

Targeting Endocardial Fibroelastosis in Patients with Hypoplastic Left Heart Syndrome:  
A Cell Culture Model

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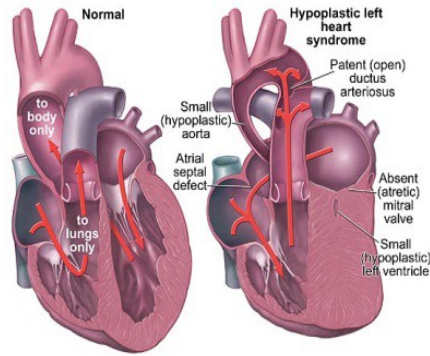
# **1. Introduction**

## **1.1 Hypoplastic Left Heart Syndrome (HLHS)**

### **1.1.1 Definition**

Congenital heart disease is the most common birth defect and has the highest mortality among children with birth defects (Centers for Disease Control (CDC), 1989; Lynberg and Khoury, 1990). Among these, hypoplastic left heart syndrome (HLHS) is the most common severe congenital heart defect with a prevalence of 2-3:1000 live births and the highest mortality in the first year of life (Gillum, 1994; Gordon *et al.*, 2008). Furthermore, 20-25% of patients who die from heart failure due to an underlying congenital heart defect later in life are patients with HLHS who underwent a palliative procedure (Grossfeld, 2007).

HLHS is characterized by the underdevelopment of the left sided structures of the heart. Although anatomical presentation varies from patient to patient, HLHS is defined by stenosis or atresia of the mitral and aortic valve, hypoplasia of the left ventricle as well as a hypoplastic ascending aorta and aortic arch (Sherif et al., 2013). Immediately after birth, systemic circulation depends on an intra-atrial communication (ASD) as well as on a patent ductus arteriosus (Yun, 2011). Therefore, it is possible that newborns with HLHS who have a non-restrictive ASD may appear without any symptoms during the first days of life (Connor and Thiagarajan, 2007; Sherif *et al.*, 2013). With the closure of the ductus arteriosus however, the amount of blood reaching the systemic circulation significantly decreases leading to hypoxemia, acidosis and finally death (Connor and Thiagarajan, 2007). If untreated, mortality of HLHS is 100% with 90 – 95 % of these patients dying in the first ten days of life (Sherif et al., 2013).



*Figure 1: Schematic of the Heart Structure and Blood Flow  
Normal heart (left) compared to HLHS (right).*

### 1.1.2 Therapy

Today, HLHS is most often already diagnosed in the late 2<sup>nd</sup> trimester of pregnancy when routine echocardiographic examinations are offered (Wolter *et al.*, 2016). With early diagnosis, a lot of progress in the therapy of HLHS has been accomplished within the past decade. With modern treatment, it is anticipated that 70% of patients diagnosed with HLHS in the USA will reach adulthood (Arnold, Loukanov and Gorenflo, 2014). The number of parents denying comfort care for their children diagnosed with HLHS but opt for surgical intervention has led to a rise in the number of surgeries (Chang, Chen and Klitzner, 2002; Gordon *et al.*, 2008). In order to survive, HLHS-patients have to undergo three operations resulting in a single ventricle palliation. Another treatment option is heart transplantation (HT) which is limited due to the scarcity of donor hearts (Moon-Grady, Moore and Azakie, 2011).

Treatment must be started immediately after birth through administration of prostaglandin E1 to maintain the ductus arteriosus patent which stabilizes the newborn (Sherif *et al.*, 2013). This is then followed by surgical repair to achieve a single ventricle palliation involving a three-stage process: The first stage, also called the Norwood procedure, takes place within the first few days of live in order to establish blood supply to the systemic circulation. This surgical step involves reconstruction of the aorta which is connected to the main pulmonary artery which had been separated from the branch pulmonary arteries. A shunt placed between the branch pulmonary arteries and the aorta or the right ventricle supplies blood to the lungs (Kulkarni and

Rao, 2012; Biglino *et al.*, 2013). Mortality of this first surgical intervention has improved during the last three decades but is still at 5-10% (Kulkarni and Rao, 2012). The next surgical step takes place around six months later when half of the blood is diverted directly to the lungs for oxygenation through a bidirectional Glenn shunt. The systemic shunt from the first stage is taken down and a right pulmonary to superior vena cava connection is created (Kulkarni and Rao, 2012). Mortality rates from 2 to 5.4% are reported (Connor and Thiagarajan, 2007). About 18 to 36 months following the Glenn procedure, the final stage is performed connecting the inferior vena cava to the pulmonary artery through a channel inside or outside the heart which is called the Fontan procedure (Connor and Thiagarajan, 2007; Kulkarni and Rao, 2012). After completion of the third stage, the deoxygenated blood flows passively through the lungs and the morphological right ventricle serves as systemic ventricle. Mortality of this last step is less than 5% but longterm outcome depends on the contractile function of the right ventricle and the degree of tricuspid regurgitation (Connor and Thiagarajan, 2007; Kulkarni and Rao, 2012).

Several modifications of the standard three-stage approach have been introduced over the years which include “hybrid” procedures in replacement of stage I. Akintuerk *et al.* in Giessen introduced a protocol of stenting the arterial duct through the femoral vein or artery and implementing a balloon atrial septostomy. One to three days after this initial intervention, bilateral pulmonary artery banding is installed. In this case the aortic arch reconstruction takes place with the bidirectional Glenn shunt 3-6 months later (Grossfeld, Ye and Harvey, 2009; Schranz *et al.*, 2015). Saving the newborn from high-risk surgery with cardiopulmonary bypass is a clear benefit and survival rates of 77% after the Giessen hybrid approach in a single center analysis have been reported compared to 60 % with the Norwood procedure (Holoshitz, Kenny and Hijazi, 2014) (Schranz *et al.*, 2015). However, this interventional strategy is limited by patient size and is only offered at specialized centers (Holoshitz, Kenny and Hijazi, 2014; Yerebakan *et al.*, 2015). In milder forms of HLHS, so-called borderline-HLHS, surgical two-ventricular repair can be achieved in some patients, e.g. patients with neonatal aortic stenosis but a normal LV size and mild mitral atresia (MA) (Grossfeld, 2007; Emani *et al.*, 2009; Kaplinski and Cohen, 2015).

In the recent past HLHS has become amenable to pre-natal interventions since the diagnosis is already made routinely around 18 to 22 weeks of gestation by fetal echocardiography (Connor and Thiagarajan, 2007). In select centers around the world, fetal interventions have been introduced which have significantly altered the post-natal outcome of a subgroup of patients. In HLHS patients with an intact or highly restrictive atrial septum, balloon atrial septostomy can have a positive effect on their outcome (Enzensberger *et al.*, 2012; Mackie, Aiyagari and Zampi, 2014). Furthermore, in utero aortic valvuloplasty can improve left ventricle outflow and results in continued growth of the left ventricle with better chances of biventricular repair after birth (McElhinney *et al.*, 2009). It has been reported that up to 29% of patients with evolving HLHS qualified for biventricular circulation after successful prenatal aortic valvuloplasty. Outcomes are variable and are continued to be improved by more stringent selection criteria (Connor and Thiagarajan, 2007).

### **1.1.3 Outcome**

The most critical time of HLHS patients is the first year of life. It was even shown that 90% of patients who survive infancy also survive up to 18 years of life (Siffel *et al.*, 2015). Survival rates of patients born with HLHS has improved over the years, however, newborns with HLHS are at higher risk for neurodevelopmental deficits which seemingly can not be influenced by prenatal aortic valvuloplasty (McElhinney, Benson, *et al.*, 2010). Furthermore, it was reported that the median full-scale IQ was significantly lower in patients with HLHS compared to healthy newborns which was influenced by the diameter of the neonatal ascending aorta as well as operation-related factors (Sarajuuri *et al.*, 2012).

It needs to be emphasized that current therapy strategies are only palliative, leaving the child with a single ventricle physiology for life in which the anatomically right ventricle (RV) functions as the systemic ventricle (Grossfeld, 2007). It was shown that the mortality in dominant RV hearts such as HLHS is higher compared to those with dominant LV (Wolter *et al.*, 2016). Therefore, in borderline HLHS-patients the goal is to recruit the LV in order to achieve biventricular repair. With this approach, a subset of patients was identified in whom LV growth in utero is impeded by the presence of a



thick layer of white-grayish fibroelastic tissue, so called endocardial fibroelastosis (EFE) which covers the endocardial surface of the LV. EFE is a diffuse fibrosis but in comparison to cardiac fibrosis the subendocardial layers are not involved (Lurie, 2010; Kaplinski and Cohen, 2015). EFE plays an important role on the outcome of patients with HLHS as they tend to have worse diastolic dysfunction of the left ventricle and suffer from cessation of left ventricle growth in utero (Cohen and Rychik, 1999; Lurie, 2010). In addition, the degree of EFE is directly associated with poor outcome despite fetal interventions. EFE contributes to diastolic dysfunction and poor filling even after relieving aortic stenosis by valvuloplasty (Lofland *et al.*, 2001).

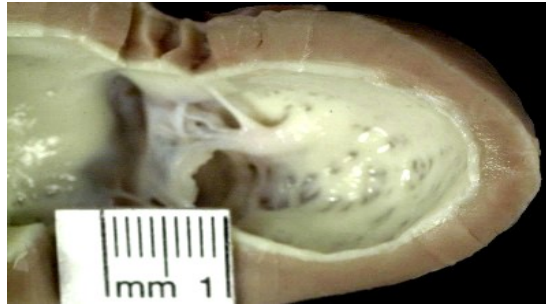
With regard to restriction imposed on the LV by EFE, it has been shown that removal of EFE tissue during postnatal cardiac surgery for HLHS palliation, resulted in immediate improvement of restriction and catch-up growth of the LV (Emani *et al.*, 2009). Even following non-response to fetal aortic valvotomy, LV growth was achieved through EFE removal whereas observations showed that when EFE could not be resected there was limited growth of the left ventricle (Emani *et al.*, 2009, 2012). It has also been shown that borderline left hearts with single ventricular repair could lead to a biventricular solution by staged recruitment of the left ventricle. This recruitment strategy contained relief of inflow and outflow obstruction, promotion of blood flow through the LV and furthermore the resection of EFE (Emani *et al.*, 2012). Currently, treatment to address the presence of EFE is limited to surgical removal after birth but ideally should be tackled in utero during the timeframe of rapid cardiac growth and as adjunct therapy to post-natal treatment. As EFE can be diagnosed routinely in utero by echocardiography presenting as a thickening of the endocardium it could therefore serve as a target for new prenatal therapeutic strategies (Xu *et al.*, 2015).

## **1.2 Endocardial Fibroelastosis (EFE)**

EFE presents as a thickening of the inner ventricular endocardium consisting of collagen fibers, elastic tissue and myofibroblasts with scarce vasculature (Friebs *et al.*, 2013). EFE was first described in 1943 by Weinberg and Himelfarb when this unexplained

heart failure caused early deaths in children (ROSAHN, 1955). Back then, secondary EFE was distinguished from primary EFE which was not associated with any structural cardiac anomalies (Seki *et al.*, 2013). However, nowadays it is clear that EFE develops secondary to some factors. Hence if there is no known cause, the term “idiopathic” should be used rather than “primary” (Lurie, 2010). EFE is mostly seen in structural cardiac malformations but there are also reports about EFE in the context of genetic, hypoxic or infectious diseases. EFE was reported in cases with left ventricular non-compaction (Weiford, Subbarao and Mulhern, 2004), primary x-linked isolated EFE (Hanukoglu, Fried and Somekh, 1986), autosomal recessive isolated EFE (Rios, Castaneda and Simpson, 1984) and postnatal infections (Factor, 1978). Cases of maternal infections with Lupus, Parvovirus B19 and Mumps leading to EFE are reported as well (Ni *et al.*, 1997; Buyon and Clancy, 2003; Silingardi *et al.*, 2009). In general EFE was described as a reaction of the heart to stress such as pressure or volume overload which mainly takes place during fetal development (Lurie, 2010). It is a disease of the growing heart which has not been described in adults. In this context Boston Children's Hospital observed that EFE can regrow after being resected, but at that time presenting as a different type of tissue covering the endocardium. All these observations suggest that the etiology of EFE could be genetic, infectious or mechanical but the real pathomechanism is still unknown.

When Boston Children's Hospital started to surgically remove EFE tissue, it was observed that EFE could be easily resected as whole layer without any myocardial contamination. This observation which indicated lack of infiltrative growth into the subendocardial layers led to the assumption that EFE tissue derives from the endocardium (Friebs *et al.*, 2013; Xu *et al.*, 2015). Further investigations showed that indeed EFE derives from the endocardium and specifically from the endocardial endothelial cells (EC) which underwent a phenotypical transformation to mesenchymal cells.



