity of Porous Ytria-stabilized Zirconia Thin Films by Surface Modification</b> .....	<b>58</b>
<b>3.3. Publication III: Design of Ordered Mesoporous CeO<sub>2</sub>/YSZ Nanocomposite Thin Films with Mixed Ionic/Electronic Conductivity via Surface Engineering</b> .....	<b>70</b>
<b>4. Conclusions and Outlook</b> .....	<b>75</b>
<b>5. References</b> .....	<b>85</b>
<b>6. Appendix</b> .....	<b>95</b>
6.1. Supporting Information (Publication III) .....	95
6.2. Publications and Conference Contributions .....	102



## 1. Introduction

Solid-state ion conductors play an important role in electrochemical devices in the field of modern energy technology, particularly for catalysis and energy storage and conversion.<sup>1-4</sup> For example, yttria-stabilized zirconia (YSZ) is a very important component for solid oxide fuel cells (SOFCs), as it combines a high oxygen ion conductivity at elevated temperatures with excellent chemical and mechanical stability.<sup>5-10</sup> Using cubic YSZ as electrolyte material, SOFCs operate at high temperatures around 650 °C to 1000 °C,<sup>11</sup> which involves some disadvantages, including high costs, faster loss of overall performance and degradation of the system. In particular, the high operation temperature leads to structural changes (cubic to tetragonal phase), which are accompanied by a reduction of the ionic conductivity.<sup>10</sup> Consequently, it is desirable to reduce the operation temperatures for SOFC applications. Therefore, it is necessary to develop electrolyte materials that possess enhanced oxygen ion conductivity at low temperatures. One major aspect is that the ionic conductivity of YSZ can be altered by tailoring the dopant concentration, which in turn affects the concentration and mobility of the mobile oxygen vacancies. The maximum conductivity value has been obtained between 8 and 9 mol %  $Y_2O_3$ .

Besides the composition control, nanostructuring is a promising approach to alter the defect chemistry of oxide materials. Thus, nanostructured materials open fresh perspectives and research areas on fuel cell technology, providing a promising strategy to overcome the above mentioned disadvantages of SOFCs associated with the reduced operation temperatures. Nanostructuring primarily increases the density of interfaces and the specific surface area, which simultaneously affects the structural and physico-chemical properties of ionic and mixed-conducting materials. Related studies have shown a great potential of ionic conductors based on nanocrystalline structures.<sup>12-14</sup> In several scientific works, an enhancement of the ionic conductivity by several orders of magnitude has been demonstrated for YSZ thin films, achieved either by nanostructuring or by decreasing the film thickness.<sup>15-22</sup> On the other hand, there are also reports of the contrary observations, where the ionic conductivity of nanostructured thin films decreases.<sup>23,24</sup> The variation of the conductivity is attributed to space charge effects in the vicinity of the grain boundaries, misfit dislocations and/or strain effects at the interface between thin film and substrate.<sup>25,26</sup> In addition, there are reports that nanostructured materials can exhibit a visible change in the conduction mechanism with decreasing grain size, i.e., from ionic to mixed ionic-electronic conduction.<sup>27,28</sup> However, the advantages of nanocrystalline YSZ is still controversially discussed in the literature.

Electrochemical properties of oxide ceramics can be tailored by developing a porous structure, thus a high surface-to-volume ratio. One of the most characteristic features of porous materials is the high accessibility of their internal pore structure to the surrounding atmosphere. Previous studies using porous materials have indicated an excellent electrochemical performance and functionality, attributed to the higher density of electrochemically active surface sites compared to their bulk counterparts.<sup>29–33</sup> The surface area exhibits a different defect chemistry than the bulk due to several effects, including the presence of a space charge layer, surface reconstruction and charges.<sup>34–37</sup> For instance, oxygen kinetics are determined by the surface chemistry. Literature results indicate enhanced oxygen exchange kinetics in ceria- and zirconia-based oxides for SOFC applications by different surface modification strategies, e.g., the deposition of thin coatings and incorporation of aliovalent impurities.<sup>36,38–44</sup>

Since the last decade, atomic layer deposition (ALD) plays a fundamental role in the improvement of SOFC properties.<sup>45–50</sup> ALD can be used to deposit conformal and uniform coatings on complex structures, like mesoporous thin films. Different materials, such as  $\text{TiO}_2$ ,  $\text{CeO}_2$  and  $\text{Al}_2\text{O}_3$ , can be deposited with a precise control of the layer thickness. During the process, the substrate is exposed to chemical precursors. A coating can alter and modify the ionic/electronic conductivity as well as the surface oxygen exchange kinetics, having a great influence on the transport mechanisms and charge transfer reactions in SOFCs, as summarized by Shin *et al.*<sup>46</sup> In addition, the interfacial electrode-electrolyte reactions can be tailored by layers with defined composition and thickness. Another important application of the ALD process is the deposition of protective films to improve the chemical and mechanical stability of the device. As reported for example by Dubraja *et al.*,  $\text{Al}_2\text{O}_3$ -ALD improved the thermal stability of mesoporous  $\text{Rh}_2\text{O}_3$  thin films significantly.<sup>51</sup> Several thin film composites, such as  $\text{CeO}_2/\text{ZrO}_2$ ,<sup>52</sup> have been investigated and analyzed, but further research is still required in this field to continue the development of novel two-phase nanocomposites with promising properties for electrochemical applications. Therefore, detailed studies of the structural and physicochemical properties of these films are required to gain a deeper insight into the nanoionics and surface effects.

In this thesis, the impact of the surface area and of surface modifications by ALD on the electrical and protonic transport properties as well as the defect chemistry of (meso-)porous oxide nanomaterials have been investigated. Studies on the defect chemistry were performed as a function of temperature (25 to 700 °C), oxygen partial pressure ( $10^{-5}$  to  $10^{-0.6}$  bar) or relative humidity using electrochemical impedance spectroscopy (EIS). To realize desired oxygen partial pressure values, gas mixtures of  $\text{Ar}/\text{O}_2$  were used.

Thin films of non-ordered porous 9.5 mol% YSZ with grains in the range of 15 – 20 nm were deposited via pulsed laser deposition (PLD) on (0001)-oriented single-crystalline sapphire substrates. The pore surface of the YSZ films was uniformly coated by ALD with a titania layer of either 6 or 18 nm thickness. The thickness of the coating affects the crystallinity. While the thinner titania layer was amorphous, the thicker layer showed the anatase phase. The total conductivity of these thin films was investigated under dry and ambient atmosphere, revealing significant differences between pristine and TiO<sub>2</sub>-coated YSZ. The non-coated YSZ showed an increase in conductivity with increasing humidity. While the protonic contribution was significantly suppressed in YSZ coated by a TiO<sub>2</sub> layer of 6 nm thickness, the protonic conductivity increased again in 18 nm thin titania-coated YSZ. This was attributed to filling of reduced-size pores with water. Overall, these results indicated that proton transport largely depends on the surface properties and the pore structure.

Secondly, highly ordered mesoporous 8 mol% YSZ thin films with different pore sizes (15 – 40 nm) were produced by solution-phase coassembly of salt precursors with different polymer structure-directing agents using an evaporation-induced self-assembly (EISA) process. The pore walls of the thin films were uniformly coated via ALD with varying thicknesses (3 and 7 nm) of ceria to functionalize the material surface. In this way, the pore size was adjusted in a controlled way. Similar to the TiO<sub>2</sub> coating, the thickness of the ceria coating affects the crystallinity and composition of the material. Only the thickness of the ceria surface layer affected the electrical transport properties, whereas the porosity showed no effect at all. ALD-derived two-phase nanocomposites with a 3 nm coating exhibited mixed ionic/electronic conductivity, those with a 7 nm coating only showed ionic conductivity. Accordingly, the electrical transport properties of these material systems can be tailored by varying the coating thickness.

Furthermore, in the invited perspective article, the state-of-the-art in the synthesis of mesoporous metal oxides, their structural and physicochemical properties, and their potential as electrode materials for electrochemical applications were addressed. Here, the advantages and disadvantages of the structural properties regarding the electrochemical device performance are discussed in detail.



## 2. Theoretical Background

In this chapter, the fundamental and relevant concepts for the interpretation of the results obtained in this doctoral thesis are introduced. This includes a brief theoretical introduction of the transport properties and the defect chemistry of solid oxides.

### 2.1. Electrical Transport in Solids

The total electrical conductivity  $\sigma_{\text{total}}$  of a material is defined as the sum of the partial conductivities of charged carriers of type  $i$ , such as electrons and ions:<sup>53</sup>

$$\sigma_{\text{total}} = \sum \sigma_i . \quad (1.1)$$

The partial conductivity  $\sigma_i$  depends on the concentration per unit volume  $c_i$ , the charge  $q_i$  and mobility  $\mu_i$  of the moving carriers:

$$\sigma_i = c_i q_i \mu_i . \quad (1.2)$$

#### 2.1.1. Ionic Conductivity

A fundamental requirement for the oxygen ion conductivity is the presence of defects in the crystal lattice of the oxide material. In an ionic crystal lattice mainly three types of defects can be formed, intrinsic Frenkel and Schottky defects, and extrinsic defects, as described in chapter (2.3.). Depending on the crystal structure, oxygen vacancies or interstitials are considered to be the most common point-defects. Generally, in fluorite-type oxides, the migration of oxygen vacancies gives rise to the ionic conductivity.

By applying an external electric field  $E_v$ , the transport of oxygen ions occurs by hopping via available oxygen vacancy sites, i.e., oxygen ions move from one oxygen vacancy to another. During the jump to adjacent lattice sites, a defined energy barrier must be surmounted (Fig. 1). This energy barrier is the Gibbs free energy of migration  $\Delta G_m$ . The net velocity  $v$  of ion migration is given by:

$$v = \frac{v\gamma Zea^2 E_v}{k_B T} \exp\left(-\frac{\Delta G_m}{k_B T}\right) . \quad (1.3)$$

with the Boltzmann constant  $k_B$ , the temperature  $T$ , the attempt frequency  $v$  for a jump, the geometrical factor  $\gamma$  depending on the crystal structure, the distance between the

adjacent lattice sites  $a$  and the nominal charge on the ions  $Ze$ . Furthermore, the mobility  $\mu_i$  is defined as being the ratio of velocity and electric field strength:

$$\mu_i = \frac{v\gamma Zea^2}{k_B T} \exp\left(-\frac{\Delta G_m}{k_B T}\right). \quad (1.4)$$

By combining equations (1.2) and (1.4), the temperature dependence of  $\sigma_i$  is given by:

$$\sigma_i = \frac{\gamma cvZe^2 a^2}{k_B T} \exp\left(-\frac{\Delta G_m}{k_B T}\right). \quad (1.5)$$

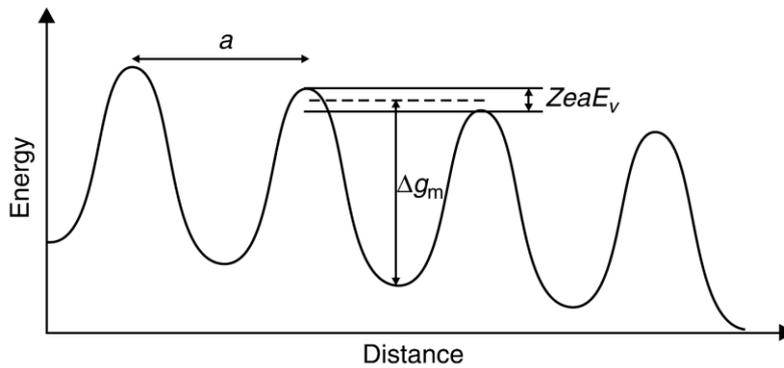
The Gibbs free energy of migration can be separated into the enthalpy  $\Delta H_m$  and the entropy  $\Delta S_m$  of migration:

$$\Delta G_m = \Delta H_m - T\Delta S_m, \quad (1.6)$$

leading to the following equation for the conductivity:

$$\sigma_i = \frac{\gamma cvZe^2 a^2}{k_B T} \exp\left(-\frac{\Delta H_m}{k_B T}\right) \exp\left(\frac{\Delta S_m}{k_B}\right) = \frac{\sigma_0}{T} \exp\left(-\frac{\Delta H_m}{k_B T}\right). \quad (1.7)$$

Here, the pre-exponential factor includes the entropy term of migration, the lattice constant and the attempt frequency.



*Fig. 1: Schematic representation of the potential barrier for the migration of an ionic charge carrier in the presence of an applied electric field. Reproduced from Tilley et al. with permission.<sup>53</sup>*

The conductivity of a pure oxygen ion conductor ( $\sigma_{\text{total}} = \sigma_{\text{ionic}}$ ), such as YSZ, depends strongly on temperature. The ionic conductivity increases with increasing temperature due to the thermally activated mobility of the free charge carriers for a fixed charge carrier

concentration. For example, bulk YSZ is consistently reported to have a conductivity of around 1 S/cm at 800 °C.<sup>54</sup>

### 2.1.2. Electronic Conductivity

Most metal oxide materials, such as CeO<sub>2</sub> and TiO<sub>2</sub>, are mixed conductors, whereas only few are classified as pure ionic conductors. Electrons, electron holes, anions and cations can serve as charge carriers in mixed conductors. Thus, the contribution of electronic and ionic components to the total conductivity is given by:

$$\sigma_{\text{total}} = \sigma_{\text{ionic}} + \sigma_{\text{electronic}} \quad (2.1)$$

The ionic transport process is described in the previous section (2.1.1.). In several metal oxides, e.g., transition metal oxides, the electronic transport occurs by a hopping mechanism instead of a band conduction mechanism.<sup>55–58</sup> The presence of crystallographic defects in the material breaks the regular periodicity of the electronic charge density. This structural disorder leads to the formation of localized electronic states in the band gap, close to the band edges.<sup>59,60</sup> This results in a smearing out of the band gap. However, localized electronic states can also be formed in highly crystalline oxide materials. This phenomenon is described as a modification of the band structure induced by polaron (quasiparticle) formation. A polaron is formed by the Coulomb interaction between charge carriers (i.e., electrons or holes) and phonons. When ions are attracted (repelled) to charge carriers with an opposite (same) electric charge, a polarization (distortion) of the structure is generated, following the charge carrier when it moves through the crystal lattice. Furthermore, polarons are classified as large or small depending on the size and strength of the phonon cloud. Whereas small polarons are governed by short-range electron-phonon interactions, large polarons are formed by long-range interactions. In metal oxides, the electronic conductivity  $\sigma_e$  can be described by a charge carrier jumping through the material between the localized states. In order to move, the charge carrier has to overcome the energy barrier during the hopping between two adjacent sites. This electrical transport mechanism is thermally activated. In such materials, the mobility of the charge carriers  $\mu$  increases with increasing temperature, in contrast to the classical band theory:<sup>53</sup>

$$\mu \propto \frac{1}{T} \exp\left(-\frac{\Delta H_{\text{m,elec}}}{k_{\text{B}}T}\right) \quad (2.2)$$

Here,  $\Delta H_{m,elec}$  is the migration enthalpy of the electronic defects. The transport mechanism of polaron hopping is similar to that of the ionic conductivity:<sup>53</sup>

$$\sigma_e = (1 - \varphi) \left( \frac{cve^2a^2}{k_B T} \right) \exp \left( -\frac{\Delta H_{m,elec}}{k_B T} \right) \exp \left( \frac{\Delta S_{m,elec}}{k_B} \right). \quad (2.3)$$

The geometrical factor in equation (1.5) is replaced by the term  $(1 - \varphi)$ . The parameter  $\varphi$  represents the fraction of total sites that mobile charges occupy, which varies with the degree of nonstoichiometry. A high degree of nonstoichiometry leads to an increase in the mobile charge carrier concentration  $c$ .  $\Delta S_m$  denotes the migration entropy of the electronic defects. The electronic conductivity is exponentially correlated with the temperature.<sup>61</sup>

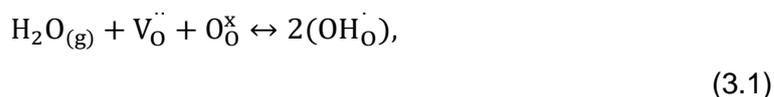
The formation of small polarons has been already observed in several metal oxides, like ceria and titania, which both exhibit a large band gap with an energy higher than 3 eV.<sup>62</sup> Polarons originate from intrinsic defects, such as oxygen vacancies or metal interstitials. The polaron formation is attributed to a change of the transition metal oxidation state, for example, in ceria from  $Ce^{4+}$  to  $Ce^{3+}$ . Particularly in oxide materials, the charge transfer and the electron-phonon coupling occur more easily in the vicinity of the surface than in the bulk due to the different defect chemistry and structure.

### 2.1.3. Protonic Conductivity

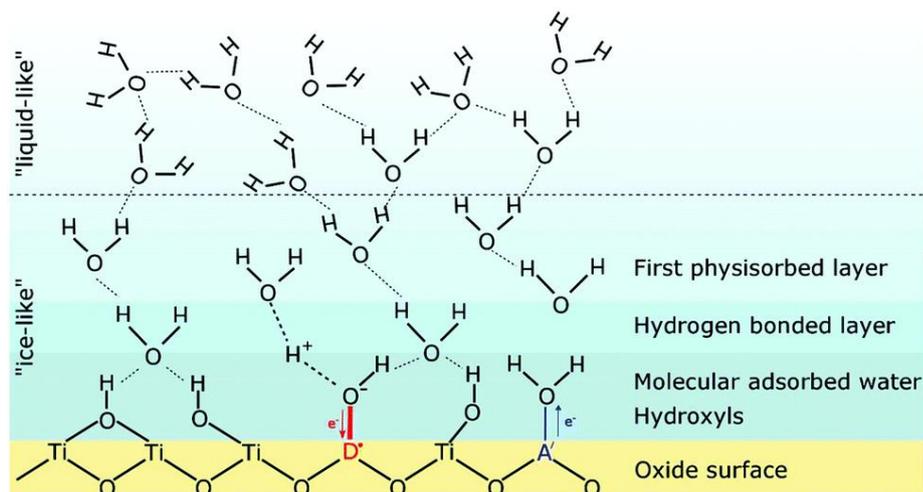
In the last years, nanostructured oxides gained much attention as proton conducting materials in several electrochemical devices, such as humidity sensors<sup>63–65</sup> and proton exchange membranes,<sup>66–68</sup> at ambient conditions, where protons were found to be the dominant charge carriers. Nanostructured oxides, such as YSZ,<sup>69–73</sup>  $CeO_2$ <sup>74–76</sup> and  $TiO_2$ <sup>72,77–79</sup>, can exhibit notably high protonic conduction at temperatures below 150 °C, as demonstrated in several studies.

The protonic conductivity arises from the adsorption of water molecules at the material surface and/or its grain boundaries. However, the type, concentration and migration mechanism of protonic charge carriers, as well as the process of the adsorption of different water layers on the surface, are determined by the physicochemical properties of the material's surface. Depending on temperature and relative humidity, chemisorbed and/or physisorbed water layers are formed, as schematically shown in Fig. 2.<sup>80,81</sup> Generally at high temperatures ( $T > 450$  °C)<sup>82</sup> and/or low relative humidity, chemisorbed layers are present, which are mostly forming covalent bonds to the substrate material in

the form of hydroxyl groups. Typical values of the adsorption enthalpy range between 1 and 2 eV.<sup>83,84</sup> In addition to the dissociative adsorption, water molecules can molecularly adsorb onto the surface. Common adsorption sites are metal ions and surface defects. For instance, when a metal oxide material with surface defects, such as oxygen vacancies  $V_{\text{O}}^{\bullet\bullet}$ , is exposed to a humid atmosphere, the water molecule  $\text{H}_2\text{O}_{(\text{g})}$  fills the vacancy according to the defect reaction shown in the Kroeger–Vink notation:<sup>85,86</sup>



where hydroxide ions are formed. At lower temperatures, additional physisorbed water layers are formed, whose number increases with increasing relative humidity. Compared to chemisorbed water molecules, physisorbed molecules exhibit a lower adsorption enthalpy on the order of 0.2 eV to 1 eV.<sup>83,84</sup>



*Fig. 2: Schematic illustration of the structure of adsorbed water layers on a titania surface. The influence of a surface acceptor A and a donor D on the water dissociation is shown. Reproduced from Stub et al. with permission.<sup>81</sup>*

The transport of protons occurs in two different ways, either by Grotthuss or vehicle mechanisms, depending on the structure of the adsorbed water layers.<sup>73,87,88</sup> In the chemisorbed water layers, the protons typically propagate by a hopping mechanism (Grotthuss mechanism) between the different oxygen atoms of the adsorbed water molecules, where the activation energy varies between 0.1 and 0.4 eV. In physisorbed water layers, the transport of hydroxide ions (vehicle mechanism) is preferred, which has a higher activation energy typically in the range between 0.4 and 1 eV.<sup>83,88,89</sup>

## 2.2. Defect Chemistry at Interfaces (Space Charge Region)

The presence of a high density of interfaces, such as grain boundaries or free surfaces, can significantly affect the electrochemical transport properties in metal oxides. At interfaces, the concentration and mobility of the charge carriers are changed due to the formation of a space charge region. Thus, the defect chemistry at interfaces differs significantly from that of the bulk material. The first description of space charge regions of ionic solids was discussed by Kliewer and extended to metal oxides by Maier.<sup>90–92</sup>

Generally, the concentration of each defect  $i$  is related to its electrochemical potential  $\tilde{\mu}_i$ , which comprises the chemical potential  $\mu_i^0$  and the electrical potential  $\Phi(x)$ :

$$\tilde{\mu}_i = \mu_i^0 + Z_i F \Phi(x), \quad (4.1)$$

with  $Z_i$  being the effective charge of the defect  $i$  and  $F$  the Faraday constant. At an interface, the local symmetry of the crystal structure is distorted, i.e., the chemical potential of the interface ( $x = 0$ ) deviates from that of the bulk ( $x = \infty$ ). In order to maintain electroneutrality, i.e., a constant electrochemical potential, charged mobile species in the vicinity of the interface redistribute and vary their local concentration, resulting in the formation of a space charge layer. The concentration profile  $c_i(x)$  for each mobile defect at the distance  $x$  from the interface is given by:

$$c_i(x) = c_{i,\infty} \exp\left(-\frac{Z_i e \cdot \Delta\Phi(x)}{kT}\right), \quad (4.2)$$

where  $c_{i,\infty}$  refers to the defect concentration of  $i$  in the bulk.  $\Delta\Phi(x) = \Phi(x) - \Phi_\infty$  is the electrostatic potential at the distance  $x$  from the interface relative to the bulk potential  $\Phi_\infty$ . The potential profile can be determined by solving the Poisson-Boltzmann differential equation (one-dimensional form):

$$\frac{\partial^2 \Phi}{\partial x^2} = -\frac{e}{\varepsilon_0 \varepsilon_r} \sum_i Z_i c_{i,\infty} \exp\left(-\frac{Z_i e \cdot \Delta\Phi(x)}{kT}\right). \quad (4.3)$$

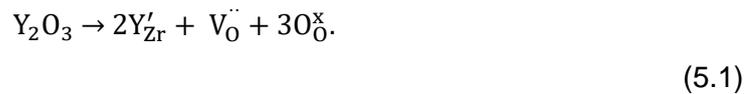
Equation (4.3) and, thus the description of the charge carrier spatial distribution at the interface can be solved for two prominent cases, the Gouy-Chapman case and the Mott-Schottky case. In the Gouy-Chapman case, two defects of opposite sign are assumed to be mobile, while in the other case one of the defects is immobile. The two different situations are described in more detail in the first publication of this thesis.

Numerous studies have investigated the properties of the space charge layer of ionic and mixed ionic-electronic conductors.<sup>93–100</sup> By decreasing the grain size to the nanometer level, the concentration of oxygen ions in ceria- and zirconia-based oxides is reduced and those of the electrons increases in the space charge region. The reason is that in fluorite-based materials, the grain boundaries typically exhibit a positively charged core. The change in carrier concentration in the vicinity of the interface affects the local defect chemistry and thus the corresponding electrical conductivity. The transport properties in polycrystalline ceria- and zirconia-based materials can be obviously controlled by micro- and nanostructuring. An overview of the correlation between grain boundary, grain size and space charge region in metal oxides can also be found in the first publication of this thesis.

## 2.3. Defect Chemistry of Solid Metal Oxides

### 2.3.1. Defect Chemistry of Yttria-stabilized Zirconia (YSZ)

Cubic yttria-stabilized zirconia is one of the most common ceramic materials, as it is well suited as solid-state electrolyte for SOFCs due to its comparably high ionic conductivity and chemical stability over wide temperature and oxygen partial pressure ranges.<sup>1,101,102</sup> The incorporation of yttria ( $Y_2O_3$ ) in pure zirconia ( $ZrO_2$ ) generates double positively charged oxygen vacancies  $V_O^{\bullet\bullet}$ . Here, yttrium ions ( $Y^{3+}$ ) replace the zirconium sites  $Y'_{Zr}$  with a relative charge of -1 and oxygen vacancies are formed to maintain charge neutrality. This can be described in Kroeger-Vink notation by the defect reaction:<sup>103,104</sup>



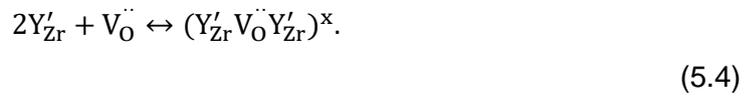
$O_O^x$  indicates the lattice oxygen ions on regular oxygen sites with a neutral charge. Yttria does not only provide oxygen vacancies, which increase the ionic conductivity. It also stabilizes the cubic phase of zirconia, which is thermodynamically stable only at high temperatures. The cubic fluorite-type YSZ exhibits the highest ionic conductivity. Generally, zirconia as a polymorphic material exists in three different phases: cubic, tetragonal and monoclinic.<sup>105</sup> However, the ion conductivity depends on the oxygen vacancy concentration  $[V_O^{\bullet\bullet}]$  on the anionic sublattice, which is determined by the yttrium ion concentration  $[Y'_{Zr}]$ :

$$[V_O^{\bullet\bullet}] = 0.5[Y'_{Zr}]. \quad (5.2)$$

Several studies found an optimal doping concentration of  $Y_2O_3$  to obtain the highest oxygen ion conductivity of about 8 and 9 mol %  $Y_2O_3$ .<sup>106–110</sup> A higher doping concentration leads to a drastic reduction of the ionic conductivity due to the decrease in mobility. At low temperatures or high doping concentration, the formation of two different defect clusters are involved.<sup>111–113</sup> Oxygen vacancies and yttria form a complex with a positive effective charge:



An even higher doping concentration results in the formation of clusters, which are electrostatically neutral. These defects can be described as:



Furthermore, oxygen ions move from a regular oxygen lattice site  $O_0^x$  to an interstitial position  $O_i''$  in the lattice, creating simultaneously oxygen vacancies (Frenkel-type anion disorder):

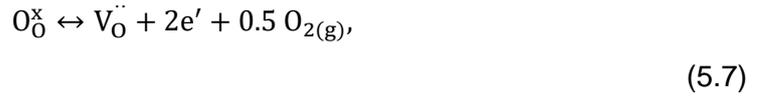


with the corresponding equilibrium constant of the mass action law:

$$K_F = [V_{O}^{\cdot\cdot}][O_i'']. \quad (5.6)$$

The oxygen interstitial  $O_i''$  has a double negative charge relative to the lattice. Depending on the oxygen partial pressure  $p_{O_2}$ , YSZ can exhibit a dominant ionic, electronic or mixed conduction. The correlation between different charge carrier concentrations and the  $p_{O_2}$  is represented in so-called Brouwer diagrams, where the logarithm of the defect concentration  $\log[\text{def}]$  is plotted versus the logarithmic value of the oxygen partial pressure. As seen in Fig. 3, several defect regimes for YSZ can be constructed, illustrating the case of the stoichiometric region (intrinsic ionic conduction), along with an oxygen excess (p-type) and oxygen deficient (n-type) region by a variation of  $p_{O_2}$ . However, YSZ offers a dominant ionic conductivity over a wide range of oxygen partial pressures and temperatures due to the doping with  $Y_2O_3$ , whereas dominant p-type or n-type conduction is not observed under real (experimental) conditions.

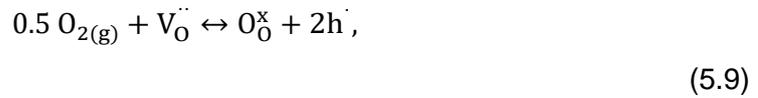
Using the Kroeger-Vink notation, the defect reaction of oxygen release under reducing atmosphere is given by:



which forms oxygen vacancies in the lattice and excess electrons  $e'$  in the conduction band. The respective mass action law  $K_{\text{Red}}$  for reaction (5.7) is given by:

$$K_{\text{Red}} = [V_O^{\cdot\cdot}][e']^2 p_{O_2}^{-1/2}. \quad (5.8)$$

Similarly, the incorporation of oxygen under oxidizing atmosphere can be described by the following reaction and equilibrium constant  $K_{\text{Ox}}$ :



$$K_{\text{Ox}} = [V_O^{\cdot\cdot}]^{-1}[h^{\cdot}]^2 p_{O_2}^{-1/2}. \quad (5.10)$$

Here,  $h_{\text{VB}}^{\cdot}$  denotes a defect electron in the valence band. The dopant concentration  $[Y'_{\text{Zr}}]$  is constant for the whole  $p_{O_2}$  range.

*(Near-)stoichiometric region:*

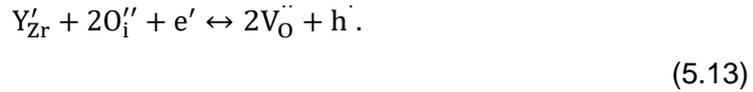
In the vicinity of the stoichiometric point, the concentration of oxygen vacancies is intrinsically large due to doping and remains constant over a broad range of oxygen partial pressures ( $[V_O^{\cdot\cdot}] \approx \text{const.}$ ). Here, the total conductivity is equal to the oxygen ion conductivity (i.e.  $\sigma_{\text{total}} \approx \sigma_{\text{ion}}$ ). Cation diffusion of  $Y'_{\text{Zr}}$  can be neglected, and the influence of electrons  $e'$  and holes  $h_{\text{VB}}^{\cdot}$  on the total conductivity is negligible due to the large band gap, leading to a low electron concentration, i.e.  $[e'] \ll [V_O^{\cdot\cdot}]$ . Here, the electron-hole disorder can be written as:



According to equation (5.11), the equilibrium constant  $K_e$  is given by:

$$K_e = [e'][h^{\cdot}], \quad (5.12)$$

where  $[e']$  and  $[h^{\cdot}]$  are the concentration of electrons and holes in the conduction and valence band, respectively. The charge neutrality condition can be described by:



Combining the law of mass action in equation (5.9) with the assumption  $[V_{O}^{\bullet\bullet}] \approx \text{const.}$  results in the  $p_{O_2}$ -dependence of the electron concentration in YSZ:

$$[e'] \propto p_{O_2}^{-1/4}. \quad (5.14)$$

Similarly, the dependence of the hole concentration on the oxygen partial pressure can be described as:

$$[h^{\cdot}] \propto p_{O_2}^{+1/4}. \quad (5.15)$$

*Reducing conditions:*

By decreasing the oxygen partial pressure, the oxygen vacancy concentration increases in YSZ due to the release of oxygen. Here, the double positive charge of an oxygen vacancy is compensated by two additional electrons. If the concentration of electrons reaches the oxygen vacancy concentration, the Brouwer approximation for the n-type region holds:

$$2[V_{O}^{\bullet\bullet}] = [e']. \quad (5.16)$$

The dependence of certain defect species on the oxygen partial pressure can be determined on the basis of equations (5.7), (5.8), (5.11), (5.12) and (5.16):

$$[V_{O}^{\bullet\bullet}] \propto p_{O_2}^{-1/6}, [O''_i] \propto p_{O_2}^{+1/6} \quad (5.17)$$

and

$$[e'] \propto p_{O_2}^{-1/6}, [h^{\cdot}] \propto p_{O_2}^{+1/6}. \quad (5.18)$$

Under reducing conditions, the defect concentration changes with a characteristic slope of  $\pm 1/6$  in the Brouwer diagram.

*Oxidizing conditions:*

With a reasonably high partial pressure of oxygen, the hole concentration approaches the dopant concentration:

$$[Y'_{Zr}] = [h^{\cdot}]. \quad (5.19)$$

In this region, the number of electrons and holes can be assumed to be constant (Brouwer approximation). The dependence of the oxygen vacancy and interstitial concentration on the oxygen partial pressure is calculated using equations (5.9), (5.10) and (5.19), respectively:

$$[V_{O}^{\cdot\cdot}] \propto p_{O_2}^{-1/2}, [O_i^{\prime\prime}] \propto p_{O_2}^{+1/2}. \quad (5.20)$$

Thus, the logarithmus of the oxygen vacancy concentration decreases with a slope of -1/2 with increasing  $\log(p_{O_2})$ , while those of interstitials increases with a characteristic slope of +1/2.

*Strongly oxidizing conditions:*

At an even higher oxygen partial pressure, the incorporation of oxygen increases the concentration of oxygen interstitials and holes. In this regime, the double negative charge of an oxygen interstitial is compensated by two additional holes. The corresponding Brouwer approximation is formulated as:

$$2[O_i^{\prime\prime}] = [h^{\cdot}]. \quad (5.21)$$

The dependence of certain defect species on the oxygen partial pressure can be calculated on the basis of equations (5.9), (5.10), (5.11), (5.12) and (5.21):

$$[V_{O}^{\cdot\cdot}] \propto p_{O_2}^{-1/6}, [O_i^{\prime\prime}] \propto p_{O_2}^{+1/6} \quad (5.22)$$

and

$$[e'] \propto p_{O_2}^{-1/6}, [h^{\cdot}] \propto p_{O_2}^{+1/6}. \quad (5.23)$$

In this region, the number of oxygen interstitials exceeds those of yttria. The corresponding Brouwer diagram is shown in Fig. 3.

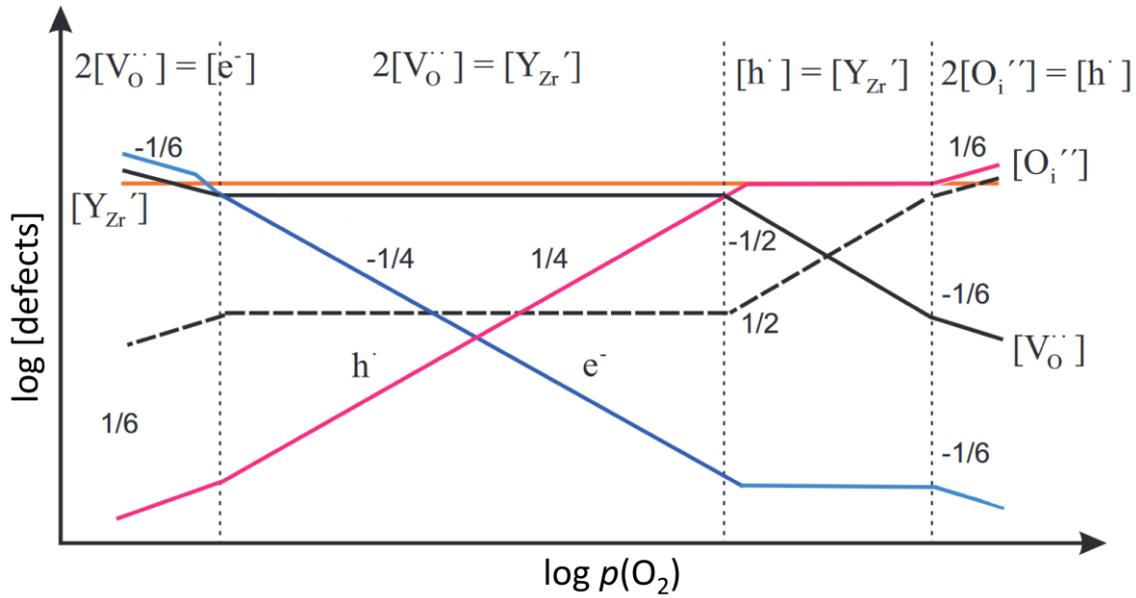


Fig. 3: Brouwer diagram for yttria-stabilized zirconia (YSZ). Reproduced from ref. 114.