*Figure 2: Macroscopic EFE Tissue*

*EFE lining the left ventricle of a human heart from HLHS patient at autopsy*

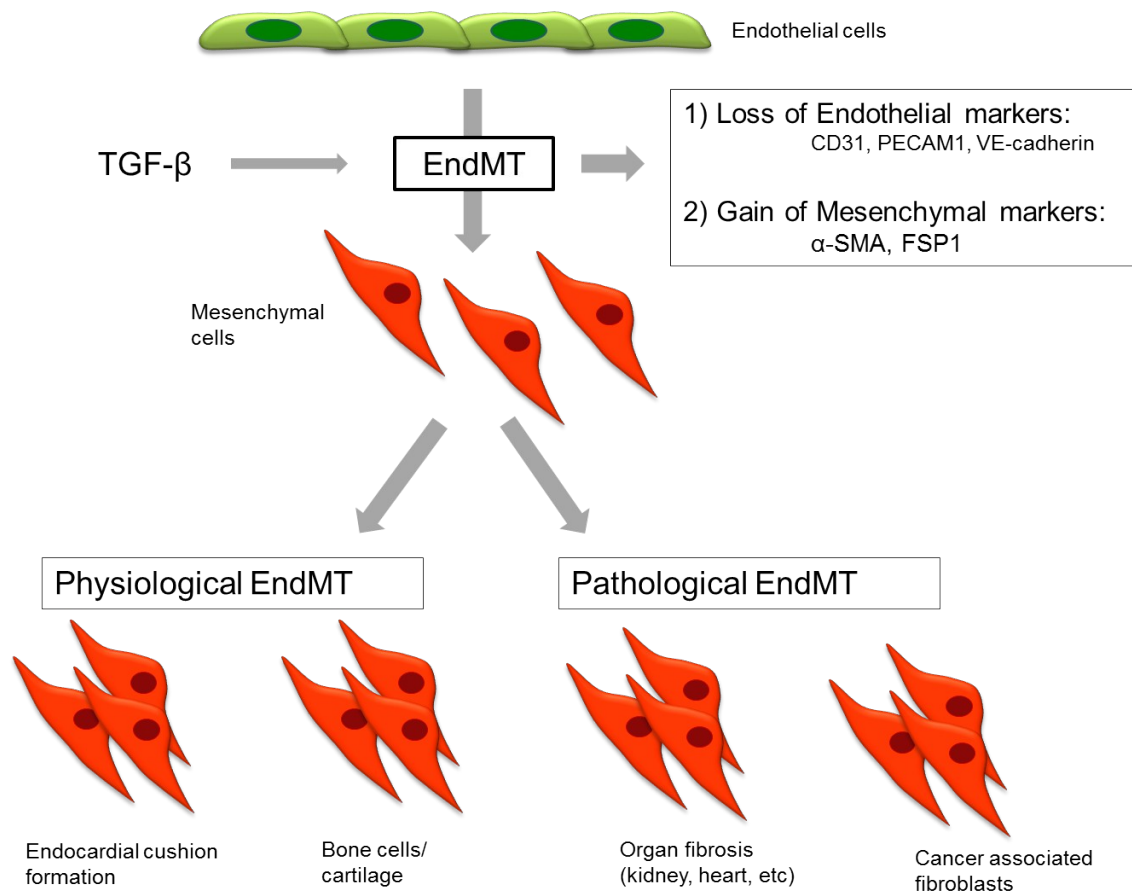
### **1.3 Endothelial-to-Mesenchymal Transition**

Histologically EFE tissue has been shown to consist of collagen fibers, elastic tissue and mainly myofibroblasts (Shimada et al., 2014). In a study examining surgically excised EFE tissue, it was determined that endocardial ECs are the origin of EFE. In a transgenic mouse model where ECs were irreversibly fluorescently labelled, ECs double-stained with mesenchymal and endothelial markers at the same time following exposure to hemodynamic conditions mimicking HLHS in utero (Emani et al., 2012). This phenotypical change is known as endothelial to mesenchymal transition (EndMt) (Xu et al., 2015). In order to change the phenotype, molecular and architectural rearrangements are necessary. ECs in general are hexagonal cells lined in a monolayer which separates and regulates the blood stream from the surrounding tissue. The diameter of ECs varies from 10 to 50  $\mu\text{m}$  and the longitudinal dimension is in direction to blood flow (Sumpio, Riley and Dardik, 2002). The EC has three surfaces: The smooth luminal surface, the subluminal surface adherent to the connective tissue and the cohesive surface where cells are adjacent to one another. Cell-cell and cell-tissue connection is provided by two major types of junctions, the adherent junctions and the tight junctions. In addition there are gap junctions for communication. Adherent junctions are formed by transmembrane adhesion proteins of the cadherin family which are key structures for the maintenance of cell-specific properties. Vascular endothelial cadherin (VE-cadherin) is a specific cadherin that is only found in ECs. Another

constituent of the endothelial intercellular junction is CD31. CD31 also called platelet endothelial cell adhesion molecule 1 (PECAM1) is a member of the immunoglobulin superfamily. This transmembrane glycoprotein plays a major role in the adhesion cascade between EC and the inflammatory cells during inflammation and angiogenesis (Pusztaszeri, Seelentag and Bosman, no date). However, during the process of EndMT ECs lose their endothelial markers such as CD31/PECAM1/VE-cadherin and develop a mesenchymal phenotype expressing markers such as FSP1 and  $\alpha$ -SMA (Hua *et al.*, 2012).

EndMT is a form of epithelial-to-mesenchymal transition (EMT) which is influenced by two main events (Lin, Wang and Zhang, 2012). One of them is the downregulation and degradation of VE-cadherin (von Gise and Pu, 2012). Hence ECs lose cell-cell junctions and switch from stationary to migratory cells and also lose their endothelial phenotype (Thiery and Sleeman, 2006). Secondly, specific transcription factors are up-regulated as a result of TGF- $\beta$  and Notch signaling activation (Kokudo *et al.*, 2008). The main transcription factors include Snail1, Slug and Twist which inhibit the expression of adhesion proteins and stimulate RhoA and Vimentin leading to remodeling of the cytoskeleton. Especially Snail1 decreases the transcription of VE-cadherin which leads to the loss of intercellular adhesions (von Gise and Pu, 2012). Furthermore matrix metalloproteases are activated allowing the cells to lose polarity and dissociate from the basal membrane (von Gise and Pu, 2012). All these factors lead to the acquisition of invasive and migratory properties of ECs.

EndMT is a process physiologically seen during embryonic development of the heart valves and septum (Yoshimatsu and Watabe, 2011). During embryonic development the endocardium and myocardium are separated by the acellular cardiac jelly which gets invaded by endothelial-derived-mesenchymal cells in the area of the atrioventricular (A-V) canal and the outflow tract (OFT) in order to form the endocardial cushion tissue. Most of the OFT cushion which forms the semilunar valves derives from pharyngeal mesodermal cells. On the contrary, most of the A-V cushion mesenchyme derives from EndMT. The AV cushion gives rise to the A-V septum, the membranous part of the ventricular septum and the mitral and tricuspid valves (Eisenberg and Markwald, 1995; Nakajima *et al.*, 2000; Lin, Wang and Zhang, 2012).



**Figure 3: Endothelial-to-Mesenchymal Transformation (EndMT)**

*Physiological and Pathological EndMT*

### 1.3.1 EndMT in Pathologies Other than EFE

EndMT is a physiological process during development but also plays a central role in several pathologies of many different organs such as the heart, kidney, lung and bones (Arciniegas et al.; Zeisberg et al., 2007, 2008; Medici et al., 2010). EndMT is involved during myocardial infarction when ischemic damage to the myocardium results in repair mechanisms elicited by ECs which serve as repairing cells filling up the gap with granulation tissue (Aisagbonhi et al., 2011). In fibrodysplasia ossificans progressive (FOP) bone tissue is reimbursed by muscle and connective tissue. In a mouse model of FOP, osteoblasts and chondroblasts showed endothelial markers suggesting the process of EndMT in this disease as well (Medici *et al.*, 2010). Furthermore, ECs contribute to fibroblast formation during fibrosis in kidneys, lungs, intestines and the heart

(Arciniegas et al.; Zeisberg et al., 2007, 2008; Rieder et al., 2011). Interestingly, EndMT was even seen in tumor pathology giving cancer cells the ability to migrate and transform into malignant cells (Kalluri and Zeisberg, 2006). It is also believed that EndMT is relevant in the setting of systemic sclerosis, mitral valve pathology and transplant vasculopathy (Cooley *et al.*, 2014). This implies that EndMT is an important factor not only in EFE but also in other pathological processes of the heart.

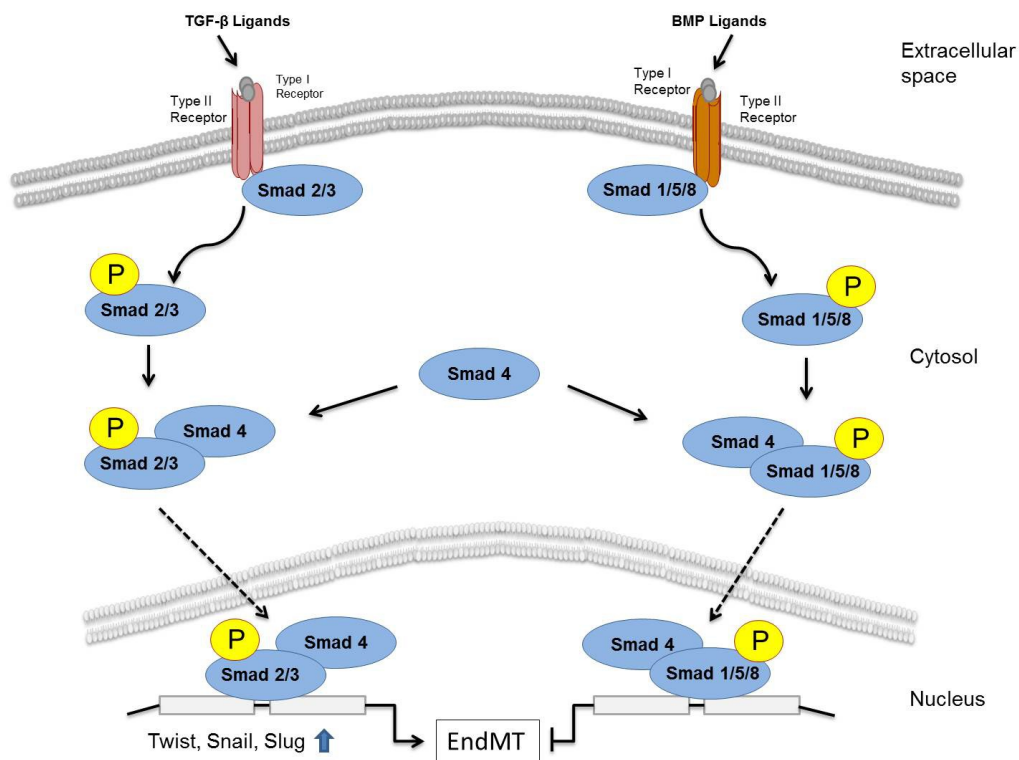
Having a closer look at the pathomechanism of EndMT in EFE, it was shown that EndMT is regulated by an interplay of Bone Morphogenetic Protein (BMP)-7 and Transforming Growth Factor (TGF)- $\beta$  pathways (Xu *et al.*, 2015). When EFE-tissue was excised from the LV during routine open heart surgery and examined, it was revealed that the upregulation of TGF- $\beta$ 1 and downregulation of BMP-7 was caused by promoter hypermethylation of BMP-7, an epigenetic phenomenon altering the physiological balance of these pathways (Xu et al., 2015). The interplay between TGF- $\beta$  and BMP pathways plays a key role in normal heart development. This information regarding the underlying mechanism of EFE formation in children with HLHS, has the potential to become the basis for therapeutic interventions directly targeting formation and progression of EFE.

### **1.3.2 TGF- $\beta$ Superfamily**

As indicated by studies examining excised EFE tissue, EndMT in this case is regulated by an imbalance of the TGF- $\beta$ /BMP pathways (Xu et al., 2015). BMPs regulate cell proliferation, apoptosis, embryonic development, and bone or cartilage induction (Yang *et al.*, 2015). TGF- $\beta$  is a multifunctional growth factor regulating proliferation, differentiation, apoptosis and migration of cells (Attisano and Wrana, 2000; Massagué, 2000).

TGF- $\beta$  and BMP-7 are homodimeric proteins and part of the TGF- $\beta$  superfamily containing more than 35 molecules in mammals which are activated through binding to specific transmembranous receptors eliciting an intracellular signaling cascade (Pegorier et al., 2010). Following binding to these serine-threonine kinase receptors and phosphorylation, intracellular Small mothers against decapentaplegic (SMADs) are activated (Feng and Derynck, 2005). SMADs form intracellular complexes and

translocate into the nucleus to regulate target gene expression (Feng and Derynck, 2005; Massagué, Seoane and Wotton, 2005). TGF- $\beta$  and BMPs have binding affinities to respective receptors and activate different intracellular SMADs. BMP-7 binds to ALK 3 and 6 and activates SMAD 1, 5 and 8, whereas TGF- $\beta$ 1 binds to ALK 2 and 5 followed by phosphorylation of SMAD 2 and 3. Both pathways then merge with SMAD 4 (Heldin, Miyazono and ten Dijke, 1997; Massagué, 2000; Wrana, 2000). In EndMT especially the signal transducers Smad 2 and 3 are activated by TGF- $\beta$  and then increase the transcription of Twist, Snail1 and Snail2 (i.e. Slug). BMP-7 is a TGF- $\beta$  pathway inhibitor but the detailed mechanism, especially in the process of EFE, still needs to be studied (Yanagita, 2012).



*Figure 4: TGF- $\beta$ /BMP Axis Regulates EndMT*

TGF- $\beta$  activates transcription factors regulating EndMT (Twist, Snail, Slug). BMP signaling inhibits EndMT.

## 2. Aims of the Study

The presence of EFE is a major putative effector in the mal-development of the fetal heart. There are several hypotheses on the trigger of EFE formation which include lack of blood flow with hypoxic/ischemia injury to the heart leading to atrophy of the left ventricle. Furthermore, an inflammatory cause has also been hypothesized (Nield *et al.*, 2002) and genetic defects are a focus of several research groups but only a minority of all patients with HLHS have been identified with genetic mutations (Iascone *et al.*, 2012). However, siblings' recurrence of HLHS has been reported in 8% (Hinton *et al.*, 2007). Clinical observation indicates that mechanical forces on the LV play a potential role in the development of EFE since closure of the aortic valve and lack of antegrade blood flow through the aortic valve leads to dilatation of the LV and decline of contractile function. With dilation of the LV, EFE formation rapidly progresses leading to growth arrest of the LV (Sharland *et al.*, 1991).

In summary, EFE is a response to stress from a variety of causes imposed on the left ventricle. In this study an in vitro EndMT model was established in order to determine the pathogenesis of EFE formation. The main focus was on mechanical stimuli as a result of clinical observation which indicated this specific stress as central component. With this model, I sought to determine whether mechanical forces imposed on the LV induce EFE formation via a TGF- $\beta$  mediated pathway. As a second aim therapeutic strategies were investigated to inhibit EFE development as a first step toward a potential localized application in the human fetus and infants.



### 3. Materials and Methods

#### 3.1 Cell Culture

##### 3.1.1 Human Coronary Artery Endothelial Cells (HCAEC)

ECs from coronary arteries share the same embryonic origin as endocardial cells as it has been shown in lineage tracing studies (Wu *et al.*, 2012). Therefore, Clonetics™ human coronary artery endothelial cells (HCAEC) were used for these experiments and purchased from Lonza (Cat. No.: CC-2585). According to the manufacturer's specifications, cells were isolated from the main stems of the right and left coronary artery, the anterior descending artery and the circumflex branches. The cells for culturing are delivered in a cryopreserved vial with over 500,000 cells after the third passage. The cells are stored in liquid nitrogen at -180°C until use.

##### 3.1.2 EGM-2 MV Growth Medium

Item	Company/ Catalog Number
EBM-2 Basal Medium	Lonza/ CC-3156
Supplemented with:	EGM-2 MV SingleQuot Kit/ CC-4147
rhEGF	
GA-1000 (30 mg/ ml of Gentamicin, 15g/ml of Amphotericin-B)	
FBS	
VEGF	
rhFGF-B	
R <sup>3</sup> -IGF-1	
Ascorbic Acid	

*Table 1: EGM-2 MV Growth Medium*

##### 3.1.3 Initiation of Cell Culture/ Thawing of Cells

Culture dishes were coated with 1% gelatin. For the 1% gelatin solution, 5g gelatin was dissolved in 500 ml phosphate buffered saline (PBS) and then autoclaved for 30 minutes. The solution was filter sterilized and then stored at room temperature. Growth medium was added to the dishes using 1 ml for each 5 cm<sup>2</sup> of the culture dish.

Afterwards the dishes were placed in a 37°C, 5% CO<sub>2</sub> incubator for at least 30 minutes in order to equilibrate. After removing the cryopreserved vial of HCAEC from the freezer and wiping it with ethanol, the cap of the vial was turned a quarter turn to release any liquid nitrogen that may be captured in the threads and then the cap was closed again. The lower part of the vial was placed in a 37°C water bath for a maximum of two minutes until the last silver of ice melted. Cells were pipetted into a flask containing 9 ml of medium and centrifuged at 1,200 x g for 5 min. The supernatant was aspirated, except for 100-200 µl, and a volume of 2 ml of medium was added to the flask. After pipetting the cells with a micropipette five times up and down, the cells were dispensed into the culture dish which had been prepared earlier. The culture dishes were agitated carefully on a rocking platform to equally distribute the cells and then returned to the incubator. After 24 hours, the growth medium was changed to eliminate all traces of dimethyl sulfoxide (DMSO), a cell culture reagent which is used for cryopreservation. The growth medium was changed every other day and the volume was doubled as soon as the cells reached a 60% confluence.

### 3.1.4 Cell Culture Maintenance

Growth medium was changed every other day by removing the media from the petri dish and replacing it with the amount of medium which was adjusted to the confluence of the cells as indicated in the table below.

Confluence	Volume of growth media
< 25%	1 ml / 5 cm <sup>2</sup>
25 to 45%	1.5 ml / 5 cm <sup>2</sup>
> 45%	2 ml / 5 cm <sup>2</sup>

*Table 2: Maintenance of Cells:*

*Adjusting the volume of the growth medium to the confluency of the cells*

### 3.1.5 Subculturing of Cells

Cells were subcultured when reaching 70 to 80% confluency and displaying many mitotic figures variable throughout the flask. At first the new flasks were coated with 1% gelatin and stored in the incubator for 30 to 60 minutes. For each 25 cm<sup>2</sup> of cells, 2

ml of 0,25% trypsin/EDTA, 7-10 ml of PBS and 4 ml of trypsin neutralizing solution were thawed to room temperature. The medium was aspirated from the culture dish and the cells were rinsed with 5 ml of room temperature PBS. Then PBS was aspirated and the cells were covered with 2 ml of Trypsin/EDTA solution. Trypsinization took place until approximately 90% of the cells were rounded up which took about two minutes. Confirmation was obtained by light microscopy. Tapping carefully against the culture dish supported the dissociation of cells from the surface. Making sure that the majority of cells were detached, the trypsin in the flask was neutralized with 4 ml of room temperature trypsin-neutralizing-solution. Afterwards the detached cells were transferred quickly to a sterile 15 ml centrifuge tube. To collect residual cells, a final 2 ml of PBS were used to rinse the flask and then added to the centrifuge tube. By examining the flask under the microscope, it was ensured that less than 5% of the cells were left behind. The harvested cells were centrifuged at 1200 rpm for 5 minutes to pellet the cells. Then the supernatant was aspirated, except for 100 – 200  $\mu$ l. The pellet was loosened by flicking the tube and the cells were diluted to a final volume of 2 to 3 ml of growth medium. Cell count was determined with a hemacytometer and viability through trypan blue staining. Trypan blue is a dye that cannot leak across an intact cell membrane and thus, does not stain viable cells. In contrast, dead cells with compromised cell membranes become stained. Each flask was labeled with the passage number, strain number, cell type and date. The growth medium was carefully transferred to the new culture dish by adding 1 ml growth medium for every 5 cm<sup>2</sup> surface area of the flask. To get uniform suspension, the diluted cells were mixed with a 5 ml pipet. Finally, the previously calculated volume was filled in the prepared subculture flasks and were incubated in the 37°C humidified incubator with 5% CO<sub>2</sub>.

<b>Item</b>	<b>Company/ Catalog Number</b>
Phosphate Buffered Saline (PBS)	Sigma-Aldrich/ P5368
Gelatin, powder	Sigma-Aldrich/ G9391
Trypsin-EDTA (0.25%), phenol red	Life technologies/ 25200-056
DMEM, high glucose, pyruvate	Gibco/ 11995-065
Human Plasma Fibronectin Purified Protein	Millipore/ FC010
HCAEC	Lonza/ CC-2585
Tissue Culture Dish (60,8 cm <sup>2</sup> )	Olympus plastics/ 25-202
Pipettes	VWR
Centrifuge 5810 R	Eppendorf
CO2 Incubator	Sanyo
Electric Pipette	Drummond Scientific/ 108818
Serological Glass Pipettes	Olympus Plastic/ 12-101/ 12-102/ 12-104
Light Microscope	Nikon TMS/ 02747
Hemocytometer Set	Hausser Scientific/ 1483
Vortexer	VWR
Fluorescence Microscope	Zeiss Observer.Z1
6-Well Tissue Culture Plates	Olympus plastics/ 25-105

*Table 3: Subculturing Cells*

### **3.1.6 Freezing and Thawing of Cells**

Cells were detached from the culture dish by trypsinization, followed by centrifugation and resuspended in freezing medium. The resuspended cells were quickly transferred to a 1 ml vial and frozen in a cooling rate of -1°C/ min in the cryo-safe<sup>TM</sup> cooler. The frozen cells were stored in the -80°C freezer.

<b>Item</b>	<b>Company/ Catalog Number</b>
Synth-a-Freeze CTS	Gibco/ A13713-01
Cryo-Safe <sup>TM</sup> -1°C Freeze Controller	Bel-Art/ 188440000

*Table 4: Freezing Cells*

## **3.2 Induction of Endothelial-to-Mesenchymal Transformation (EndMT)**

### **3.2.1 TGF- $\beta$ 1**

EndMT was induced by treating ECs in culture with transforming growth factor  $\beta$  1 (TGF- $\beta$ 1) as our group has previously reported in more detail (Xu *et al.*, 2015).

### **3.2.2 TGF- $\beta$ 1 Reconstitution**

Purified recombinant human TGF- $\beta$ 1 was obtained from R&D Systems. Since it is an extremely hydrophobic protein adhering strongly to surfaces, it was reconstituted at 20  $\mu$ g/ml in 100  $\mu$ l sterile 4mM HCl containing 1 mg/ml bovine serum albumin.

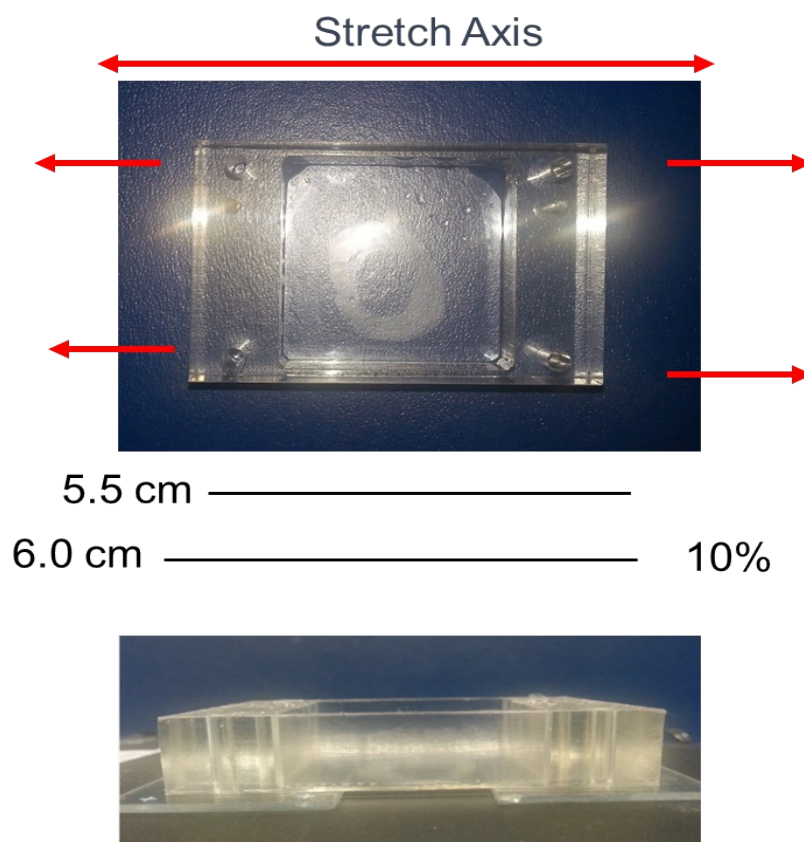
### **3.2.3 Treatment of HCAEC with TGF- $\beta$ 1**

HCAEC were used between the third and sixth passage. As soon as the cells reached 80% confluence, the medium was changed to EBM-2 Basal Medium (Lonza/ CC-3156) supplemented with 10 ng/ml of TGF- $\beta$ 1. Cells were incubated with this solution for 24 hours followed by staining as described below in detail to determine EndMT.

### **3.2.4 Uniaxial Static Stretch**

To determine whether mechanical forces trigger EndMT, which clinical observation indicates, the following cell culture experiments were performed. Stretch chambers were purchased from B-Bridge International Inc. and coated with 10  $\mu$ g/ml fibronectin. The cells were subcultured in the center of two stretch chambers and treated with 3 ml of EGM-2 MV medium. After cells reached 80% of confluency, the chambers were exposed to uniaxial strain of 10% of their initial length. For these experiments, a mechanical strain instrument (STREX from B-Bridge International Inc) was used which was maintained in an incubator 5% CO<sub>2</sub> at 37° C. The control stretch chamber was kept in a petri dish in the same incubator without any stretching forces. After eight hours the experiment was stopped and cells were stained for analysis as described under “Staining and Visualization“ below in more detail. Three replicates of these experiments were performed. Alpha-smooth muscle actin ( $\alpha$ SMA) produced in mice from Sigma-Aldrich was used as primary antibody. Furthermore PECAM-1 sc-1506-R was purchased from Santa Cruz Biotechnology detecting PECAM-1/ CD31 of mouse, rat and human origin. Texas Red Anti-Mouse IgG from VECTOR Laboratories was used as secondary antibody. For visualization with a fluorescence microscope, stretch chambers were fixed

between microscope glass slides (VWR International, 75x25 x 1mm) and cover glass (22x22 mm).



*Figure 5: Silicone Stretch Chambers*

*Silicone stretch chambers were exposed to uniaxial strain of 10% of their initial length.*



*Figure 6: Stretching Machine (STREX from B-Bridge International Inc)*

### **3.3 Determination of Endothelial-to-Mesenchymal Transition (EndMT)**

Data of human EFE-tissue analysis indicate that EFE is formed by EndMT where ECs lose their endothelial markers such as CD31/PECAM1/VE-cadherin and develop a mesenchymal phenotype expressing markers such as FSP1 and  $\alpha$ SMA (Hua *et al.*, 2012). Standard histological determination of EndMT is performed through staining with PECAM1 (an endothelial marker) and  $\alpha$ SMA (mesenchymal marker). Double-staining of one cell with both markers is indicative of active EndMT. Determination of EndMT was performed through immunohistochemical staining of tissue sections with antibodies directed against CD-31, an EC marker and  $\alpha$ -smooth muscle actin ( $\alpha$ SMA), a fibroblast marker. Secondary immunoreagents conjugated to red-fluorescent Texas Red (Vector Laboratories) or green-fluorescent Alexa-488<sup>TM</sup> fluorophores (Life Technologies, Grand Island, NY) were used and nuclei were stained with blue fluorescent DAPI nucleic acid stain (Life Technologies, Grand Island, NY).

### **3.4 Immunofluorescent Staining**

Immunofluorescent staining was used to detect special antigens with two types of antibodies. Whereas the primary antibody binds to the target molecule and the secondary antibody which contains the fluorophore binds to the primary antibody making the target visible under a fluorescent microscope. Blocking buffer is used to block non-specific binding sites of the antibodies.

#### **3.4.1 Blocking Buffer**

In order to produce 10 ml of blocking buffer (BB), 0.15 g of Bovine Serum Albumine was added to 10 ml of PBS.

#### **3.4.2 Antibodies**

Monoclonal Anti-Actin  $\alpha$ SMA primary antibody, produced in mouse, was used as mesenchymal cell marker.

Rabbit PECAM1 was used as a primary antibody to detect ECs.

Corresponding secondary antibodies goat anti-rabbit IgG (Alexa Fluor 488) and Texas Red Anti-Mouse IgG were obtained. Details are provided in the table below. Nuclei were counterstained with 4',6-diamidino-2-phenylindole (DAPI). Thus, ECs were

detected in green, mesenchymal cells in red and nuclei in blue.

<b>Primary Antibody (Ab)</b>	<b>Company/ Catalog Number</b>	<b>Concentration Ab:BB</b>
PECAM1	Santa Cruz Biotechnology/ sc-1506-R	1:50
Monoclonal Anti-Actin, $\alpha$ SMA produced in mouse	Sigma-Aldrich/ A2547	1:400
<b>Secondary Antibody</b>		
Alexa Fluor 488	Life Technologies/ A11034	1:200
Texas Red Anti-Mouse IgG	Vector Laboratories/ TI-2000	1:100
DAPI	Molecular Probes/ D1306	1:1,000

*Table 5: Immunofluorescent Staining: Antibodies*

<b>Item</b>	<b>Company/ Catalog Number</b>
Bovine Serum Albumin	Sigma-Aldrich/ A7906
32% Paraformaldehyde	Electron Microscopy Sciences/ 15714-S
Triton® X-100	SIGMA/ 103K0062
Pipettes	Eppendorf
Mounting Medium	Dako/ S3025
Microscope Slides	VWR/ 16004-422

*Table 6: Immunofluorescent Staining*

### 3.4.3 Staining

Cells contained in the stretch chambers were washed twice with PBS. Washing was repeated after the cells were covered to a depth of 2-3 mm with 4% paraformaldehyde for 15 minutes. 0.2% Triton X-100 was applied for 10 minutes and then slides were washed again twice with PBS. Cells were incubated in blocking buffer containing the primary antibody for one hour and washed twice with PBS thereafter. Then the cells were incubated with blocking buffer solution containing the secondary antibody in the dark preventing light exposure. After one hour the cells were washed twice with PBS again and secondly washed with ddH<sub>2</sub>O. As a last step the slide was mounted.