### 2.3.2. Defect Chemistry of Cerium (IV) oxide (CeO<sub>2</sub>)

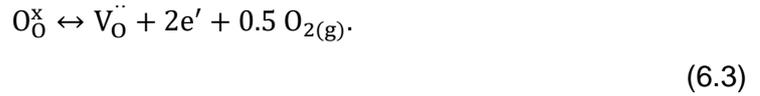
In fluorite-type oxides, such as ceria (CeO<sub>2</sub>), Schottky disorder is energetically unfavorable. The intrinsic defect formation in stoichiometric ceria is based on the formation of oxygen vacancies  $V_O^{\bullet\bullet}$  and oxygen interstitials  $O_i''$ , which is represented by means of Kroeger-Vink notation (here: Frenkel-type anion disorder):



with the respective law of mass action:

$$K_F = [V_O^{\bullet\bullet}][O_i'']. \quad (6.2)$$

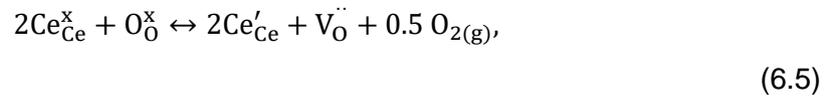
Intrinsic defects are mainly formed due to the increase in entropy at elevated temperatures.<sup>115</sup> Electron-hole disorder is intrinsically low because of the large band gap between the O-2p and Ce-5d orbital states ( $E_{\text{gap}} = 4 - 6 \text{ eV}$ ).<sup>116-118</sup> In addition, the redox activity is a well-known characteristic of the cubic fluorite-type ceria, as cerium easily changes the oxidation state between Ce<sup>3+</sup> (4f<sup>1</sup> orbital) and Ce<sup>4+</sup> (4f<sup>0</sup> orbital). The defect equilibria Ce<sup>3+</sup>/Ce<sup>4+</sup> can be adjusted by varying the temperature and oxygen partial pressure. During the reduction of ceria, oxygen can be easily removed from the crystal lattice. Thus, oxygen vacancies  $V_O^{\bullet\bullet}$  and excess electrons  $e'$  are formed under reducing conditions:



Note that non-stoichiometric ceria ( $CeO_{2-\delta}$ ) is a typical mixed ionic and electronic conductor (MIEC), where oxygen vacancies are responsible for the ionic conductivity, while electrons propagate through the lattice by small polaron hopping between  $Ce^{3+}$  and  $Ce^{4+}$ .<sup>53,56</sup>



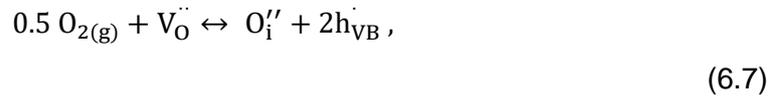
where  $Ce^{3+}$  and  $Ce^{4+}$  are denoted as  $Ce'_{Ce}$  and  $Ce^x_{Ce}$ , respectively. Combining equations (6.3) and (6.4), the reduction reaction can be written as:



with the respective equilibrium constant:

$$K_{Red} = [Ce'_{Ce}]^2 [V_O^{\cdot\cdot}] p_{O_2}^{1/2}. \quad (6.6)$$

Under oxidizing conditions, the oxygen incorporation into the material generates oxygen interstitials and holes:



$$K_{Ox} = [O_i''] [h^{\cdot}]^2 p_{O_2}^{-1/2}. \quad (6.8)$$

Combining the reaction of the extrinsic defect formation of ceria (6.5) with the intrinsic defect formation reactions, the Brouwer diagram of ceria can be constructed when maintaining charge neutrality conditions. The Brouwer diagram with the corresponding approximations depending on the oxygen partial pressure range is shown in Fig. 4.

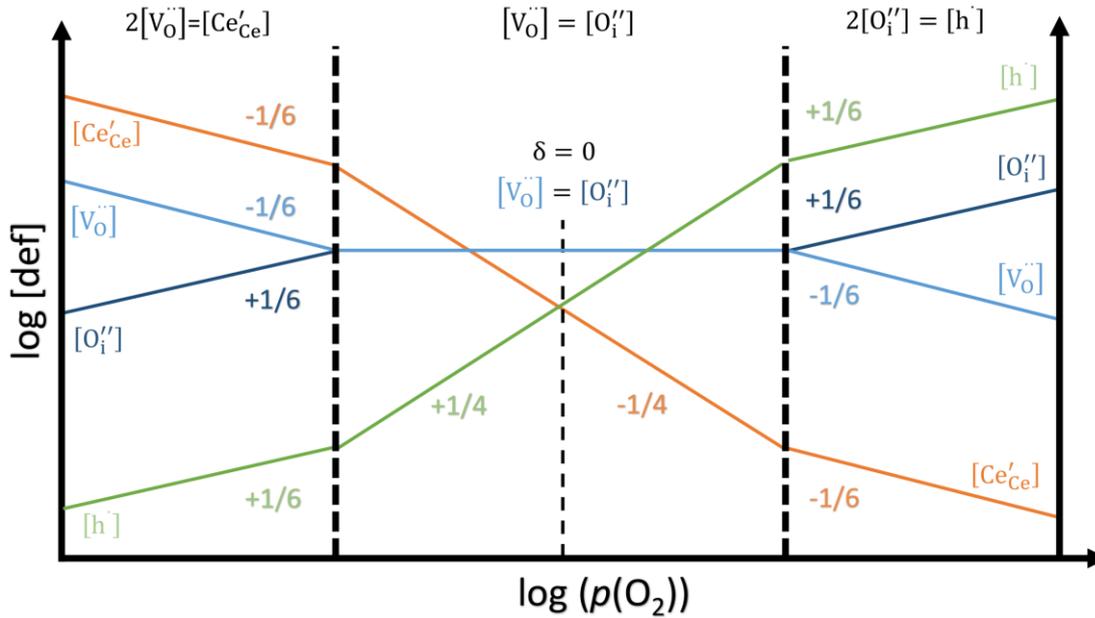
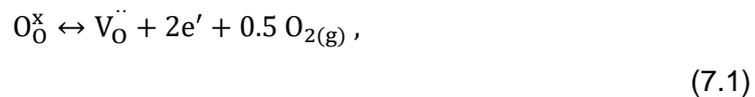


Fig. 4: Brouwer diagram for ceria ( $\text{CeO}_2$ ).

### 2.3.3. Defect Chemistry of Titanium (IV) oxide ( $\text{TiO}_2$ )

Anatase titania ( $\text{TiO}_2$ ) is a wide-bandgap semiconductor with an energy of around 3.2 eV.<sup>119,120</sup>  $\text{TiO}_2$  tends to be an intrinsic n-type material at elevated temperatures, as generated oxygen vacancies are compensated by electrons. Electrons exhibit a considerably high mobility in the conduction band, while localized holes show an extremely low mobility, due to the strong electronegativity of the O-2p orbitals. The defect equations for the redox reaction and formation of an electron-hole pair are:



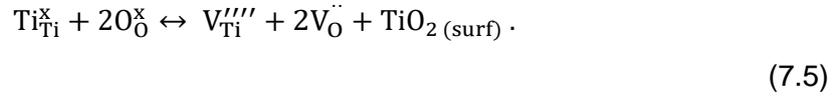
with the equilibrium constants:

$$K_{\text{V}_0^{\bullet\bullet}} = [\text{V}_0^{\bullet\bullet}][e']^2 p_{\text{O}_2}^{1/2}, \quad (7.3)$$

$$K_e = [e'][h^{\bullet}]. \quad (7.4)$$

For anatase, it is still under debate whether Schottky or cation Frenkel disorder is energetically favored.<sup>121–128</sup> In case of Schottky disorder, doubly ionized oxygen

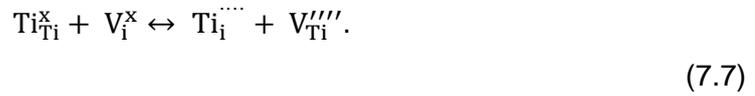
vacancies  $V_O^{\cdot\cdot}$  and titanium vacancies  $V_{Ti}^{''''}$  with a charge of -4 relative to the lattice are present. Meanwhile, electrically neutral  $TiO_2$  molecules leave the lattice sites and are placed at the surface of the material:



In this case, the equilibrium constant  $K_S$  is:

$$K_S = [V_{Ti}^{''''}][V_O^{\cdot\cdot}]^2. \quad (7.6)$$

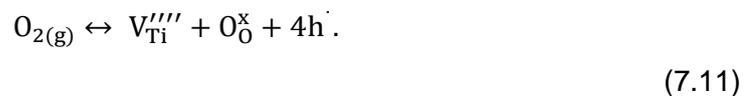
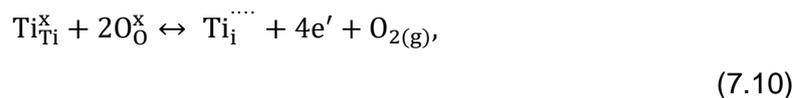
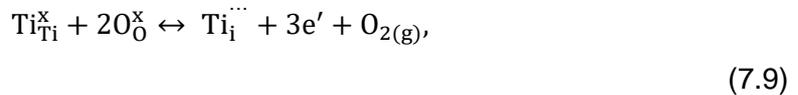
Assuming that Frenkel disorder is dominant, a  $Ti^{4+}$  ion changes its position from an occupied lattice site  $Ti_{Ti}^x$  to a vacant interstitial site  $V_i^x$  according to:



$Ti_i^{''''}$  denotes  $Ti^{4+}$  on an interstitial site with a relative charge of +4. The corresponding mass action law is given by:

$$K_F = [V_{Ti}^{''''}][V_i^x]. \quad (7.8)$$

As for YSZ and  $CeO_2$ , the defect concentration of titania is associated with its nonstoichiometry. Changing the oxygen partial pressure of the surrounding atmosphere, the defect concentration can be tailored. Nowotny *et al.* illustrated the concentration of specific defects as a function of the oxygen partial pressure.<sup>125,128–130</sup> In addition to the above mentioned defect formations, the following defect equilibria need to be considered for titania:



Under extremely reducing conditions, trivalent titanium interstitials  $Ti_i^{\cdot\cdot\cdot}$  become the most dominant defects. The formation of  $Ti_i^{\cdot\cdot\cdot}$  is described in equation (7.9) and its equilibrium constant is expressed as follows:

$$K_{\text{Ti}_i^{\cdot\cdot\cdot}} = [\text{Ti}_i^{\cdot\cdot\cdot}][e']^3 p_{\text{O}_2}. \quad (7.12)$$

Here, titanium interstitials are compensated by electrons:

$$3[\text{Ti}_i^{\cdot\cdot\cdot}] = [e']. \quad (7.13)$$

In the strongly reduced regime, the predominant defects are oxygen vacancies  $V_{\text{O}}^{\cdot\cdot}$ , which are compensated by electrons. The formation of oxygen vacancies is described by equation (7.1) with the equilibrium constant (7.3). Thus, the corresponding Brouwer approximation for this regime is:

$$[e'] = 2[V_{\text{O}}^{\cdot\cdot}]. \quad (7.14)$$

At slightly higher oxygen partial pressure (reducing conditions), oxygen vacancies and titanium vacancies are the predominant defect species. The defect disorder (7.5) in this regime is governed by the charge compensation:

$$2[V_{\text{Ti}}^{\cdot\cdot\cdot}] = [V_{\text{O}}^{\cdot\cdot}]. \quad (7.15)$$

With further increase of the  $p_{\text{O}_2}$ , oxidation leads to the formation of p-type titania (oxidizing conditions). During the oxidation, titanium vacancies are formed. The reaction is described by the equilibrium (7.11) with the corresponding equilibrium constant  $K_{V_{\text{Ti}}^{\cdot\cdot\cdot\cdot}}$ :

$$K_{V_{\text{Ti}}^{\cdot\cdot\cdot\cdot}} = [V_{\text{Ti}}^{\cdot\cdot\cdot\cdot}][h^{\cdot}]^4 p_{\text{O}_2}^{-1}. \quad (7.16)$$

Only holes predominate as the majority charge carrier and compensate the titanium vacancies:

$$4[V_{\text{Ti}}^{\cdot\cdot\cdot\cdot}] = [h^{\cdot}]. \quad (7.17)$$

Assuming the defect reaction of each oxygen partial pressure regime and the corresponding Brouwer approximation for the electroneutrality condition, a schematic representation of the ionic and electronic charge carriers in the above regimes is shown in Fig. 5.

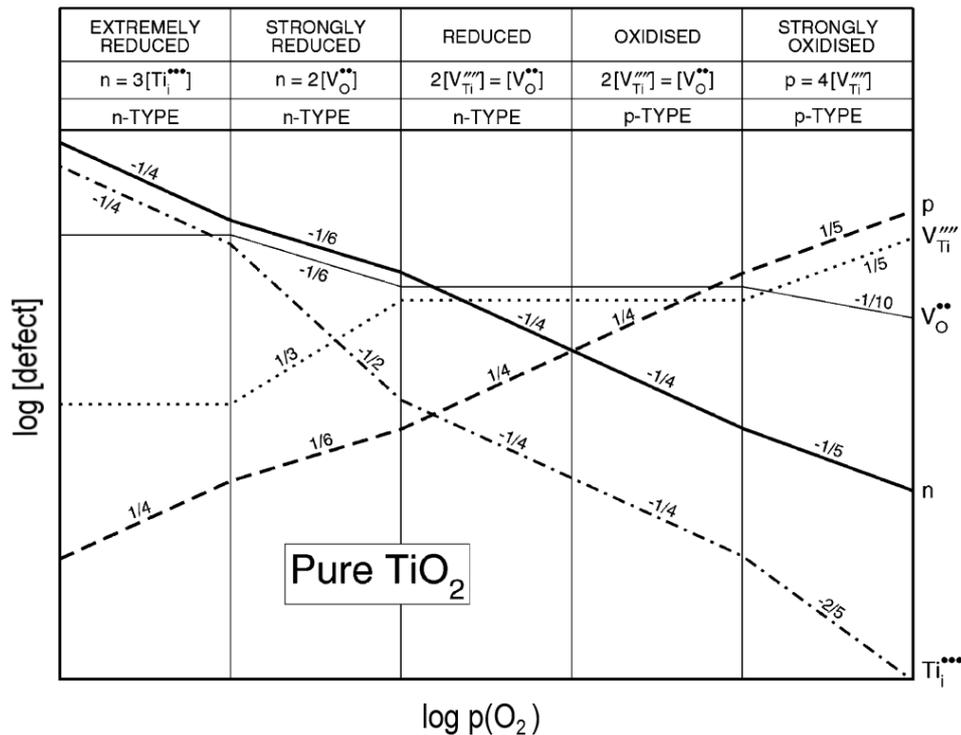


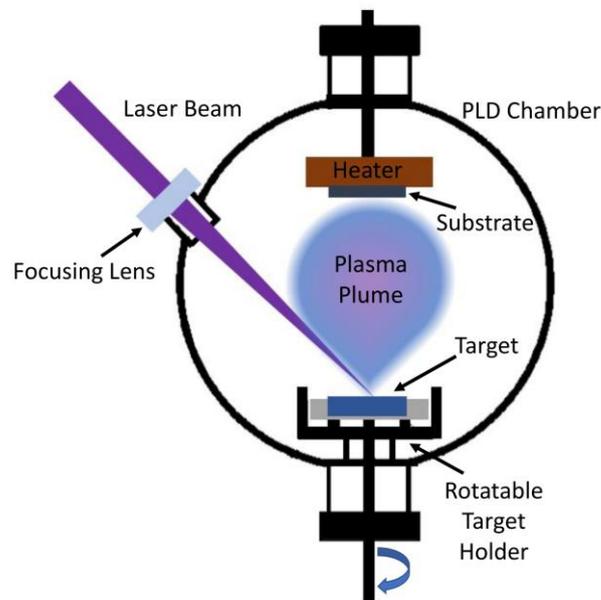
Fig. 5: Brouwer diagram for titania ( $\text{TiO}_2$ ), according to the Schottky disorder. Variation of the concentration of each defect as a function of the oxygen partial pressure. Reproduced from Nowotny et al. with permission.<sup>128</sup>

## 2.4. Sample Preparation

### 2.4.1. Pulsed Laser Deposition (PLD)

Pulsed laser deposition (PLD), a physical vapor deposition (PVD) technique introduced by Smith and Turner in 1965,<sup>131</sup> is a versatile method for the deposition of thin films of a wide spectrum of materials.<sup>132–136</sup> In a typical PLD process, a pulsed laser beam is focused by a set of optical components onto the surface of a solid target to be deposited, leading to ablation and evaporation of the material inside the deposition chamber. The evaporated target material expands in a plasma plume consisting of target species, such as atoms, electrons and ions. After that, the vapor condenses on the substrate, which is mounted opposite to the target. During the deposition process, the substrate can be heated to a certain temperature. The process can be carried out in an ultra-high vacuum (UHV) or in the atmosphere of different background gases, for example, oxygen, nitrogen or argon. In addition, several experimental parameters (laser energy, pulse duration, substrate temperature, substrate-target distance, background gas pressure and type) affect the expansion dynamics of the plasma and thus have direct influences on the thin film growth and its properties. The possibility to adjust these parameters

allows to influence the crystallinity, morphology, thickness and stoichiometry of the deposited thin film. Fig. 6 shows a schematic diagram of a typical PLD system.



*Fig. 6: Scheme of the PLD chamber equipped with a laser, a rotatable target holder stage, a substrate heater and various pressure gauges. An excimer laser, such as krypton fluoride or argon fluoride, is the most commonly used energy source in PLD systems.*

PLD is a well-known technique also for the deposition of metal oxides. In 1969, the first growth of oxide films,  $\text{BaTiO}_3$  and  $\text{SrTiO}_3$ , was achieved by PLD.<sup>137</sup> In the course of time, this technique has been used extensively in the preparation of numerous complex oxides.<sup>138</sup> An important aspect is that structural properties of metal oxides, such as composition and morphology, can be controlled by tailoring the oxygen background pressure and the substrate temperature during deposition.<sup>138</sup> In this context, an oxygen background is commonly needed to implement stoichiometric transfer of the desired material from the target to the substrate. Secondly, it is possible to prepare thin film structures ranging from dense to highly porous ones by variation of the oxygen pressure. At low oxygen pressures, thin films with compact and dense morphologies are typically deposited. For example, the preparation of dense PLD-prepared YSZ thin films is attained at low oxygen pressures during the deposition, whereas the formation of porous YSZ with a high specific surface area is facilitated at high pressures, as reported in several studies.<sup>82,138–141</sup> The substrate temperature is mainly responsible for the nucleation density of the film.<sup>139,142,143</sup> High temperatures enhance the nucleation, thereby improving the crystallization and producing strongly ordered and larger grains.

Moreover, PLD-deposited thin films usually require post-annealing treatments to obtain high-quality films.

#### **2.4.2. Evaporation-induced Self-assembly (EISA)**

The EISA process is well-suited to synthesize ordered mesoporous thin films with a high specific surface area and nanoscale features.<sup>30,144–149</sup> This process has been developed by Ogawa and Brinker for the preparation of mesostructured silica thin films.<sup>150,151</sup> In recent years, various mesostructured materials have been prepared by combining sol-gel chemistry with surfactants and/or polymer structure-directing agents. The EISA process is illustrated in Fig. 7. In general, it is based on the combination of sol-gel chemistry and self-assembly of amphiphilic molecules. The approach combines the advantages of both soft- and hard-templating chemistries. Typically, a planar substrate is sprayed, dip-coated or spin-coated with a solution of a structure-directing agent (e.g., block copolymer), inorganic precursor(s), and an organic solvent (e.g., alcohol). During the evaporation of the solvent, the self-assembly drives the generation of supramolecular micelles and leads to the formation of a hybrid mesophase with short- or long-range periodicity. This step is followed by a heating process to remove the polymer template and to enable crystallization of the pore wall structure and control of grain size, leading to the formation of ordered mesoporous materials with nanocrystalline walls. The final mesostructure of the thin film can be carefully controlled by several intrinsic and extrinsic processing parameters. The EISA process is a simple, efficient, and rapid method for the preparation of uniform and robust thin films. Due to the high variability of EISA parameters compared to other sol-gel approaches, this process has been routinely used to produce a large number of mesoporous films in recent years. Particularly, metal oxide thin films, such as  $\text{Fe}_2\text{O}_3$ ,  $\text{TiO}_2$  and  $\text{WO}_3$ , with an ordered pore network of 10 to 20 nm pores and crystalline walls have been synthesized.<sup>152–157</sup> These thin films are used in diverse applications, such as optics, catalysis, separation, photovoltaics, low dielectric layers and sensors, to name a few.

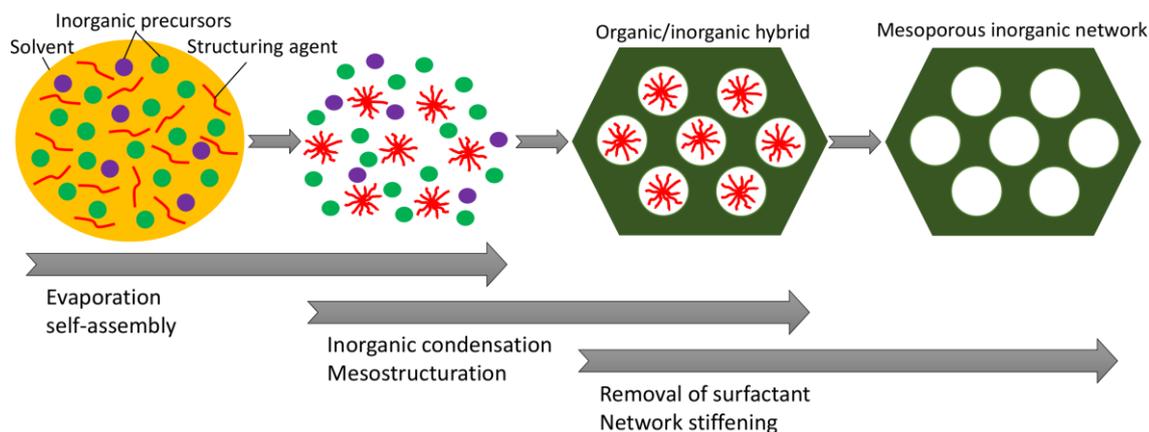


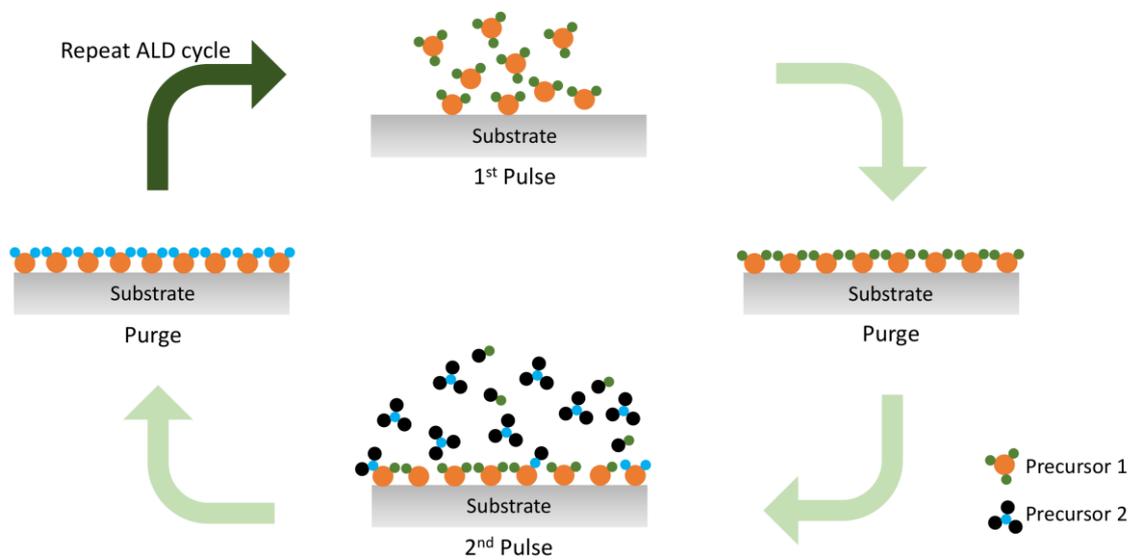
Fig. 7: Schematic illustration of different steps involved in the evaporation-induced self-assembly (EISA) process.

### 2.4.3. Atomic Layer Deposition (ALD)

ALD is a thin film deposition method, which provides conformal and uniform coatings on complex surfaces. ALD is a special type of chemical vapor deposition (CVD) introduced by Suntola and Antson in 1977.<sup>158–161</sup> Over the past decades, surface modification via ALD has gained attention as a promising route to improve structural and electrical properties of dense and porous materials. For instance, coatings lead to functionalization and protection of surfaces. This technique is based on sequential, self-limiting gas-solid surface reactions, leading to controlled layer-by-layer growth down to the atomic level of the desired material (Frank-Van der Merwe growth). ALD is a cycle process, consisting of sequential pulsing of at least two reactive precursor gases that chemisorb on the whole substrate surface. This step is followed by intermediate purging or evacuation steps in order to remove unreacted gaseous precursor and thus to avoid gas phase reactions. As shown in Fig. 8, the precursors are pulsed alternately and separated by purge periods. In this way, it is possible to deposit a variety of materials, such as oxides, nitrides, sulfides and pure elements.

Ideally, a monolayer of the respective material is formed during each ALD cycle. However, in practice, the layer growth per cycle is significantly influenced by the steric hindrance of the gaseous precursor molecules as well as the interaction between precursor and substrate surface. The desired coating thickness can be achieved by repeating the process sequence including all reaction and purging steps, i.e., by the number of ALD cycles. An illustration of the process is provided in Fig. 8. Here, the growth rate is mainly influenced by three parameters, the substrate temperature, the

vapor pressure of precursor(s), and the purge/pulse duration. Depending on the material, the ALD process occurs at temperatures between room temperature and  $\sim 500$  °C.



*Fig. 8: Schematic representation of a typical ALD cycle. Typically, the duration of one cycle is between one and several seconds.*

### 3. Results and Discussion

#### 3.1. Publication I: Ordered Mesoporous Metal Oxides for Electrochemical Applications: Correlation between Structure, Electrical Properties and Device Performance

The first publication of this doctoral thesis addresses the state-of-the-art in the synthesis of mesoporous metal oxides, their structural and physicochemical properties and their potential for electrochemical applications in batteries, for (photo-) electrocatalysis or as gas sensor. In this invited Perspective article, we discuss the advantages and disadvantages of the unique structural properties of ordered mesoporous oxides, such as the regular pore structure and the resulting high surface area, regarding their performance for different electrochemical device applications.

To gain a fundamental understanding of the physicochemical properties of mesoporous metal oxides, the defect chemistry at interfaces and the impact of the resulting space charge region on the partial electronic and ionic conductivity are discussed. Here, Gouy-Chapman and Mott-Schottky models are introduced as the most promising cases for the description of the charge carrier distribution at the interface. In addition, the publication discusses the role of humidity on the protonic surface conductivity. In particular, the general aspects of the protonic transport mechanisms are discussed. Finally, we highlight the benefits of surface modification via ALD, which tailors the functionality of mesoporous materials and provides new design principles for the development of high performance devices in the field of modern energy technology.

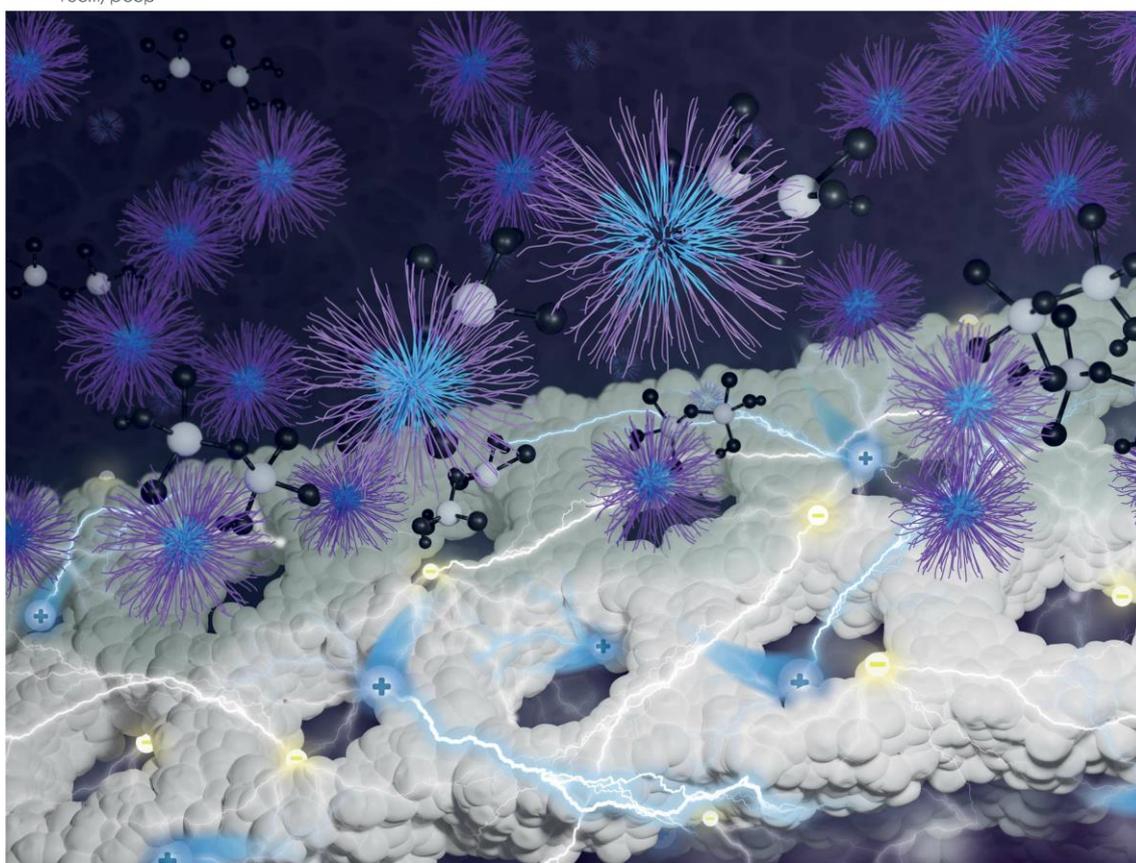
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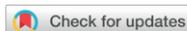
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**PERSPECTIVE**

Torsten Brezesinski, Matthias T. Elm *et al.*  
Ordered mesoporous metal oxides for electrochemical  
applications: correlation between structure, electrical  
properties and device performance



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## Ordered mesoporous metal oxides for electrochemical applications: correlation between structure, electrical properties and device performance

Erdogan Celik,<sup>a</sup> Yanjiao Ma,<sup>b</sup> Torsten Brezesinski<sup>b,\*</sup> and Matthias T. Elm<sup>b,†</sup>

Ordered mesoporous metal oxides with a high specific surface area, tailored porosity and engineered interfaces are promising materials for electrochemical applications. In particular, the method of evaporation-induced self-assembly allows the formation of nanocrystalline films of controlled thickness on polar substrates. In general, mesoporous materials have the advantage of benefiting from a unique combination of structural, chemical and physical properties. This Perspective article addresses the structural characteristics and the electrical (charge-transport) properties of mesoporous metal oxides and how these affect their application in energy storage, catalysis and gas sensing.

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### 1. Introduction

With the introduction of surfactant-templating methods and the evaporation-induced self-assembly (EISA) process in the 1990s, interest in the preparation of ordered mesoporous materials in powder and thin film form has become widespread.<sup>1–6</sup> While initial efforts focused on siliceous materials, soft-templating methods, in general, lend themselves to the formation of mesostructured metal oxides with nanocrystalline walls.<sup>7–16</sup> The preparation usually relies on the solution-phase co-assembly of either sol-gel precursors or preformed (nanocrystal) building blocks with a surfactant or an amphiphilic polymer structure-directing agent to achieve different pore symmetries, followed by some post-treatment.<sup>13–22</sup> Because of their unique structural and compositional diversity, mesoporous materials are currently drawing increasing attention for energy applications (batteries, catalysis, gas storage and sensing, etc.).<sup>23–27</sup> In principle, an open pore-solid architecture provides good accessibility of the material and its internal structure to the surrounding medium (note that gasses or liquids can readily penetrate into the porosity), thereby offering a large number of active surface sites. In addition, it enables effective transport of charge carriers due

to short diffusion pathways, among others, and endows the material with mechanical flexibility. Most importantly, because of the small dimensions of the crystallites in the pore walls and the high interface density, defect chemistry and surface space-charge effects strongly affect the device performance and provide the unique possibility of tailoring the materials properties. In this Perspective, we review and describe the interplay between structure and electrical transport properties of mesoporous metal oxides (mainly as thin films), with a particular focus on applications in electrochemical energy storage, catalysis and gas sensing. Challenges and future prospects are also discussed.

### 2. Mesoporous metal oxide thin films

#### 2.1. Evaporation-induced self-assembly

The principles of self-assembly for sol-gel-derived mesoporous materials have been described in considerable detail elsewhere and will not be further discussed here.<sup>13–17,28–32</sup> However, in the following, we briefly describe the EISA-based preparation of mesostructured thin films, which affords a high degree of control over the intrinsic (chemistry) and extrinsic (environment) processing parameters. In the past two decades, many important metal oxides, primarily with pores in the size range between 5 and 30 nm, and with different crystalline phases have been produced by EISA and related methods. However, crystallization of the wall structure with retention of the porosity is challenging. This is due, in part, to the lack of broad availability of suitable structure-directing agents.

In general, the processing scheme shown in Fig. 1 can be described as follows: a solution containing the structure-directing

<sup>a</sup> Center for Materials Research, Justus Liebig University Giessen, 35392 Giessen, Germany. E-mail: matthias.elm@phys.chemie.uni-giessen.de

<sup>b</sup> Institute of Nanotechnology, Karlsruhe Institute of Technology (KIT), Hermann-von-Helmholtz Platz 1, 76344 Eggenstein-Leopoldshafen, Germany. E-mail: torsten.brezesinski@kit.edu

<sup>†</sup> Institute of Experimental Physics I, Justus Liebig University Giessen, 35392 Giessen, Germany

<sup>\*</sup> Institute of Physical Chemistry, Justus Liebig University Giessen, 35392 Giessen, Germany



## Perspective

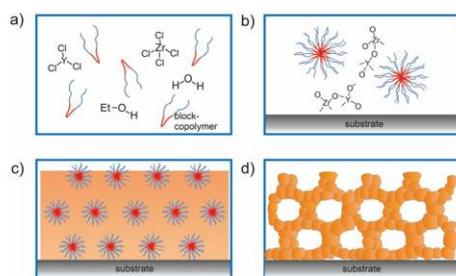


Fig. 1 Schematic illustration of the fabrication steps for ordered mesoporous thin films using an EISA process. (a) Solution consisting of structure-directing agent and inorganic precursors (shown for  $ZrCl_4$  and  $YCl_3$ ; chemical reactions with the solvent are omitted for clarity). (b) Micelle formation, coassembly and condensation of the inorganic reagents. (c) Inorganic-organic hybrid thin film formation upon solvent evaporation and (d) thermally-induced crystallization of the inorganic wall structure and combustion of the organic structure-directing agent.

agent and the precursor(s), and possibly an organic additive (e.g., swelling or stabilizing agent), is sprayed, spin- or dip-coated onto a planar substrate. Upon solvent evaporation, a mesostructured inorganic-organic hybrid thin film with short- or long-range periodicity is formed. Finally, the material is made porous by removing the structure-directing agent *via* extraction or combustion. Note that heating at elevated temperatures is typically used to drive condensation of the inorganic framework, crystallize the pore walls and tailor the grain size.

In general, mesoporous materials have the advantage of benefiting from a unique combination of structural, chemical and physical properties, making them interesting for a variety of applications. Especially amphiphilic polymers as structure-directing agents have been shown well suited for the preparation of crystalline metal oxide thin films with cubic or hexagonal pore structures. In this regard, KLE diblock copolymers [KRATON LIQUID-*block*-poly(ethylene oxide) or poly(ethylene-*co*-butylene)-*block*-poly(ethylene oxide)] seem to somewhat stand out due to their favorable templating properties.<sup>11,12,19,33</sup> However, other di- and triblock copolymers, such as poly(ethylene oxide)-*block*-poly(propylene oxide)-*block*-poly(ethylene oxide) (referred to as Pluronic), polyisobutylene-*block*-poly(ethylene oxide), poly(ethylene oxide)-*block*-polybutadiene-*block*-poly(ethylene oxide) or poly(ethylene oxide)-*block*-polystyrene, have also proven to be robust structure-directing agents.<sup>7,8,13,16,20,34-37</sup>

A variety of binary and multinary metal oxide thin films with cubic mesoporous morphologies have been synthesized from KLE and alkoxide or salt precursors, including  $TiO_2$ ,  $Al_2O_3$ ,  $CeO_2$ ,  $HfO_2$ ,  $MoO_3$ ,  $SnO_2$ ,  $SrTiO_3$ ,  $Li_4Ti_5O_{12}$ ,  $REVO_4$ ,  $BiFeO_3$  and  $PZT$ , to name a few.<sup>11,12,19,38-49</sup> In the following, we use  $TiO_2$ , which is often considered as a prototype material for applications in photocatalysis, solar cells or batteries, as an example.<sup>50-53</sup> Smarsly *et al.* reported on the preparation of cubic mesoporous  $TiO_2$  thin films from EtOH:THF solution containing  $TiCl_4$  and KLE by the dip-coating method. Crystallization into the anatase phase was

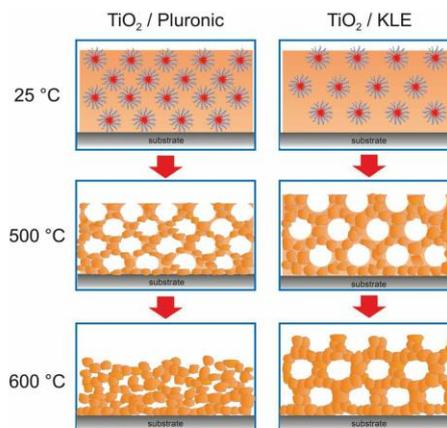


Fig. 2 The effect of annealing temperature on the pore structure of Pluronic- and KLE-templated  $TiO_2$  thin films. Adapted from ref. 54 with permission.

found to begin at 450 °C and the nanoscale porosity was retained up to about 700 °C.<sup>11</sup> In a related study, Fattakhova-Rohlfing *et al.* examined the Li-storage properties of KLE- and Pluronic P123-templated mesostructured  $TiO_2$  thin films, which allowed quantifying the fraction of crystalline and amorphous phases in the samples.<sup>54</sup> They showed that the Pluronic P123-derived films display a strong tendency for anatase formation already well below 450 °C (approx. 50% crystallinity at 400 °C) and that full crystallization at temperatures  $\geq 600$  °C is accompanied by collapse of the pore network. This behavior is in stark contrast to that of the KLE-templated material, as schematically shown in Fig. 2. Brezesinski *et al.* also synthesized cubic mesoporous  $TiO_2$  thin films by a conventional sol-gel route using KLE and  $TiCl_4$  as polymer structure-directing agent and precursor, respectively, and by a particle-based route, with anatase nanocrystals serving as preformed building blocks.<sup>19</sup> The authors demonstrated that the nanocrystal films can withstand much higher temperatures (up to 900 °C) before losing nanoscale order. In addition, they showed much improved Li-storage properties, especially greater power density due to high levels of pseudocapacitive charge storage.

Hartmann *et al.* compared the photoelectrochemical water splitting properties of cubic mesoporous  $TiO_2$  thin films produced using the same synthetic routes described by Brezesinski and coworkers.<sup>20</sup> Both materials differed significantly in the pore walls thickness, see scanning electron microscopy (SEM) images in Fig. 3, despite using the same polymer structure-directing agent in the preparation process. Interestingly, the sol-gel material showed a significantly higher efficiency, which the authors explained by insufficient electronic connectivity of the nanocrystal films. Overall, these results indicate that not only the pore accessibility and specific surface area but also the transport properties have a profound effect on the device performance.



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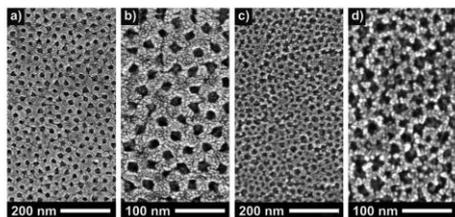


Fig. 3 Top-view SEM images of sol-gel-derived (a and b) and preformed nanoparticle-based (c and d) mesoporous  $\text{TiO}_2$  thin films. Reproduced from ref. 20 with permission.

### 3. Transport properties

As briefly discussed in the previous sections, mesoporous oxides are of interest for a broad range of electrochemical applications. For the functionality of such devices, efficient transport of electrical charge carriers (mobile defects of the ideal crystal structure) is required. As mesoporous materials are typically polycrystalline and made from individual crystallites connected in a 3-dimensional framework, as schematically shown in Fig. 4, they contain a high density of interfaces. Solid/solid (grain boundaries), solid/liquid and solid/gas interfaces may significantly alter the mobility of electronic and ionic charge carriers due to the formation of a space-charge region. In general, the electrical conductivity of a charge carrier is given by:

$$\sigma = Ze\mu n, \quad (1)$$

where  $Z$  is the valence,  $e$  is the elementary charge,  $\mu$  is the mobility and  $n$  represents the charge-carrier density contributing to the transport. In the presence of an interface, both the charge-carrier density and mobility may differ from the respective bulk values, making detailed understanding of the effect of interfaces on the electrical transport properties indispensable. Apart from elemental doping, optimization of the electronic properties of mesoporous materials, and therefore of the electrochemical device performance, is feasible by tailoring the interface density, for example, by controlling the pore size, the wall thickness or the size of the crystallites (grains) in the wall structure.

In the following, we will give a short description of both the formation of a space-charge layer at interfaces and the characterization of electronic and ionic conductivity using electrochemical

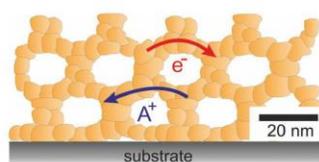


Fig. 4 Schematic illustration of the 3-dimensional architecture of mesoporous oxide thin films with efficient transport pathways for electrons and ions and a vast number of interfaces.

impedance spectroscopy (EIS). The influence of a space-charge layer on the electronic and ionic transport properties has been reported in detail for  $\text{CeO}_2$ - and  $\text{ZrO}_2$ -based ceramics when the crystallite size becomes comparable to its extension. The findings for these systems will be briefly summarized, followed by reports on the investigation of transport properties in mesoporous materials. Finally, we also discuss the protonic conductivity in nanoscale oxides.

#### 3.1. Defect chemistry at interfaces

As indicated by eqn (1), the electrical conductivity of a material depends on the concentration and mobility of charge carriers. While the mobility is determined by the electronic and ionic structure of the crystal, the carrier concentration is related to the electrochemical potential of the corresponding defect  $A$ , which is given by the sum of the chemical potential and the electrical potential:<sup>55</sup>

$$\tilde{\mu}_A = \mu_A^0 + Z_A F \phi(x). \quad (2)$$

In the absence of an electrical field, the electrochemical potential is constant throughout the bulk. However, at an interface, the local symmetry is broken. This means the chemical potential ( $x = 0$ ) differs from that in the bulk ( $x = \infty$ ):

$$\mu_A(x = 0) \neq \mu_A(x = \infty). \quad (3)$$

To ensure constant electrochemical potential, the mobile charge carriers redistribute, resulting in charging of the interface and local variation of the electrical potential  $\phi(x)$ , the so-called space-charge region, which compensates for the difference in chemical potential. The redistribution of charge carriers affects the carrier concentration at the interface, and therefore the corresponding electrical conductivity.

For an ideal interface, the change in charge-carrier concentration relative to the bulk concentration  $c_\infty$  is given by (1-dimensional case):<sup>56–58</sup>

$$\zeta(x) = \frac{c(x)}{c_\infty} = \exp\left(-\frac{Ze\Delta\phi(x)}{k_B T}\right), \quad (4)$$

where  $\Delta\phi(x) = \phi(x) - \phi_\infty$  is the potential relative to the bulk potential  $\phi_\infty$ . The distribution of charge carriers can then be calculated by solving the Poisson–Boltzmann equation.<sup>56</sup>

Depending on the mobile charge carriers present in the system, different profiles of the resulting charge-carrier distribution are obtained. The two most prominent cases are Gouy–Chapman and Mott–Schottky,<sup>59</sup> both of which are schematically shown in Fig. 5.

In the Gouy–Chapman case, two charge carriers of opposite sign are mobile and contribute to the surface charging. In the space-charge region, the carrier with the opposite sign to the surface charge accumulates at the interface, while the other is depleted. The width of the space-charge region is then given by the Debye length:<sup>59</sup>

$$\lambda_D = \sqrt{\frac{\epsilon_0 \epsilon_r k_B T}{2Z^2 e^2 n_\infty}}, \quad (5)$$



## Perspective

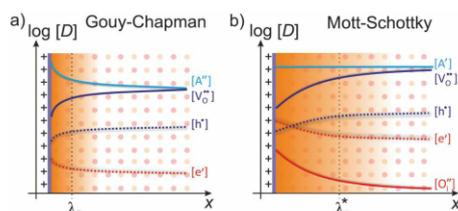


Fig. 5 Schematic illustration of the space-charge region for (a) Gouy-Chapman and (b) Mott-Schottky case. Adapted from ref. 60 with permission.

with  $\epsilon_0$  and  $\epsilon_\infty$  being the vacuum permittivity and permittivity of the material, respectively.

If one of the charge carriers is immobile, that is, its concentration profile is independent of the distance to the boundary, redistribution of mobile charge carriers follows a Mott-Schottky profile. Compared with the Gouy-Chapman case, redistribution results in an extended space-charge region of effective width:<sup>59</sup>

$$\lambda^* = \sqrt{\frac{2\epsilon_0\epsilon_r\Delta\phi(0)}{Zen_\infty}} = \lambda_D \sqrt{\frac{4Ze}{\epsilon_0\epsilon_r k_B T} \Delta\phi(0)}. \quad (6)$$

As the space-charge profile can only be calculated analytically for two charge carriers of opposite sign, for mixed cases or for low space-charge potentials, approximations are needed. Göbel *et al.* presented a numerical approach to calculate the concentration profile for these cases, allowing to obtain more precise analytical solutions of the Poisson-Boltzmann equation.<sup>61,62</sup> However, determination of the space-charge profile by solving the Poisson-Boltzmann equation does not account for interactions between the charge carriers, which can become important for high defect concentrations. In 2015, Mebane and De Souza presented a generalized space-charge model (Poisson-Cahn theory) to account for defect-defect interactions at high defect concentrations.<sup>63,64</sup> While in the dilute case, the results of the analytic model described above are well reproduced, the profile of the space charge is modified for higher defect concentration. At the positively charged interface, the oxygen-vacancy concentration increases significantly (segregation of oxygen vacancies at the interface). Interestingly, depletion of oxygen vacancies in the adjacent space-charge region is accompanied by an enrichment of carriers before the bulk value is reached.

### 3.2. Electronic and ionic conductivity

The effect of a space-charge layer on the electronic and ionic transport is reasonably well understood for polycrystalline  $\text{CeO}_2$ - and  $\text{ZrO}_2$ -based ceramics. In both material systems, grain boundaries exhibit a positively charged core, resulting in depletion of oxygen vacancies in the space-charge region, and therefore in a highly resistive grain boundary. The latter hinders the transport of oxygen ions. To investigate the influence of the internal interfaces on the total resistivity, EIS is the most

prominent and commonly used tool,<sup>65-70</sup> as this method allows distinguishing to some extent between contributions of the grain and the grain boundary due to differences in the corresponding dielectric relaxation times.<sup>66,68,71</sup>

In microcrystalline oxides, the total impedance is typically described using the brick-layer model (BLM),<sup>71-73</sup> where a transport path through the bulk, across and along the grain boundaries is considered. In oxides with highly resistive grain boundaries, such as yttria-stabilized zirconia (YSZ), the transport path parallel to the grain boundary can be neglected.<sup>67,74</sup>

The resulting impedance spectra exhibit two semicircles, as shown in Fig. 6, and can be described with an equivalent circuit consisting of two resistance-capacitance elements connected in series, representing the transport along the grain and across the grain boundary. Analysis then allows determining the grain boundary thickness  $\delta_{\text{gb}}$ :<sup>75,76</sup>

$$\delta_{\text{gb}} = \frac{\epsilon_{\text{gb}} C_{\text{gb}}}{\epsilon_{\text{bulk}} C_{\text{bulk}}} \delta_{\text{g}}, \quad (7)$$

where  $\delta_{\text{g}}$  denotes the grain size and  $C_{\text{gb}}$  and  $C_{\text{bulk}}$  are the capacitance values from EIS for the grain boundary and bulk, respectively. Furthermore, the space-charge potential at the interface can be calculated according to:<sup>74</sup>

$$\rho_{\text{gb}} = \rho_{\text{bulk}} \frac{\exp(Ze\Delta\phi(0)/k_B T)}{Ze\Delta\phi(0)/k_B T}. \quad (8)$$

A detailed analysis of the impedance of microcrystalline  $\text{ZrO}_2$  (Mott-Schottky case) using the BLM confirms that the blocking behavior of grain boundaries is caused by the formation of a

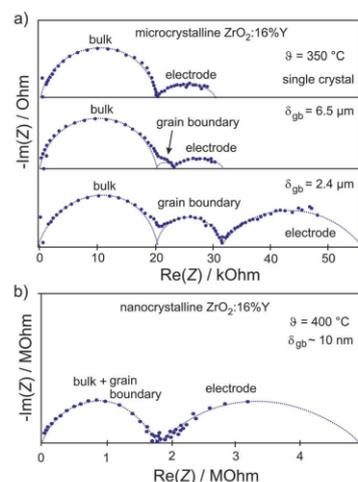


Fig. 6 (a) Impedance spectra for single-crystal and microcrystalline YSZ. The grain boundaries of the microcrystalline sample lead to the appearance of an additional semicircle. (b) Reducing the grain size to the nanometer level results in merging of semicircles representing the bulk and grain boundary transport processes. Adapted from ref. 77 with permission.



space-charge region<sup>74,79,80</sup> and not solely by segregation effects, as previously assumed.<sup>67,81,82</sup> The influence of the space-charge region on the overall impedance becomes even more important when the grain size is comparable to the width of the space-charge region,<sup>83,84</sup> which is typically a few nanometers for oxide ceramics. Then, interface effects are more pronounced and may even dominate the impedance.<sup>57,72</sup> As shown in Fig. 6, the decrease in grain size results in merging of the semicircles representing the bulk and grain boundary transport processes,<sup>56,77,85</sup> making their separation difficult. Furthermore, the accumulated charge carriers may also contribute to the transport through the sample. This is typically observed for the Gouy-Chapman case,<sup>59</sup> but can also occur for the Mott-Schottky case at low doping concentration.<sup>86</sup> For large effects, the electrons result in an additional contribution to the conductivity along the grain boundaries.<sup>26,59</sup>

$$\sigma_{\text{eff}}^{\parallel} = 2\lambda_{\text{D}}\sigma_{\text{bulk}}\sqrt{\frac{n_0}{n_{\infty}}} = 2\lambda_{\text{D}}\sigma_{\text{bulk}}\exp\left(\frac{Ze\Delta\phi(0)}{k_{\text{B}}T}\right), \quad (9)$$

where  $n_0$  and  $n_{\infty}$  denote the electron concentration at the interface and in the bulk, respectively. An overview of the conductivity/resistivity contributions for different space-charge situations due to accumulation/depletion of charge carriers at the interface is given in ref. 59 and 61.

A prominent example, where transport along the grain boundary becomes important, is nanostructured  $\text{CeO}_2$ .<sup>59,85,87</sup> Here, the space-charge region results in accumulation of electrons at the grain boundary (Fig. 7). While in microcrystalline samples the transport is mainly determined by oxygen ions, nanostructured  $\text{CeO}_2$  reveals a dominant electronic conductivity.<sup>59</sup> As shown in Fig. 8, Tschöpe *et al.* were capable of describing the change from ionic to electronic transport with decreasing grain size using the space-charge model.<sup>88,89</sup> Furthermore, their numerical calculations revealed that, due to the space-charge layer and segregation effects at the interface, not only the apparent activation energy of the transport process depends on the grain size (Fig. 8) but also the characteristic exponent of the  $p(\text{O}_2)$ -dependence of conductivity.<sup>88–90</sup> A decrease of the bulk ionic conductivity with decreasing grain size is found for nanocrystalline YSZ due to depletion of oxygen vacancies in the grain, caused by the increasing impact of the space-charge layer.<sup>79</sup>

However, the analytical description of transport properties using the space-charge model is only valid as long as the grain size is four times larger than the Debye length,  $\delta_{\text{g}} > 4\lambda_{\text{D}}$ .<sup>55,75,90</sup>

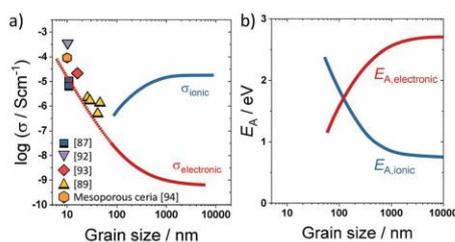


Fig. 8 (a) Change in electronic/ionic partial conductivity with the grain size; experimental data taken from ref. 87, 89 and 92–94. (b) Change in apparent activation energy of ionic/electronic transport with the grain size. Adapted from ref. 90 with permission.

If the grain size is further reduced, the space-charge regions of opposite grain boundaries start to overlap (Fig. 7) and the concentration profiles according to eqn (4) are not valid anymore. In  $\text{CeO}_2$  and  $\text{ZrO}_2$ , this condition is typically fulfilled for grain sizes below approx. 20 nm. If the grain size is further reduced to  $\delta_{\text{g}} < 4\lambda_{\text{D}}$ , the space charges completely extend throughout the grain, resulting in an almost constant carrier concentration.<sup>91</sup>

For small space-charge potential, Maier derived a so-called nano-factor  $g$  to describe the resulting enhancement of conductivity:<sup>55</sup>

$$g = \frac{4\lambda_{\text{D}}}{\delta_{\text{g}}}\sqrt{\frac{c_0 - c^*(\delta_{\text{g}})}{c_0}}. \quad (10)$$

Here,  $c^*(\delta_{\text{g}})$  is the concentration of accumulated charge carriers in the center of the grain, which is given by elliptical integrals depending on the grain size  $\delta_{\text{g}}$ , the bulk concentration  $c_{\text{bulk}}$  and the concentration at the interface  $c_0$ .

The influence of the space-charge region on the electrical properties is well reported for nanocrystalline oxides with a high grain-boundary density. In case of mesoporous oxides, not only the grain boundaries act as an interface but also the free surface. Although the same description holds as for grain boundaries,<sup>55</sup> the surface potential, and therefore the extension of the space-charge layer in the crystallites, is more sensitive to variations in the surrounding atmosphere (gas or liquid penetrating into the pores), thereby allowing to tailor the

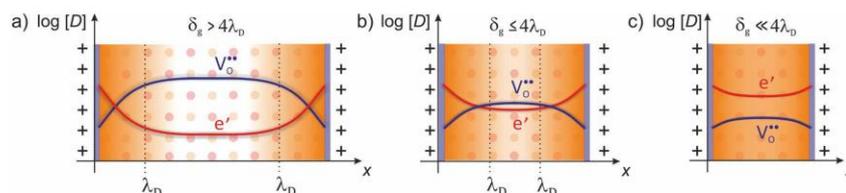


Fig. 7 Defect concentration for different grain sizes (schematically shown for  $\text{CeO}_2$ ). (a) The space-charge region affects the defect concentration near the interface in microcrystalline material. (b) For grain sizes smaller than four times the Debye length, the space-charge region starts to overlap and (c) finally completely dominates the defect concentration of the whole grain. Adapted from ref. 78 with permission.



## Perspective

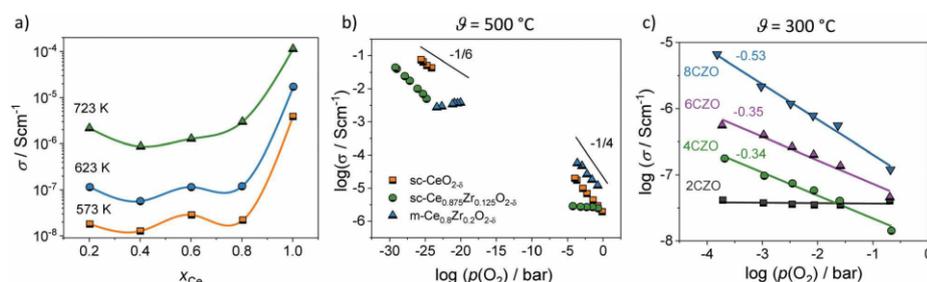


Fig. 9 (a) Total conductivity of mesoporous  $\text{Ce}_x\text{Zr}_{1-x}\text{O}_{2-\delta}$  solid solutions. Adapted from ref. 94 with permission. (b) Comparison of the oxygen-partial-pressure-dependence of conductivity for mesoporous  $\text{Ce}_{0.8}\text{Zr}_{0.2}\text{O}_{2-\delta}$  thin films and for  $\text{CeO}_2$  and  $\text{Ce}_{0.875}\text{Zr}_{0.125}\text{O}_{2-\delta}$  single crystals at 500 °C. (c) Oxygen-partial-pressure-dependence of conductivity for mesoporous  $\text{Ce}_x\text{Zr}_{1-x}\text{O}_{2-\delta}$  solid solution thin films at 300 °C. Adapted from ref. 94 with permission.

electrical properties. However, because of their unique architecture, mesoporous thin films may show significant differences compared to nanocrystalline materials.

Hartmann *et al.* studied the electrical conductivity of mesoporous ceria-zirconia ( $\text{Ce}_x\text{Zr}_{1-x}\text{O}_{2-\delta}$ ) solid solutions of different composition under varying oxygen partial pressure by means of EIS.<sup>94</sup> Because of the small crystallite size in the range between 4 and 12 nm, only one semicircle was observed experimentally, as discussed above. Consequently, only the total impedance could be determined. Fig. 9 shows the calculated conductivity values for the different materials. Especially for the pure  $\text{CeO}_2$  sample, high conductivity was found. This result can be attributed to the electronic conductivity in the space-charge layer both at the surface and at the grain boundaries, as the obtained value is in good agreement with that expected from the model of Tschöpe *et al.* (Fig. 8). However, it is worth mentioning that the conductivity was calculated assuming dense films. This means the actual conductivity in the pore walls is expected to be even higher.

Fig. 9 also shows a comparison of the  $p(\text{O}_2)$ -dependence of electrical conductivity at 500 °C for mesoporous  $\text{Ce}_{0.8}\text{Zr}_{0.2}\text{O}_2$  thin film and single crystals of comparable composition. The latter serve as a kind of reference without the influence of interfaces. Similar to the pure  $\text{CeO}_2$ , mesoporous  $\text{Ce}_{0.8}\text{Zr}_{0.2}\text{O}_2$  showed a significant increase in total conductivity (increased electronic conductivity). The  $p(\text{O}_2)$ -dependence of conductivity further supports the assumption of dominant electronic transport, as the slope of  $-1/4$  at high oxygen partial pressure is characteristic of electronic transport according to the standard defect chemical description (if the oxygen vacancy concentration can be assumed constant [extrinsic regime]).<sup>85,95,96</sup> For low oxygen partial pressure, standard defect chemistry predicts a  $-1/6$ -dependence (intrinsic regime) when the concentration of electrons becomes comparable to the oxygen-vacancy concentration.<sup>85,95-97</sup> The mesoporous thin film showed a maximum in conductivity and a decrease with further decreasing oxygen partial pressure, which can be attributed to a hopping maximum of the electronic transport in the  $\text{Ce}^{3+}$  sublattice. Because the electronic conductivity in  $\text{CeO}_2$  is determined by electron hopping from  $\text{Ce}^{3+}$  to  $\text{Ce}^{4+}$  sites,

the hopping probability depends on the fraction of  $\text{Ce}^{3+}$  and  $\text{Ce}^{4+}$  ions in the lattice according to:<sup>98,99</sup>

$$\sigma_e = \frac{n_{\text{Ce}} x_{\text{Ce}^{3+}} (1 - x_{\text{Ce}^{3+}}) e^2 a^2 \nu_0}{k_B T} \exp\left(-\frac{\Delta H_m}{k_B T}\right), \quad (11)$$

with  $a$  being the distance between the hopping sites,  $\nu_0$  the attempt frequency,  $n_{\text{Ce}}$  the number of cerium lattice sites and  $\Delta H_m$  the migration enthalpy of the hopping process. The product  $x_{\text{Ce}^{3+}}(1 - x_{\text{Ce}^{3+}})$  takes into account that hopping can only occur from  $\text{Ce}^{3+}$  to  $\text{Ce}^{4+}$ . Hence, at high electron concentration,  $x_{\text{Ce}^{3+}} \gtrsim 0.5$ , the hopping probability decreases again due to the reduced number of available  $\text{Ce}^{4+}$  species, leading to a decrease in electronic conductivity, as recently reported for Pr-doped  $\text{CeO}_2$  single crystals, where the electrons hop in the Pr-sublattice.<sup>99</sup> The increase in electronic conductivity and the occurrence of a hopping maximum suggest high electron concentration, and therefore significantly higher reducibility of the mesoporous material compared to nanocrystalline (dense) material (due to the higher specific surface area).

However, more interesting is the  $p(\text{O}_2)$ -dependence of conductivity at lower temperatures. As shown in Fig. 9, the mesoporous  $\text{Ce}_{0.8}\text{Zr}_{0.2}\text{O}_2$  thin film revealed a much stronger  $p(\text{O}_2)$ -dependence, with a characteristic slope of  $-1/2$  at 300 °C, while for both mesoporous  $\text{Ce}_{0.6}\text{Zr}_{0.4}\text{O}_2$  and  $\text{Ce}_{0.4}\text{Zr}_{0.6}\text{O}_2$  thin films, a  $p(\text{O}_2)$ -dependence with an exponent of approx.  $-1/3$  was observed. The unusual  $p(\text{O}_2)$ -dependence cannot be explained using the standard defect chemical model. Hartmann *et al.* proposed that oxygen vacancies with a relative charge lower than  $+2$  are responsible for the behavior. Specifically, two scenarios have been discussed. First, formation of defect associates (due to high electron concentration) may either result in not completely ionized vacancies,  $(\text{V}_\text{O}^{\bullet\bullet} + xe') \rightleftharpoons \text{V}_\text{O}^{(2-x)\bullet}$ , or defect associates formed by vacancies and  $\text{Ce}^{3+}$  ions.<sup>101,102</sup> Second,  $\text{O}^-$  instead of  $\text{O}^{2-}$  ions were suggested to exist at the surface to compensate the  $\text{Ce}^{3+}$  ions. While the origin of the unusual  $p(\text{O}_2)$ -dependence still remains largely unclear, the results show that the high surface area of mesoporous materials can significantly alter their electrical properties.



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## 3.3. Protonic conductivity

In recent years, especially nanostructured materials are receiving much attention, as significant protonic conductivity may be observed under wet atmospheric conditions for oxides, such as YSZ,<sup>103–106</sup> doped CeO<sub>2</sub>,<sup>107–110</sup> Al<sub>2</sub>O<sub>3</sub>,<sup>111</sup> SiO<sub>2</sub><sup>112</sup> or TiO<sub>2</sub>.<sup>113–115</sup> Note that their dense counterparts show no signs of protonic conductivity. The increase in conductivity under wet atmospheric conditions is shown in Fig. 10 for various materials. In contrast to high-temperature proton conductors with a perovskite structure,<sup>116,117</sup> like Y-doped BaZrO<sub>3</sub>, where the protons are incorporated into the bulk of the material, the protonic conductivity in nanostructured oxide ceramics at low temperatures relies on the adsorption of water molecules at the surface and grain boundaries (interfaces). Because it is directly related to the nanostructure, this offers an additional degree of freedom to tailor the protonic conductivity of mesoporous oxides, rendering them interesting as water sensors,<sup>118–121</sup> as proton exchange membranes<sup>122–124</sup> or for applications in energy storage and conversion.<sup>106,125</sup>

Although the high interface density is responsible for the increased protonic conductivity, at first glance, it is not obvious if the transport of protons occurs along grain boundaries or the (inner) surface. First studies on nanocrystalline YSZ revealed an increase in protonic conductivity with decreasing grain size,<sup>105,114</sup> suggesting that the transport does occur along the grain boundaries<sup>126</sup> (or at least is related to the grain-boundary density). However, it is now widely accepted that the increased conductivity arises from protons moving in chemisorbed and physisorbed water layers along the pores and surface of nanostructured oxides.<sup>100,104,127–130</sup> The strong dependence of the protonic conductivity on relative humidity and temperature is related to the structure of the adsorbed water molecules, as summarized by Stub *et al.*<sup>131</sup> The structure of the water layer on TiO<sub>2</sub> is schematically shown in Fig. 11.

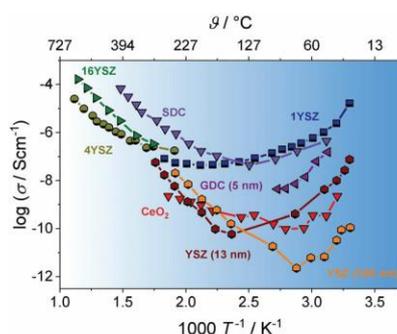


Fig. 10 Arrhenius plot of the temperature-dependent conductivity for different nanostructured oxides. Under wet atmospheric conditions, the adsorption of water molecules at the interfaces leads to significant increases due to surface protonic conductivity. Adapted from ref. 100 with permission.

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Perspective

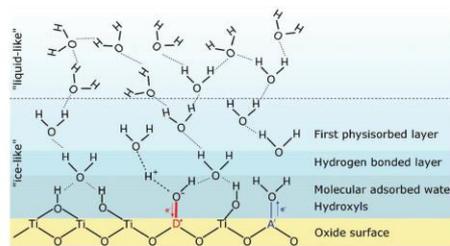
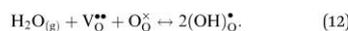


Fig. 11 Schematic illustration of the structure of adsorbed water molecules on an oxide (TiO<sub>2</sub>) surface. The influence of an acceptor A<sup>−</sup> or a donor D<sup>+</sup> on the water dissociation is also indicated. Reprinted from ref. 132 with permission.

At high temperature and/or low relative humidity, an “ice-like” layer is formed on the surface, consisting of chemisorbed and physisorbed molecules. In the former case, the oxide surface chemistry determines the amount of adsorbed water molecules and the type of protonic charge carriers. For instance, for an ideal ZrO<sub>2</sub> surface, hydroxyl groups are formed in the chemisorbed layer, which apparently are stable up to 600 °C.<sup>100,131,133,134</sup> Hydroxyl groups can also be formed due to the presence of surface defects, such as oxygen vacancies, where the water molecule fills the vacancy according to (Kröger–Vink notation):<sup>110,117,135</sup>



At higher relative humidity, the number of physisorbed layers increases, resulting in transition from “ice-like” to “liquid-like” water layer on the surface. The increase in water layer thickness is also accompanied by a change in transport mechanism. While in the “ice-like” layer, the protonic charge carriers move *via* Grotthuss hopping,<sup>131</sup> vehicle-type transport of hydronium ions is found in the physisorbed layers due to the weaker bonding between the water molecules.<sup>100,131,133</sup>

Stub *et al.* probed the protonic conductivity in porous TiO<sub>2</sub> with varying doping levels.<sup>132</sup> High-valent dopants, which are more acidic, are likely to attract electrons, thereby increasing the dissociation of water. This in turn increases the protonic charge-carrier concentration, and consequently also their mobility. Overall, donor-dopants improve the protonic conductivity, while the opposite effect is expected for acceptor-doped oxides. Hence, higher surface acidity results in increased protonic conductivity, as also reported for Cl-doped, mesoporous Al<sub>2</sub>O<sub>3</sub>, for example.<sup>111</sup> The profound effect of pore wall chemistry on the protonic conductivity has also been observed by Vichi *et al.*, who investigated the protonic conductivity of mesoporous TiO<sub>2</sub> pretreated in solutions of different pH and by modifying the surface with phosphate anions.<sup>137</sup> Similar results have been reported by Marschall *et al.*, who studied the influence of sulfonic acid functionalization on the protonic conductivity in mesoporous silica.<sup>138</sup>

In 2013, Gregori *et al.* examined the proton transport in porous CeO<sub>2</sub>. They discussed about the influence of space-charge region on the proton transport at oxide surfaces.<sup>107</sup> Here, the



## Perspective

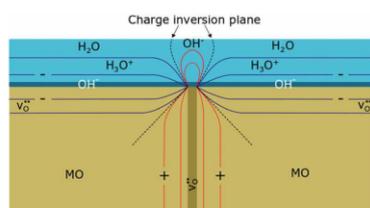


Fig. 12 Schematic illustration of the resistive behavior of a grain boundary with adsorbed water molecules on the surface of an oxide ceramic (MO). Because of the positive core, hydroxide ions accumulate at the grain boundary, impeding the transport of hydronium ions in the physisorbed water layer. Reprinted from ref. 136 with permission.

incorporation of water molecules into the space-charge region according to eqn (12) would result in an increase of hydroxide ions in the water layer at the surface, which may be responsible for the enhanced protonic conductivity at low temperature, too.

The importance of space-charge effects has also been addressed by Stub *et al.* in 2018.<sup>136</sup> EIS measurements conducted on nanocrystalline YSZ revealed two transport processes, one representing the proton transport along the grains (intra-grain transport), the other was attributed to a resistive transport across the grain boundaries. The positive core of the grain boundary (space-charge region of positive electric potential) results in accumulation of hydroxide ions, as schematically shown in Fig. 12, hindering the movement of hydronium ions in the physisorbed water layer.

In porous materials, the adsorption of water not only depends on the surface chemistry but also is strongly determined by the structure. Capillary condensation into the nanoscale pores facilitates water uptake.<sup>107,124,127,130</sup> As discussed by Vichi *et al.* for mesoporous TiO<sub>2</sub>, the adsorption of water at the interface occurs in two steps.<sup>137</sup> First, water molecules are adsorbed depending on the surface chemistry of the material, while in the second step the pores are filled due to capillary condensation, independent of the surface properties. After filling of the pores with water, the protonic conductivity in mesoporous thin films can be maintained, even when changing the relative humidity.<sup>139</sup>

However, complete pore filling can also negatively affect the protonic conductivity. Vichi *et al.* observed an increase in activation energy of the proton transport in completely filled pores, which they attributed to bridges formed between water molecules of opposite walls.<sup>137</sup> Comparable results were also obtained for mesoporous SiO<sub>2</sub><sup>140–142</sup> and Al<sub>2</sub>O<sub>3</sub>,<sup>111</sup> the highest protonic conductivities were achieved with 4 and 12 nm pores, respectively. For smaller pore size, the movement of protonic carriers is hindered by geometrical restrictions.

For cubic mesoporous YSZ thin films with a pore size of about 24 nm, even a gradual decrease in total conductivity with increasing relative humidity was observed above 200 °C, as shown in Fig. 13.<sup>135</sup> However, porous YSZ thin films may show a significant increase in the same temperature range (Fig. 13).<sup>129</sup>

The decrease in total conductivity in case of the mesoporous films was attributed to the annihilation of oxygen vacancies at

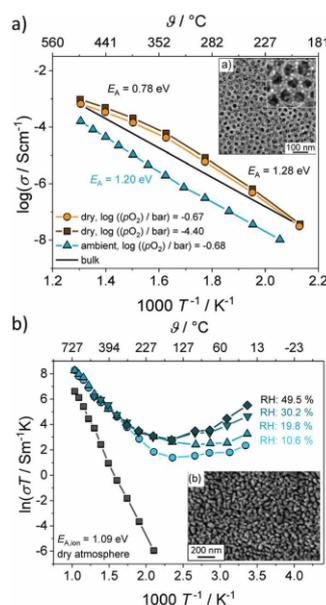


Fig. 13 Arrhenius plot of the conductivity for (a) mesoporous and (b) porous YSZ thin films under wet atmospheric conditions. Adapted from ref. 129 and 135 with permission.

the surface according to eqn (12), which are the majority charge carriers in YSZ. A decrease in total conductivity under wet atmospheric conditions has also been reported for microcrystalline CeO<sub>2</sub> by Chueh *et al.* They attributed this result to changes in the space-charge potential of grain boundaries upon hydration.<sup>143</sup> Although the influence of pore size on the protonic conductivity in oxide materials is not yet fully understood, the results clearly demonstrate the considerable effect that the pore structure has in general. In contrast to nanocrystalline oxides with an ill-defined porosity, the regular pore network of ordered mesostructured materials seems beneficial to device applications, as the pore size and structure, as well as the wall thickness, can be tailored to some degree to optimize water uptake (adsorption), and therefore the protonic conductivity.