### 3.4.4 Visualization

Cells were assessed with a Zeiss Observer.Z1 fluorescent microscope. EndMT was defined as cells staining positive for both endothelial and mesenchymal marker. Cells were visualized using a Zeiss Axiovert inverted microscope with a Nikon 10x objective, NA = 10x/0.25 and 20x objective. The microscope is equipped with various fluorescent filters and Leica digital color camera. Ten fields randomly picked from each sample were analyzed by a blinded microscopist and number of single stained and double stained cells counted. The microscope was configured to maximal range and automatic Min/Max. The software Axiovision was used and for comparison, the same exposure time was chosen for each experiment.

## 3.5 Inhibition of EndMT

### 3.5.1 Treatment with BMP-7

HCAEC were cultured in an eight chamber glass slide. When cells reached 80% confluence, the growth medium was changed to EBM-2 containing Ascorbic Acid, Gentamicin and 20 % FBS only. This preparatory step of excluding growth factors that support EC morphology, is called cell starvation. Following 12 hours of starvation, treatment was induced by adding EBM-2 and growth medium in a dilution of 10:1 to prevent cells from undergoing apoptosis. The following experimental groups were analyzed: group 1 was treated with TGF- $\beta$ 1 to undergo EndMT; group 2 contained BMP-7 only; group 3 was treated with TGF- $\beta$ 1 and BMP-7 to inhibit the process of ECs undergoing EndMT; and group 4 served as negative control containing growth medium only. TGF- $\beta$ 1 was used in a concentration of 10 ng/ml and BMP-7 was added in a concentration of 100 ng/ml. The medium of each chamber was changed every 24 hours and after 72 hours the experiment was terminated and cells were stained for analysis.

Item	Company/ Catalog Number
Recombinant Human TGF- $\beta$ 1	R&D Systems/ 240-B
Recombinant Human BMP-7, Active	Gibco/ PHC7204

*Table 7: Inducing and Inhibiting EndMT*

### 3.5.2 Uniaxial Static Stretch and Treatment with BMP-7

The experiment labelled “10% of Static Stretch for 8 hours” was repeated using an additional stretch chamber containing 100 ng/ ml of BMP-7. One stretch chamber which was exposed to stretch as well served as a positive control and one stretch chamber just placed in the incubator served as a negative control.

Item	Company/ Catalog Number
Stretching device	
Stretch chamber 10 cm <sup>2</sup>	B-Bridge International/ ST-CH-10

Table 8: Uniaxial Static Stretch

### 3.6 Testing of Localized Drug Delivery Systems

Drug carriers were tested for future application to inhibit EndMT locally as a first step toward therapy of EFE in humans (Shimada et al., 2014; Xu et al., 2015). The goal of these experiments was to establish an ex vivo set-up to determine the compatibility of drug to drug carrier and the drug release profile. As proof-of-concept, gelatin sponges were used as carrier model. A piece of 1mm<sup>2</sup> of the gelatin sponge was loaded with 100 ng/ml BMP-7 and incubated within the cell culture media. Unloaded gelatin sponges served as control. The following parameters were tested: cell viability with trypan blue and EndMT through fluorescent staining as described below.

Item	Company/ Catalog Number
Gelfoam® Absorbable Gelatin Sponge, USP	Pharmacia & Upjohn Company 09-0396-05

Table 9: Gelatin Sponge Testing

### 3.7 Statistical Analysis

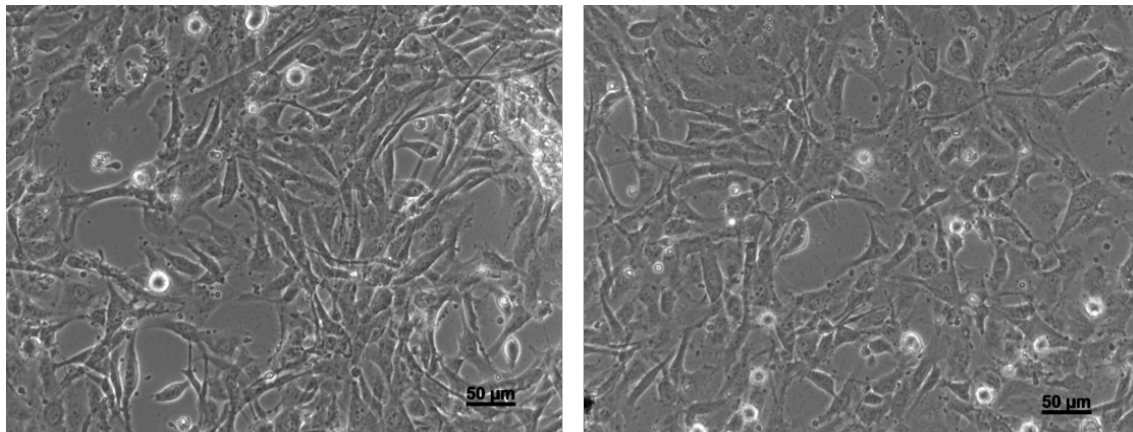
All experiments were repeated three times. The number of PECAM1/  $\alpha$ SMA stained cells was measured in 10 randomly chosen microscope fields in each sample. Cell counts were performed by blinded investigators. The data were obtained from three independent experiments and included in the analysis. Data are reported as mean  $\pm$  standard error of the mean (SEM).

Following confirmation of normal distribution of data, student t-test (GraphPad Prism 5.1) or ANOVA for multiple group comparisons and Bonferroni's post-hoc analysis were used to obtain calculations of statistical significance. Probability values of  $\leq 0.05$  were regarded statistically significant.

## 4. Results

### 4.1 EndMT Can Be Induced by TGF- $\beta$ 1 (HCAEC)

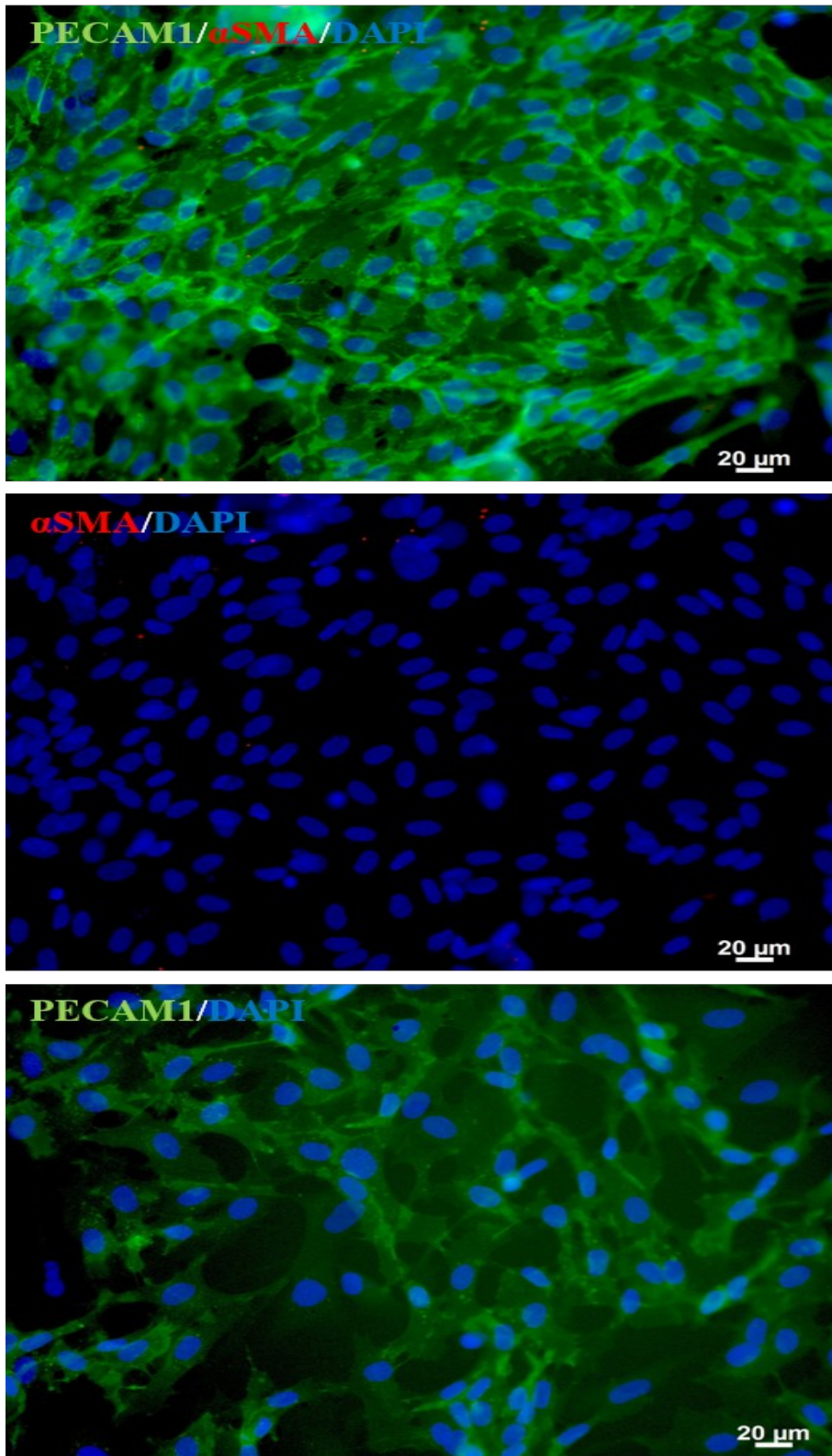
When the cells were exposed to 10 ng/ml of TGF-  $\beta$ 1 the arrangement of the cells already started to change after 24 hours. The cobblestone pattern disappeared and the cells lost their cell-cell contacts and thus acquired the ability to migration which ECs are normally not able to. Spindle shaped cells were observed microscopically instead of hexagonal cell which is the typical appearance of ECs. Furthermore, a significant number of cells did not only stain for the endothelial marker PECAM1 but also for the mesenchymal marker  $\alpha$ SMA which is described as active EndMT. All in all a transformation of ECs into mesenchymal cells could be observed 24 hours after addition of TGF- $\beta$ 1 to the media.



*Figure 7: TGF-  $\beta$ 1 Treatment - Cells Before Treatment*

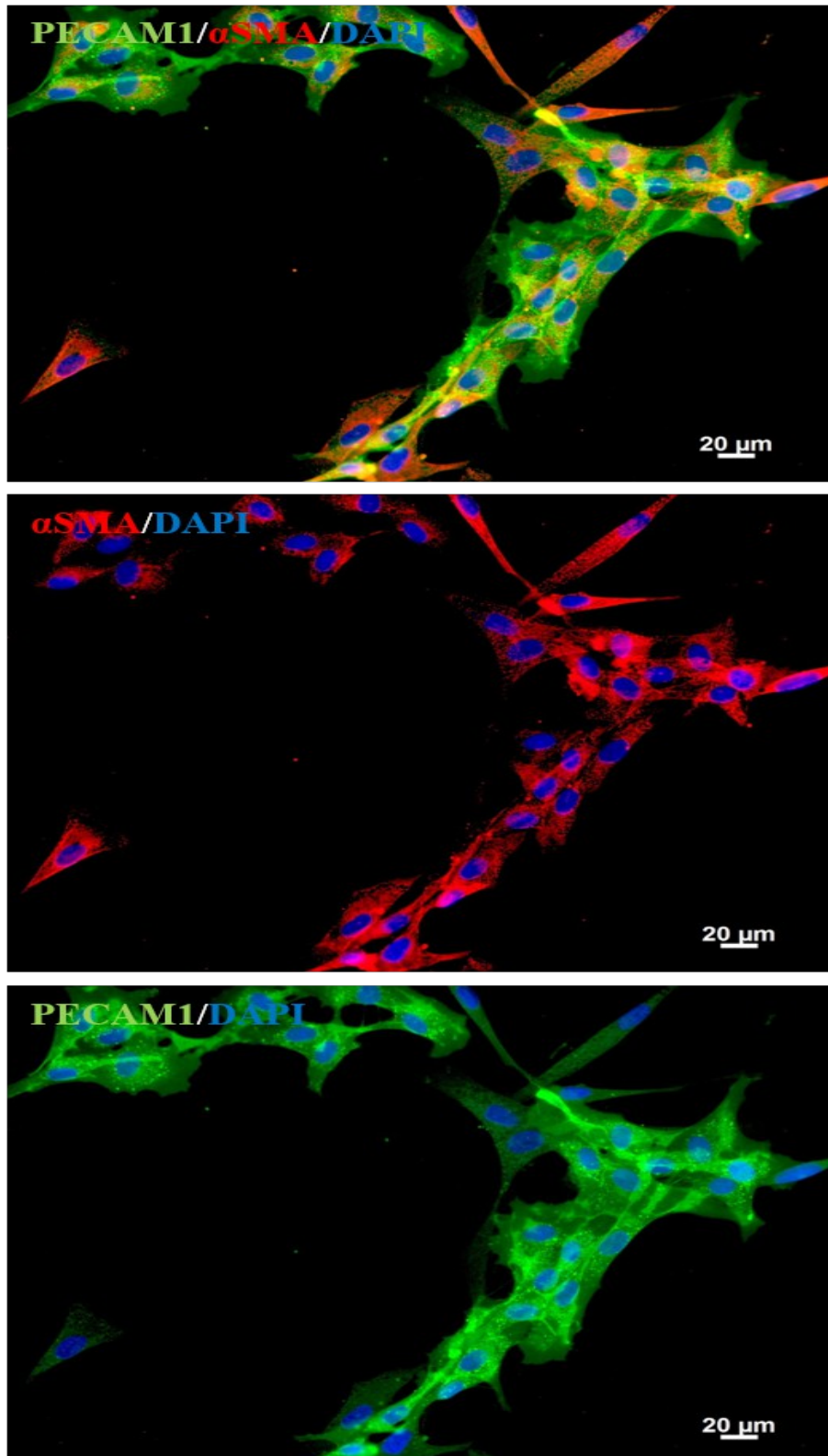
*a) Control*

*b) TGF- $\beta$ 1 treatment*



*Figure 8: TGF-  $\beta$ 1 Treatment - Control*

*Cells were only exposed to the growth medium. There is only positive staining for the EC marker PECAM1 and cells do not stain for the mesenchymal marker  $\alpha$ SMA. The cells show the typical endothelial phenotype: hexagonal cells in a cobblestone pattern*