#### 4. Electrochemical applications

As discussed above, mesoporous oxides are characterized by a high specific surface area combined with a unique pore structure and nanocrystalline walls. These properties make them interesting for a broad range of electrochemical applications,<sup>14,144–146</sup> for example, as active electrode material in batteries, for (photo-) electrocatalysis and oxygen storage or as gas sensor. It should be noted that the favorable properties are not only a result of the increased surface area. Instead, the major advantage is the



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presence of a uniform and continuous pore network, allowing effective transport of electronic and ionic charge carriers and providing good accessibility of the material and its internal structure to the surrounding medium, as gasses or liquids can readily penetrate into the porosity. In the following, we discuss in some more detail the pros and cons of mesoporous metal oxides and which properties are beneficial to the functionality and performance of electrochemical devices.

#### 4.1. Mesoporous metal oxides for batteries

The working principle of an insertion- or intercalation-type material for secondary battery applications relies on both the reversible storage of ions ( $\text{Li}^+$ ,  $\text{Na}^+$  etc.) during electrochemical cycling and the efficient transport of charge-compensating electrons (Fig. 14). In recent years, mesoporous electrode materials have been shown to possess promising properties, allowing for superior energy storage and high power density, among others.<sup>147</sup> The mesoporous morphology ensures equal distribution of electrolyte in the (bulk) structure, minimizing the fraction of inactive material and allowing fast ion diffusion along the pore system.<sup>147–149</sup> Furthermore, the interconnection of nanoscale particles in the pore walls provides short diffusion lengths and efficient transport pathways for both the ions and electrons.

The positive effect of mesoporosity on the charge-storage properties has been proven for a variety of cathode and anode active materials, mainly for lithium-ion battery (LIB) applications, such as  $\text{LiCoO}_2$ ,<sup>150</sup>  $\text{LiFePO}_4$ ,<sup>151</sup>  $\beta\text{-MnO}_2$ ,<sup>152</sup>  $\text{LiMn}_2\text{O}_4$ ,<sup>152,153</sup>  $\text{Li}_4\text{Ti}_5\text{O}_{12}$ ,<sup>38</sup>  $\text{TiO}_2$ ,<sup>19,154–156</sup>  $\alpha\text{-Fe}_2\text{O}_3$ <sup>157</sup> or  $\text{NiO}$ .<sup>158</sup> For example, Jiao *et al.* compared the electrochemical performance of nanowire and mesoporous  $\text{LiCoO}_2$  to that of “normal”  $\text{LiCoO}_2$ . The mesoporous material delivered the largest specific discharge capacity and showed the best capacity retention, which was attributed to the unique pore–solid architecture with good connection among adjacent crystallites.<sup>150</sup> Brezesinski *et al.* came to the same conclusion from studying polymer-templated and nontemplated (nanocrystalline)  $\text{TiO}_2$  thin films.<sup>19</sup> These results confirm the beneficial effect of nanoscale porosity on the electrochemical performance.

An important parameter when characterizing battery materials is the amount of stored charge. In general, the total amount consists of three contributions, as summarized in Fig. 15, namely, the faradaic contribution from the insertion of ions into the bulk of the material, the faradaic contribution from charge-transfer

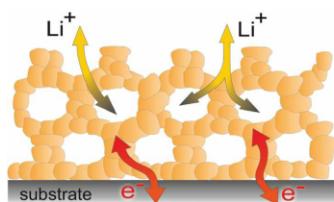


Fig. 14 Schematic illustration of the charge-transport processes accompanying lithium insertion/extraction into/from a mesoporous material, with the nanoscale porosity allowing for short diffusion path lengths.

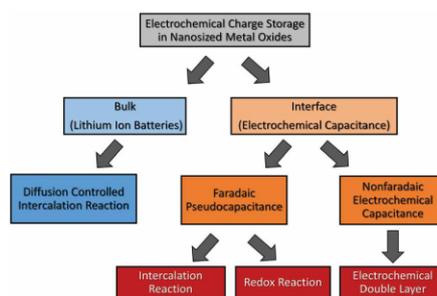


Fig. 15 Charge-storage mechanisms in nanocrystalline metal oxides. Adapted from ref. 156 with permission.

processes at the solid/liquid interface (pseudocapacitive charge storage) and the nonfaradaic contribution due to formation of a Helmholtz double layer.<sup>159,156,159–161</sup> Analysis of cyclic voltammetry (CV) data obtained at different sweep rates  $\nu$  allows distinguishing between diffusion- and nondiffusion-controlled charge storage. Because ion insertion into the bulk is a semi-infinite diffusion process, the current is proportional to  $\nu^{1/2}$ . In contrast, for capacitive processes, the current depends linearly on the sweep rate. Hence, current as a function of sweep rate is given by:<sup>162</sup>

$$j(\nu) = k_1\nu + k_2\nu^{1/2}, \quad (13)$$

where  $k_1$  depends on the surface area  $A$  and the capacitance  $C$  of the electrode, while  $k_2$  is given by the Randles–Sevcik equation:<sup>163</sup>

$$k_2 = 0.4958nFAc \left( \frac{nFD}{RT} \right)^{1/2}, \quad (14)$$

with  $F$  being the Faraday constant,  $D$  the diffusion coefficient and  $n$  the number of electrons transferred in the redox reaction. Rearranging eqn (13) then allows separating between both contributions:

$$\frac{j(\nu)}{\nu^{1/2}} = k_1\nu^{1/2} + k_2. \quad (15)$$

Especially for nanocrystalline materials, capacitive charge storage becomes significant and plays an important role in the performance and for the kinetics.<sup>19,156,159,164–166</sup> Analysis of CV data for mesoporous  $\text{TiO}_2$  thin films showed that the capacitive contribution to charge storage is virtually independent of the sweep rate, while the bulk insertion significantly decreases with increasing sweep rate.<sup>19</sup> For mesoporous  $\text{MoS}_2$ , Cook *et al.* found that 80% of the theoretical specific capacity is accessible within 20 s due to pseudocapacitive charge storage.<sup>167</sup> These results indicate that fast kinetics in mesoporous materials also originates from nondiffusion-controlled surface charge-storage processes.

Significant pseudocapacitive charge storage has also been observed for mesoporous group V transition-metal oxides, including  $\text{Nb}_2\text{O}_5$  and  $\text{Ta}_2\text{O}_5$ , and for  $\text{MoO}_3$ .<sup>166,168</sup> Direct comparison of crystalline and amorphous thin films showed that the pseudocapacitance achieved with the crystalline samples exceeds that



## Perspective

of the amorphous films by large. The increased pseudocapacitive contribution to charge storage was attributed to the lithium insertion into the interlayer gaps of the layered crystalline materials (referred to as intercalation pseudocapacitance).

An aspect that is rarely being considered is that the amount of charge stored at the interface can be much larger than that stored in the bulk due to formation of the space-charge region.<sup>169–172</sup> This effect may even allow to store, *e.g.*, lithium at the interface between two materials, for which such behavior is not possible in the individual phases.<sup>173</sup> For example, Yue *et al.* investigated the pseudocapacitive charge storage in mesoporous Nb-doped TiO<sub>2</sub>.<sup>156</sup> Because TiO<sub>2</sub> has a low electronic conductivity, doping with Nb, acting as a donor, can significantly improve the conductivity of the material.<sup>174,175</sup> Apart from the increase in electronic conductivity, the authors found that the pseudocapacitance is also increased up to doping levels of about 5 at%. Higher concentrations led to a decrease in pseudocapacitive charge storage. This behavior was explained by changes in the space-charge layer at the interface, as shown in Fig. 16. For TiO<sub>2</sub> in contact with a Li<sup>+</sup>-containing electrolyte, the space-charge region results in depletion of electrons at the interface and accumulation of lithium ions due to differences in electrical potential between the surface (interface) and the bulk.<sup>170</sup> For low doping concentration, the space-charge region of opposite sites overlaps, resulting in negligible potential differences,<sup>176,177</sup> and therefore in low surface charge storage. With increasing doping level, the space-charge region decreases. Hence, the potential differences increase and more ions can be stored at the interface, leading to increased pseudocapacitance. However, the width of the space-charge region continues to decrease with

further increasing doping concentration. For that reason, the pseudocapacitive contribution to charge storage starts to decrease again at some point.

Although the extension of the space-charge region in oxides is typically less than a few nanometers, this example shows that, in mesoporous materials with crystallites of similar dimensions, the charge storage in the space-charge region can become significant and even dominate the overall behavior.

Another major advantage of mesoporous materials is their mechanical flexibility. The insertion/extraction of ions into/from the electrode during electrochemical cycling is usually accompanied by volume changes,<sup>178–181</sup> often resulting in mechanical degradation (fracturing or even pulverization of the active material).<sup>182–188</sup> In mesoporous materials, such adverse effects can be mitigated, as mechanical strain is accommodated to some degree by pore flexing.<sup>144,189,190</sup> Liu *et al.* investigated the electrochemical properties and cycling stability of ordered mesoporous NiO produced by hard templating.<sup>158</sup> While NiO as anode in LIBs typically suffers from pulverization and accelerated capacity fading due to volume changes during the conversion reaction, among others,<sup>191,192</sup> the mesoporous material maintained a specific capacity of 680 mA h g<sup>-1</sup> at 0.1C rate after 50 cycles. Lee *et al.* studied ordered mesoporous niobium nitride/N-doped carbon composite as an advanced anode material for application in K-ion batteries.<sup>193</sup> Structural characterization using *in situ* X-ray diffraction (XRD) showed that the high cycling stability (stable performance for >2000 cycles) is due, in part, to the negligible mechanical strain that the material experiences during cycling.

The mechanical flexibility also improved the lithium storage in mesoporous CeO<sub>2</sub>. Specifically, Brezesinski *et al.* probed the charge-storage behavior of polymer-templated and nontemplated thin films (Fig. 17). As expected, the authors observed a significant increase in pseudocapacitive charge storage in the

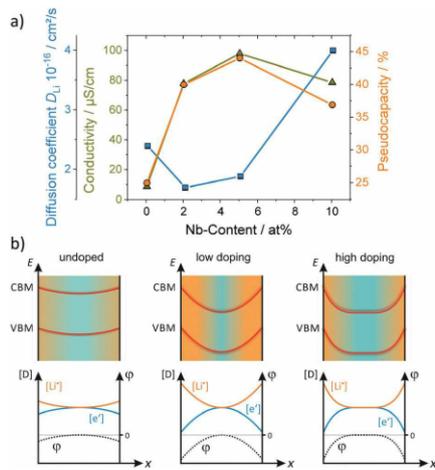


Fig. 16 (a) Electrical conductivity and pseudocapacitive charge storage of mesoporous TiO<sub>2</sub> versus the Nb doping level. (b) Schematic illustration of the surface band bending and the corresponding defect concentration for different doping situations. Adapted from ref. 156 with permission.

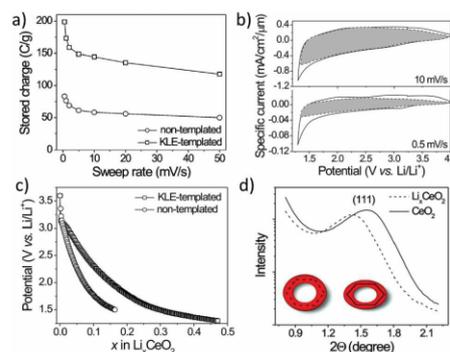


Fig. 17 (a) Charge-storage dependence on sweep rate for nanocrystalline (nontemplated) and mesoporous (KLE-templated) CeO<sub>2</sub> thin films. (b) CV curves for mesoporous CeO<sub>2</sub>. The capacitive current is highlighted in gray. (c) Galvanostatic discharge curves at C/2 rate. (d) SAXS patterns for mesoporous CeO<sub>2</sub> and Li<sub>0.5</sub>CeO<sub>2</sub>. Adapted from ref. 165 with permission.



## PCCP

mesoporous CeO<sub>2</sub>.<sup>165</sup> However, for slow sweep rates or long charging times, that is, if the lithium ions have enough time to diffuse into the lattice, the total amount of stored charge was also increased by a factor of about two compared to the nontemplated material. Using small-angle X-ray scattering (SAXS), they were able to show that the mesoporous CeO<sub>2</sub> thin films have tensile stress imposed by the substrate (note that templated sol-gel films usually undergo unidirectional volume contraction during heating). Upon ion insertion into the lattice, the porous structure expands normal to the substrate, relieving in-plane stress, which in turn facilitates charge storage.

The structural stability of ordered mesoporous NiCo<sub>2</sub>O<sub>4</sub> during electrochemical cycling has been examined by Bhaway *et al.*<sup>194</sup> Using grazing-incidence SAXS (GISAXS) and XRD (GIXD), they were able to correlate structural changes of the anode material with the electrochemical performance. Electrodes with approx. 9 nm pores showed severe capacity fading caused by collapse of the nanostructure during the initial cycles. In contrast, for electrodes with larger pores, the nanostructure was retained, which agrees well with the improved cyclability.

Increased structural stability has also been observed by Krins *et al.* for mesoporous amorphous NbVO<sub>5</sub> thin films with a wormlike pore structure.<sup>195</sup> The authors found that their ability to accommodate the strain from lithium insertion increases with decreasing pore size from 100 to 15 nm, suggesting that the optimal size is closer to 10 nm.

Mesoporous nanocrystalline architectures offer an additional advantage in terms of ion uptake, as the particle size may have a strong impact on the thermodynamics.<sup>196</sup> For example, lithium insertion into bulk TiO<sub>2</sub> is accompanied by a phase transition from anatase TiO<sub>2</sub> to Li<sub>0.15</sub>TiO<sub>2</sub>.<sup>197–200</sup> With further insertion of lithium, a second phase transition occurs, resulting in the formation of a blocking LiTiO<sub>2</sub> layer at the particle surface. LiTiO<sub>2</sub> exhibits a low lithium-diffusion coefficient, and therefore prevents complete phase transformation (kinetic limitation).<sup>198</sup> However, as discussed by Wagemaker *et al.*, increasing contribution of surface free energy to the total free enthalpy of the system alters the solubility limit of lithium in TiO<sub>2</sub> and can even suppress phase separation.<sup>198,199</sup> For particles ≤ 7 nm in diameter, both phase transitions are suppressed, allowing full lithiation to form LiTiO<sub>2</sub>,<sup>198</sup> which also helps explain the larger specific capacity of nanostructured TiO<sub>2</sub> compared with bulk material.<sup>200–202</sup>

Similar behavior has been observed for  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> (hematite). While larger particles undergo a phase transition from trigonal  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> to cubic Li<sub>x</sub>Fe<sub>2</sub>O<sub>3</sub> at  $x \approx 0.05$ , in nanoparticles, this transition is suppressed and lithium can be inserted into the lattice up to  $x \approx 1$ . As the lithium uptake is reversible for  $\alpha$ -Li<sub>x</sub>Fe<sub>2</sub>O<sub>3</sub>, nanoparticles exhibit much improved cyclability.<sup>203,204</sup> Note that increased lithium storage and good cycling stability have also been found for mesoporous hematite and other Fe-based oxide thin films.<sup>205,206</sup>

#### 4.2. Mesoporous metal oxides in catalysis

Because of their unique structural properties, mesoporous oxides represent a promising class of materials for a variety

of catalytic applications, for example, as catalyst or support for CO and NO conversion reactions or for (photo-)catalytic hydrogen production and water splitting. While their high surface area provides a large number of catalytically active sites, the 3-dimensional pore structure ensures good accessibility of the bulk and enables efficient transport of reaction species to/from the material. However, the catalytic properties not only depend on the specific surface area but also the wall structure (grain size, crystallinity *etc.*).

Ceria-zirconia solid solutions are among the most prominent catalytic materials for CO and NO conversion due to the high redox activity of the Ce<sup>3+</sup>/Ce<sup>4+</sup> couple.<sup>207</sup> Furthermore, because of its smaller ionic radius, the incorporation of Zr<sup>4+</sup> ions into the lattice distorts the cubic fluorite structure, resulting in the formation of defects and higher reducibility.<sup>97,208</sup> Overall, ceria-zirconia solid solutions exhibit favorable catalytic properties as a result of their unique oxygen-storage capacity, despite the fact that Zr<sup>4+</sup> is redox inactive.<sup>207,209</sup>

There are several reports on the investigation into catalytic properties of mesoporous Ce<sub>x</sub>Zr<sub>1-x</sub>O<sub>2- $\delta$</sub> .<sup>210–214</sup> For example, Petkovich *et al.* probed the catalytic activity of ordered macroporous versions of such materials, prepared using two different synthetic routes, for H<sub>2</sub>O splitting and H<sub>2</sub> production.<sup>215</sup> They found increased H<sub>2</sub> production rates compared to commercial CeO<sub>2</sub> powder, which was attributed to the increased specific surface area. However, the reaction rate was not directly related to the surface area, but instead to the composition and homogeneity of the crystallites. The highest rate was observed for materials with a Zr content between 10 and 20%. Furthermore, samples with compositional heterogeneity, that is, the presence of Ce- and Zr-rich phases, offered better performance than the respective homogeneous (single-phase) materials. Mamontov *et al.* also observed a correlation between heterogeneity and oxygen-storage capacity in nanocrystalline ceria-zirconia powders.<sup>216</sup>

Ho *et al.* reported on mesoporous Pd/ceria-zirconia solid solutions with macrochannels,<sup>217</sup> showing superior activity for CO oxidation over reference samples without macrochannels. The improvement in performance was attributed to two main factors: first, alignment of macrochannels, enabling effective mass transport through the pore system, and second, increased Ce<sup>3+</sup> and oxygen-vacancy concentrations at the surface (preferred reaction sites).<sup>218</sup> In contrast to the report by Petkovich *et al.*, crystal structure homogeneity was found to be advantageous, in agreement with other reports,<sup>209,219</sup> as oxygen vacancies are more readily formed in the cubic phase than in mixed-phase structures.<sup>220</sup> Cui *et al.* examined the influence of La and Pr doping on the catalytic properties of ceria-zirconia solid solutions. They came to the same conclusion that larger pores allow for more effective gas diffusion. They also observed that an increased fraction of Ce<sup>3+</sup> ions due to doping is beneficial to the catalytic activity and the oxygen-storage capacity.<sup>221</sup> Similar results were obtained for mesoporous CeO<sub>2</sub>-based catalysts used in methanol decomposition.<sup>210,222</sup>

The strong correlation between oxygen-storage capacity and catalytic activity has been theoretically studied by Sayle *et al.* for nanoscale CeO<sub>2</sub>.<sup>223</sup> Molecular dynamics (MD) simulations



## Perspective

confirmed that the catalytic activity is strongly affected by its oxygen content, and therefore directly related to the oxygen-storage capacity. Furthermore, they found that the catalytic activity depends on the morphology of the material, such as wall diameter and particle size. In general, oxygen extraction from nanoparticles is more facile. This is in agreement with density-functional theory (DFT) calculations by Migani *et al.*, predicting the least oxygen-vacancy formation energy for nano-scale  $\text{CeO}_2$ .<sup>224,225</sup>

In 2018, Hao *et al.* confirmed the considerable effect that the particle size has on the oxygen-storage capacity of  $\text{CeO}_2$ .<sup>226</sup> The oxygen-storage capacity of 5 nm particles (approx.  $260 \mu\text{mol g}^{-1}$  at  $250^\circ\text{C}$ ) was shown to be two times larger than that of 12 nm particles (Fig. 18). Using scanning tunneling microscopy (STEM) combined with electron energy loss spectroscopy (EELS), they observed an increase in  $\text{Ce}^{3+}$  concentration at the surface of the nanoparticles or, in other words, the presence of a space-charge layer. The space-charge layer extends over the whole nanoparticles when their size is below 6 nm, resulting in a total  $\text{Ce}^{3+}$  content of more than 50%. The increase of  $\text{Ce}^{3+}$  at the surface is accompanied by an increase of the lattice parameter, leading to a lower formation energy for oxygen vacancies. This helps explain the increase in oxygen-storage capacity with decreasing particle size.

Apart from ceria-zirconia solid solutions, other oxides have also been considered for catalytic applications. Ren *et al.* studied the CO conversion on ordered mesoporous metal oxides, such as  $\text{Co}_3\text{O}_4$ ,  $\text{Cr}_2\text{O}_3$  and  $\text{Fe}_2\text{O}_3$ , to name a few.<sup>227</sup> These materials

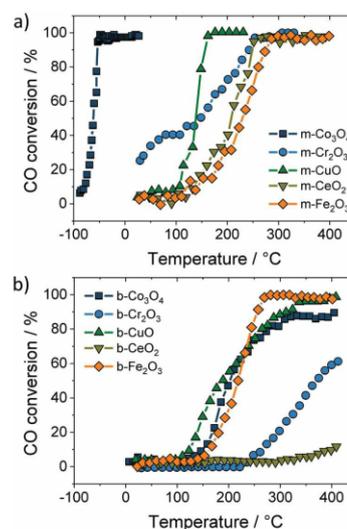


Fig. 19 CO conversion on (a) mesoporous and (b) bulk oxide catalysts. Adapted from ref. 227 with permission.

exhibited increased CO conversion rates compared to their bulk counterparts, as shown in Fig. 19. The improvements were attributed not only to the increased specific surface area but also to the presence of a regular 3-dimensional pore structure, providing well-defined pathways for gas transport and equally accessible internal surfaces. Similar results were obtained by both Sun *et al.* and Tüysüz *et al.* for CO oxidation over ordered mesoporous  $\text{Co}_3\text{O}_4$ .<sup>228,229</sup>

Furthermore, noble-metal nanoparticles as co-catalysts have been shown capable of strongly affecting the activity of oxides by facilitating the conversion reaction (for oxide-supported transition-metal catalysts). The reason is that metallic nanoclusters on the surface increase the oxygen-vacancy concentration and improve the charge transfer.<sup>232,233</sup> Both results in an enhancement of catalytic activity at the metal/oxide interface.<sup>234,235</sup> The beneficial effect of using metallic nanoclusters has been shown for a variety of mesoporous oxides.<sup>210,217,236</sup> However, the reaction rate also depends on the size (smaller particles are usually more active), shape and distribution of nanoparticles inside the mesoporous framework, as demonstrated, for example, by Kapoor *et al.*<sup>210</sup> Because the mesoporous support can be post-loaded,<sup>236</sup> this allows for pre-synthesis of tailored nanoparticles. Furthermore, the interaction with the oxide surface prevents the agglomeration of particles.

In addition to their application in conversion reactions, mesoporous metal oxides also gained great interest in the field of photo(electro-)catalysis for water splitting (hydrogen/oxygen production) or carbon dioxide reduction. The basic principle is based on the generation of an electron-hole pair in a semi-conducting material under light irradiation, as shown in Fig. 20

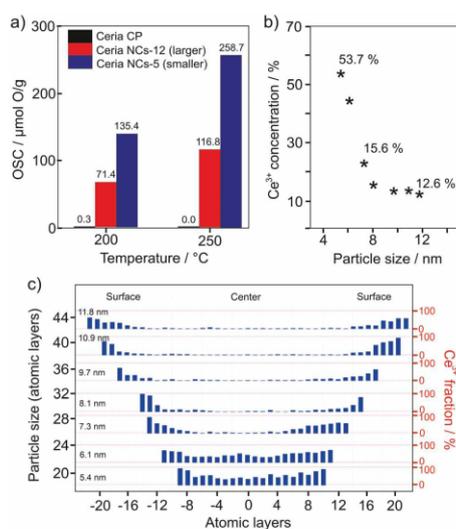


Fig. 18 (a) Comparison of the oxygen-storage capacity of  $\text{CeO}_2$  nanoparticles of different size and commercially available nanopowder. (b)  $\text{Ce}^{3+}$  content as function of the particle size and (c) valence-state distribution. Adapted from ref. 226 with permission.



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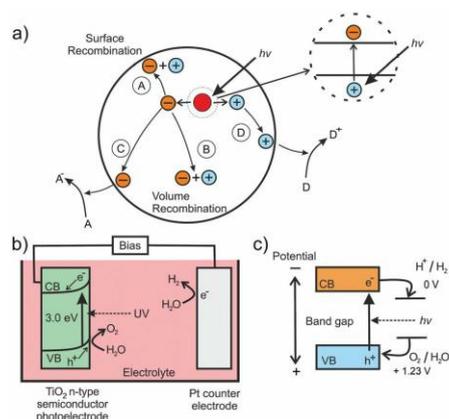


Fig. 20 (a) Schematic of the electron–hole pair generation in semiconducting nanoparticles and possible recombination mechanisms: (A) surface and (B) volume recombination and (C) reduction and (D) oxidation reactions. Adapted from ref. 230 with permission. (b) Schematic illustration of the setup for photoelectrocatalytic water splitting using TiO<sub>2</sub> as photoanode. (c) Energetic position of redox states relative to the bands of the semiconductor. Adapted from ref. 231 with permission.

for the water-splitting reaction using a particulate photocatalyst.<sup>230,231,237</sup> Both the electron and electron hole then undergo secondary reactions at the surface, which may also be supported by a metal co-catalyst. At the surface of the catalyst, electrons reduce H<sub>2</sub>O molecules to form H<sub>2</sub>, while H<sub>2</sub>O molecules are oxidized by holes to produce O<sub>2</sub>. Hence, for photocatalysis, semiconductors with a sufficiently large band gap are needed. Specifically, the valence band should be more positive than the potential for the O<sub>2</sub>/H<sub>2</sub>O redox reaction, while the conduction band has to be more negative than the potential of H<sup>+</sup>/H<sub>2</sub>.

In photoelectrocatalysis, both reactions are separated by applying an electrical bias (Fig. 20). The catalyst is used as photoelectrode, where only one of the redox reactions occurs. An important aspect for efficient photo(electro)catalysis is the separation of electron and hole to ensure that both carriers can diffuse to the active surface sites for the redox reactions to occur. High (crystal) quality of the absorber material is advantageous, as defects act as carrier traps, increasing the probability of recombination. Overall, there are many reports on mesoporous semiconducting oxides for photocatalytic applications, including TiO<sub>2</sub>,<sup>20,238–240</sup> Ta<sub>2</sub>O<sub>5</sub>,<sup>241</sup> LiFe<sub>2</sub>O<sub>8</sub>,<sup>242</sup> ZnFe<sub>2</sub>O<sub>4</sub>,<sup>243–245</sup> and Rh<sub>2</sub>O<sub>3</sub>.<sup>246</sup>

Given that the water-splitting reaction takes place at the surface of the photocatalytic material, it is reasonable to assume that a high specific surface area leads to superior activity. Cherevan *et al.* analyzed around 200 publications regarding the photocatalytic activity of mesoporous semiconductors, aiming at correlating improved performance with surface area.<sup>247</sup> They found that increased specific surface area is not the only reason and may even impede any performance improvements, as a high surface area may also increase the probability of carrier

recombination. For example, for Ta<sub>2</sub>O<sub>5</sub>, an increase of the specific surface area by a factor of approx. 100 (mesoporous vs. nonporous material) only resulted in a relatively small activity rise by a factor of 1.5.<sup>248</sup> Instead, the crystallinity, the pore size, the pore connectivity and the wall thickness can significantly affect the performance of the material.

Kirchberg *et al.* compared the photocurrent density of mesoporous ZnFe<sub>2</sub>O<sub>4</sub> photoanodes prepared using two different polymer templates and calcined at various temperatures. In fact, they observed that differences in pore morphology only have a minor influence on the photocurrent. Samples of high crystallinity showed better performance due to the relatively lower concentration of defects acting as recombination centers for the electron–hole pairs.<sup>243</sup> Increased photocatalytic activity because of improved crystallinity has also been reported for mesoporous Nb<sub>2</sub>O<sub>5</sub>, Mn<sub>2</sub>O<sub>3</sub> and NaTaO<sub>3</sub>.<sup>249–251</sup>

Tüysüz *et al.* compared mesoporous nanocrystalline NaTaO<sub>3</sub> and amorphous NaTaO<sub>x</sub> photoanodes and observed a decrease in photocatalytic activity for the crystalline material.<sup>252</sup> Although the crystallinity should reduce the probability of carrier recombination, crystallization of the amorphous matrix resulted in an increase in particle size and a decrease in specific surface area. As discussed by the authors, smaller particles are considered beneficial to the photocatalytic activity. The reason is that the space-charge regions of opposite surfaces overlap, resulting in complete depletion of the particle and negligible band bending at the surface (flat-band condition),<sup>176,177</sup> as mentioned previously. Both photogenerated charge carriers can then easily diffuse to the active surface sites, leading to improved photocatalytic activity, as schematically shown in Fig. 21. For larger particles/crystallites, increasing

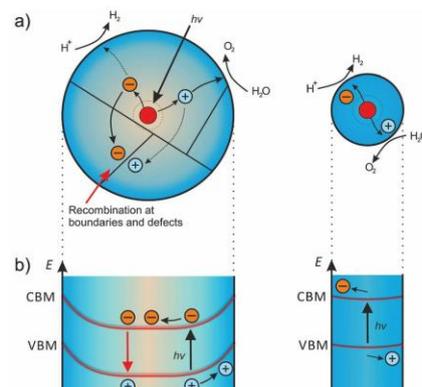


Fig. 21 Effect of particle size on the band bending and photocatalytic activity. (a) Generated carriers show high probability to recombine at boundaries or defects in larger particles. In smaller particles, the carriers can readily diffuse to the catalytically active surface sites. Adapted from ref. 231 with permission. (b) The corresponding band structure. In larger particles, the space-charge region separates the generated carriers, while smaller particles exhibit negligible band bending. Adapted from ref. 176 with permission.



## Perspective

band bending impedes the diffusion of one kind of charge carrier to the surface, resulting in higher recombination rates in the bulk, and therefore in lower efficiency.

The opposite holds true when the mesoporous material is used for photoelectrocatalytic applications, where the two redox reactions occur at different electrodes. In this case, the band bending in larger crystallites promotes charge separation, thus preventing undesirable recombination. For example, Hartmann *et al.* investigated the photoelectrocatalytic activity of mesoporous TiO<sub>2</sub> prepared from preformed nanoparticles and a classical sol-gel route.<sup>20</sup> They observed higher photocurrents and improved quantum efficiency for the sol-gel-derived thin films with thicker pore walls and larger crystallites. The results were explained, in part, by a reduced probability of electrons to react at the surface (Fig. 22). This can be directly related to the existing band bending, preventing the diffusion of electrons to the surface. Furthermore, the thicker wall structure gave rise to better connectivity between individual crystallites, allowing for more efficient transport of electrons in the pore-solid architecture and contributing to the higher efficiency. The positive effect that a 3-dimensional pore structure has on the photocatalytic performance (due to improved transport of electronic charge carriers) has also been discussed by Hossain *et al.*<sup>253</sup> and Zhou *et al.*<sup>254</sup>

As in case of battery applications, the pore connectivity and pore size are critical parameters affecting the photocatalytic activity, as they determine the transport of reactants and products. For example, Weller *et al.* investigated the influence of pore size of mesoporous CsTaWO<sub>6</sub> (with Rh as co-catalyst) on

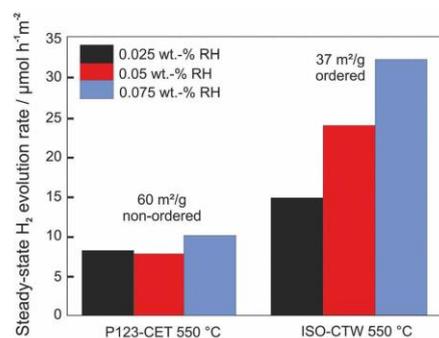


Fig. 23 Comparison of steady-state H<sub>2</sub> evolution rates for disordered and ordered mesoporous CsTaWO<sub>6</sub> with different amounts of Rh co-catalyst. Adapted from ref. 256 with permission.

the photocatalytic performance for H<sub>2</sub> evolution.<sup>255</sup> An optimized synthesis route allowed varying the pore size and mesoporous morphology without affecting the crystallinity of the material. Analysis of the photocatalytic activity revealed that the H<sub>2</sub> evolution rate strongly depends on the pore size and the pore size distribution. This result was explained by transport limitations, as the water/methanol mixture used as electrolyte was partly confined in the small pores due to capillary forces, blocking the release of gas bubbles.<sup>256</sup> Furthermore, comparison between samples with (large-pore) ordered and disordered mesoporous structures revealed higher H<sub>2</sub> evolution rates for the former material, despite having a lower specific surface area (Fig. 23). The increase in activity was rationalized by better accessibility of the bulk material and improved transport pathways in case of the regular pore structure. Similar results have been reported by Fang *et al.*, for example, who were capable of achieving efficient mass transport by introducing additional macropores.<sup>240</sup>

Another interesting aspect is that the regular arrangement of crystallites apparently affects the optical properties. For example, Hossain *et al.*<sup>253</sup> found that ordered mesoporous anatase TiO<sub>2</sub> with 5 nm thick walls exhibits a smaller band gap than individual 25 nm particles. The decrease in band-gap energy by about 0.2 eV was ascribed to the interconnectivity of nanoparticles, increasing their effective size.<sup>253</sup> Hufnagel *et al.* also observed a significant improvement in light-absorption properties (light-harvesting efficiency) for nanoscale ZnFe<sub>2</sub>O<sub>4</sub> grown on macroporous antimony-doped tin oxide films compared to non-structured thin films.<sup>244</sup> This result was attributed to the presence of extended light paths caused by multiple light reflection and scattering in the porous electrode, as also reported by Fang *et al.* for hierarchically structured TiO<sub>2</sub> microspheres.<sup>240</sup>

Taken together, the results indicate that a favorable structure for catalytic applications depends on the interplay between surface area, crystallinity, particle size (often determined by the wall thickness), pore size and pore size distribution. However, the individual parameters can significantly differ among different materials, making it necessary to vary them independently.

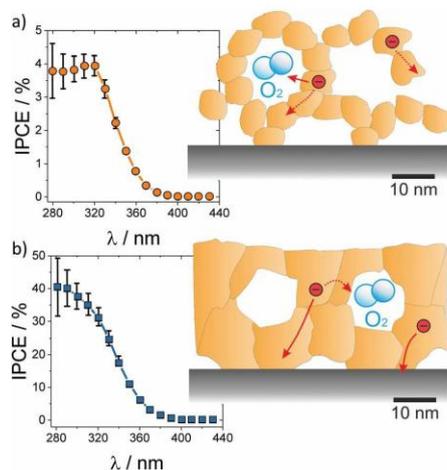


Fig. 22 Incident photon-to-current efficiency for water photoelectrocatalysis over (a) nanoparticle-derived and (b) sol-gel mesoporous TiO<sub>2</sub> thin films. The nanoparticles in the wall structure result in low electronic conductivity and high recombination rate, while the sol-gel films with thicker and continuous pore walls reveal high photocurrents with a relatively lower recombination rate. Adapted from ref. 20 with permission.



For mesoporous oxides, unfortunately, this is only feasible to some degree, as *e.g.*, high crystallinity usually requires high-temperature treatment, which in turn results in grain growth and coarsening, and therefore in lowering of the active surface area.

#### 4.3. Mesoporous metal oxides for gas sensors

Most gas sensors rely on the interaction of a specific gas with the surface of the sensing material. There are different kinds of sensing principles, all of which take advantage of changes in the materials properties under varying atmosphere. In capacitive gas sensors, the change of permittivity is measured, while optical gas sensors usually detect changes in photoluminescence, reflection or optical absorption.<sup>119</sup>

Because oxides are often used as resistive sensors, we only focus on this type in the following. The basic working principle of most resistive gas sensors is schematically shown in Fig. 24. Gas/oxide interactions induce changes of the surface potential, *e.g.*, due to chemisorption of gas molecules (receptor function). The change of the electronic surface structure then results in changes in conductivity, which are detected as the sensing signal (transducer function). In case of an n-type semiconductor,

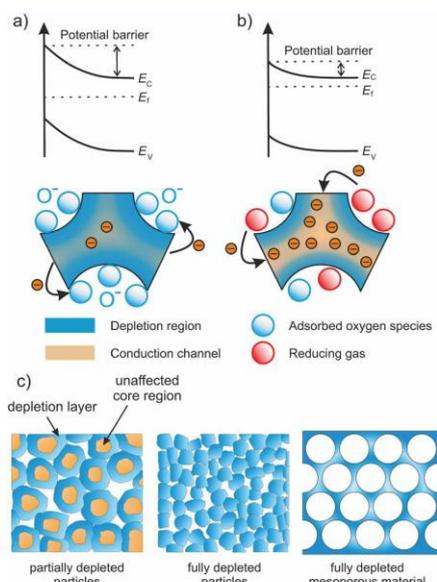


Fig. 24 Schematic illustration of the sensing mechanism in n-type semi-conducting metal oxides. (a) The oxide is completely depleted under air exposure due to extension of the space-charge layer. (b) Upon adsorption of reducing gas molecules, the extension of the space-charge layer is reduced, thereby opening conductive channels in the sensor material. Adapted from ref. 257 with permission. (c) Electron-depletion layer in resistive sensors. Granular material with grain size larger than about twice the depletion layer (left), fully depleted granular material (middle) and fully depleted mesoporous material (right). Adapted from ref. 119 with permission.

for example, the adsorption of oxygen species ( $O^-$ ) typically gives rise to formation of an electron-depleted space-charge layer. In the presence of a gas, such as  $H_2$ ,  $O^-$  species are consumed, resulting in a decrease of the space-charge width, and therefore in a decrease of resistance.<sup>258–260</sup> Hence, the working principle of a resistive gas sensor is directly related to the space-charge region at the interfaces (grain boundary or surface) of the oxide material. The largest sensitivity is achieved when the conductivity of the grains is completely determined by the space-charge region, that is, when the grain size is smaller than approx. twice the depletion layer.<sup>261–263</sup>

The large influence of grain size on the resistance and sensitivity of granular  $SnO_2$  sensors is depicted in Fig. 25. Both the sensitivity and response time depend strongly on the morphology and microstructure of the sensing material. To achieve high sensitivity, high surface area is desirable, while efficient and fast diffusion of gas molecules enables good response characteristics of the sensor to changes in the surrounding atmosphere. Consequently, mesoporous materials with tailorable pore and crystallite sizes are of great interest for sensor applications.<sup>119</sup>

Similar to granular materials, the crystallite size strongly affects the sensitivity of mesoporous materials. For example, Aqeel *et al.* probed the  $NO_2$  detection capabilities of mesoporous  $SnO_2$  calcined at 400 and 500 °C.<sup>264</sup> They found that the material heated at 400 °C shows significantly better sensing properties, as the crystallite size (4–5 nm) was smaller than twice the depletion layer. The material heated at 500 °C had larger crystallites of approx. 8 nm in diameter, and therefore showed worse sensing performance. Conductivity measurements confirmed the complete depletion of electrons in the smaller crystallites, which exhibited a much larger resistance. In addition, the selectivity for  $NO_2$  was much improved in case of the smaller crystallites. Xu *et al.* obtained similar findings for  $SnO_2$ .<sup>262,263</sup> They noticed an increase in sensitivity and resistance with decreasing grain size (Fig. 25).

Waltz *et al.* examined the methane sensing properties of mesoporous  $In_2O_3$  as function of the pore size and wall thickness.<sup>265</sup> The sensitivity scaled linearly with the specific surface area. The authors also found that especially the wall

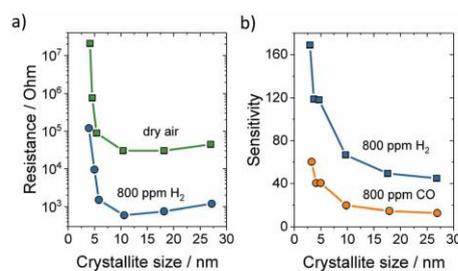


Fig. 25 (a) Electrical resistance at 300 °C in dry air or 800 ppm  $H_2$  versus the crystallite size of  $SnO_2$ . (b) Sensitivity to 800 ppm  $H_2$  or CO versus the crystallite size of  $SnO_2$ . Adapted from ref. 263 with permission.



## Perspective

thickness has a strong effect on sensitivity, confirming that a fully depleted pore wall gives rise to superior performance. However, they pointed out that the structural parameters are coupled to one another, and thus cannot be varied independently, making it difficult to distinguish between the impact of each parameter on sensitivity.

Ghom *et al.* tested mesoporous ceria-zirconia solid solutions as oxygen sensors at 600 °C.<sup>266</sup> In  $\text{Ce}_x\text{Zr}_{1-x}\text{O}_{2-\delta}$ , the change in resistance is not caused by changes of the surface space-charge layer due to adsorbed oxygen species, but instead by the significant increase in conductivity because of the release of oxygen under reducing conditions, as described above. As discussed by the authors, the fast response time of such mesoporous sensors is directly related to the oxygen-storage capacity of the material.<sup>209,219</sup> Both the regular arrangement of pores and the pore size not only affect the sensitivity but are also responsible for fast diffusion of gas through the bulk of the material, the latter of which determines the sensor response time.<sup>267</sup>

Li *et al.* investigated ordered mesoporous  $\text{WO}_3$  for  $\text{H}_2\text{S}$  sensing and observed a fast response of 2 s, with a recovery time of approx. 38 s, which they attributed to the unique (continuous) pore-solid architecture and the resulting fast gas diffusion.<sup>269</sup> The similar response time (1–3 s) of mesoporous  $\alpha\text{-Fe}_2\text{O}_3$  for ethanol sensing was also ascribed to the high diffusivity through the pore network.<sup>157</sup> Li *et al.* synthesized mesoporous-macroporous  $\text{SnO}_2$ .<sup>268</sup> Compared with conventional granular  $\text{SnO}_2$  sensors, the hierarchical pore structure led to significant improvements in response and recovery times, as shown in Fig. 26, which was attributed to facilitated gas diffusion in the macropores.<sup>268</sup>

While the sensitivity and response times are determined by structural properties, the selectivity of a sensor depends strongly on the material type and its surface properties. To control or even tailor the selectivity for various gases, the sensing material can be loaded with catalytically active noble-metal nanoparticles, such as Au, Pd or Pt.<sup>260,270,271</sup> As shown in Fig. 27, electrons move from the active oxide to the metal nanoparticles due to different work functions, leading to the formation of a Schottky barrier at the interface, and therefore to an increased

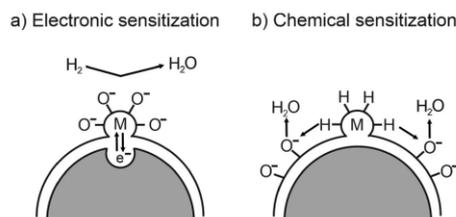


Fig. 27 Schematic illustration of (a) electronic and (b) chemical sensitization by metal or metal-oxide nanoparticles. Adapted from ref. 259 with permission.

electron depletion (electronic sensitization). However, the metal nanoparticles on the surface not only affect the adsorption and dissociation reactions by activating the gas molecules (chemical sensitization)<sup>257,259</sup> but also facilitate oxygen-vacancy formation.<sup>232,233</sup>

Shimizu *et al.* studied the gas sensing properties of porous thick films of  $\text{SnO}_2$  loaded with 1 wt% Pt or Pd.<sup>260,270</sup> While the sensitivity to  $\text{H}_2$  and  $\text{CH}_4$  at the surface was improved in the presence of noble metals, it deteriorated in the interior. This result was explained by reduced permeation into the bulk due to increased surface reactivity, emphasizing the necessity of optimizing the gas diffusion pathways.

Ma *et al.* reported on a positive effect of partially oxidized Pt nanoparticles on the sensitivity and selectivity of mesoporous  $\text{WO}_3$  for CO sensing.<sup>272</sup> The improvements were attributed to the sensitizing effect of nanoparticles on the  $\text{WO}_3$  framework. Using X-ray photoelectron spectroscopy (XPS), they found  $\text{W}^{5+}$  states because of the formation of oxygen vacancies (note that they increase the adsorption of oxygen species at the surface). Furthermore, the good sensitivity was justified by changes in the depletion region at the Pt/ $\text{WO}_3$  interface with varying atmosphere. After exposure to air, PtO nanoparticles were detected, leading to the formation of a depletion layer at the interface due to their p-type character. The PtO nanoparticles were reduced back to Pt(0) upon CO exposure, resulting in a vanishing depletion layer and pronounced changes in resistance with varying atmosphere. A positive impact of oxygen vacancies on the  $\text{NO}_2$  sensing properties has also been reported for mesoporous  $\text{WO}_3$  nanofibers<sup>273</sup> and  $\text{CeO}_2$ /graphene heterostructures.<sup>274</sup>

## 5. Surface modification

Although porous architectures are, without doubt, beneficial to various applications, there are certain constraints in using mesostructured powder and thin film materials. First, they tend to suffer from thermal stability issues. Especially for catalytic applications, elevated temperatures (or high relative humidity) are often required. However, such experimental conditions may result in changes of the pore structure due to phase transitions and/or growth of crystallites (sintering effects) or even complete collapse of the pore network. Second, while some variation in pore size (and wall thickness, pore symmetry *etc.*) can be achieved,

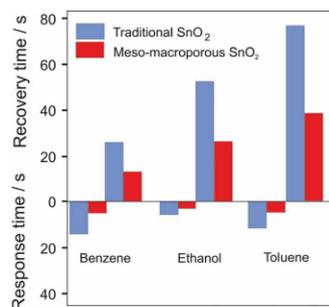


Fig. 26 Comparison of recovery and response times to 100 ppm benzene, ethanol or toluene for "traditional"  $\text{SnO}_2$  and mesoporous-macroporous  $\text{SnO}_2$ . Adapted from ref. 268 with permission.



it is mainly determined by the template used. Nevertheless, small changes in pore size can significantly affect the performance of mesoporous materials, as discussed above. Hence, tailoring of structural features on the nanometer level and precise interfacial engineering are desirable.

It has been shown that the properties of mesoporous materials can be altered by coating the surface using atomic layer deposition (ALD). ALD is a variant of the chemical vapor deposition (CVD) technique and is receiving increasing attention in recent years.<sup>275–278</sup> The reason is that ALD is the only method that is suited to produce uniform and conformal coatings on complex surfaces. This is achieved by sequential pulsing of gaseous reactants with intermediate purging steps. The pulses represent self-limiting gas–solid surface reactions, leading to controlled film growth [2-dimensional layer-by-layer (Frank–van der Merwe) growth] and excellent step coverage, unlike other deposition techniques.

The deposition of thin coatings using ALD finds lots of applications, among others, in protection and functionalization of surfaces or stabilization of porous materials.<sup>279</sup> For instance, in the battery field, ALD is typically used to modify electrode surfaces.<sup>280–284</sup> The coating acts as an artificial interphase between the electrode and the electrolyte to suppress adverse side reactions, which may result in gas evolution and/or formation of an ion-transport-blocking layer (anode/cathode solid–electrolyte interface). However, ALD also lends itself to the preparation of active electrode materials.<sup>285,286</sup>

Apart from electrochemical energy-storage applications, ALD is actively used to improve the catalytic performance of materials by either depositing metal nanoparticles onto their top surface<sup>287,288</sup> or (partial) overcoating them with an oxide layer.<sup>278,289–293</sup> The growth of single nanoparticles on carbon or oxide surfaces is usually achieved at comparably high deposition temperatures, where the precursor tends to agglomerate depending on the presence of surface defects and the atmospheric conditions instead of forming a conformal coating during the initial ALD cycles.<sup>294–296</sup> In case of partial overcoating, one takes advantage of differences in surface chemistry of the solid (area-selective deposition, also referred to as AS-ALD).<sup>292,297–300</sup>

In the following, we focus on some selected examples, where ALD has been used to produce a uniform oxide coating in order to modify the structural and/or electrical properties of mesoporous oxides.

Mesoporous oxides are metastable, that is, accelerated grain growth occurs at elevated temperatures, leading to collapse of the pore structure. The deposition of a thin coating using ALD may help to enhance the thermal stability of mesoporous materials. For example, Kraffert *et al.* synthesized mesoporous ferrihydrite,<sup>301</sup> showing a phase transition to hematite at 400 °C. By depositing silica onto the pore walls of the mesostructured thin films using a single ALD cycle, the stability of the ferrihydrite phase could be increased to 600 °C. Similar results were achieved for alumina-coated samples. In addition, the onset temperature for sintering, and therefore the thermal stability of the mesoporous thin films, was found to be significantly increased by ALD coating (up to 800 °C for silica). Differences in the stabilizing effect were attributed to different interaction

strengths of the coating materials with the mesoporous substrate. Significant improvement in thermal stability of mesoporous  $\text{Rh}_2\text{O}_3$  thin films by ALD coating (by >300 °C compared to uncoated material) has also been observed by Dubraja *et al.*<sup>246</sup> Pagán-Torres *et al.* reversed the strategy to stabilize mesoporous materials for applications under harsh conditions. They deposited catalytically active niobia onto the pore walls of mesoporous silica.<sup>302</sup> The hybrid material revealed improved hydrothermal stability, with catalytic activity superior to that of commercial niobia.<sup>303</sup>

Optimizing the pore size to achieve a high active surface area while providing efficient mass transport through the material and/or efficient charge-transport pathways in the walls is crucial for many applications. Unfortunately, arbitrary variation of pore size on the nanometer level is experimentally not possible. However, ALD can be used to reduce the pore size of pre-synthesized materials in a systematic fashion.<sup>304</sup>

Dendooven *et al.* investigated the decrease in pore size in mesoporous  $\text{TiO}_2$  thin films with ink-bottle shaped pores upon deposition of  $\text{HfO}_2$  using ALD. Achieving a conformal coating in such films is challenging, as there are only small channels within the walls that connect the mesopores and may lead to pore clogging during deposition. Using X-ray fluorescence (XRF) measurements, the authors confirmed that the inner surfaces are uniformly coated until the pore necks are closed, preventing further diffusion of molecular precursor into the architecture, as schematically shown in Fig. 28. Additional ALD cycles then only result in growth of a top (sealing) layer.<sup>304,305</sup> Comparable results were obtained by Cop *et al.*, who investigated  $\text{TiO}_2$  ALD coating of mesoporous  $\text{Ce}_x\text{Zr}_{1-x}\text{O}_{2-\delta}$  thin films.<sup>306</sup>

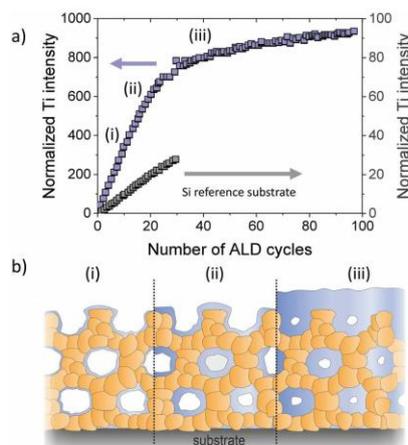


Fig. 28 (a) *In situ* XRF monitoring of filling of mesoporous thin films with an initial pore size of 4 nm during ALD of  $\text{TiO}_2$ . After pore filling, the growth rate decreases and  $\text{TiO}_2$  only grows as a top layer on the films. (b) Schematic illustration of the pore filling process. Adapted from ref. 304 with permission.



## Perspective

Dendooven *et al.* also reported on the implementation of ellipsometric porosimetry onto an ALD reactor, allowing to determine the pore size, the film thickness and the mechanical properties *in situ* during ALD.<sup>307</sup> In another work, the authors monitored the pore shrinkage by means of *in situ* GISAXS, also confirming uniform and conformal coating of the inner surfaces.<sup>308</sup> Note that for sub-nm control of the coating thickness, the process parameters must be tailored.<sup>309</sup> Too long exposure and/or insufficient purging result in precursor condensation (at the bottom of the pores) and pore plugging, whereas insufficient doses prevent full coverage of the inner surfaces. Especially for materials with a complex porosity, precursor diffusion limitations can lead to anisotropic ALD profiles, as reported for example by Pulinthanathu Sree *et al.*<sup>310</sup>

Spatial control of deposition has been achieved using plasma-assisted ALD. Here, ALD precursors are employed that need to be activated through plasma irradiation. As shown by Jiang *et al.*, this method allows sealing the pores of mesoporous materials at the immediate surface, as the plasma cannot penetrate into the inner structure.<sup>311</sup>

These and other examples demonstrate that conformal surface coating of mesoporous materials can be achieved using ALD.<sup>313–316</sup> However, in order to tailor the surface properties to a certain application, it is necessary to control the crystallinity of the deposited material (note that the lattice structure determines the electrical or catalytic properties, among others). Mitchell *et al.* characterized ALD-derived TiO<sub>2</sub> thin films on silicon substrates.<sup>317</sup> They found that native-oxide-free silicon promotes the growth of crystalline TiO<sub>2</sub> islands. In contrast, an initially amorphous TiO<sub>2</sub> film is formed on silicon with an amorphous interfacial SiO<sub>x</sub> layer. Nevertheless, after exceeding a critical thickness, the amorphous TiO<sub>2</sub> crystallizes. Zscherp *et al.* also examined the nanostructure of an ALD oxide coating, namely, 9–20 nm ceria deposited onto porous YSZ thin films.<sup>312</sup> TEM investigations revealed epitaxial growth of ceria with a columnar structure and excellent conformality (Fig. 29). An amorphous-to-crystalline phase transition with increasing layer thickness has also been reported by Celik *et al.*<sup>129</sup> They coated porous YSZ thin films by ALD of TiO<sub>2</sub>. While the deposition of 6 nm TiO<sub>2</sub> resulted in the formation of amorphous material, a crystalline coating (anatase TiO<sub>2</sub>) was found for a thickness of

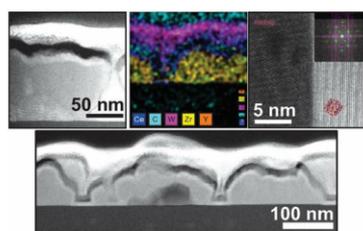


Fig. 29 TEM images and mapping results showing the epitaxial growth of ALD-derived ceria on porous YSZ thin films. Reprinted from ref. 312 with permission.

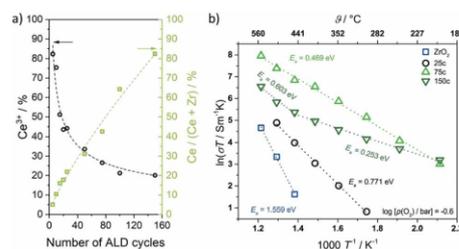


Fig. 30 (a) Ce<sup>3+</sup> content of ceria deposited onto mesoporous ZrO<sub>2</sub> thin films as function of the ALD cycle number. The Ce<sup>3+</sup> content decreases with increasing coating thickness. (b) Temperature-dependence of total conductivity for mesoporous ZrO<sub>2</sub> and CeO<sub>2</sub>-ZrO<sub>2</sub> composite thin films (25, 75 and 150 ALD cycles). Adapted from ref. 318 with permission.

approx. 18 nm using the same deposition conditions (150 °C substrate temperature). Furthermore, the authors studied the influence of crystallinity on the surface protonic conductivity. The amorphous TiO<sub>2</sub> coating led to a lower protonic conductivity compared with bare YSZ thin films. However, it increased again for the sample with the crystalline surface shell (accompanied by a decrease in proton mobility at high relative humidity, as the reduced pore size resulted in complete filling with water).

Cop *et al.* further probed the electrical properties of mesoporous ZrO<sub>2</sub> thin films coated with ceria using different numbers of ALD cycles.<sup>318</sup> Characterization *via* ellipsometry confirmed the homogeneity of coating in the pores up to 100 ALD cycles, after which pore plugging occurred. XPS measurements revealed a large Ce<sup>3+</sup> fraction in the coating because of the space-charge layer at the surface, which decreased with increasing thickness, as shown in Fig. 30. In addition, the total conductivity of the composite thin films was found to be strongly affected by the coating and its thickness due to the non-stoichiometry of the ceria layer. High Ce<sup>3+</sup> concentration resulted in dominant electronic conductivity because of small polaron hopping of electrons between the Ce<sup>3+</sup> and Ce<sup>4+</sup> ions.

Collectively, these studies demonstrate the profound effect that the coating thickness has on the structural and electrical properties. Because the thickness can be controlled precisely, post ALD treatment allows for rational materials design.

## 6. Conclusions

Herein, developments in the field of ordered mesoporous metal oxides for electrochemical applications are reviewed. Advantages and disadvantages arising from the unique architecture, consisting of open  $\leq 50$  nm pores surrounded by interconnected crystallites, are discussed. In the last decades, much research has been carried out into assessing the potential of mesoporous metal oxides for energy applications, ranging from batteries and catalysis to sensors. The presence of an ordered 3-dimensional pore network is advantageous, as it offers a large number of active surface sites and facilitates the accessibility of the material and its internal structure to the surrounding medium. For instance, high-surface-area metal



## PCCP

oxide electrodes may increase the charge-storage capacity of batteries due to pseudocapacitive redox reactions, while the porosity is capable of somewhat mediating the transport of reaction species in catalysis. It also endows the materials with a certain degree of mechanical flexibility, which helps accommodate volume changes during electrochemical cycling, among others. However, the favorable properties cannot only be attributed to the increased surface area and uniform pore size distribution, but also to the interconnectivity of crystallites in the wall structure, giving rise to short diffusion pathways for electron and ion transport.

An important aspect affecting the properties of mesoporous metal oxides is the existence of a space-charge region at the surface, which has been shown to exert a profound effect on the charge transport. Resistive oxide sensors are based on this working principle. Considering the surface space-charge region is also critical when evaluating the performance of mesoporous battery electrodes, as it directly affects the interfacial charge storage. In case of catalytic applications, the space-charge potential facilitates the separation of photogenerated charge carriers. This is beneficial for photoelectrocatalytic devices, but counterproductive in photocatalysis, where both redox reactions occur at the surface of the catalyst. However, the structural features of mesoporous metal oxides come at a price. For instance, the high surface area may lead to severe corrosion and adverse side reactions with liquid electrolytes or reactive gases. Furthermore, the nanoscale porosity negatively affects the thermal stability of the material, which in turn limits the temperature range for operation, especially in catalysis. In general, there is a complex interplay between the structural parameters, which makes it difficult to vary them independently (note that this is one of the major drawbacks of mesoporous materials).

Finally, this review article also summarizes recent work on post-surface modification of mesoporous metal oxides using atomic layer deposition (ALD). Because ALD allows conformal coating of complex substrates, it represents a promising technique to tackle the above-mentioned problems. Recent results confirm that surface coating helps to improve the thermal and structural stability. The thickness of the deposited material can also be controlled on the atomic level, since the ALD process relies on self-limiting surface reactions. Hence, the pore size can be adjusted without altering other structural parameters. In addition, the surface potential/chemistry can be manipulated *via* interfacial engineering, thus directly affecting the space-charge region and offering the possibility to tailor the electrical and electrochemical properties of mesoporous metal oxides for future device applications.

### Conflicts of interest

There are no conflicts to declare.

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## Perspective

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### 3.2. Publication II: Tailoring the Protonic Conductivity of Porous Yttria-stabilized Zirconia Thin Films by Surface Modification

In order to elucidate the influence of the surface properties on the electrical and protonic transport in metal oxides, porous YSZ thin films were prepared by PLD. The surface was coated with thin TiO<sub>2</sub> layers of different thickness using ALD. Electrochemical impedance spectroscopy (EIS) measurements of the pristine and TiO<sub>2</sub>-coated YSZ were performed as a function of temperature, humidity or oxygen partial pressure. Several structural analysis methods, like SEM, TEM, GI-XRD and Raman spectroscopy were performed to support the detailed examination of the prepared TiO<sub>2</sub>-YSZ composites.

Structural characterization reveals that the crystallinity of the titania layer can be adjusted by varying the thickness, which significantly affects the transport properties of the thin films as well as the oxygen partial pressure dependence of the ionic and electronic contributions in the composite material. EIS measurements under dry conditions as a function of temperature and oxygen partial pressure show that the total conductivity of porous YSZ increases by coating the surface with amorphous and crystalline titania layers of only a few nanometer thickness. The results reveal two transport regimes caused by additional transport pathways in the coating. Additionally, impedance measurements were performed under varying relative humidity. For the composite with a 6 nm thin amorphous TiO<sub>2</sub> layer, the protonic contribution is suppressed compared to the pristine YSZ thin film, whereas for the composite with a 18 nm thin crystalline TiO<sub>2</sub> layer, the protonic conductivity contribution increases again, caused by the different hydrophilic properties of the surfaces and the pore size. The underlying transport mechanisms are analyzed and discussed in the publication. This work highlights that transport properties can be tailored precisely by modifying the surface properties using ALD.

The experiments were designed and conducted by me with assistance from Rajendra S. Negi and Michele Bastianello. TEM measurements of the thin films were performed by Andrey Mazilkin. The porosity values were determined by Dominic Boll. The publication was written by me and edited by Torsten Brezesinski and Matthias T. Elm. Reprinted with permission from *Physical Chemistry Chemical Physics*. Copyright (2020) Royal Society of Chemistry.

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## Tailoring the protonic conductivity of porous yttria-stabilized zirconia thin films by surface modification

Erdogan Celik,<sup>\*a</sup> Rajendra S. Negi,<sup>a</sup> Michele Bastianello,<sup>b</sup> Dominic Boll,<sup>b</sup>  
Andrey Mazilkin,<sup>b</sup> Torsten Brezesinski<sup>b</sup> and Matthias T. Elm<sup>\*acd</sup>

Porous yttria-stabilized zirconia (YSZ) thin films were prepared by pulsed laser deposition to investigate the influence of specific surface area on the electronic, oxygen ion, and protonic transport properties. Electrochemical impedance spectroscopy was carried out as a function of temperature, oxygen activity and humidity of the surrounding atmosphere. At high humidity, protons on the surface of the porous YSZ thin films lead to increased conductivity, even for temperatures up to 700 °C. With increasing relative humidity, the activation energy of proton transport decreases because of changes in the transport mechanism from Grotthuss-type to vehicle-type transport. By coating the porous YSZ films with an amorphous titania (TiO<sub>2</sub>) layer of only a few nanometer thickness using atomic layer deposition, the protonic contribution to conductivity is significantly reduced. Depositing an 18 nm-thick anatase TiO<sub>2</sub> surface layer, the protonic conductivity contribution increases again, which can be attributed to enhanced capillary condensation because of the lower pore size. Interestingly, the filling of pores is accompanied by a decrease in proton mobility. These results demonstrate the significant effect that the porosity and the surface properties have on the protonic transport and further provide new design principles for developing nanostructured proton-conducting oxides.

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### Introduction

Besides tailoring the composition, the properties of oxide ceramics can also be altered by nanostructuring,<sup>1–4</sup> *i.e.*, by increasing the density of interfaces, such as grain boundaries or free surface. The reason is that, because of the formation of a space charge region, the defect chemistry at interfaces differs significantly from that of the bulk,<sup>5–10</sup> resulting in changes in electronic and oxygen ion conductivity of the nanostructured material (especially when the interfaces dominate the overall behavior). Additionally, nanostructured oxides attracted much interest in recent years, since a significant increase in protonic conductivity at low temperatures has been reported for several materials, such as Y<sub>2</sub>O<sub>3</sub>-stabilized ZrO<sub>2</sub> (YSZ),<sup>11–13</sup> CeO<sub>2</sub><sup>14–17</sup> and TiO<sub>2</sub>.<sup>18–21</sup> Because of their protonic conductivity at ambient

conditions, these materials are interesting for applications as sensors,<sup>22–24</sup> in energy storage and conversion<sup>12,25</sup> or heterogeneous catalysis.<sup>26</sup>

Although there are many literature reports on proton conductivity in oxide materials, the transport mechanisms in fluorite-type oxides are still under debate. The measurements conducted on dense hydrated ceria and zirconia showed no evidence of proton conductivity,<sup>14</sup> indicating that proton transport in the bulk is negligible and the protonic conductivity in nanomaterials can be directly related to the nanostructure. In principle, it is not obvious whether a large specific surface area or a high grain boundary density is decisive for this effect. Studies by Avila-Paredes *et al.* and Chiodelli *et al.* suggested that the grain size has a significant effect on the protonic conductivity<sup>15,27–29</sup> and protonic transport occurs along the grain boundaries. On the other hand, a blocking behavior of the grain boundary for protons was reported, caused by the positively charged space charge region hindering proton transport.<sup>30,31</sup> However, most studies attribute the enhanced protonic conductivity at low and intermediate temperatures to the presence of transport paths along the pores and/or the inner sample surface.<sup>12–14,32,33</sup> Water molecules adsorbed at the surface can then contribute by two different transport mechanisms depending on the relative humidity in the

<sup>a</sup> Center for Materials Research, Justus-Liebig-University Giessen, Heinrich-Buff Ring 16, 35392 Giessen, Germany. E-mail: Erdogan.Celik@materialwiss.uni-giessen.de, matthias.elm@phys.chemie.uni-giessen.de

<sup>b</sup> Institute of Nanotechnology, Karlsruhe Institute of Technology (KIT), Hermann-von-Helmholtz Platz 1, 76344 Eggenstein-Leopoldshafen, Germany

<sup>c</sup> Institute of Physical Chemistry, Justus-Liebig-University Giessen, Heinrich-Buff Ring 17, 35392 Giessen, Germany

<sup>d</sup> Institute of Experimental Physics I, Justus-Liebig-University Giessen, Heinrich-Buff Ring 16, 35392 Giessen, Germany

surrounding atmosphere and the temperature. While for low water content and high temperatures a Grotthuss mechanism is responsible for protonic transport, at higher water partial pressure the proton conductivity arises from vehicle transport of  $\text{H}_3\text{O}^+$  molecules.<sup>11,13,33</sup> Although a high surface area seems to be beneficial for protonic transport up to 500 °C,<sup>11,12,34</sup> the results on mesoporous YSZ thin films with a large specific surface area revealed no indication of proton conductivity, but instead a decrease in total conductivity under humidified atmosphere.<sup>35</sup> These results indicate that not only the surface area, but also the pore size and surface chemistry affect the protonic (surface) conductivity in nanostructured oxides.

Here, we report on the influence of surface modification on the protonic conductivity in porous YSZ thin films prepared by pulsed laser deposition (PLD). Because of their high surface-to-volume ratio, porous materials present an ideal model system to study the effect of surface on the transport properties. Furthermore, the surface properties can be tailored, which is useful to gain a basic understanding of the influence of free surface on the electrochemical properties. The electrochemical impedance measurements as a function of temperature and for different water contents in the atmosphere reveal that the porous YSZ thin films exhibit protonic conductivity contribution even at high temperatures of 700 °C. By surface coating with an amorphous titania ( $\text{aTiO}_2$ ) film of about 6 nm thickness using atomic layer deposition (ALD), the protonic contribution is suppressed at temperatures above 120 °C. Increasing the thickness of the  $\text{TiO}_2$  layer results in a crystalline  $\text{TiO}_2$  ( $\text{cTiO}_2$ ) coating and reduced pore size, which not only increases the surface protonic conductivity contribution again, but interestingly also results in a change in activation energy at high water content, thus indicating an altered proton transport mechanism. Overall, the results emphasize the significant effect that surface modification has on the protonic conductivity in porous oxide materials.

## Experimental section

Porous thin films of 9.5 mol% YSZ were deposited on (0001)-oriented single-crystalline sapphire substrates using PLD at 10 Pa oxygen background pressure and 300 °C substrate temperature. The deposition was performed with a KrF-excimer laser, a repetition rate of 10 Hz, and with a distance of 6 cm between the substrate and the target. The laser fluence was set to about  $2.3 \text{ J cm}^{-2}$ . After deposition, the films were annealed for 3 days at 800 °C, resulting in a porous structure. After annealing, the films exhibit a thickness of approximately 70 nm. Interdigitated platinum microelectrodes<sup>36</sup> were prepared on the top surface of the porous thin films using photolithography. For this, a positive photoresist (ma-P 1215, micro resist technology GmbH) was used. The platinum electrodes with a thickness of 200 nm were deposited by electron-beam evaporation. The interdigitated electrodes used consist of 21 fingers of 3 mm length and 47  $\mu\text{m}$  width, with the distance between the fingers being 33  $\mu\text{m}$ .

For structural investigations, scanning electron microscopy (SEM) images were taken with a MERLIN from Carl Zeiss at 5 kV.

The grazing incidence X-ray diffraction (GIXRD) measurements were performed at an angle of incidence of  $1^\circ$  on an X'Pert PRO MRD from PANalytical instruments ( $\lambda = 0.15406 \text{ nm}$ ). The Raman measurements were carried out on an inVia Raman microscope from Renishaw in backscattering geometry ( $\lambda = 633 \text{ nm}$ ). Electrochemical impedance spectroscopy (EIS) was performed in the frequency range from 10 MHz to 10 mHz at an AC amplitude of 100 mV using a Novocontrol Alpha-A impedance analyzer. The oxygen partial pressure in the gas atmosphere was controlled by gas mixtures of  $\text{O}_2$  and Ar. The impedance spectra were evaluated using the software RelaxIS 3.<sup>37</sup>

$\text{TiO}_2$  was deposited by ALD on two YSZ thin films using an ALD R200 Standard system from Picosun. Titanium tetrachloride ( $\text{TiCl}_4$ ) and water were used as precursors at a substrate temperature of 150 °C. Under these conditions, the growth rate of  $\text{TiO}_2$  was determined to be 0.06 nm per cycle. The  $\text{TiO}_2$  coatings had thicknesses of 6 and 18 nm. Transmission electron microscopy (TEM) was performed on an FEI Titan 80-300 aberration-corrected (image) microscope operated at 300 kV. The samples for TEM investigations were prepared using the FEI STRATA 400 S dual-beam system, with the Ga-ion beam operating at 30 kV, followed by final polishing at 2 kV for the surface quality improvement. Energy-filtered (EF) TEM was carried out with an image filter (Gatan Tridium 863) using the  $\mu$ -probe EFTM setup. The Zr  $M_{45}$ , O K and Ti  $L_{32}$  edges were used to determine the spatial distribution of the corresponding elements.

## Structural characterization

Representative top view SEM images of the porous YSZ thin film before and after annealing at 800 °C are shown in Fig. 1(a and b), respectively. While the as-deposited YSZ thin film shows a relatively smooth surface, the material exhibits grains in the size range of 15 to 20 nm and increased porosity after the annealing step. Image processing using Otsu's method<sup>38</sup> revealed a porosity of 47% and an average pore size of  $(43 \pm 2) \text{ nm}$ .

To modify the surface properties and to vary the pore size, the porous YSZ thin films were coated with a  $\text{TiO}_2$  layer of

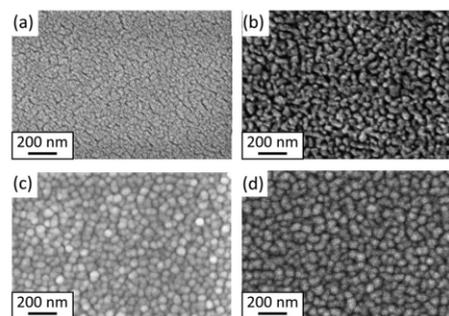


Fig. 1 SEM images of (a) as-deposited, (b) porous (annealed), (c) 6 nm and (d) 18 nm  $\text{TiO}_2$  ALD-coated YSZ thin films.

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thicknesses 6 and 18 nm using ALD. SEM images of the resulting thin films are shown in Fig. 1(c and d). It can clearly be seen that the pore size decreases compared to that of uncoated YSZ thin film shown in Fig. 1(b). The porosity estimated from the SEM images was 43% and 38%, respectively. Because of the TiO<sub>2</sub> coating, the average pore size was also significantly reduced to (29 ± 2) nm and (18 ± 2) nm, respectively.

Apart from SEM, the structural properties of the thin films were characterized by GIXRD. This method provides a way to avoid the strong reflections from the substrate and thus only the crystal structure of the material of interest is probed. The GIXRD pattern of an annealed YSZ thin film is shown in Fig. 2(a). Investigation of the coated YSZ films showed no differences because of the low thickness of the TiO<sub>2</sub> layer. The thin films exhibit the expected reflections of cubic YSZ according to the reference data.<sup>39</sup> The absence of additional reflections confirms the deposition of a single-phase material. Using the Scherrer equation,<sup>40</sup> the crystallite size was calculated to be about 17 nm, in good agreement with the values estimated from SEM imaging.