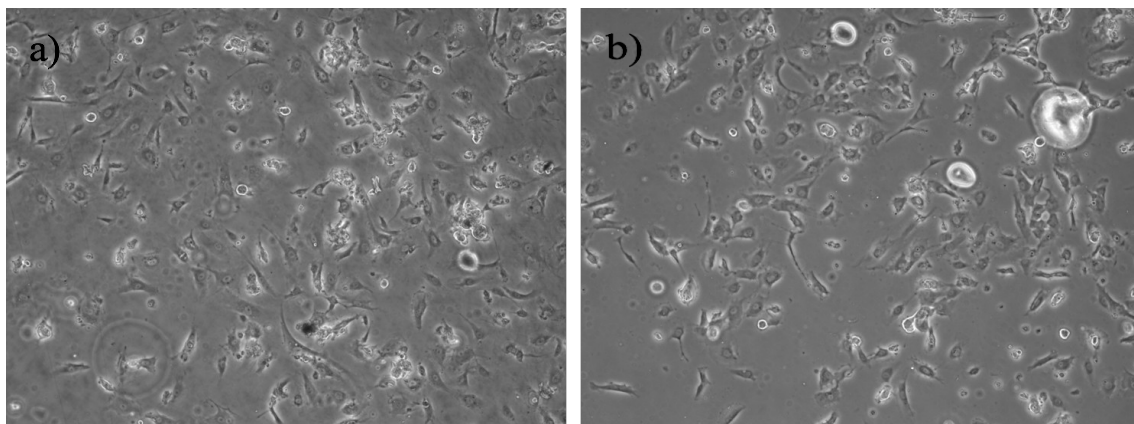
*Figure 9: TGF-  $\beta$ 1 Treatment - Treatment*

*After cells were exposed to TGF- $\beta$ 1 for 24 hours, the cells stained positive for both the EC marker PECAM1 and the mesenchymal marker  $\alpha$ SMA. The cells lose confluency and develop a spindle shaped mesenchymal phenotype.*



## 4.2 EndMT Can Be Induced by Uniaxial Static Stretch of Eight Hours

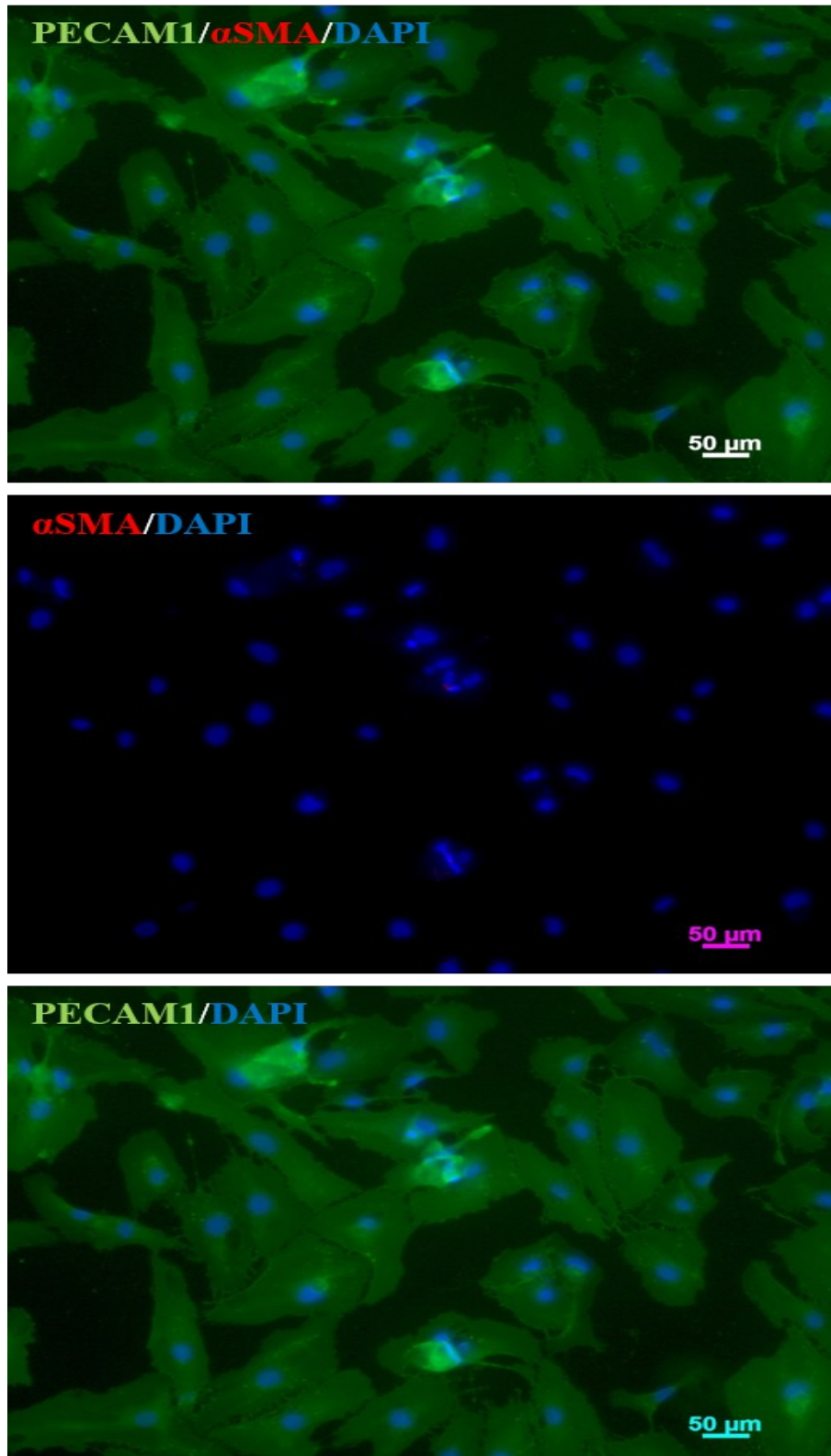
In order to test the hypothesis, that EFE is stimulated through a mechanical insult, I set out to induce EndMT through uniaxial static stretch. Mimicking the clinical presentation of some fetuses with critical aortic stenosis where the left ventricle becomes severely dilated imposing mechanical stress on the endothelium before atrophying and developing EFE, I designed in vitro experiments of stretching ECs in culture. To investigate this mechanical stress on ECs, HCAEC were seeded on silicon stretch chambers. Cells were exposed to 8 hours of uniaxial static stretch of 10% in a 37°C, 5% CO<sub>2</sub> incubator. After staining with PECAM1 (endothelial marker) and  $\alpha$ SMA (mesenchymal marker) the cells showed active EndMT and lost their endothelial morphology indicating that static stretch triggers EndMT and thus, could also be a stimulator for EFE development.



*Figure 10: Uniaxial Static Stretch of 10% - Cells Before Treatment*

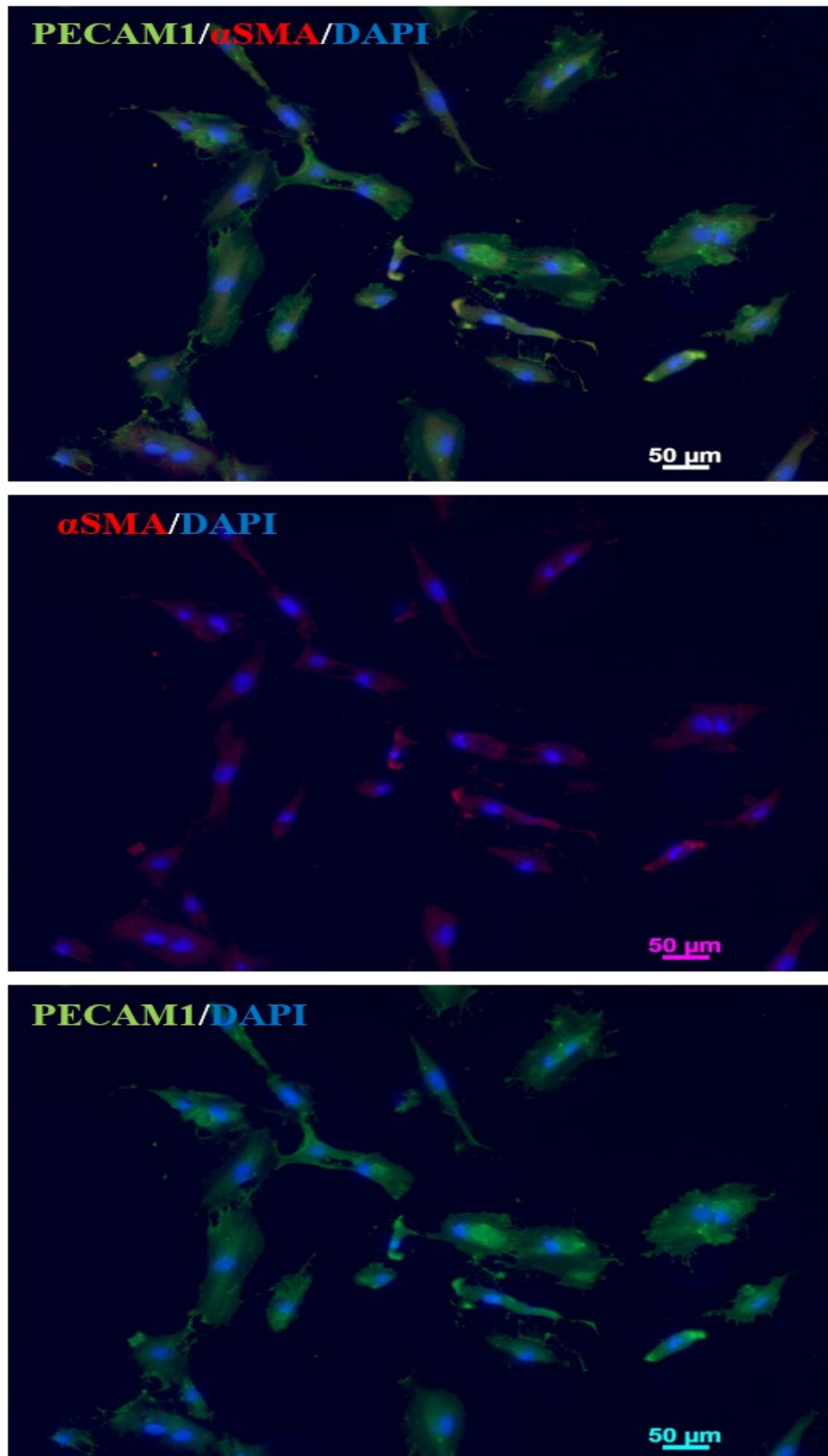
*a) Control*

*b) Static stretch*



*Figure 11: Uniaxial Static Stretch of 10% - Control*

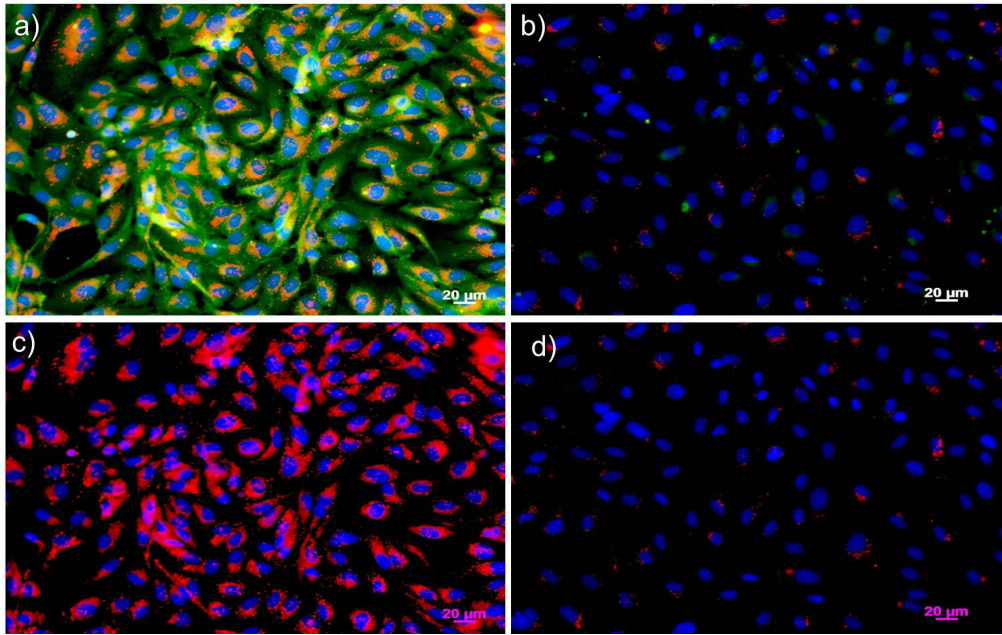
*Cells were seeded on silicone stretch chambers but were not exposed to uniaxial static stretch. The cells stained for the EC marker PECAM1 only and showed the endothelial phenotype.*



*Figure 12: Uniaxial Static Stretch of 10% - Static Stretch*

*Cells were exposed to 10% of uniaxial static stretch for 8 hours. The cells lost their cell-cell contacts and stained for both the EC marker PECAM1 and the mesenchymal marker αSMA. There is a switch from a hexagonal endothelial to a spindle shaped mesenchymal phenotype.*





*Figure 13: Treatment with Dil-Ac-LDL*

*To confirm these results, I used Dil complex acetylated Low Density Lipoprotein from Human Plasma (Gibco, L3484) which is only internalized by endothelial cells and fluorescence upon take-up. As indicated by the representative pictures, stretched cells had no functional ECs compared to the control group.*