However, because of the small scattering factor of oxygen and the broad reflections caused by the small crystallites, it is difficult to distinguish between the cubic and the tetragonal phases of YSZ by XRD.<sup>41,42</sup> For reliable assessment of the crystal structure, the thin films were also probed using Raman spectroscopy. The Raman spectra of the uncoated and coated YSZ thin films are shown in Fig. 2(b). For the uncoated YSZ thin film and that with 6 nm TiO<sub>2</sub>, only two modes are observed. The mode at

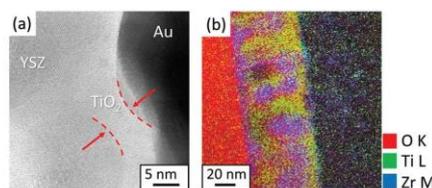


Fig. 3 (a) HRTEM image of YSZ/aTiO<sub>2</sub> (6 nm) and (b) EFTEM image demonstrating the uniformity of the amorphous titania coating.

420 cm<sup>-1</sup> arises from the sapphire substrate,<sup>43,44</sup> while the second mode (F<sub>2g</sub>) at about 640 cm<sup>-1</sup> is characteristic of the cubic fluorite-type phase of YSZ.<sup>35,45</sup> No indication of the TiO<sub>2</sub> coating is observed, thus suggesting deposition of an amorphous (aTiO<sub>2</sub>) layer. The YSZ thin film coated with 18 nm TiO<sub>2</sub> exhibits four additional modes at 143 [E<sub>g</sub>(1)], 196 [E<sub>g</sub>(2)], 379 [B<sub>1g</sub>] and 516 cm<sup>-1</sup> [A<sub>1g</sub>], confirming the successful deposition of anatase TiO<sub>2</sub> (cTiO<sub>2</sub>) with tetragonal symmetry.<sup>46–48</sup> From the literature, it is known that, below a critical thickness of approximately 6 nm, ALD-derived titania layers are amorphous in nature,<sup>49</sup> in agreement with the Raman results.

To confirm the successful coating of the surface, HRTEM measurements were performed. In Fig. 3(a), the HRTEM image is shown for the YSZ sample with a 6 nm-thick TiO<sub>2</sub> coating. The absence of any crystalline phase in the TiO<sub>2</sub> layer corroborates the amorphous structure. Furthermore, the EFTEM image shown in Fig. 3(b) indicates conformal and homogenous surface coating of the pores in the YSZ thin film.

#### Electrochemical characterization

**Dry atmosphere.** The electrical conductivity of the porous YSZ thin film was investigated by impedance spectroscopy in the temperature range between 200 and 700 °C and for varying oxygen partial pressure and humidity. Representative impedance spectra (Nyquist representation) recorded at ambient oxygen partial pressure, *i.e.*, lg(*p*(O<sub>2</sub>)) = -0.67, at 965 K under dry and ambient atmospheres are shown in Fig. 4. Under dry conditions and at low temperatures, a nearly ideal semicircle is observed at high frequencies. With increasing temperature, the Nyquist plot shows the onset of a second semicircle at low frequencies (not shown) and, finally, at high temperatures [Fig. 4(a)], a third semicircle is observed. Under ambient conditions, *i.e.*, 20.2% relative humidity [Fig. 4(b)], the resistance of the high-frequency semicircle is significantly reduced, indicating that the humidity has a significant effect on the transport properties, even at high temperatures.

To determine the total resistance of the thin films, the impedance spectra were fitted using an equivalent circuit consisting of three RQ elements in series (solid lines in Fig. 4). For the high frequency semicircle, a capacitance of about 5 × 10<sup>-12</sup> F was determined, while for the second semicircle a capacitance of about 9 × 10<sup>-11</sup> F is found. The first semicircle can be attributed to the response of the bulk material, which typically shows capacitances between 10<sup>-12</sup>

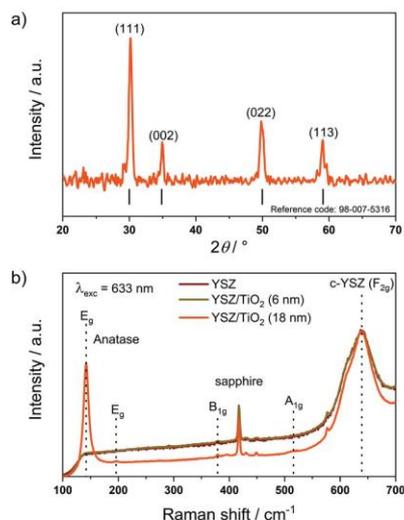


Fig. 2 (a) GIXRD pattern of porous (annealed) YSZ. For comparison, the reference pattern of cubic YSZ is also shown. (b) Raman spectra of porous (annealed) YSZ, YSZ/aTiO<sub>2</sub> (6 nm) and YSZ/cTiO<sub>2</sub> (18 nm).

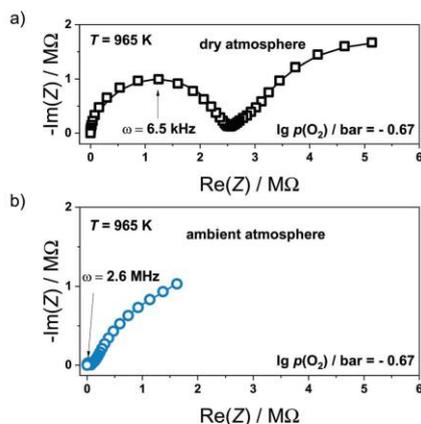


Fig. 4 Impedance spectra of porous (annealed) YSZ at high temperature and under dry (black) and ambient atmosphere conditions (blue).

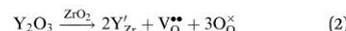
and  $10^{-10}$  F. The second semicircle corresponds to the response of the grain boundaries, exhibiting capacitances in the range between  $10^{-10}$  and  $10^{-8}$  F depending on the grain size.<sup>50–53</sup> For nanostructured materials, the capacity typically shifts to lower values, often resulting in an overlap of the bulk and the grain boundary semicircles.<sup>1,35</sup> The semicircle at low frequency with

a capacitance of about  $1 \times 10^{-7}$  F corresponds to the electrochemical response of the electrode–electrolyte interface.<sup>37,50</sup> As the scope of this study is to take a closer look on the transport processes of the electrolyte, we did not investigate the electrode processes in detail. The total conductivity was calculated from the total resistance  $R$ , *i.e.*, the bulk and the grain boundary resistance, according to:

$$\sigma = \frac{l}{Rbd} \quad (1)$$

where  $l$  denotes the electrode distance,  $b$  is the electrode length and  $d$  is the thickness of the porous thin film. Note that, by using eqn (1), the porosity is neglected, *i.e.*, a dense thin film is assumed. Thus, the obtained values underestimate the total conductivity and should be rather seen as a kind of lower limit.

The temperature dependence of the total conductivity of the porous YSZ thin film under the dry atmosphere and for varying humidity is presented in Fig. 5(a). At dry conditions, the conductivity shows a linear Arrhenius-type behavior over the whole temperature range, caused by a dominant oxygen ion conductivity. The high oxygen ion conductivity in YSZ arises from the isoelectric doping of zirconia with yttria, generating oxygen vacancies ( $V_{\text{O}}^{\bullet\bullet}$ ) to maintain charge neutrality. Using Kroeger–Vink notation, the doping is described by:<sup>54,55</sup>



where  $\text{Y}'_{\text{Zr}}$  denotes an  $\text{Y}^{3+}$  in the  $\text{Zr}^{4+}$  lattice site with a relative charge of  $-1$  and  $\text{V}_{\text{O}}^{\bullet\bullet}$  is the vacancy in the oxygen lattice site with a relative charge of  $+2$ .  $\text{O}_{\text{O}}^{\times}$  denotes the lattice oxygen with a

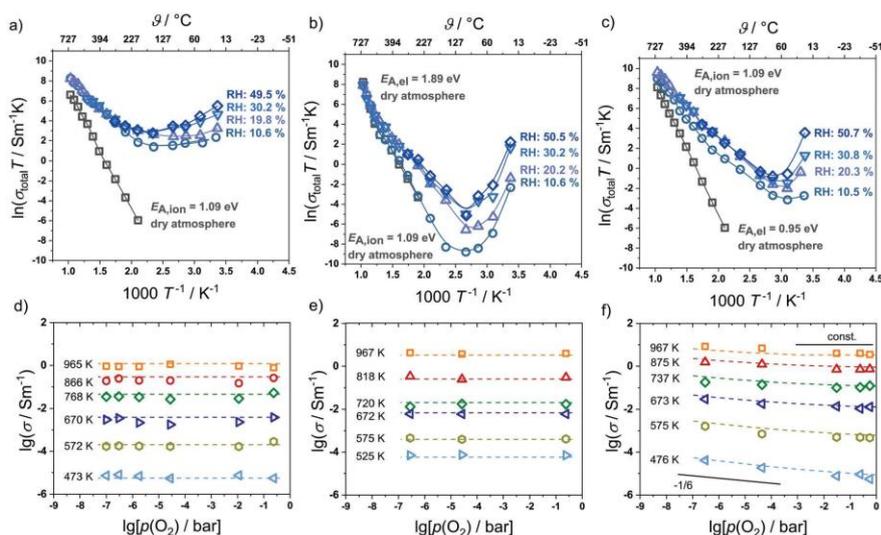


Fig. 5 Arrhenius plots of the total conductivity for (a) porous (annealed) YSZ, (b) YSZ/aTiO<sub>2</sub> (6 nm) and (c) YSZ/cTiO<sub>2</sub> (18 nm). Oxygen partial pressure dependence of the total conductivity for (d) porous (annealed) YSZ, (e) YSZ/aTiO<sub>2</sub> (6 nm) and (f) YSZ/cTiO<sub>2</sub> (18 nm).

charge of zero. By changing the oxygen partial pressure, the conductivity remains constant as shown in Fig. 5(d), also confirming that the oxygen ions are the dominant charge carriers.<sup>35,54</sup>

The total conductivity  $\sigma(T)$  of the YSZ thin film is given by:

$$\sigma(T) = \frac{\sigma_{0,\text{ion}}}{T} \exp\left(-\frac{E_{\text{A,ion}}}{k_{\text{B}}T}\right) \quad (3)$$

where  $k_{\text{B}}$  is the Boltzmann constant,  $E_{\text{A,ion}}$  is the activation energy representing the migration enthalpy of the oxygen ions  $\Delta H_{\text{mig}}$ , and  $\sigma_{0,\text{ion}}$  is the conductivity pre-factor of the oxygen ions. By fitting the linear behavior in the Arrhenius representation using the expression:

$$\ln(\sigma T) = \ln \sigma_{0,\text{ion}} - \frac{E_{\text{A}}}{k_{\text{B}}T} \quad (4)$$

an activation energy  $E_{\text{A,ion}} = (1.09 \pm 0.03)$  eV was determined, which is in good agreement with values in the range of 0.8–1.2 eV typically reported for oxygen ion conductivity in YSZ.<sup>54,56–58</sup> For the conductivity pre-factor, a value of  $\sigma_{0,\text{ion}} = 5.7 \times 10^8 \text{ S K m}^{-1}$  was obtained. The fits according to eqn (3) are shown in Fig. 5(d) as dashed lines.

After ALD coating with 6 nm amorphous  $\text{TiO}_2$  (aTiO<sub>2</sub>), the porous thin film reveals a distinct change in the temperature dependence of conductivity. Two transport regimes with different activation energies are observed in the Arrhenius representation, as shown in Fig. 5(b).

At low temperatures, the transport is again dominated by oxygen vacancies, as confirmed by a constant conductivity with varying oxygen partial pressure. Above 500 °C, the activation energy significantly increases, which is attributed to an additional conductivity contribution arising from electrical transport in the aTiO<sub>2</sub> layer. The total conductivity is then given by:

$$\sigma_{\text{tot}}(T) = \frac{\sigma_{0,\text{ion}}}{T} \exp\left(-\frac{E_{\text{A,ion}}}{k_{\text{B}}T}\right) + \sigma_{0,\text{el}} \exp\left(-\frac{E_{\text{A,el}}}{k_{\text{B}}T}\right) \quad (5)$$

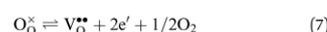
where  $E_{\text{A,el}}$  and  $\sigma_{0,\text{el}}$  are the activation energy and the conductivity pre-factor of the electronic charge carriers, respectively. In amorphous semiconductors, electrical transport at high temperatures arises from thermal activation of electrons from the valence band mobility edge to the conduction band mobility edge, *i.e.*, the formation of an electron-hole pair. The activation energy is then given by:<sup>59</sup>

$$E_{\text{A,el}} \approx \frac{E_{\text{g}}}{2} \quad (6)$$

with  $E_{\text{g}}$  being the band gap of the material. Using eqn (5), the fit to the experimental data shown as dashed lines in Fig. 5(e) revealed an activation energy  $E_{\text{A,ion}} = (1.09 \pm 0.05)$  eV for the ionic conductivity contribution, in agreement with the uncoated YSZ thin film, and a slightly higher pre-factor  $\sigma_{0,\text{ion}} = (8 \pm 0.2) \times 10^8 \text{ S K m}^{-1}$ . For the electronic contribution, an activation energy  $E_{\text{A,el}} = (1.89 \pm 0.02)$  eV and a pre-factor  $\sigma_{0,\text{el}} = 7.5 \times 10^9 \text{ S K m}^{-1}$  were determined. Amorphous  $\text{TiO}_2$  exhibits an energetic band energy of approximately 3.3–3.5 eV<sup>60,61</sup> and, thus, an activation

energy of 1.7–1.8 eV is expected, which is in good agreement with the observed value of 1.89 eV.

Finally, Fig. 5(c) shows the temperature dependence of conductivity for the porous YSZ thin film coated with 18 nm  $\text{TiO}_2$ . Again, two transport regimes of different activation energy are observed under dry conditions, although their difference is not as pronounced as for the sample with the 6 nm  $\text{TiO}_2$  coating. In Fig. 5(f), the  $p(\text{O}_2)$ -dependence is shown for the conductivity in the investigated temperature range. At low temperatures, the conductivity decreases, with the characteristic  $-1/6$  Brouwer slope for increasing  $p(\text{O}_2)$ . At high temperatures, a decrease in conductivity is only observed for low oxygen partial pressures, while at high  $p(\text{O}_2)$ , the conductivity remains constant. The observed behavior is again attributed to an additional electronic contribution of the cTiO<sub>2</sub> coating to the total conductivity of the porous YSZ thin film, as the observed slope of  $-1/6$  is typical of anatase under reducing conditions.<sup>62–64</sup> In this regime, oxygen vacancies are formed in  $\text{TiO}_2$  which is accompanied by an increase in electron concentration. In Kroeger-Vink notation, the reduction reaction can be written as:



with the equilibrium constant

$$K_{\text{Red}} = K_0 \exp\left(-\frac{\Delta G_{\text{f}}}{k_{\text{B}}T}\right) = [\text{V}_{\text{O}}^{\bullet\bullet}][e']^2 p(\text{O}_2)^{1/2} \quad (8)$$

where  $\Delta G_{\text{f}}$  denotes the Gibbs free enthalpy for the formation of oxygen vacancies. Using the Brouwer approximation for this regime,  $[e'] = 2[\text{V}_{\text{O}}^{\bullet\bullet}]$ , the increase in electron concentration as a function of oxygen partial pressure is given by:<sup>62</sup>

$$[e'] \propto p(\text{O}_2)^{-1/6} \quad (9)$$

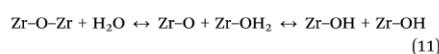
While at low oxygen partial pressure the electrons dominate the overall conductivity, as shown in Fig. 5(f), at high temperatures and oxygen partial pressure, the constant conductivity arises from the ionic plateau of the porous YSZ. Thus, the total conductivity of the 18 nm  $\text{TiO}_2$ -coated YSZ thin film is given by:

$$\begin{aligned} \sigma_{\text{tot}}(T) &= \sigma_{\text{ion}}(T) + \sigma_{\text{elec}}(T) \\ &\propto \frac{\sigma_{0,\text{ion}}}{T} \exp\left(-\frac{E_{\text{A,ion}}}{k_{\text{B}}T}\right) + \frac{\sigma'_{0,\text{el}}}{T} \exp\left(-\frac{E_{\text{A,el}}}{k_{\text{B}}T}\right) p(\text{O}_2)^{-1/6} \end{aligned} \quad (10)$$

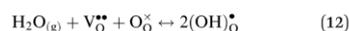
where  $E_{\text{A,ion}}$  is the migration enthalpy of the oxygen ions and  $E_{\text{A,el}} = \Delta H_{\text{f}}/3$  is the activation energy related to the formation enthalpy  $\Delta H_{\text{f}}$  of the titania layer. The fit to the total conductivity using eqn (10) is shown as solid lines in Fig. 5(c). For the oxygen ions, an activation energy  $E_{\text{A,ion}} = (1.09 \pm 0.2)$  eV is obtained, again in good agreement with the value determined for the uncoated sample. The electronic contribution shows an activation energy  $E_{\text{A,el}} = (0.95 \pm 0.03)$  eV, which is in the range of 0.89–0.98 eV reported for porous anatase,<sup>65,66</sup> thereby confirming the additional electronic contribution of the surface coating to the total conductivity of the coated YSZ thin film. The pre-factors are  $\sigma_{0,\text{ion}} = 1 \times 10^9 \text{ S K m}^{-1}$  and  $\sigma'_{0,\text{el}} = 2.1 \times 10^7 \text{ S K bar}^{1/6} \text{ m}^{-1}$ .

## Humid atmosphere

To study the influence of porosity and surface coating on the protonic conductivity, the electrochemical impedance measurements were performed under varying relative humidity (RH) in the same temperature range. As shown in Fig. 5(a), the porous YSZ thin film exhibits a significant increase in conductivity even for a low RH of 10.6%. The presence of humidity leads to the formation of a water film at the free surface and thus to protonic surface conductivity, which increases with increasing RH by several orders of magnitude, in agreement with reports available in literature.<sup>12–15,18,20</sup> At temperatures above 150 °C and/or low humidity, only chemisorbed layers exist, consisting of hydroxyl groups bonded to the oxide and relatively strong hydrogen bonded water molecules.<sup>11,13,67</sup> The chemisorbed species are reported to be stable up to 600 °C and 200 °C, respectively.<sup>11,13,33,67</sup> The mechanism for the adsorption of water on an ideal surface is given by:<sup>11</sup>



However, also surface defects, such as oxygen vacancies, play a significant role in the formation of surface protons, as water fills the oxygen vacancies and forms hydroxyl groups.<sup>68–70</sup> In Kroeger–Vink notation, their formation is given by the reaction:<sup>15,35,71,72</sup>



In the chemisorbed layer, the proton transport is expected to occur because of the formation of defects and proton hopping (Grothuss-type mechanism).<sup>11</sup>



By combining eqn (9) and (10), the water partial pressure dependence of the protonic defects is given by:<sup>17,34,72</sup>

$$[\text{Zr-OH}_2^+] \propto [\text{Zr-OH}] \propto p_{\text{H}_2\text{O}}^{1/2} \quad (14)$$

The conductivity as a function of water partial pressure is shown in Fig. 6. As the conductivity is proportional to the charge carrier defects, the observed slope of approximately 1/2 confirms a dominant protonic conductivity under humidified conditions.

At lower temperatures, the physisorption of water molecules takes place and, at a RH  $\approx$  30%, an “ice-like” layer with an additional physisorbed layer is formed. With increasing RH, the number of physisorbed water layers further increases and, for RH > 60%, the transport is expected to take place by hydronium ions *via* vehicle mechanism.<sup>11,13,33</sup>



Interestingly, for the porous thin films studied in this work, the protonic contribution is significantly higher compared to other literature reports.<sup>12,13,15</sup> For example, even at 700 °C, a protonic contribution is clearly observed, while typically an increase in conductivity under humidified conditions is only found for temperatures up to 400 °C.

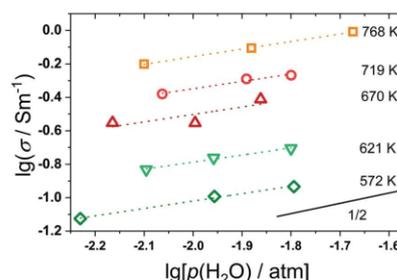


Fig. 6 Protonic conductivity of porous (annealed) YSZ depending on the water vapor partial pressure.

The large increase in protonic conductivity indicates that the nanostructure and the pore size are crucial for the incorporation of water and the transport of protons along inner surfaces of the oxide, in agreement with previous studies. Miyoshi *et al.* observed a comparable protonic contribution up to 650 °C for nano-grained YSZ.<sup>33,34</sup> They attributed the high conductivity under “wet” conditions to hopping transport of protons in interfacial hydrated layers within the YSZ material. Likewise, Gregori *et al.* ascribed the protonic conductivity of nanocrystalline samples to the presence of a water layer formed in residual pore openings due to capillary condensation.<sup>14</sup> However, sol-gel derived YSZ thin films with an average pore size of 24 nm revealed no signs of proton conduction at temperatures above 250 °C. Instead, a reduction of oxygen ion conductivity was found, which was attributed to the annihilation of oxygen ion vacancies at the surface due to water adsorption and the formation of hydroxyl ions.<sup>35</sup>

The situation changes completely when the surface of the porous YSZ thin film is coated with a TiO<sub>2</sub> layer, as shown in Fig. 5(b and c). Although the pore size is decreased in these samples, *i.e.*, capillary condensation should be more favorable, the coated YSZ thin films exhibit a significantly reduced protonic conductivity compared to the as-prepared porous YSZ thin film. At temperatures above 400 °C, YSZ/aTiO<sub>2</sub> (6 nm) shows no protonic conductivity contribution anymore and, in the temperature range between 150 and 400 °C, the proton conductivity is only observed for RH > 10%. At lower temperatures, an increase in conductivity with decreasing temperature and increasing RH is found, as typically observed for porous TiO<sub>2</sub>.<sup>18–21</sup> In the case of YSZ/cTiO<sub>2</sub> (18 nm), the protonic conductivity increases again with a protonic contribution even at temperatures above 400 °C, as shown in Fig. 5(c), but it still remains lower compared to the uncoated thin film.

The decrease in conductivity compared to the uncoated material is attributed to the different hydrophilic properties of the TiO<sub>2</sub> surfaces. While water on YSZ surfaces is adsorbed mainly in its dissociative form,<sup>67</sup> the TiO<sub>2</sub> surfaces are predominantly covered by molecular water.<sup>73</sup> Thus, the TiO<sub>2</sub> surfaces exhibit a lower coverage with hydroxyl groups, which are responsible for the proton conduction in the chemisorbed layer.<sup>11</sup>

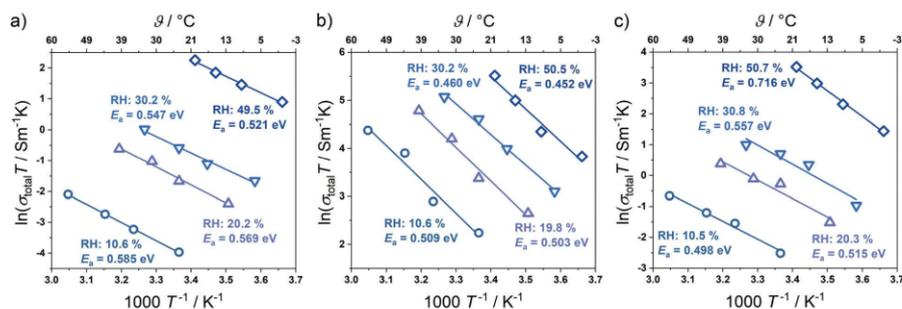


Fig. 7 Determination of the activation energy of protonic conduction for temperatures below 100 °C: (a) porous (annealed) YSZ, (b) YSZ/aTiO<sub>2</sub> (6 nm) and (c) YSZ/cTiO<sub>2</sub> (18 nm).

This behavior can be attributed mainly to the reduced amount of oxygen vacancies on TiO<sub>2</sub> surfaces compared to YSZ, which act as active sites for water adsorption.<sup>69,70,74</sup> Furthermore, DFT calculations suggest that on amorphous TiO<sub>2</sub> the adsorption of hydroxyl groups is even less favorable compared to anatase TiO<sub>2</sub>,<sup>75</sup> which is also supported by the lower protonic conductivity in the case of the amorphous coating, especially at higher temperatures. However, water condensation in the pores may also play a role in the higher protonic conductivity in the case of the YSZ thin film with the crystalline TiO<sub>2</sub> (note that the pore size is also reduced due to the coating).

To gain further insights into the transport mechanism, the conductivity at fixed RH was plotted as a function of the inverse temperature (Fig. 7). For all three samples and RHs tested, a linear Arrhenius-type behavior is found, where the activation energy depends on the enthalpies of defect formation  $\Delta H_D$  and mobility  $\Delta H_{mob}$ .<sup>11,13</sup>

$$E_A = \frac{1}{2}\Delta H_D + \Delta H_{mob} \quad (16)$$

The activation energy of the transport process was determined by linear fitting of  $\log(\sigma T)$  versus the reciprocal temperature  $1/T$  and is shown as a function of RH in Fig. 8. At 10% RH, the YSZ thin film exhibits an activation energy of about 0.59 eV, which decreases with increasing RH. Comparable values for the activation energy of proton transport in porous YSZ (varying between 0.3 and 0.6 eV) and a decrease in  $E_A$  with increasing RH were reported by other groups.<sup>11,13,34</sup> Stub *et al.* interpreted the linear decrease in  $E_A$  with a change in the dominant transport mechanism from Grotthuss-type to vehicle-type transport, which was supported by measurements of the apparent proton transference number.<sup>13</sup>

However, a change in defect formation enthalpy with RH may also affect  $E_A$ . For the porous YSZ thin film coated with amorphous TiO<sub>2</sub>, the same behavior is observed, in agreement with other reports available in literature,<sup>20</sup> indicating the same change in dominant transport mechanism, as observed for the uncoated YSZ. However, a slightly reduced activation energy of 0.5 eV is determined at low RH. The lower activation energy

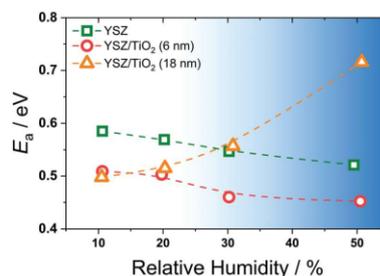


Fig. 8 Activation energy as a function of relative humidity for porous (annealed) YSZ, (b) YSZ/aTiO<sub>2</sub> (6 nm) and (c) YSZ/cTiO<sub>2</sub> (18 nm).

compared to pristine YSZ is attributed to a lower  $\Delta H_D$ , as the same value is also observed for the thin film coated with anatase TiO<sub>2</sub>. Interestingly, the activation energy is found to increase with increasing RH for YSZ/cTiO<sub>2</sub> (18 nm). A similar finding was made by Vichi *et al.*,<sup>19</sup> who also studied protonic conductivity in mesoporous TiO<sub>2</sub>. They attributed the change in activation energy to a decrease in mobility, *i.e.*, an increase in  $\Delta H_{mob}$  caused by complete filling of the pores. In the pores, the water molecules form bridges between the hydrated pore walls, leading to the observed decrease in mobility. The same should be true for the anatase-coated YSZ thin film, as the pore size is significantly reduced by the 18 nm-thick coating.

## Conclusions

To investigate the influence of free surface on the electronic, oxygen ion and protonic transport properties in oxides, porous YSZ thin films were prepared by PLD and characterized using electrochemical impedance spectroscopy. Under high humidity conditions, the porous YSZ revealed a significant increase in total conductivity due to protonic transport taking place at the surface. Modifying the surface by depositing an amorphous TiO<sub>2</sub> layer of 6 nm thickness using ALD resulted in an

additional electronic transport pathway in the coating under dry atmosphere, while under humidified conditions, the protonic conductivity contribution was significantly suppressed. After deposition of an 18 nm-thick anatase coating, the protonic conductivity increased again because of filling of the reduced-size pores with water. Furthermore, with increasing humidity, the activation energy of the transport process also increased, which is attributed to a decrease in proton mobility when the pores are filled with water. The results demonstrate that not only the porosity but also the surface properties have a strong effect on the protonic transport, which may help in designing and optimizing proton-conducting (nanoscale) oxides for technological applications through tailored surface modification.

### Conflicts of interest

There are no conflicts to declare.

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### 3.3. Publication III: Design of Ordered Mesoporous CeO<sub>2</sub>–YSZ Nanocomposite Thin Films with Mixed Ionic/Electronic Conductivity via Surface Engineering

In the third publication of my thesis, the impact of cerium oxide (CeO<sub>2</sub>) coatings on the transport properties of polymer-templated nanocrystalline YSZ thin films with a well-defined mesoporous morphology and different pore sizes (17, 24 and 40 nm) is presented. The temperature and  $p(\text{O}_2)$ -dependence of the electronic and ionic conductivity are investigated using EIS. Structural characterization using different analysis methods (SEM, TEM, EDX, XRD, Raman spectroscopy, ToF-SIMS and XPS) confirm the successful ALD coating of the complex surface of the mesoporous YSZ thin films.

The thickness of the ceria layer strongly affects the total conductivity of the CeO<sub>2</sub>/YSZ composites. Impedance measurements show that the total conductivity significantly increases with increasing ceria layer thickness, which is accompanied by changes of the Ce<sup>3+</sup>/Ce<sup>4+</sup> ratio as well as the activation energy of the transport process. Here, the Ce<sup>3+</sup> concentration decreases with increasing layer thickness. The composites with a 7 nm thin ceria coating (190 ALD cycles) exhibit only dominant oxygen ion conductivity in the measured  $p(\text{O}_2)$ -range. In contrast, the composites with a 3 nm thin ceria coating show a dominant electronic contribution, especially at low temperatures, arising from small polaron hopping due to a higher Ce<sup>3+</sup> concentration. In addition, the electrochemical transport measurements reveal no dependence of the total conductivity on the pore size of the composites.

The experiments were designed and conducted by me with assistance from Pascal Cop and Rajendra S. Negi. TEM measurements of the thin films were performed by Andrey Mazilkin. Mesoporous YSZ samples were manufactured by Yanjiao Ma. The publication was written by me and edited by Torsten Brezesinski and Matthias T. Elm. Reprinted with permission from *ACS Nano*. Copyright (2022) American Chemical Society.

Erdogan Celik, Pascal Cop, Rajendra S. Negi, Andrey Mazilkin, Yanjiao Ma, Philip Klement, Jörg Schörmann, Sangam Chatterjee, Torsten Brezesinski and Matthias T. Elm, Design of Ordered Mesoporous CeO<sub>2</sub>–YSZ Nanocomposite Thin Films with Mixed Ionic/Electronic Conductivity via Surface Engineering, *ACS Nano*, **2022**, 16, 3182-3193.

# Design of Ordered Mesoporous CeO<sub>2</sub>–YSZ Nanocomposite Thin Films with Mixed Ionic/Electronic Conductivity via Surface Engineering

Erdogan Celik,\* Pascal Cop, Rajendra S. Negi, Andrey Mazilkin, Yanjiao Ma, Philip Klement, Jörg Schörmann, Sangam Chatterjee, Torsten Brezesinski, and Matthias T. Elm\*

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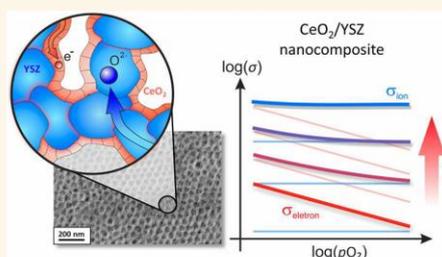
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**ABSTRACT:** Mixed ionic and electronic conductors represent a technologically relevant materials system for electrochemical device applications in the field of energy storage and conversion. Here, we report about the design of mixed-conducting nanocomposites by facile surface modification using atomic layer deposition (ALD). ALD is the method of choice, as it allows coating of even complex surfaces. Thermally stable mesoporous thin films of 8 mol-% yttria-stabilized zirconia (YSZ) with different pore sizes of 17, 24, and 40 nm were prepared through an evaporation-induced self-assembly process. The free surface of the YSZ films was uniformly coated via ALD with a ceria layer of either 3 or 7 nm thickness. Electrochemical impedance spectroscopy was utilized to probe the influence of the coating on the charge-transport properties. Interestingly, the porosity is found to have no effect at all. In contrast, the thickness of the ceria surface layer plays an important role. While the nanocomposites with a 7 nm coating only show ionic conductivity, those with a 3 nm coating exhibit mixed conductivity. The results highlight the possibility of tailoring the electrical transport properties by varying the coating thickness, thereby providing innovative design principles for the next-generation electrochemical devices.

**KEYWORDS:** Mesoporous oxides, Nanocomposites, Atomic layer deposition, Surface engineering, Mixed conductors



Ordered mesoporous metal oxides are characterized by a regular structure made from pores of diameter 2–50 nm.<sup>1,2</sup> The pores are surrounded by crystallites that form an interconnected pathway for electrical charge carriers. The regular pore arrangement enables efficient penetration of gases or liquids into the bulk, thereby improving the accessibility of active surface sites. This characteristic structure qualifies mesoporous oxides for a variety of electrochemical applications,<sup>3–9</sup> e.g., in the field of (photo)-electrocatalysis, as electrode materials for batteries and supercapacitors or gas sensors. Several studies have shown that mesoporous architectures are favorable for electrochemical applications, which is mostly attributed to their large specific surface area. However, also other factors, such as the degree of crystallinity, the grain-size distribution, and the pore structure, play an important role in outperforming bulk (nanocrystalline) counterparts.<sup>3,10–15</sup>

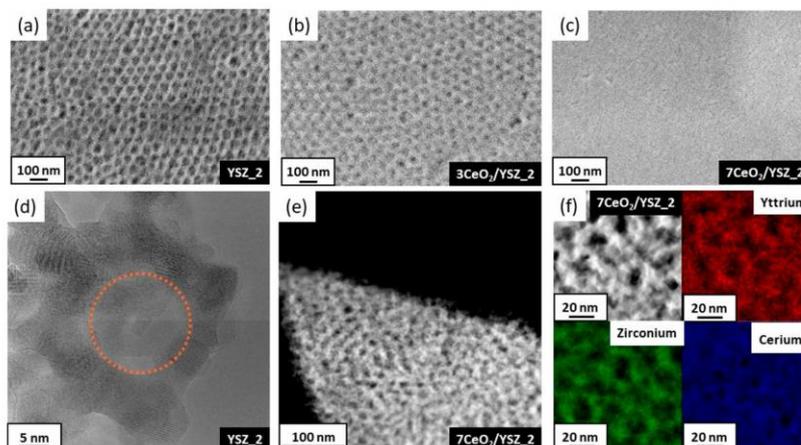
Surface engineering may lead to further improvements in the properties of mesoporous oxides. The accessibility of the free surface through the porosity enables its effective modification,<sup>16</sup> e.g., by functionalization, deposition of thin coatings, or incorporation of guest species, such as metal nanoparticles.<sup>17–19</sup> A common approach is surface coating via atomic layer deposition (ALD).<sup>20–22</sup> ALD allows preparing conformal and uniform coatings on complex surfaces, with precise control over their thickness.<sup>22–24</sup> It is therefore often used in surface engineering of nanostructured materials for energy applica-

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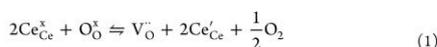
**Figure 1.** Top-view SEM images of (a) uncoated, (b) 3 nm, and (c) 7 nm CeO<sub>2</sub>-coated YSZ<sub>2</sub> thin films. (d) HRTEM image of uncoated YSZ<sub>2</sub>. (e, f) HAADF-STEM images of 7 nm CeO<sub>2</sub>-coated YSZ<sub>2</sub> and corresponding EDS maps for Y (in red), Zr (in green), and Ce (in blue).

tions.<sup>22,25–27</sup> One of the benefits of ALD-derived thin surface coatings is that the deposition of a simple binary oxide, such as Al<sub>2</sub>O<sub>3</sub> or SiO<sub>2</sub>, can help increase the thermal stability of mesoporous materials,<sup>28–30</sup> whose structure often tends to collapse at elevated temperatures. Surface modification via ALD is also successfully applied to protect/stabilize cathode materials in lithium-ion and solid-state batteries<sup>31–37</sup> or to improve the performance of solid oxide fuel cells.<sup>38–40</sup> Apart from that, surface engineering of complex nanostructures using ALD allows developing innovative mixed-conducting composites. This can be achieved by coating an ion-conducting oxide with an electronically conductive material or vice versa. Artificial mixed conductors prepared from two different solid phases represent promising materials systems for various applications, e.g., in the field of energy storage and conversion. They are of importance as intercalation electrodes, permeation membranes, or sensors,<sup>41</sup> as they may exhibit high electronic and ionic partial conductivities. Furthermore, space-charge effects at the interface between two different phases can enable interfacial storage of neutral species, such as lithium or silver.<sup>42–44</sup>

Artificial composites are commonly formed by “mixing” an ion conductor with a metal.<sup>45–48</sup> In an alternative approach, mixed-conducting properties are achieved by coating the free surface of a porous oxygen-ion conductor with thin titania (TiO<sub>2</sub>) layers.<sup>49</sup> Mixed-conducting properties have also been assumed for mesoporous zirconia (ZrO<sub>2</sub>) thin films coated with ceria (CeO<sub>2</sub>).<sup>50</sup> However, experimental proof of electronic conductivity of the ceria coating is lacking in the related study, mainly because the oxygen partial-pressure-dependence of the conductivity has not been examined. Nevertheless, using oxide-based coatings as an electronically conductive phase promises higher thermal stability of the resulting composite. In addition, electrochemical characterizations revealed that the thickness of the ALD coating has a profound effect on the electrical properties. This is attributed to changes in morphology and microstructure (crystallinity,

grain size, etc.) of the coating with varying ALD cycles as well as to space-charge effects arising at the different interfaces. The strong correlation between thickness and electrical conductivity offers an additional degree of freedom in tailoring the conductivity of mesoporous oxide composites by altering the coating thickness. However, detailed understanding of the relation between coating thickness, morphology, and ionic/electronic conductivity is required to optimize the electrochemical properties for real-world (device) applications.