*PECAM1 (EC marker), Dil-Ac-LDL (functional EC marker), DAPI (nuclei)*

*a) Control, filter: PECAM1, Dil-Ac-LDL, DAPI*

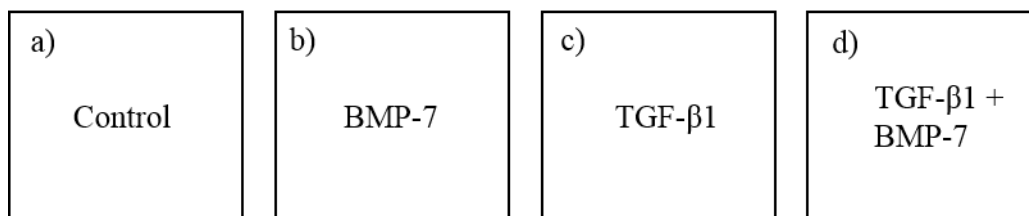
*b) Static Stretch, filter: PECAM1, Dil-Ac-LDL, DAPI*

*c) Control, filter: Dil-Ac-LDL, DAPI*

*d) Static Stretch, filter: Dil-Ac-LDL, DAPI*

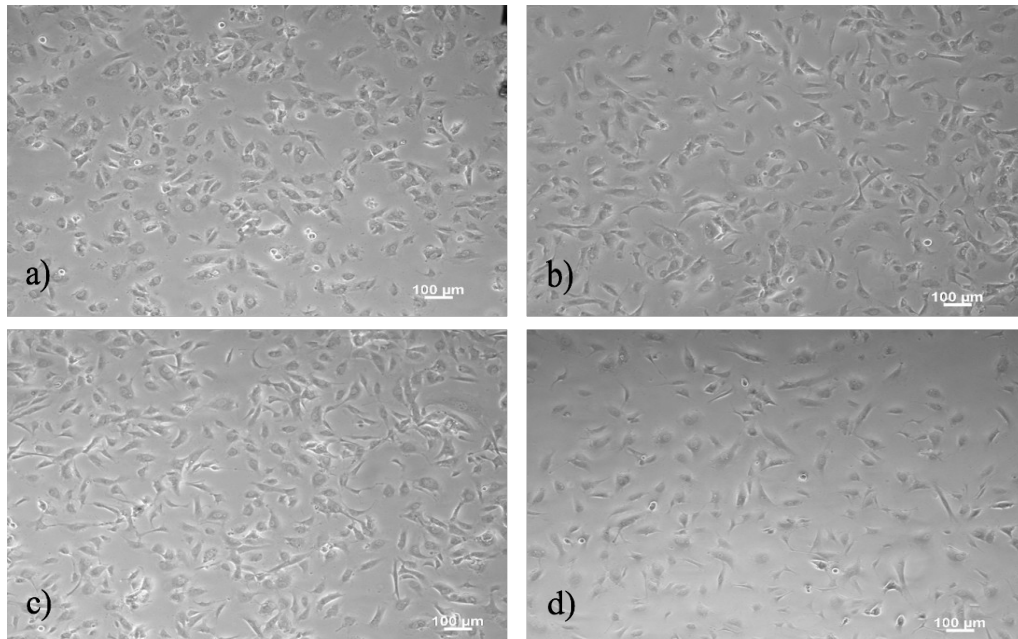
### 4.3 TGF- $\beta$ 1 Mediated EndMT Is Inhibited by BMP-7

To determine the effects of BMP-7 on EndMT, the cells were seeded on four different chambers containing control media in one group, media supplemented with BMP-7 and TGF- $\beta$ 1 in a second group, and media with TGF- $\beta$ 1 or BMP-7, respectively. The experiment was terminated after 72 hours. Cells in the TGF- $\beta$ 1 chamber underwent EndMT as described before which served as positive control. Addition of BMP-7 to TGF- $\beta$ 1 mediated EndMT, significantly reduced the number of cells undergoing EndMT. Cells retained their endothelial phenotype and displayed their typical cobblestone morphology indicative of ECs. In addition, there were significantly less cells staining for the mesenchymal marker  $\alpha$ SMA compared to the cells in the TGF- $\beta$ 1 chamber which stained for both, the mesenchymal and endothelial marker. In order to investigate whether BMP-7 alone had any effect on ECs in culture, cells were seeded in a chamber containing BMP-7 supplemented media. In these chambers, no EndMT was observed and cells maintained their endothelial shape same as in the control chamber. The display of all results is going to follow the same experiment setup as indicated in figure 13.



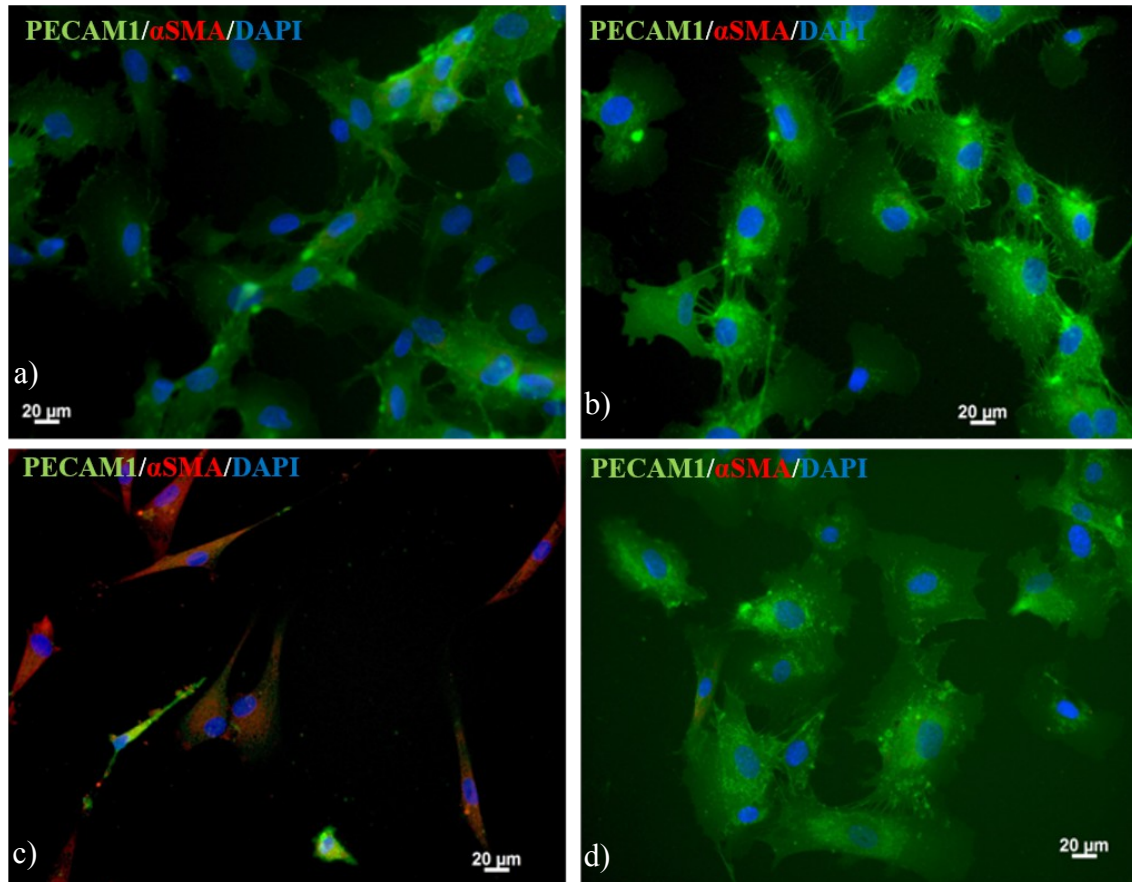
*Figure 14: Inhibiting TGF- $\beta$ 1 Induced EndMT - Experiment Setup*

*a) group control contained growth medium. b) Group BMP-7 was treated with 100 ng/ml BMP-7 only to test the influence of BMP-7 on HCAEC. c) Treatment with TGF- $\beta$ 1 only served as a positive control for EndMT. d) The treatment group contained both 10 ng/ml TGF- $\beta$ 1 and 100 ng/ml BMP-7*



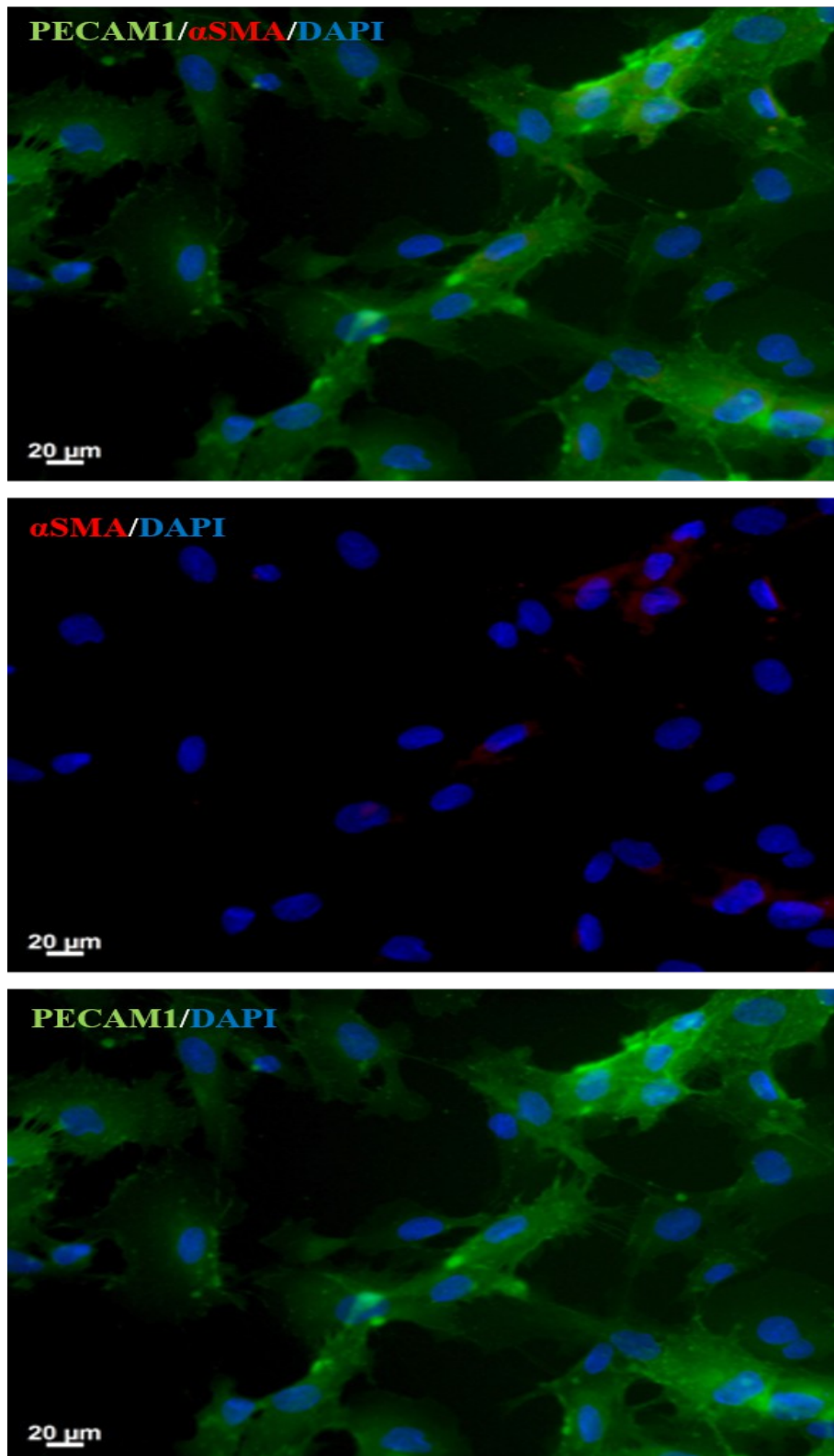
*Figure 15: Inhibiting TGF- $\beta$ 1 Induced EndMT - Before Treatment*

*a) control b) BMP-7 c) TGF- $\beta$ 1 d) TGF- $\beta$ 1 and BMP-7. Treatment was started when the cells reached a confluency of 80%.*



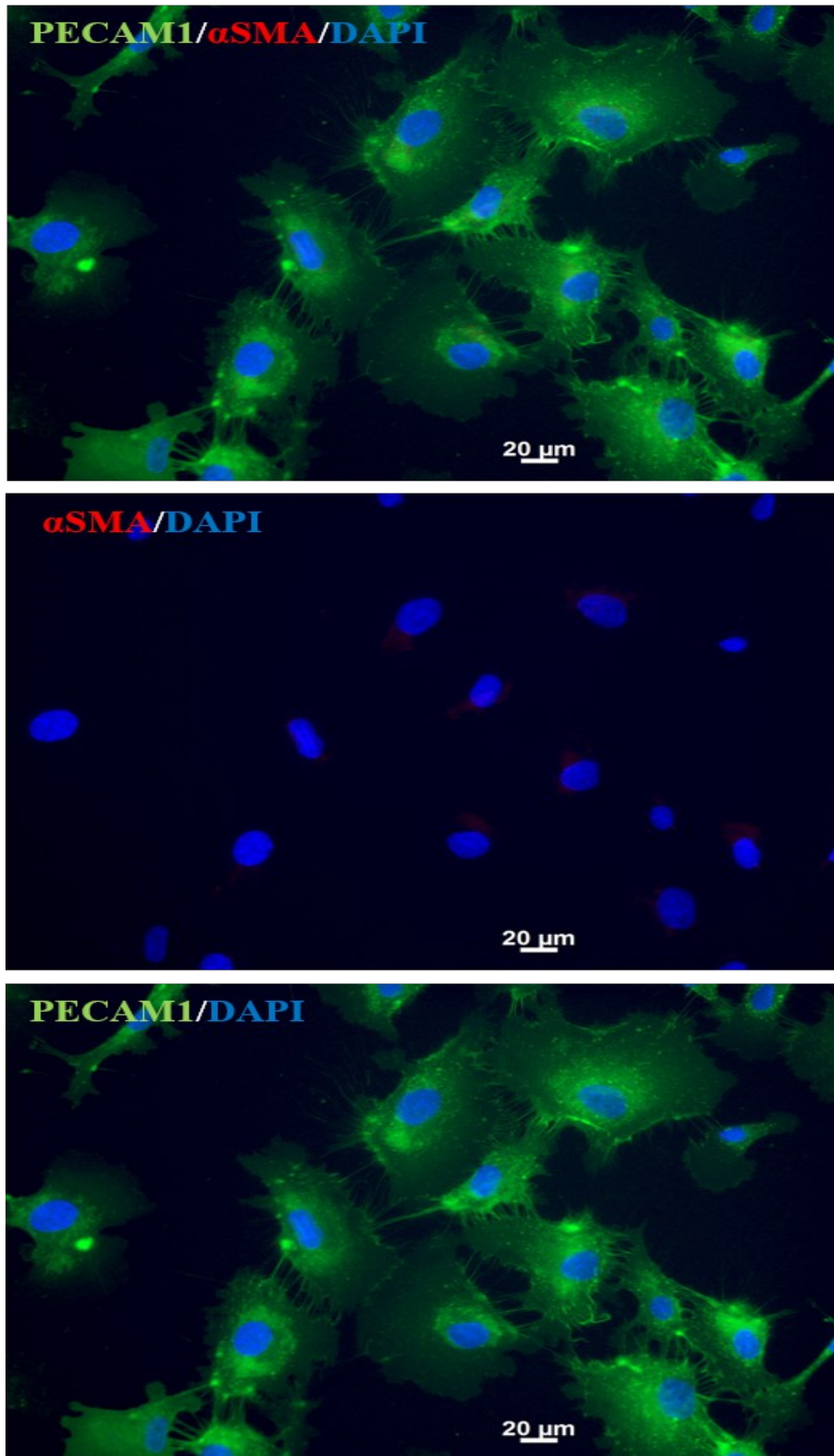
*Figure 16: Inhibiting TGF- $\beta$ 1 Induced EndMT - After Treatment*

*a) control b) BMP-7 c) TGF- $\beta$ 1 d) TGF- $\beta$ 1 + BMP-7. TGF- $\beta$ 1 induces EndMT whereas BMP-7 inhibits the transformation from an endothelial to a mesenchymal cell.*



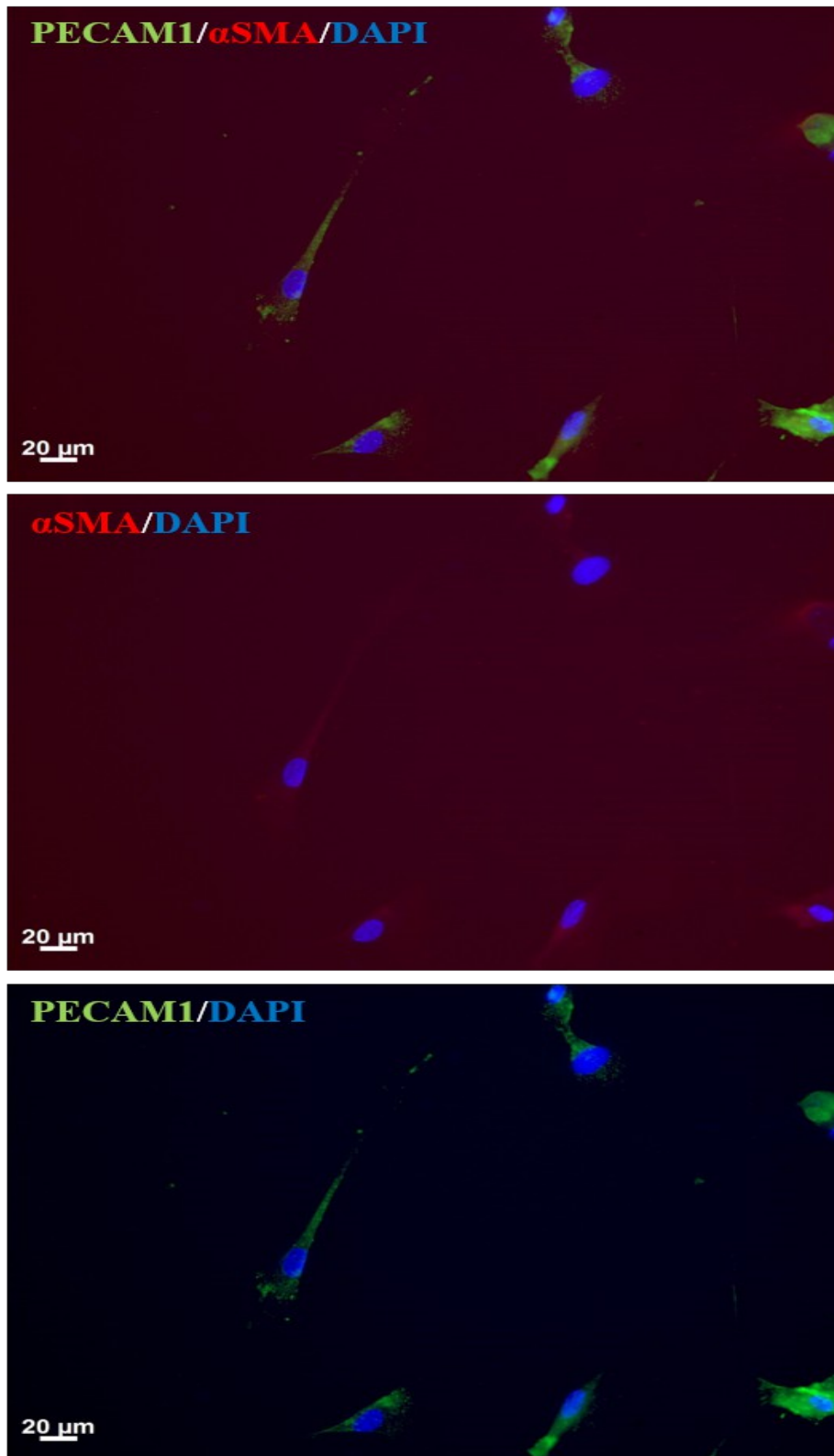
*Figure 17: Inhibiting TGF- $\beta$ 1 Induced EndMT - Group Control  
Cells were exposed to growth medium only.*



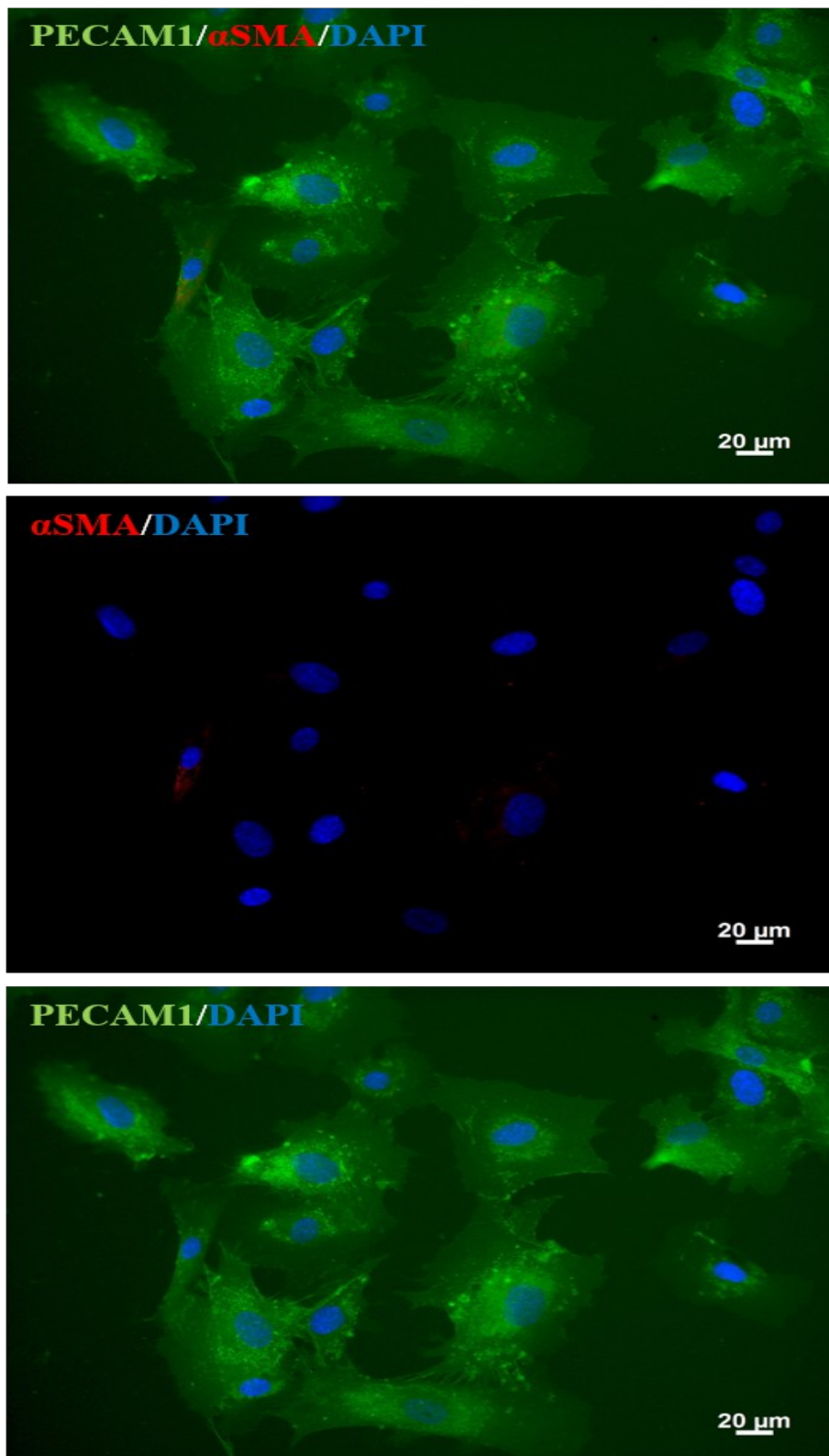


*Figure 18: Inhibiting TGF-β1 Induced EndMT - Group BMP-7*

*Treatment with BMP-7 showed that BMP-7 itself does not have any effect on the transformation or viability of cells*

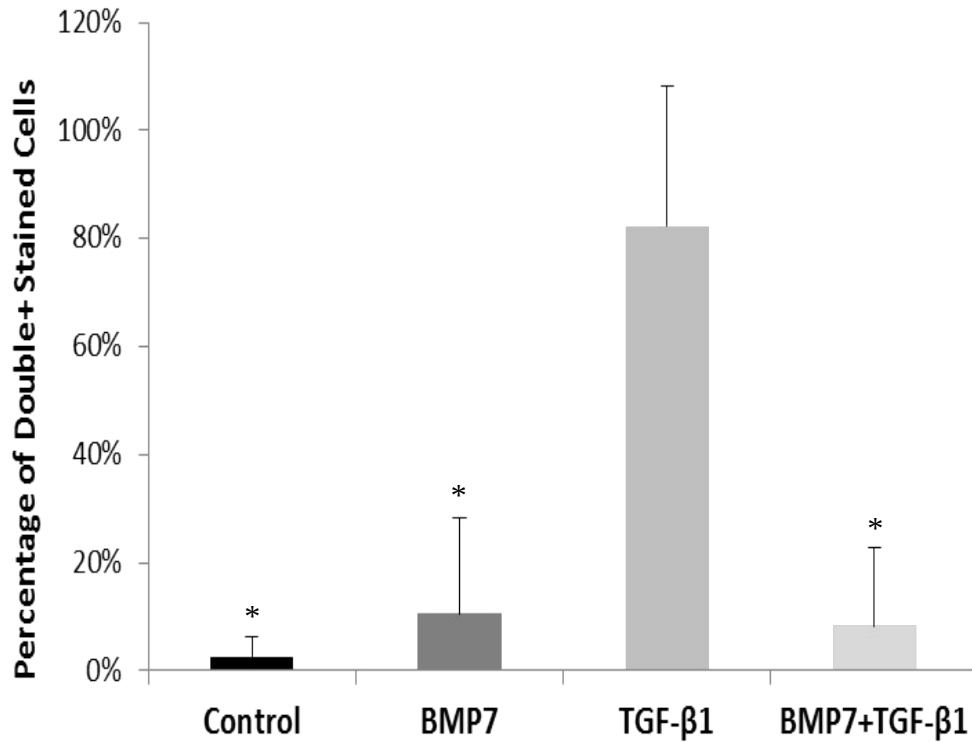


*Figure 19: Inhibiting TGF-β1 Induced EndMT - Group TGF-β1  
Treatment with TGF-β1 only which served as positive control for  
EndMT.*



*Figure 20: Inhibiting TGF-β1 Induced EndMT - Group Treatment*  
*Treatment with both TGF-β1 and BMP-7. The Cells retained their endothelial phenotype and displayed their typical cobblestone morphology indicative of ECs. The cells mostly stained for the EC marker PECAM1 compared to the cells in the TGF-β1 chamber which stained for both, the EC marker and mesenchymal αSMA.*





*Figure 21: Inhibiting TGF- $\beta$ 1 Induced EndMT - Statistical Analysis*

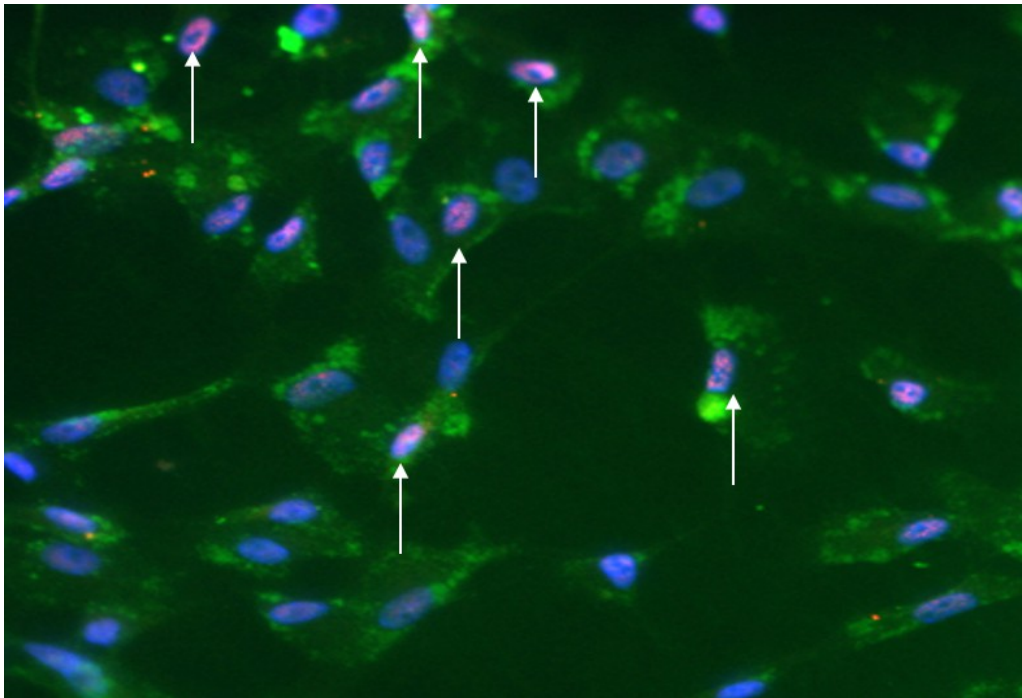
*TGF- $\beta$ 1 induced EndMT can be inhibited by BMP-7. When treated with BMP-7, there were significantly less cells staining for the mesenchymal marker  $\alpha$ SMA compared to the cells in the TGF- $\beta$ 1 chamber which stained for both, the mesenchymal and endothelial marker.*

*\* $p < 0.05$  vs. TGF- $\beta$ 1*

*Analysis by ANOVA and data are expressed as mean  $\pm$  SEM.*

#### 4.4 Uniaxial Static Stretch Induces TGF- $\beta$ 1 Pathway Activation

Since it was observed that BMP-7 inhibits EndMT in stretched cells, I wanted to confirm that the TGF- $\beta$  pathway was involved. Thus, a down-stream target of TGF- $\beta$  inducing EndMT was determined by immunohistochemical staining. Indeed activated transcriptional factors Twist was observed in cells after stretching.