Here, we report about the characterization of mixed-conducting nanocomposites by surface-engineering of cubic mesoporous yttria-stabilized zirconia (YSZ) thin films. YSZ was chosen as a matrix, as it is one of the most prominent oxygen-ion conductors at elevated temperatures.<sup>51–53</sup> The high oxygen-ion conductivity arises from the incorporation of yttria (Y<sub>2</sub>O<sub>3</sub>) into the ZrO<sub>2</sub> lattice, stabilizing the cubic fluorite-type crystal structure and leading to the formation of oxygen vacancies.<sup>54,55</sup> The free surface of the mesoporous films was coated by ceria ALD. Ceria shows high redox activity of the Ce<sup>3+</sup>/Ce<sup>4+</sup> couple, thereby facilitating oxygen exchange with the surrounding atmosphere.<sup>56</sup> In addition, it is responsible for the *p*(O<sub>2</sub>)-sensitive mixed ionic/electronic conductivity, as the release of oxygen under reducing conditions not only generates oxygen vacancies but also localized electrons, the latter of which reduce Ce<sup>4+</sup> to Ce<sup>3+</sup>:



These electrons can hop as small polarons between the Ce<sup>3+</sup> and Ce<sup>4+</sup> sites, giving rise to an electronic conductivity contribution.<sup>57–59</sup> Thus, the electrical properties of CeO<sub>2– $\delta$</sub>  strongly vary with the nonstoichiometry  $\delta$ .<sup>60,61</sup>

Specifically, polymer-templated, mesoporous CeO<sub>2</sub>–YSZ nanocomposite thin films with different pore sizes and varying thicknesses of the ceria coating are examined. Structural characterization confirms the uniform coating of the free surface via ALD. Temperature and oxygen partial-pressure-

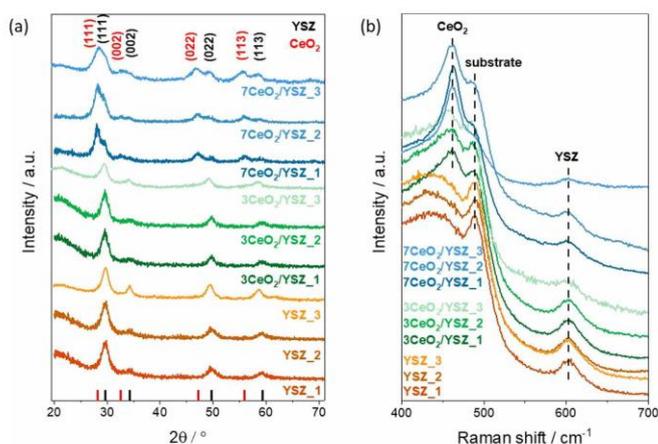


Figure 2. (a) GIXRD patterns and (b) Raman spectra of uncoated and  $\text{CeO}_2$ -coated YSZ thin films at room temperature. For comparison, the reference patterns for cubic YSZ (PDF 98-007-5316) and cubic  $\text{CeO}_2$  (PDF 98-002-8709) are shown in part a.

dependent electrochemical impedance spectroscopy (EIS) measurements indicate that the electrical transport properties are independent of the pore size but strongly change with the thickness of the coating. Mixed ionic/electronic conductivity arises when the surface is coated with a 3 nm ceria layer, as clearly confirmed by  $p(\text{O}_2)$ -dependent conductivity measurements. The electronic partial conductivity of the ceria layer dominates the transport properties at low temperatures and under reducing conditions. In contrast, nanocomposites with a 7 nm ceria coating only show ionic conductivity. The results demonstrate that surface modification of mesoporous oxides is a viable approach to produce and explore mixed-conducting materials systems with tailorable properties.

## RESULTS AND DISCUSSION

**Structural and Compositional Characterization.** Representative top-view SEM images of uncoated mesoporous YSZ thin films (thickness  $d \approx 180$  nm) are shown in Figure 1a and Figure S1. The pores have average in-plane diameters of 17, 24, and 40 nm. In the following, the corresponding films are referred to as YSZ\_1, YSZ\_2, and YSZ\_3, respectively. Both YSZ\_1 and YSZ\_2 are crack-free and have a continuous pore structure with a distorted cubic symmetry. The high-resolution TEM (HRTEM) images in Figure 1d and Figure S2 confirm that the pores (denoted by an orange circle) are surrounded by crystalline walls having grains of average diameter 5 nm, independent of the pore size. As opposed to YSZ\_1 and YSZ\_2, YSZ\_3 shows a disordered but macroscopically uniform porous network (see Figure S1), a result that we attribute to the lower solubility of the high-molecular-weight structure-directing agent (SDA) used in the synthesis.

For the preparation of nanocomposites, the different thin films were coated via ALD with a ceria layer of either 3 nm ( $3\text{CeO}_2/\text{YSZ}_x$ ) or 7 nm ( $7\text{CeO}_2/\text{YSZ}_x$ ) thickness. After surface coating, they were probed using SEM, mainly focusing on the change in pore size with the number of ALD cycles. As shown in Figure 1b,c and Figure S2 for YSZ\_2, the pores shrink significantly with increasing ALD cycles. For  $7\text{CeO}_2/$

YSZ\_1, the pores were virtually completely filled (Figure S1). Also for YSZ\_2 (Figure 1c), the pores appeared to be largely filled after coating with 7 nm ceria. However, STEM images of the corresponding  $7\text{CeO}_2/\text{YSZ}_2$  nanocomposite (Figure 1e,f and Figure S2) demonstrate that some of the porosity is maintained. This is further supported by energy-dispersive X-ray spectroscopy (EDS) maps of the elemental distribution for the  $3\text{CeO}_2/\text{YSZ}_2$  and  $7\text{CeO}_2/\text{YSZ}_2$  thin films in Figure 1f and Figure S2. The mapping of Ce reveals a uniform coating distribution, suggesting good surface coverage of the YSZ matrix.

The crystallinity and phase purity of the nanocomposites were investigated by GIXRD at room temperature. Figure 2a presents GIXRD patterns for all samples. The uncoated YSZ films show characteristic reflections of cubic YSZ, indicating successful preparation of a single-phase material.<sup>62</sup> Applying the Scherrer equation to the (111) reflection, a crystallite size of approximately 6 nm was calculated, in good agreement with the value estimated from TEM imaging.<sup>63</sup> After ALD coating, additional broad reflections are visible in the diffraction patterns. These reflections can be assigned to the cubic fluorite structure of  $\text{CeO}_2$ . They sharpen and increase in intensity for the films coated with a 7 nm ceria layer, which is in line with previous results.<sup>50</sup> However, for YSZ and ceria, the low scattering factor of oxygen and the broad reflections, due to the small crystallite size, make a clear differentiation between the cubic and tetragonal phases difficult.<sup>64–66</sup>

Raman spectroscopy measurements were conducted to confirm the cubic lattice symmetry of both the mesoporous YSZ and the ceria coating. As shown in Figure 2b, Raman spectra of the uncoated YSZ films display the characteristic triply degenerate  $F_{2g}$  mode at  $604\text{ cm}^{-1}$ , which is typical of the cubic fluorite phase.<sup>67,68</sup> Note that the intense mode at  $490\text{ cm}^{-1}$  and the broad Raman band at  $430\text{ cm}^{-1}$  arise from the quartz glass substrate.<sup>69</sup> For the  $\text{CeO}_2$ -YSZ nanocomposites, the Raman measurements also confirm the fluorite crystal structure of ceria, due to the presence of the prominent Raman-active band at  $\omega = 461\text{ cm}^{-1}$ . The samples show a red-

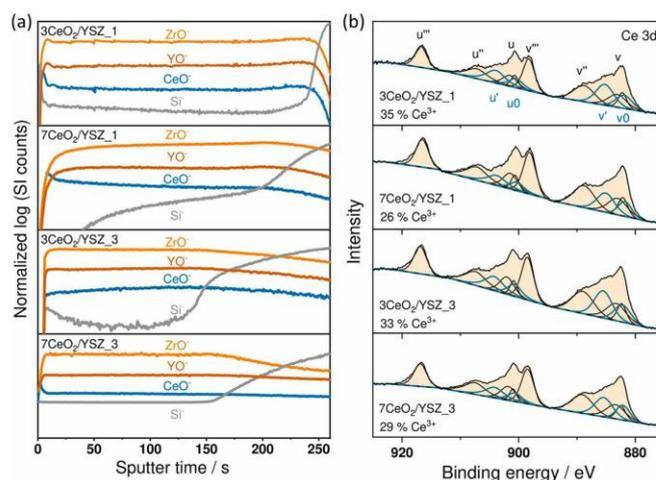


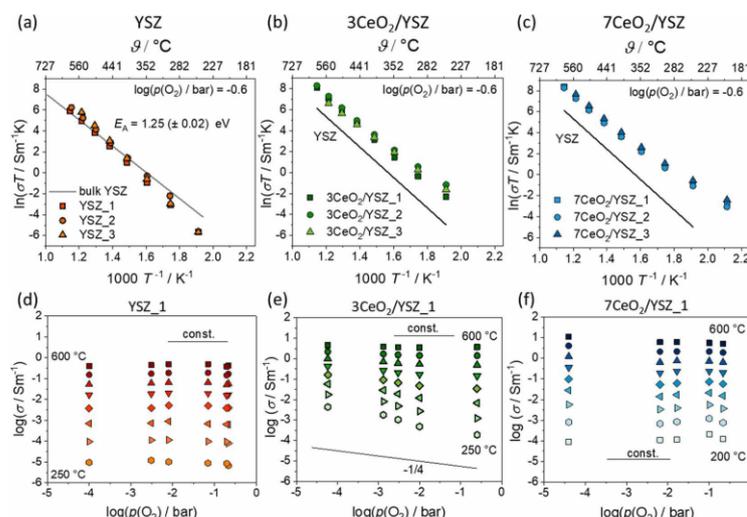
Figure 3. (a) ToF-SIMS depth profiles for 3 and 7 nm CeO<sub>2</sub>-coated YSZ\_1 and YSZ\_3 thin films and (b) corresponding Ce 3d XP spectra.

shift and broadening of the F<sub>2g</sub> mode, compared to single crystals ( $\omega = 465 \text{ cm}^{-1}$ ),<sup>70,71</sup> both of which slightly increase with decreasing coating thickness. This behavior is characteristic of nanoscale ceria<sup>72–77</sup> and indicates its columnar growth on the YSZ surface.<sup>23</sup> Phonon confinement and changes in the lattice constant (tensile strain) result in broadening of the Raman mode and a shift to lower wavenumbers with decreasing particle size. Thus, the red shift of the F<sub>2g</sub> mode observed for decreasing coating thickness suggests a smaller grain size for the 3 nm CeO<sub>2</sub>. However, strain effects arising at the CeO<sub>2</sub>/YSZ interface may be responsible for the broadening and shift of the F<sub>2g</sub> mode too.<sup>78</sup>

The homogeneity of the CeO<sub>2</sub> coating throughout the films was examined by time-of-flight-secondary ion mass spectrometry (ToF-SIMS). Figure 3a shows depth profiles for YSZ\_1 and YSZ\_3 after the deposition of 3 and 7 nm CeO<sub>2</sub>. For all samples, a constant ratio of YO<sup>-</sup> and ZrO<sup>-</sup> species (until reaching the film/substrate interface) is found, indicating uniform distribution of Y and Zr throughout the mesoporous films. In addition, the CeO<sup>-</sup> signal clearly follows that of YO<sup>-</sup> and ZrO<sup>-</sup>, confirming the conformal coating of the inner (bulk) porosity. The slight decrease/increase of the CeO<sup>-</sup> signal with increasing sputtering depth seen for 7CeO<sub>2</sub>/YSZ\_1 and 3CeO<sub>2</sub>/YSZ\_3 is related to the porous structure of the nanocomposites, as discussed previously.<sup>23</sup> Interestingly, no SiO<sup>-</sup> signal is detected for the 7CeO<sub>2</sub>/YSZ\_1 at short sputtering times. This result suggests complete coverage of the top surface, as also indicated by SEM imaging in Figure S1. This was somewhat expected though, as in that case, the pore radius is similar to the thickness of the ALD ceria layer. Note that blocking of the interconnecting channels (necks) between the pores prevents proper filling and results in growth of a sealing layer.<sup>21,50,79</sup> However, a nearly constant CeO<sup>-</sup> signal is found after a short sputtering time, accompanied by the presence of the Si<sup>-</sup> substrate signal, thereby corroborating the uniform coverage of the solid/air interfaces in the YSZ\_1 thin film.

It is well-known that the mixed-conducting properties of ceria are strongly correlated with its ability to switch between the +3 and +4 oxidation states.<sup>57,58,61</sup> For that reason, the CeO<sub>2</sub>/YSZ nanocomposites were investigated by XPS to determine the Ce<sup>3+</sup> content in the surface coating. To calculate the Ce<sup>3+</sup> content, the integral areas of the six signals from Ce<sup>4+</sup> (v, v', v'' and u, u', u'') and the four signals from Ce<sup>3+</sup> (v<sub>0</sub>, v', v'', v''') were compared.<sup>80</sup> Figure 3b shows Ce 3d XP spectra and corresponding fits for the 3 and 7 nm CeO<sub>2</sub>-coated YSZ\_1 and YSZ\_3 thin films. The analysis reveals that the Ce<sup>3+</sup>/Ce<sup>4+</sup> ratio is independent of the pore size but varies with the coating thickness. With increasing thickness of the CeO<sub>2</sub> layer, the Ce<sup>3+</sup> concentration decreases, in good agreement with previous data<sup>50</sup> and the results from Artiglia et al.<sup>81</sup> Despite the different pore structures and surface areas (for the CeO<sub>2</sub> to be deposited onto), only small differences in the Ce<sup>3+</sup> content between the 3CeO<sub>2</sub>/YSZ\_1 (35%) and 3CeO<sub>2</sub>/YSZ\_3 (33%), as well as between the 7CeO<sub>2</sub>/YSZ\_1 (26%) and 7CeO<sub>2</sub>/YSZ\_3 (29%), are observed. Thus, the difference clearly derives from the thickness of the coating in the nanocomposites. Typically, the nonstoichiometry of CeO<sub>2- $\delta$</sub>  and therefore the Ce<sup>3+</sup> content, is determined by the surrounding atmosphere (refer to eq 1). However, because the XPS measurements were performed under identical conditions, the decrease in Ce<sup>3+</sup> signal with increasing coating thickness suggests the presence of a surface space-charge region (where the electrons accumulate).<sup>5,54,82</sup> Since XPS is a surface-sensitive technique with a typical penetration depth of approximately 5 nm,<sup>32</sup> the width of the space-charge layer can be considered to be confined to only a few nanometers. Note that a high surface concentration of Ce<sup>3+</sup> has also been reported by Hao et al. They monitored the Ce<sup>3+</sup> distribution in ceria nanoparticles using scanning tunneling microscopy (STM) combined with electron energy-loss spectroscopy (EELS).<sup>83</sup>

**Electrochemical Characterization.** The electrochemical properties of the uncoated YSZ films and corresponding



**Figure 4.** Arrhenius plots of the total conductivity for (a) uncoated, (b) 3 nm, and (c) 7 nm CeO<sub>2</sub>-coated YSZ thin films. The gray line in part a is the average of reported values for bulk YSZ taken from ref 91. Oxygen partial-pressure-dependence of the total conductivity for (d) uncoated, (e) 3 nm, and (f) 7 nm CeO<sub>2</sub>-coated YSZ<sub>1</sub>.

nanocomposites were studied as a function of temperature between 200 and 600 °C in 50 °C steps and oxygen partial pressure (approximately 10<sup>-5</sup> and 0.2 bar) using electrochemical impedance spectroscopy (EIS). Representative impedance spectra (Nyquist plots) recorded at 450 °C are shown in Figure S3. Figure S4 shows the impedance spectra for the 7CeO<sub>2</sub>/YSZ<sub>1</sub> measured at different temperatures (200–600 °C). All spectra exhibit an almost ideal semicircle at high frequencies, as commonly observed for mesoporous metal oxide thin films.<sup>54,84</sup> At low frequencies, the appearance of a second semicircle is apparent. Consequently, the EIS data were fitted using an equivalent circuit with two RQ elements connected in series. The elements represent one of the two semicircles and consist of a resistance element R and a constant phase element Q connected in parallel. The capacitance values were calculated from the parameters  $\alpha$  and  $C_Q$  of the constant phase element according to<sup>85,86</sup>

$$C = R^{(1-\alpha)} C_Q^{(1/\alpha)} \quad (2)$$

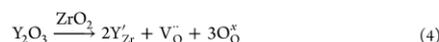
For the semicircle at high frequencies, capacitances of 1.6–4.3 × 10<sup>-12</sup> F were obtained. Typical values for the bulk of ceramic materials vary between 10<sup>-12</sup> and 10<sup>-10</sup> F.<sup>87,88</sup> Thus, the first semicircle is attributed to the response of the nanocomposite. At low frequencies, the tail of the second semicircle can be described by a capacitance of approximately 10<sup>-7</sup> F, which corresponds to the contribution of the nanocomposite/electrode interface.<sup>87,88</sup> It is worth noting that in microcrystalline materials, a third semicircle in the intermediate frequency range arises, due to the transport across the grain boundaries. However, in nanocrystalline samples, the semicircles representing the grain and grain boundary contributions merge, making a separation impossible.<sup>3,61,89</sup> For that reason, only the total resistance (i.e., grain and grain

boundary) is obtained from the analysis of the high-frequency semicircle. The total conductivity  $\sigma_{\text{tot}}$  was determined from the resistance R and the geometry of the interdigitated electrodes used for the electrical characterization:<sup>90</sup>

$$\sigma_{\text{tot}} = \frac{1}{R} \cdot \frac{b}{d l_{\text{finger}} n} \quad (3)$$

where  $b = 33 \mu\text{m}$  is the distance between the electrode fingers,  $n = 20$  the number of fingers,  $l_{\text{finger}} = 3 \text{ mm}$  the length of an electrode finger, and  $d = 180 \text{ nm}$  represents the film thickness (see also Figure S5). Using eq 3, the porosity is neglected, meaning the samples are treated as being dense.

Figure 4a shows the temperature-dependence of the conductivity for the uncoated YSZ films in an Arrhenius-type representation. The same conductivity was found for YSZ<sub>1</sub>, YSZ<sub>2</sub>, and YSZ<sub>3</sub>. This is somewhat surprising, as the microstructure can significantly affect the impedance of polycrystalline ceramics.<sup>86,92,93</sup> The pore-size-independent conductivity can probably be attributed to the characteristic architecture of the mesoporous YSZ thin films. Despite differences in the average pore size, they all exhibit a regular arrangement of crystallites of very similar size, giving rise to comparable transport pathways for the charge carriers. As is evident from the data, the samples showed a linear Arrhenius behavior over the whole temperature range investigated. This is expected, as YSZ exhibits ionic conductivity because of the presence of oxygen vacancies resulting from the introduction of yttria into the zirconia lattice. This kind of substitution can be described in Kroeger–Vink notation by:<sup>55</sup>



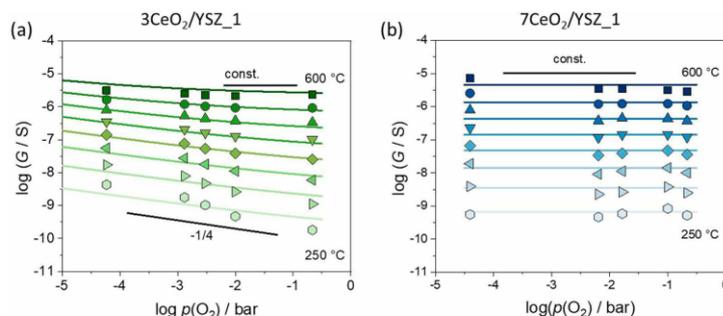


Figure 5. Calculated oxygen partial-pressure-dependence of the conductance for (a) 3 nm and (b) 7 nm CeO<sub>2</sub>-coated YSZ<sub>1</sub> thin films. Solid lines are fits to the experimental data.

where  $Y_{Zr}^{3+}$  represents a Y<sup>3+</sup> ion on a Zr<sup>4+</sup> site with a relative charge of  $-1$ , and  $V_O^{\cdot}$  is an oxygen vacancy with a relative charge of  $+2$ .  $O_O^{\cdot}$  denotes the oxygen lattice sites with a relative charge of zero. From the temperature-dependence of the conductivity, the activation energy  $E_A$  and the pre-exponential conductivity factor  $\sigma_0$  were derived:

$$\sigma_{\text{ion}}(T) = \frac{\sigma_{0,\text{ion}}}{T} \exp\left(-\frac{E_A}{k_B T}\right) \quad (5)$$

where  $k_B$  is the Boltzmann constant,  $\sigma_{0,\text{ion}}$  the conductivity prefactor, and  $T$  represents the temperature. For the uncoated YSZ samples, an activation energy  $E_{A,\text{ion}} = 1.25 (\pm 0.02)$  eV was obtained. This is in good agreement with the values reported for oxygen-ion conductivity in nanocrystalline YSZ, ranging from 1.02 to 1.23 eV.<sup>49,54,88,91</sup> Also, the measured conductivities are similar to the average of reported values for bulk YSZ taken from ref 91 (shown as a solid gray line in Figure 4a). A dominant oxygen-ion conductivity is also confirmed by the  $p(\text{O}_2)$ -independent conductivity shown in Figure 4d.<sup>55</sup> As the concentration of oxygen ions is fixed for varying  $p(\text{O}_2)$ , due to the “doping” with yttria, the activation energy represents the migration enthalpy  $\Delta H_{\text{mig}}$  of the oxygen ions. For the conductivity prefactor  $\sigma_{0,\text{ion}}$ , a value of  $7.5 (\pm 0.3) \times 10^9 \text{ Sm}^{-1}\text{K}$  was obtained.

Coating the mesoporous YSZ films significantly changes their electrical properties. After the deposition of 3 nm ceria, the total conductivity of the nanocomposites increases by at least 2 orders of magnitude compared to that of the pristine YSZ material (Figure 4b). Two transport regimes with different activation energies can be observed at ambient conditions in the Arrhenius representation. The change in activation energy becomes even more evident when calculating the activation energy as a function of the inverse temperature:

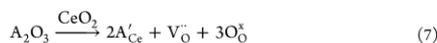
$$E_A = -k_B \frac{d(\ln(\sigma T))}{d(1/T)} \quad (6)$$

As shown in Figure S6, the activation energy clearly decreases with decreasing temperature. In addition, the CeO<sub>2</sub> coating affects the  $p(\text{O}_2)$ -dependence of the conductivity in the temperature range between 250 and 600 °C, as shown in Figure 4e. At lower temperatures, the conductivity varies with a characteristic slope of  $-1/4$ , while at higher temperatures, it remains constant in the  $p(\text{O}_2)$ -range investigated.

The 7CeO<sub>2</sub>/YSZ nanocomposites show the highest total conductivity at all temperatures (Figure 4c). Again, two different transport regimes characterized by changes in activation energy are observed (see also Figure S6). However, in contrast to the 3CeO<sub>2</sub>/YSZ samples, the 7CeO<sub>2</sub>/YSZ nanocomposites reveal a constant  $p(\text{O}_2)$ -dependence of the conductivity at all temperatures (Figure 4f). Similar to the uncoated YSZ films, the effect of pore size on the transport properties is negligible. Instead, the thickness of the CeO<sub>2</sub> layer determines the differences in conductivity seen.

Direct comparison of the conductivity is somewhat questionable when analyzing the influence of the coating on the electrical properties of the nanocomposites, because the deposition of the surface layer decreases the pore size, which was neglected in the calculation, as discussed previously. It is more reasonable to compare the total conductance  $G = R^{-1}$ , comprising the ionic conductance  $G_{\text{YSZ}}$  of the mesoporous YSZ matrix and the conductance  $G_{\text{CeO}_2}$  of the ceria coating. The transport through the coating occurs in parallel to the transport of the oxygen ions in the YSZ, i.e., the total conductance is given by  $G_{\text{total}} = G_{\text{YSZ}} + G_{\text{CeO}_2}$ . The contribution of the CeO<sub>2</sub> layer was determined from the total conductance of the nanocomposites by subtracting the conductance of the mesoporous YSZ films ( $G_{\text{CeO}_2} = G_{\text{total}} - G_{\text{YSZ}}$ ). This is possible because the YSZ samples exhibit the same conductivity (independent of pore size, see above). The resulting  $p(\text{O}_2)$ -dependence of the individual conductance contributions from the 3 and 7 nm ceria coatings are shown in Figure 5a,b.

The 7 nm CeO<sub>2</sub> coating shows a  $p(\text{O}_2)$ -independent conductivity over the whole temperature and oxygen partial-pressure range (Figure 5b). This behavior suggests that the surface layer exhibits a dominant oxygen-ion conductivity, as ceria tends to form intrinsic anti-Frenkel defect pairs.<sup>57</sup> We attribute the two transport regimes with different activation energies to the presence of a small amount of acceptor impurities (A) in the coating. The formation of oxygen vacancies, due to acceptor impurities, is given in Kroeger–Vink notation by:<sup>94</sup>



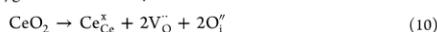
At low temperatures, the presence of these impurities leads to a constant oxygen-vacancy concentration  $[V_{\text{O}}^{\cdot\cdot}]_{\text{A}}$ , which is significantly larger than the intrinsic one due to anti-Frenkel defects, i.e.,  $[V_{\text{O}}^{\cdot\cdot}]_{\text{A}} \gg [V_{\text{O}}^{\cdot\cdot}]_{\text{AF}}$ . The temperature-dependence of the conductivity is then only determined by the migration enthalpy  $\Delta_{\text{mig}}H_{\text{ion}}$  of the oxygen vacancies:

$$\sigma_{\text{ion,Imp}}(T) = Zn\mu(T) \approx 2[V_{\text{O}}^{\cdot\cdot}]_{\text{A}} F \frac{\mu_0}{T} \exp\left(-\frac{\Delta_{\text{mig}}H_{\text{ion}}}{k_{\text{B}}T}\right) \quad (8)$$

where  $[V_{\text{O}}^{\cdot\cdot}]_{\text{A}} = n/N_{\text{A}}$  is the oxygen-vacancy concentration (due to acceptor impurities),  $n$  the corresponding density of oxygen vacancies,  $N_{\text{A}}$  the Avogadro constant,  $e$  the elementary charge,  $F$  the Faraday constant,  $Z$  the valence, and  $\mu_0$  represents the mobility prefactor. Hence, the low-temperature activation energy  $E_{\text{A,Imp}}$  corresponds to the migration enthalpy of the oxygen ions:

$$E_{\text{A,Imp}} = \Delta_{\text{mig}}H_{\text{ion}} \quad (9)$$

At higher temperatures, intrinsic anti-Frenkel defects are prevalent, leading to the formation of oxygen vacancies  $V_{\text{O}}^{\cdot\cdot}$  and oxygen interstitials  $\text{O}_i^{\cdot}$ :



Consequently, the concentration of the intrinsic oxygen vacancies increases and outweighs the constant vacancy concentration, due to the presence of acceptor defects. The ionic conductivity in the high-temperature region is therefore given by

$$\begin{aligned} \sigma_{\text{ion,AF}}(T) &= Zn(T)\mu(T) \\ &\approx 2[V_{\text{O}}^{\cdot\cdot}]_{\text{AF}} F \frac{\mu_0}{T} \exp\left(-\frac{\Delta_{\text{mig}}H_{\text{ion}}}{k_{\text{B}}T}\right) \exp\left(-\frac{\Delta_{\text{f}}H_{\text{ion}}}{2k_{\text{B}}T}\right) \end{aligned} \quad (11)$$

where  $n(T)/N_{\text{A}} \approx [V_{\text{O}}^{\cdot\cdot}]_{\text{AF}} \exp(-\Delta_{\text{f}}H_{\text{ion}}/2k_{\text{B}}T)$  is the concentration of the intrinsic oxygen vacancies (due to anti-Frenkel defects). In this case, the activation energy of the ionic conductivity  $E_{\text{A,AF}}$  comprises the  $\Delta_{\text{mig}}H_{\text{ion}}$  and the enthalpy of formation  $\Delta_{\text{f}}H_{\text{ion}}$  of the anti-Frenkel defects:

$$E_{\text{A,AF}} = \Delta_{\text{mig}}H_{\text{ion}} + \frac{\Delta_{\text{f}}H_{\text{ion}}}{2} \quad (12)$$

The temperature-dependence of the ionic conductance of the ceria coating is then given by

$$G_{\text{ion,CeO}_2}(T) = G_{\text{ion,Imp}}(T) + G_{\text{ion,AF}}(T) \quad (13)$$

with

$$G_{\text{ion,Imp}}(T) = \frac{G_{0,\text{Imp}}}{T} \exp\left(-\frac{E_{\text{A,Imp}}}{k_{\text{B}}T}\right) \quad (14)$$

and

$$G_{\text{ion,AF}}(T) = \frac{G_{0,\text{AF}}}{T} \exp\left(-\frac{E_{\text{A,AF}}}{k_{\text{B}}T}\right) \quad (15)$$

A good description of the experimental results (see solid line fits in Figure 5b) is achieved for  $E_{\text{A,Imp}} = \Delta_{\text{mig}}H_{\text{ion}} = 0.91$  ( $\pm 0.03$ ) eV and  $E_{\text{A,AF}} = 1.96$  ( $\pm 0.02$ ) eV and the conductance prefactors  $G_{0,\text{Imp}} = 200$  ( $\pm 12$ ) SK and  $G_{0,\text{AF}} = 5.9$  ( $\pm 1.0$ )  $\times 10^8$  SK. The activation energies are in excellent agreement with

previous studies.<sup>94,95</sup> From eq 12, the  $\Delta_{\text{f}}H_{\text{ion}}$  is calculated to be approximately 2.1 eV, which is also consistent with literature values.<sup>96–98</sup>

In case of the 3 nm CeO<sub>2</sub> coating (Figure 5a), the conductance decreases with increasing oxygen partial pressure, which is typical of CeO<sub>2</sub> with a dominant electronic conductance. In the near-stoichiometric  $p(\text{O}_2)$ -range (intrinsic regime), a decrease in oxygen partial pressure results in the release of molecular oxygen (reduction reaction) according to eq 1. As the concentration of oxygen vacancies is much larger than that of the electrons, the vacancy concentration can be assumed constant (Brouwer approximation). Then, the electron concentration, and therefore the conductance, varies with  $p(\text{O}_2)^{-1/4}$ , in agreement with the experimental results.<sup>3,5,7,81,84,99</sup> However, at higher temperatures, the conductance again becomes  $p(\text{O}_2)$ -independent, indicating a dominant ionic conductivity, as observed for the 7CeO<sub>2</sub>/YSZ thin films. Thus, the results provide clear evidence that the 3CeO<sub>2</sub>/YSZ nanocomposites exhibit a mixed ionic/electronic conductance:

$$G_{\text{tot,CeO}_2}(T, p(\text{O}_2)) = G_{\text{ion,CeO}_2}(T) + G_{\text{elec}}(T, p(\text{O}_2)) \quad (16)$$

Like for the 7 nm coating, the ionic conductance of the nanocrystalline CeO<sub>2</sub> surface layer is described by eq 13. The additional electronic contribution, due to hopping of electrons between the Ce<sup>3+</sup> and Ce<sup>4+</sup> sites, is given by<sup>60,100,101</sup>

$$G_{\text{elec}}(T, p(\text{O}_2)) = \frac{G_{0,\text{elec}}}{T} \exp\left(-\frac{E_{\text{A,elec}}}{k_{\text{B}}T}\right) p(\text{O}_2)^{-1/4} \quad (17)$$

The corresponding fits of the temperature and  $p(\text{O}_2)$ -dependences of the total conductivity are shown in Figure 5a. Fits to the experimental data for the 3 and 7 nm CeO<sub>2</sub>-coated YSZ\_2 and YSZ\_3 thin films are presented in Figure S7, while in Figure S8, the electronic and ionic contributions to the total conductance are displayed separately. A good data description is achieved for  $E_{\text{A,Imp}} = 0.93$  ( $\pm 0.03$ ) eV and  $E_{\text{A,AF}} = 1.94$  ( $\pm 0.02$ ) eV, in agreement with the results for the 7CeO<sub>2</sub>/YSZ nanocomposite. The prefactors  $G_{0,\text{Imp}} = 66.7$  ( $\pm 12.0$ ) SK and  $G_{0,\text{AF}} = 2.8$  ( $\pm 0.3$ )  $\times 10^8$  SK are reduced by a factor of 3 and 2.1, respectively (see also Table S1), corresponding to the difference in thickness of the coatings. Overall, the results indicate that both the 3 and 7 nm CeO<sub>2</sub> surface layers exhibit a comparable ionic conductivity. For the electronic conductance, an activation energy  $E_{\text{A,elec}} = 0.86$  ( $\pm 0.02$ ) eV and a conductance prefactor  $G_{0,\text{elec}} = 18.2$  ( $\pm 1.0$ ) SK bar<sup>1/4</sup> are obtained. In the intrinsic regime, the activation energy again comprises the migration enthalpy  $\Delta_{\text{mig}}H_{\text{elec}}$  and the enthalpy of formation  $\Delta_{\text{f}}H_{\text{elec}}$  of the electronic defects:<sup>61,99,102,103</sup>

$$E_{\text{A,elec}} = \Delta_{\text{mig}}H_{\text{elec}} + \frac{\Delta_{\text{f}}H_{\text{elec}}}{2} \quad (18)$$

A typical value of 0.4 eV for  $\Delta_{\text{mig}}H_{\text{elec}}$  has been reported for a small polaron hopping in ceria.<sup>50,59,63,102</sup> Using this,  $\Delta_{\text{f}}H_{\text{elec}}$  is calculated to be approximately 0.92 eV, which is significantly lower than for single crystals (4.2–4.7 eV).<sup>59,61</sup> However, comparable values have been reported for nanocrystalline ceria.<sup>61</sup> The decrease in  $\Delta_{\text{f}}H_{\text{elec}}$  originates from the space-charge potential at the grain boundaries. As shown by Tschöpe et al. using the space-charge model, the apparent activation energy of the electrons decreases from 2.7 eV to approximately 1.0 eV when decreasing the grain size to 30 nm. In addition,

calculations using density-functional theory (DFT) predict that the high surface area of ceria nanoparticles strongly affects  $\Delta H_{\text{elec}}$  and facilitates oxygen release.<sup>104,105</sup> The low reduction enthalpy further confirms that space-charge effects are responsible for the high  $\text{Ce}^{3+}$  concentration in the 3 nm coating.

The increased electronic conductivity of the  $3\text{CeO}_2/\text{YSZ}$  nanocomposites can directly be related to the higher  $\text{Ce}^{3+}$  concentration, as observed by XPS (see Figure 3b). Because the electrons can only move as small polarons between the  $\text{Ce}^{3+}$  and  $\text{Ce}^{4+}$ , the conductivity prefactor depends on the number of available hopping sites in the lattice:<sup>57,58</sup>

$$\sigma_{\text{elec}}(T, p(\text{O}_2)) = \frac{n_{\text{Ce}} x_{\text{Ce}^{3+}} (1 - x_{\text{Ce}^{3+}}) e^2 a^2 \nu_0}{k_{\text{B}} T} \exp\left(-\frac{E_{\text{A,elec}}}{k_{\text{B}} T}\right) \quad (19)$$

Here,  $n_{\text{Ce}}$  is the number of Ce lattice sites per unit volume,  $x_{\text{Ce}^{3+}}$  the fraction of  $\text{Ce}^{3+}$  ions, which is dependent on the oxygen partial pressure of the surrounding atmosphere,  $e$  the elementary charge,  $a$  the distance between the hopping sites, i.e., the lattice constant, and  $\nu_0$  represents the attempt frequency for the jump process. In addition, a high nonstoichiometry increases the lattice constant  $a$  (chemical strain effect), due to the larger ionic radius of  $\text{Ce}^{3+}$  compared to  $\text{Ce}^{4+}$ ,<sup>106</sup> which further increases the conductivity prefactor. This assumption is supported by the Raman data shown in Figure 2b, where the red-shift and broadening of the  $F_{2g}$  mode are related to tensile strain in the coating.

## CONCLUSIONS

In this work, we have successfully synthesized polymer-templated, cubic mesoporous YSZ thin films with different pore sizes. The free surface of the YSZ matrix was coated with a  $\text{CeO}_2$  layer of defined thickness using ALD to produce mixed-conducting nanocomposites. Structural characterization confirmed the single-phase nature and uniformity of the nanocrystalline YSZ (framework) and  $\text{CeO}_2$  (coating) materials. Electrochemical impedance spectroscopy measurements were conducted on the samples at different temperatures and oxygen partial pressures to examine the effect that the coating thickness has on the transport properties. Our study indicates that the thickness of the  $\text{CeO}_2$  coating affects the total conductivity because of changes in the  $\text{Ce}^{3+}/\text{Ce}^{4+}$  ratio and microstructure properties of the surface layer. For 3 nm coating, the total conductivity exhibits an additional electronic contribution. In contrast, nanocomposite thin films with a 7 nm coating only show oxygen-ion conductivity over the whole temperature and oxygen partial pressure ranges investigated. The results emphasize that surface engineering of mesoporous metal oxides via ALD holds great potential for the development of nanocomposites, whose electrical properties can be tailored by varying the coating thickness. Overall, this approach offers promising possibilities for the synthesis of mixed conductors with optimized transport properties for electrochemical applications.

## METHODS AND EXPERIMENTAL DETAILS

**Materials.**  $\text{YCl}_3 \cdot 6\text{H}_2\text{O}$ ,  $\text{ZrCl}_4$ , glacial acetic acid, absolute ethanol, 2-methoxyethanol, and tetrahydrofuran were purchased in the highest available purity from Sigma-Aldrich and used as received.  $\text{H}[\text{C}(\text{CH}_3)_2\text{CH}_2]_x\text{C}_6\text{H}_4(\text{OCH}_2\text{CH}_2)_y\text{OH}$  (PIB-*x*-*b*-PEO<sub>*y*</sub>) diblock copolymers with  $x = 53/y = 45$ ,  $x = 107/y = 150$ , and  $x = 357/y = 454$ , referred to as PIB<sub>53</sub>-*b*-PEO<sub>45</sub>, PIB<sub>107</sub>-*b*-PEO<sub>150</sub>, and PIB<sub>357</sub>-*b*-

PEO<sub>454</sub><sup>107–110</sup> respectively, served as structure-directing agents (SDAs).

**Synthesis.** Ordered mesoporous YSZ thin films were prepared by the dip-coating method on quartz glass substrates using an evaporation-induced self-assembly (EISA) process.<sup>34,111,112</sup> The dip-coating solutions consisted of 40 mg of SDA, 35.9 mg of  $\text{YCl}_3 \cdot 6\text{H}_2\text{O}$ , and 145 mg of  $\text{ZrCl}_4$  dissolved in a mixed solvent of glacial acetic acid, absolute ethanol (or tetrahydrofuran), and 2-methoxyethanol (see summary in Table S2, Supporting Information, for more details). The withdrawal rate was set to 5–15 mm/s. During the film formation process, the relative humidity was controlled in the range between 15 and 25%. The as-made samples were transferred to an oven for drying at 120 °C for 1 h. Subsequently, they were heated to 300 °C at 5 °C/min with 12 h dwell time to stabilize the mesostructure. Crystallization and SDA removal were achieved by heating to 600 °C at 5 °C/min with 1 h dwell time.

**Atomic Layer Deposition.**  $\text{CeO}_2$  was deposited onto the surface of the mesoporous YSZ films at a temperature of 250 °C using a commercial ALD system (PicoSun R200 Standard). For the deposition, the cerium precursor, tetrakis(2,2,6,6-tetramethyl-3,5-heptanedionato)cerium(IV) (97%, abcr GmbH), referred to as  $\text{Ce}(\text{TMHD})_4$ , was heated to 195 °C and the corresponding valve block connected to the reaction chamber kept at 230 °C. For a single ALD cycle, a 2 s pulse  $\text{Ce}(\text{TMHD})_4$  with 150 sccm nitrogen carrier gas was followed by a 60 s nitrogen purging step. Next, ozone, generated by an AC-2025 ozone generator-2000 from Teledyne API, was pulsed for 2 s. The ALD cycle was completed by an additional nitrogen purge pulse of 60 s. Under these conditions, the growth rate of  $\text{CeO}_2$  is 0.3 Å per cycle, as discussed in more detail elsewhere.<sup>50</sup> The YSZ films were coated using 80 and 190 cycles, resulting in  $\text{CeO}_2$  thicknesses of around 3 and 7 nm, respectively.

**Structural Characterization.** The crystallinity was investigated by grazing incidence X-ray diffraction (GIXRD) on a PANalytical X'Pert<sup>3</sup> MRD diffractometer using an incidence angle of  $\omega = 0.25^\circ$  and a  $2\theta$  scanning range of  $20\text{--}70^\circ$ . The scan speed was set to  $0.6^\circ \text{ min}^{-1}$ , and the step size was  $0.01^\circ$ . Raman measurements were carried out using an inVia Raman microscope from Renishaw in back-scattering geometry with an excitation wavelength of  $\lambda = 633 \text{ nm}$ . Scanning electron microscopy (SEM) images were recorded on a MERLIN from Carl Zeiss at 3 kV. Transmission electron microscopy (TEM) was performed on a Themis Z (ThermoFisher Scientific) double-corrected transmission electron microscope operated at 300 kV. Pieces of the samples were placed on Quantifoil Cu grids with a carbon film of thickness 2 nm. Scanning TEM (STEM) images were recorded using a high-angle annular dark-field (HAADF) detector. Elemental maps were acquired using a Super-X EDS (EDAX) detector. X-ray photoelectron spectroscopy (XPS) measurements were performed with a PHI 5000 VersaProbe II from Ulvac-Phi using Al- $K\alpha$  radiation ( $\lambda = 1486.6 \text{ eV}$ ). A spot of diameter 200  $\mu\text{m}$  was measured utilizing 50 W, 0.5 kV, and 20 ms per step. A pass energy of 23.5 eV was applied for the survey spectra, while 117.4 eV was applied for the detailed spectra of Ce 3d, Y 3d, and Zr 3d. The spectra were calibrated by setting the C 1s peak at 284.8 eV. The software CasaXPS V2.3.17 was used for peak analysis. In addition to the six signals from  $\text{CeO}_2$  ( $\nu$ ,  $\nu'$ ,  $\nu''$  and  $u$ ,  $u'$ ,  $u''$ ), four signals from  $\text{Ce}_2\text{O}_3$  ( $\nu_0$ ,  $\nu'$  and  $u_0$ ,  $u'$ ) were considered,<sup>113</sup> the latter of which typically arise because of the presence of  $\text{Ce}^{3+}$  at the interface.<sup>25,50</sup> In order to achieve better results, a combination of two signals for fitting the asymmetry ( $u$  and  $\nu$ ) was used, in agreement with the findings of Skála et al.<sup>114</sup> Time-of-flight secondary ion mass spectrometry (ToF-SIMS) was performed using a ToF-SIMS 5-10 instrument from IONTOF GmbH (Muenster, Germany) equipped with a 25 keV Bi cluster primary-ion gun. Depth profiling was done using  $\text{Cs}^+$  ions at 1 keV and 50 nA, generating a  $100 \times 100 \mu\text{m}^2$  crater. A  $50 \times 50 \mu\text{m}^2$  of the crater was analyzed with a resolution of  $256 \times 256 \text{ pixel}^2$  using a Bi(I) primary-ion source, collecting only the negatively charged ions. Data evaluation was done with the software SurfaceLab7.0 from IONTOF GmbH.

**Preparation of Microelectrodes.** Interdigitated Pt microelectrodes on the top surface of the films were produced by

photolithography. To this end, a positive photoresist was used (ma-P 1215, micro resist technology GmbH). For metallization, Pt was deposited by pulsed laser deposition (PLD) using a KrF excimer laser with a wavelength of  $\lambda = 248$  nm. The interdigitated Pt electrodes had a thickness of around 200 nm and were made of 20 fingers of 3 mm length and 47  $\mu\text{m}$  width. The distance between the fingers was 33  $\mu\text{m}$ . The Pt electrode configuration is schematically shown in Figure S5 of the Supporting Information.

**Electrochemical Characterization.** The electrochemical properties of the YSZ thin films were investigated by electrochemical impedance spectroscopy (EIS) in the frequency range between 1 mHz and 10 MHz using a Novocontrol Alpha-A impedance bridge. The voltage amplitude was 100 mV. The temperature was varied between 200 and 600 °C. The oxygen partial pressure was controlled in the range of  $-5 < \log(p(\text{O}_2)/\text{bar}) < 0$  using gas mixtures of argon and oxygen. EIS data were evaluated by means of the software RelaxIS 3.<sup>49</sup>

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsnano.1c11032>.

Top-view SEM images of the investigated thin films; HRTEM images of uncoated YSZ; HAADF-STEM images and EDS maps of ceria-coated YSZ; impedance spectra of uncoated and ceria-coated YSZ; schematic illustration of the interdigitated Pt electrodes; activation energy as a function of temperature for uncoated and ceria-coated YSZ; oxygen partial-pressure-dependence of the total conductivity; Arrhenius plots of the total conductance and fitting parameters of the transport properties for ceria-coated YSZ; and recipes for the synthesis of mesoporous 8YSZ thin films (PDF)

## AUTHOR INFORMATION

### Corresponding Authors

**Erdogan Celik** – Center for Materials Research, Justus-Liebig-University Giessen, 35392 Giessen, Germany;

Email: [erdogan.celik@materialwiss.uni-giessen.de](mailto:erdogan.celik@materialwiss.uni-giessen.de)

**Matthias T. Elm** – Center for Materials Research, Justus-Liebig-University Giessen, 35392 Giessen, Germany; Institute of Physical Chemistry, Justus-Liebig-University Giessen, 35392 Giessen, Germany; Institute of Experimental Physics I, Justus-Liebig-University Giessen, 35392 Giessen, Germany; [orcid.org/0000-0001-7014-5772](https://orcid.org/0000-0001-7014-5772); Email: [matthias.elm@phys.chemie.uni-giessen.de](mailto:matthias.elm@phys.chemie.uni-giessen.de)

### Authors

**Pascal Cop** – Center for Materials Research, Justus-Liebig-University Giessen, 35392 Giessen, Germany; Institute of Physical Chemistry, Justus-Liebig-University Giessen, 35392 Giessen, Germany

**Rajendra S. Negi** – Center for Materials Research, Justus-Liebig-University Giessen, 35392 Giessen, Germany

**Andrey Mazilkin** – Institute of Nanotechnology, Karlsruhe Institute of Technology (KIT), 76344 Eggenstein-Leopoldshafen, Germany

**Yanjiao Ma** – Institute of Nanotechnology, Karlsruhe Institute of Technology (KIT), 76344 Eggenstein-Leopoldshafen, Germany; [orcid.org/0000-0002-4125-2867](https://orcid.org/0000-0002-4125-2867)

**Philip Klement** – Center for Materials Research, Justus-Liebig-University Giessen, 35392 Giessen, Germany; Institute of Experimental Physics I, Justus-Liebig-University Giessen, 35392 Giessen, Germany; [orcid.org/0000-0001-7044-713X](https://orcid.org/0000-0001-7044-713X)

**Jörg Schörmann** – Center for Materials Research, Justus-Liebig-University Giessen, 35392 Giessen, Germany; Institute of Experimental Physics I, Justus-Liebig-University Giessen, 35392 Giessen, Germany

**Sangam Chatterjee** – Center for Materials Research, Justus-Liebig-University Giessen, 35392 Giessen, Germany; Institute of Experimental Physics I, Justus-Liebig-University Giessen, 35392 Giessen, Germany; [orcid.org/0000-0002-0237-5880](https://orcid.org/0000-0002-0237-5880)

**Torsten Brezesinski** – Institute of Nanotechnology, Karlsruhe Institute of Technology (KIT), 76344 Eggenstein-Leopoldshafen, Germany; [orcid.org/0000-0002-4336-263X](https://orcid.org/0000-0002-4336-263X)

Complete contact information is available at:

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### Notes

The authors declare no competing financial interest.

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## 4. Conclusions and Outlook

The influence of the surface area on the electronic and ionic transport properties of nanocrystalline zirconia-based oxide materials with ordered and non-ordered (meso-) pores was elucidated in the framework of this doctoral thesis. Zirconia-based oxide materials, such as yttria-stabilized zirconia (YSZ) and CeO<sub>2</sub>- and TiO<sub>2</sub>-coated YSZ, are promising materials for electrochemical applications, for example, in catalysis, energy storage and gas sensing. The (meso-)porous oxides with a high specific surface area exhibit different defect chemical and transport properties compared to their bulk counterparts. These differences directly arise from their structure and morphology. Apart from the synthesis via EISA and PLD as well as the structural characterization by several techniques, this work comprises the electrochemical characterization via EIS of the different materials employed. Here, the total conductivity values are determined as a function of temperature, oxygen activity, and relative humidity.

Mesoporous 8YSZ thin films with nanocrystalline walls were synthesized by sol-gel dip-coating. While the samples with 17 and 24 nm pores have an ordered cubic pore network, the sample with the largest pores of 40 nm shows a non-ordered structure. The electrochemical investigations of sol-gel-derived YSZ thin films reveal no effect of pore size on the total electrical conductivity, i.e. the samples with different pore sizes but with a comparable wall thickness exhibits comparable conductivity values.

One major aspect of this thesis was the modification of the surface properties of (meso-)porous YSZ using ALD. ALD allows the design of novel thin-film composites with tailored physicochemical properties. It has a great influence on the electrical properties of the composite material due to changes in morphology and microstructure of the coating with varying thickness, affecting directly the space charge region at the interface between the two different phases. Structural characterization confirmed conformal and uniform TiO<sub>2</sub>- and CeO<sub>2</sub>-coatings on the inner surface of (meso-)porous YSZ thin films.

In this thesis, it is shown that the thickness of CeO<sub>2</sub> coating on mesoporous YSZ thin films affects the total conductivity of the composite material. The reason is that the Ce<sup>3+</sup>/Ce<sup>4+</sup> ratio depends on the coating thickness, which is directly related to changes in the total conductivity. The concentration of Ce<sup>3+</sup> decreases with increasing ceria thickness at the surface. A high concentration of Ce<sup>3+</sup> ions results in a dominant electronic conductivity, caused by small polaron hopping of electrons between Ce<sup>3+</sup> and Ce<sup>4+</sup> lattice sites. The CeO<sub>2</sub>/YSZ nanocomposites with a 3 nm ceria coating show mixed ionic/electronic conductivity. Those with a 7 nm thin ceria coating only exhibit ionic conductivity due to a reduced concentration of Ce<sup>3+</sup> in the coating layer.

The characterization of ALD-derived  $\text{TiO}_2$  coatings on porous PLD-derived 9.5YSZ thin films reveals an amorphous-to-crystalline phase transition when increasing the coating thickness from 6 to 18 nm. Characterization using EIS confirms that the crystallinity significantly influences the total electrical and protonic conductivity of the composites. Under dry atmosphere, the results demonstrate that the modification of the surface by the deposition of a titania layer gives rise to an additional electronic transport pathway in the composite material. In addition, the surface protonic conductivity is determined by the thickness of the titania layer. While an amorphous titania coating layer results in a decrease of the protonic conductivity compared to pristine YSZ, the crystalline  $\text{TiO}_2$  layer increases the protonic conductivity contribution again. Thus, the surface can be designed to optimize water adsorption on the surface for enhanced protonic conductivity, which can be beneficial to the performance of electrochemical devices, such as proton exchange membranes or water sensors.

The results emphasize that the transport properties and defect chemistry are directly related to the surface properties of the material. Here, the thickness and crystallinity of the surface coating play a decisive role. Therefore, surface engineering of (meso-)porous thin films via ALD allows the development of nanocomposites, with tailored electrochemical properties.

The findings of this doctoral thesis contribute to a better understanding of the influence of the surface on the defect chemical properties and conduction mechanisms in nanostructured metal oxides. They reveal that surface engineering is a promising approach for the design of composites with tailored properties for electrochemical device applications. However, there are still several aspects, which need to be considered in the future. In case of mesoporous YSZ, the influence of the pore wall thickness (fixed pore size) on the transport properties needs to be characterized. In addition, the ideal pore size (fixed pore wall thickness) for achieving the highest electrical conductivity may be of interest. Future investigations may also include the evaluation of the effect of surface area on the wettability properties and the protonic transport mechanisms. Finally, the influence of other coating materials, such as  $\text{Al}_2\text{O}_3$ , on the protonic transport properties of composite materials should be investigated.

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## 6. Appendix

### 6.1. Supporting Information (Publication III)

#### Supporting Information

##### **Design of ordered mesoporous CeO<sub>2</sub>/YSZ nanocomposite thin films with mixed ionic/electronic conductivity via surface engineering**

Erdogan Celik<sup>\*a</sup>, Pascal Cop<sup>a,b</sup>, Rajendra S. Negi<sup>a</sup>, Andrey Mazilkin<sup>c</sup>, Yanjiao Ma<sup>c</sup>, Philip Klement<sup>a,d</sup>, Jörg Schörmann<sup>a,d</sup>, Sangam Chatterjee<sup>a,d</sup>, Torsten Brezesinski<sup>c</sup> and Matthias T. Elm<sup>\*a,b,d</sup>

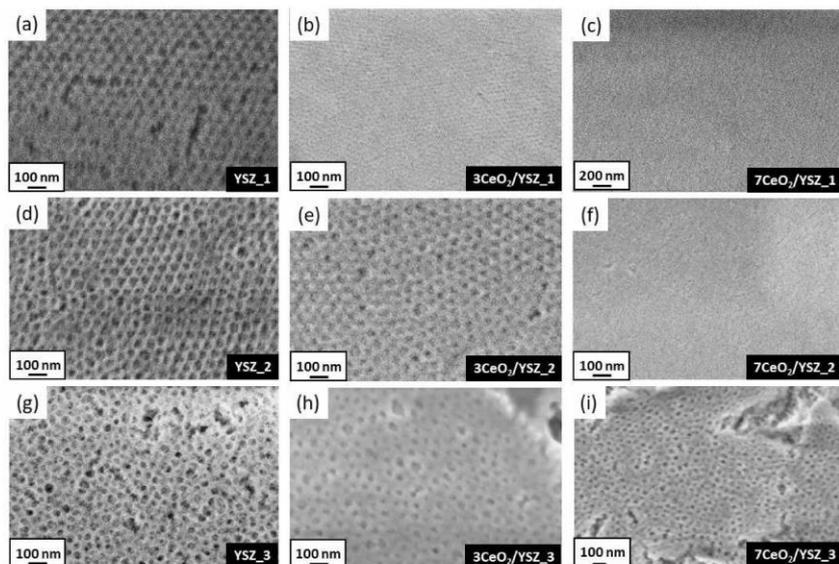
<sup>a</sup>Center for Materials Research, Justus-Liebig-University Giessen, Heinrich-Buff-Ring 16, 35392 Giessen, Germany.

<sup>b</sup> Institute of Physical Chemistry, Justus-Liebig-University Giessen, Heinrich-Buff-Ring 17, 35392 Giessen, Germany

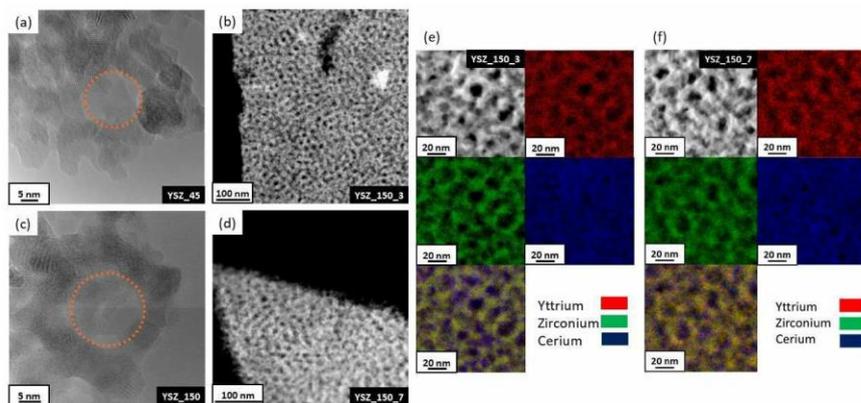
<sup>c</sup> Institute of Nanotechnology, Karlsruhe Institute of Technology (KIT), Hermann-von-Helmholtz Platz 1, 76344 Eggenstein-Leopoldshafen, Germany

<sup>d</sup> Institute of Experimental Physics I, Justus-Liebig-University Giessen, Heinrich-Buff-Ring 16, 35392 Giessen, Germany

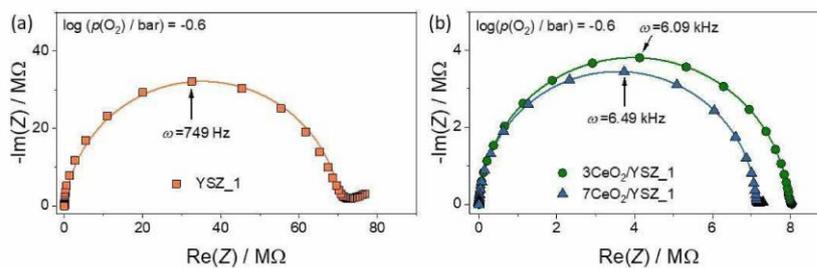
E-mail: [erdogan.celik@materialwiss.uni-giessen.de](mailto:erdogan.celik@materialwiss.uni-giessen.de), [matthias.elm@phys.chemie.uni-giessen.de](mailto:matthias.elm@phys.chemie.uni-giessen.de)



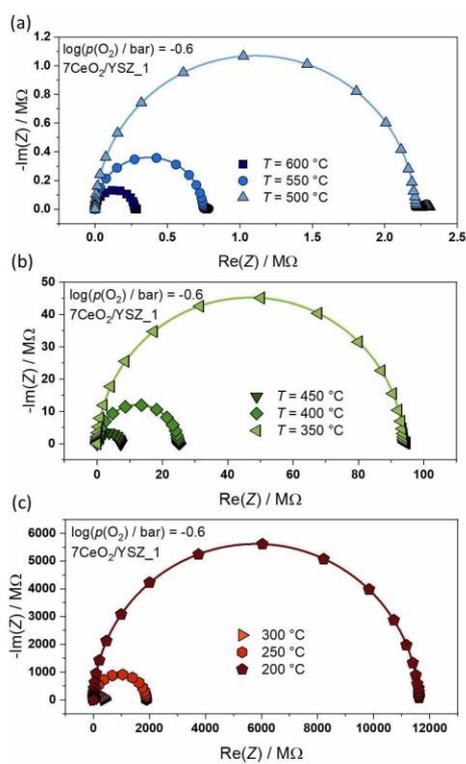
**Figure S1.** SEM images of uncoated as well as 3 and 7 nm CeO<sub>2</sub>-coated (a-c) YSZ\_1, (d-f) YSZ\_2, and (g-i) YSZ\_3 thin films.



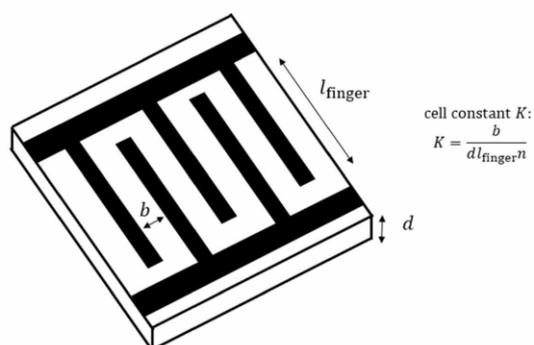
**Figure S2.** HRTEM images of uncoated (a) YSZ\_1 and (c) YSZ\_2 thin films. HAADF-STEM images of (b) 3 nm and (d) 7 nm CeO<sub>2</sub>-coated YSZ\_2 thin films and (e, f) corresponding EDS maps for Y (in red), Zr (in green), and Ce (in blue).



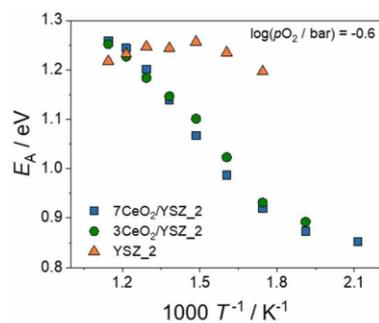
**Figure S3.** Impedance spectra of (a) uncoated YSZ\_1 and (b) 3 and 7 nm CeO<sub>2</sub>-coated YSZ\_1 thin films at 450 °C.



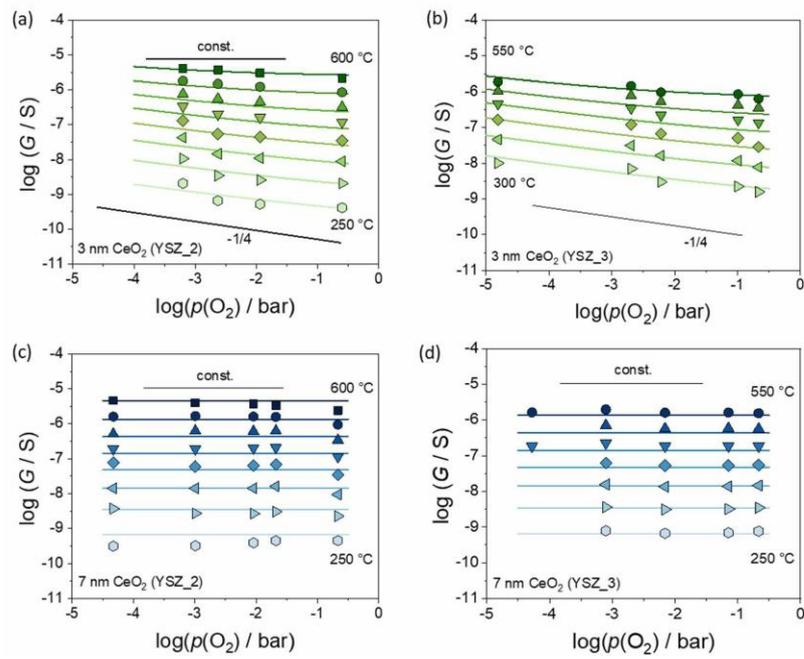
**Figure S4.** Impedance spectra of 7 nm CeO<sub>2</sub>-coated YSZ\_1 thin films (a) from 500 to 600 °C, (b) 350 to 450 °C, and (c) 200 to 300 °C.



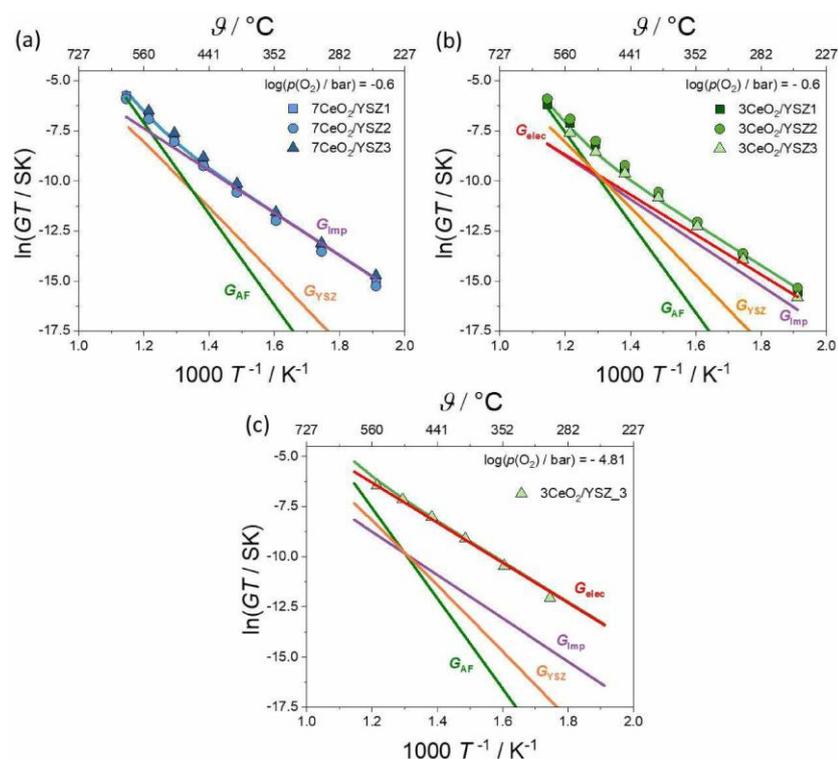
**Figure S5.** Schematic illustration of the interdigitated Pt electrodes used for electrochemical characterization.  $n$  denotes the number of electrode fingers,  $l_{\text{finger}}$  is their length,  $b$  is the distance between the fingers, and  $d$  represents the thickness of the thin film.



**Figure S6.** Activation energy as a function of temperature for uncoated as well as 3 and 7 nm CeO<sub>2</sub>-coated YSZ<sub>2</sub> thin films.



**Figure S7.** Oxygen partial-pressure-dependence of the total conductivity for (a, b) 3 nm and (c, d) 7 nm CeO<sub>2</sub>-coated YSZ\_2 and YSZ\_3 thin films. Solid lines are fits to the experimental data.



**Figure S8.** Arrhenius plots of the total conductance for (a) 7 nm and (b) 3 nm  $CeO_2$ -coated YSZ thin films at  $\log(p(O_2)/\text{bar}) = -0.6$  as well as for (c) 3 nm  $CeO_2$ -coated YSZ<sub>3</sub> at  $\log(p(O_2)/\text{bar}) = -4.8$ .

**Table S1.** Fitting parameters of the transport properties for 3 and 7 nm  $CeO_2$ -coated YSZ thin films.

Sample	$\bar{G}_{0,imp}$ / SK	$E_{A,imp}$ / eV	$\bar{G}_{0,AF}$ / $10^8$ SK	$E_{A,AF}$ / eV	$\bar{G}'_{0,elec}$ / $SK\text{bar}^{1/4}$	$E_{A,elec}$ / eV
3 nm $CeO_2$	66.7 ( $\pm 12.0$ )	0.93 ( $\pm 0.03$ )	2.8 ( $\pm 0.3$ )	1.94 ( $\pm 0.02$ )	18.2 ( $\pm 1.0$ )	0.86 ( $\pm 0.02$ )
7 nm $CeO_2$	200 ( $\pm 12$ )	0.91 ( $\pm 0.03$ )	5.9 ( $\pm 1.0$ )	1.96 ( $\pm 0.02$ )	-	-

**Table S2.** Recipes for the synthesis of mesoporous 8 mol-% yttria-stabilized zirconia (YSZ) thin films.

Sample	Diblock copolymer	Solvents			Pore size
YSZ_1	PIB <sub>53</sub> - <i>b</i> -PEO <sub>45</sub> (40 mg)	2-Methoxyethanol (0.5 mL)	Ethanol (1.5 mL)	Glacial acetic acid (0.25 mL)	17 nm
YSZ_2	PIB <sub>107</sub> - <i>b</i> -PEO <sub>150</sub> (40 mg)	2-Methoxyethanol (0.5 mL)	Ethanol (1.5 mL)	Glacial acetic acid (0.25 mL)	24 nm
YSZ_3	PIB <sub>357</sub> - <i>b</i> -PEO <sub>454</sub> (40 mg)	2-Methoxyethanol (0.8 mL)	Tetrahydrofuran (1.2 mL)	Glacial acetic acid (0.20 mL)	40 nm

## 6.2. Publications and Conference Contributions

### *Publications.*

Erdogan Celik, Rajendra S. Negi, Michele Bastianello, Dominic Boll, Andrey Mazilkin, Torsten Brezesinski and Matthias T. Elm, Tailoring the protonic conductivity of porous yttria-stabilized zirconia thin films by surface modification, *Phys. Chem. Chem. Phys.*, **2020**, 22, 11519-11528.

Erdogan Celik, Yanjiao Ma, Torsten Brezesinski and Matthias T. Elm, Ordered Mesoporous Metal Oxides for Electrochemical Applications: Correlation between Structure, Electrical Properties and Device Performance, *Phys. Chem. Chem. Phys.*, **2021**, 23, 10706-10735.

Erdogan Celik, Pascal Cop, Rajendra S. Negi, Andrey Mazilkin, Yanjiao Ma, Philip Klement, Jörg Schörmann, Sangam Chatterjee, Torsten Brezesinski and Matthias T. Elm, Design of Ordered Mesoporous CeO<sub>2</sub>-YSZ Nanocomposite Thin Films with Mixed Ionic/Electronic Conductivity via Surface Engineering, *ACS Nano*, **2022**, 16, 3182-3193.

Pascal Cop, Erdogan Celik, Kevin Hess, Yannik Moryson, Philip Klement, Matthias T. Elm and Bernd M. Smarsly, Atomic Layer Deposition of Nanometer-Sized CeO<sub>2</sub> Layers in Ordered Mesoporous ZrO<sub>2</sub> Films and Their Impact on the Ionic/Electronic Conductivity, *ACS Appl. Nano Mater.*, **2020**, 3, 11, 10757–10766.

Rajendra S. Negi, Erdogan Celik, Ruijun Pan, Robert Stäglich, Jürgen Senker and Matthias T. Elm, Insights into the Positive Effect of Post-Annealing on the Electrochemical Performance of Al<sub>2</sub>O<sub>3</sub>-Coated Ni-Rich NCM Cathodes for Lithium-Ion Batteries, *ACS Appl. Energy Mater.*, **2021**, 4, 4, 3369–3380.

### *Conference Contributions.*

Erdogan Celik and Matthias T. Elm, Influence of Surface Modification on The Electrical Conductivity of Porous Yttria-Stabilized Zirconia (YSZ) Thin Films, International Workshop on Impedance Spectroscopy, Chemnitz, **2018** (poster presentation).

Erdogan Celik and Matthias T. Elm, Influence of Surface Modification on The Electrical Conductivity of Porous Yttria-Stabilized Zirconia (YSZ) Thin Films, Materialforschungstag Mittelhessen, Marburg, **2018** (poster presentation).

Erdogan Celik and Matthias T. Elm, Influence of Surface Modification on The Electrical Conductivity of Porous Yttria-Stabilized Zirconia (YSZ) Thin Films, DPG-Frühjahrstagung, Regensburg, **2019** (oral presentation).

Erdogan Celik and Matthias T. Elm, Suppression of Proton Surface Conductivity in Porous Yttria-Stabilized Zirconia by Surface Modification, Materialforschungstag Mittelhessen, Gießen, **2019** (poster presentation).

Erdogan Celik and Matthias T. Elm, Suppression of Proton Surface Conductivity in Porous Yttria-Stabilized Zirconia by Surface Modification, SSI-22 International Conference on Solid State Ionics, PyeongChang, **2019** (poster presentation).