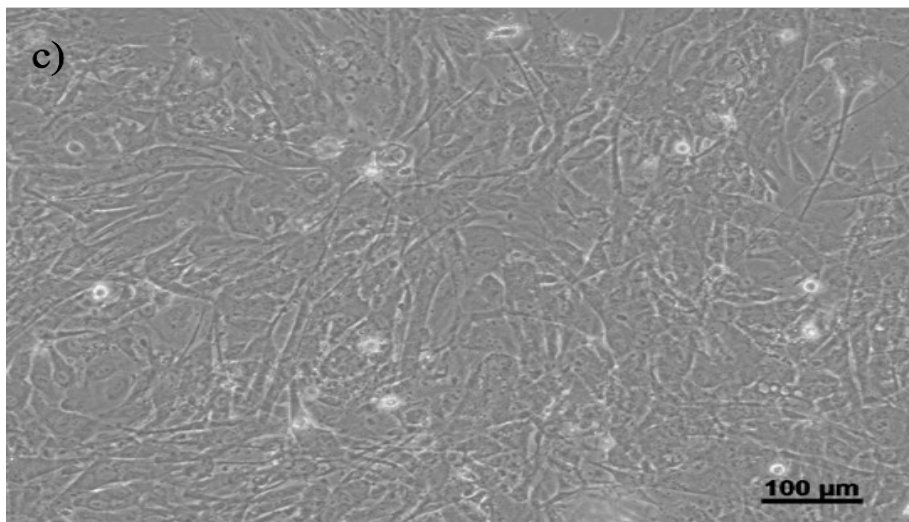
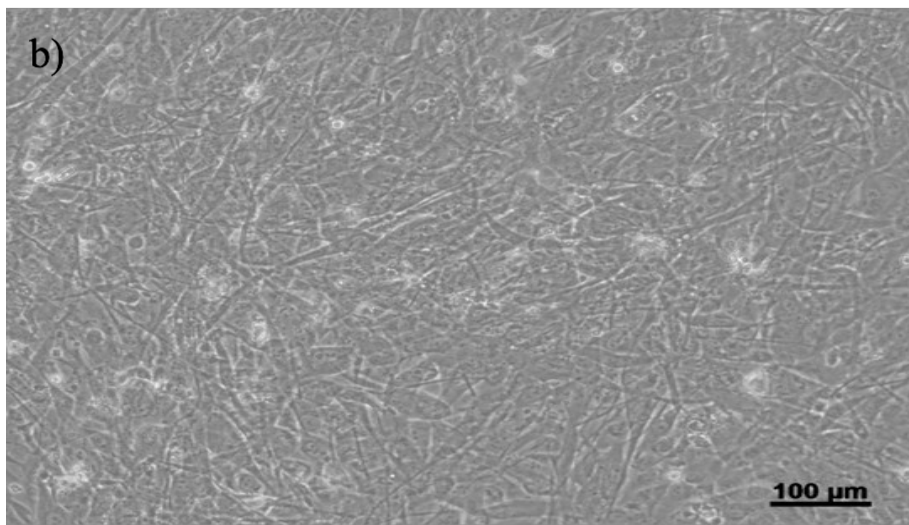
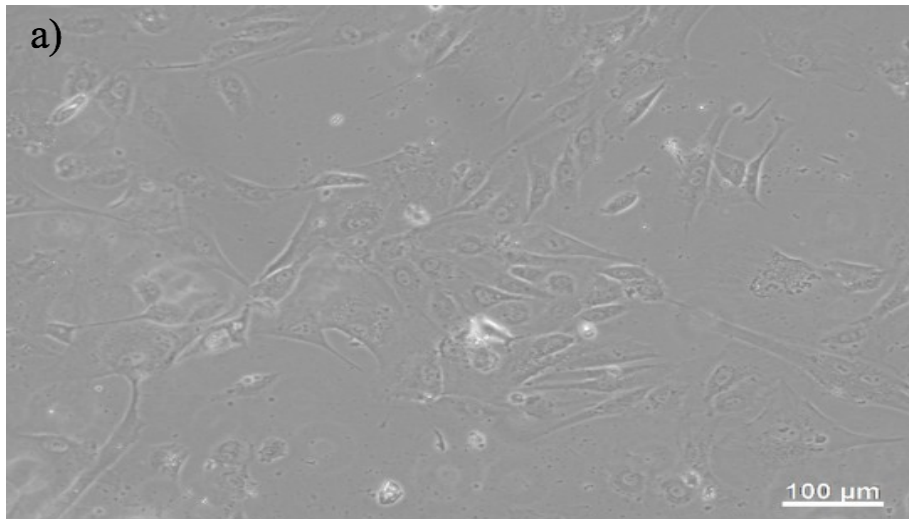


*Figure 22: Uniaxial Stretch Activates TGF- $\beta$  Pathway.*

*PECAM1 (green), Twist (red), DAPI (blue nuclei). Twist activated nuclei are shown in red.*

#### 4.5 Stretch-Induced EndMT Can Be Inhibited by BMP-7

In a subsequent experiment 100 ng/ml of BMP-7 was added to cells stretched in a chamber and was compared to stretched cells without BMP-7. Cells seeded on a stretch chamber but not exposed to stretch and without BMP-7 served as a negative control. After 8 hours of 10% of static uniaxial stretch, cells in the stretch chamber without BMP-7 showed EndMT whereas the cells in the stretch chamber with BMP-7 retained their endothelial morphology and only stained for PECAM1 (endothelial marker). These results suggest that static stretch induced EndMT is regulated through activation of the TGF- $\beta$  pathway which can be inhibited by BMP-7 treatment.

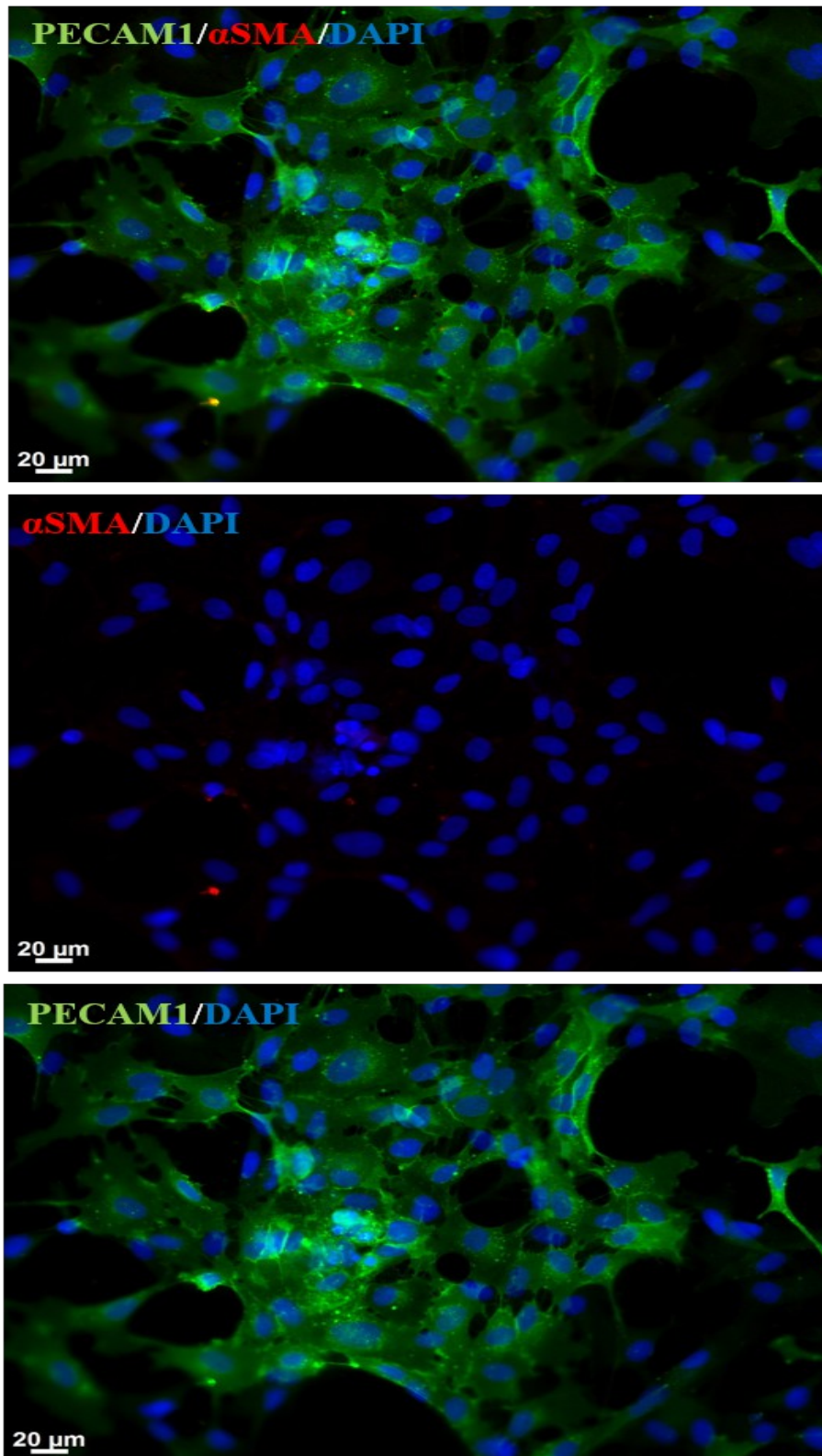


*Figure 23: Inhibiting Stretch Induced EndMT - Cells Before Static Stretch*

*a) Control*

*b) Uniaxial static stretch*

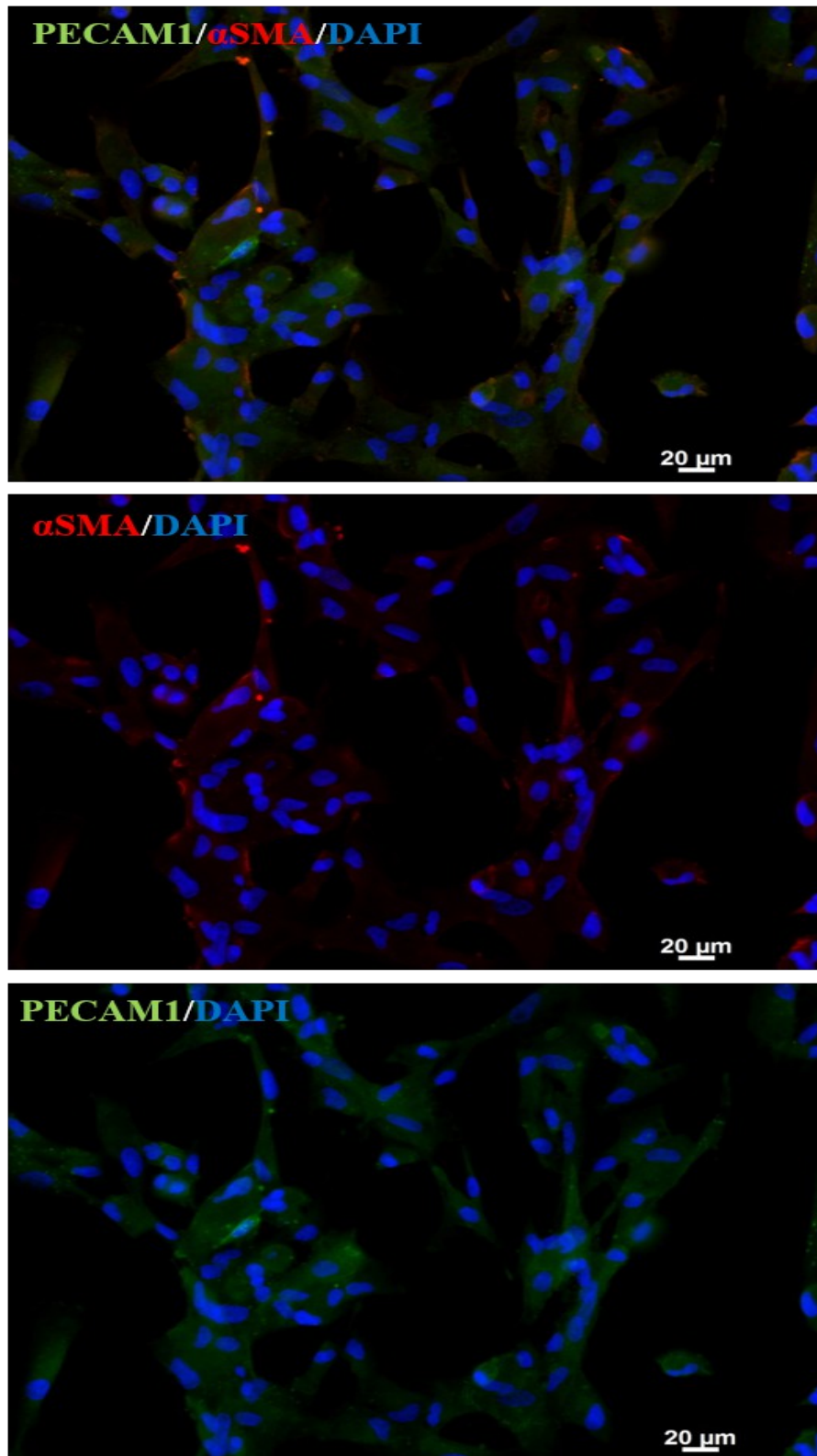
*c) Uniaxial static stretch and treatment with BMP-7*



*Figure 24: Inhibiting Stretch Induced EndMT - Control*

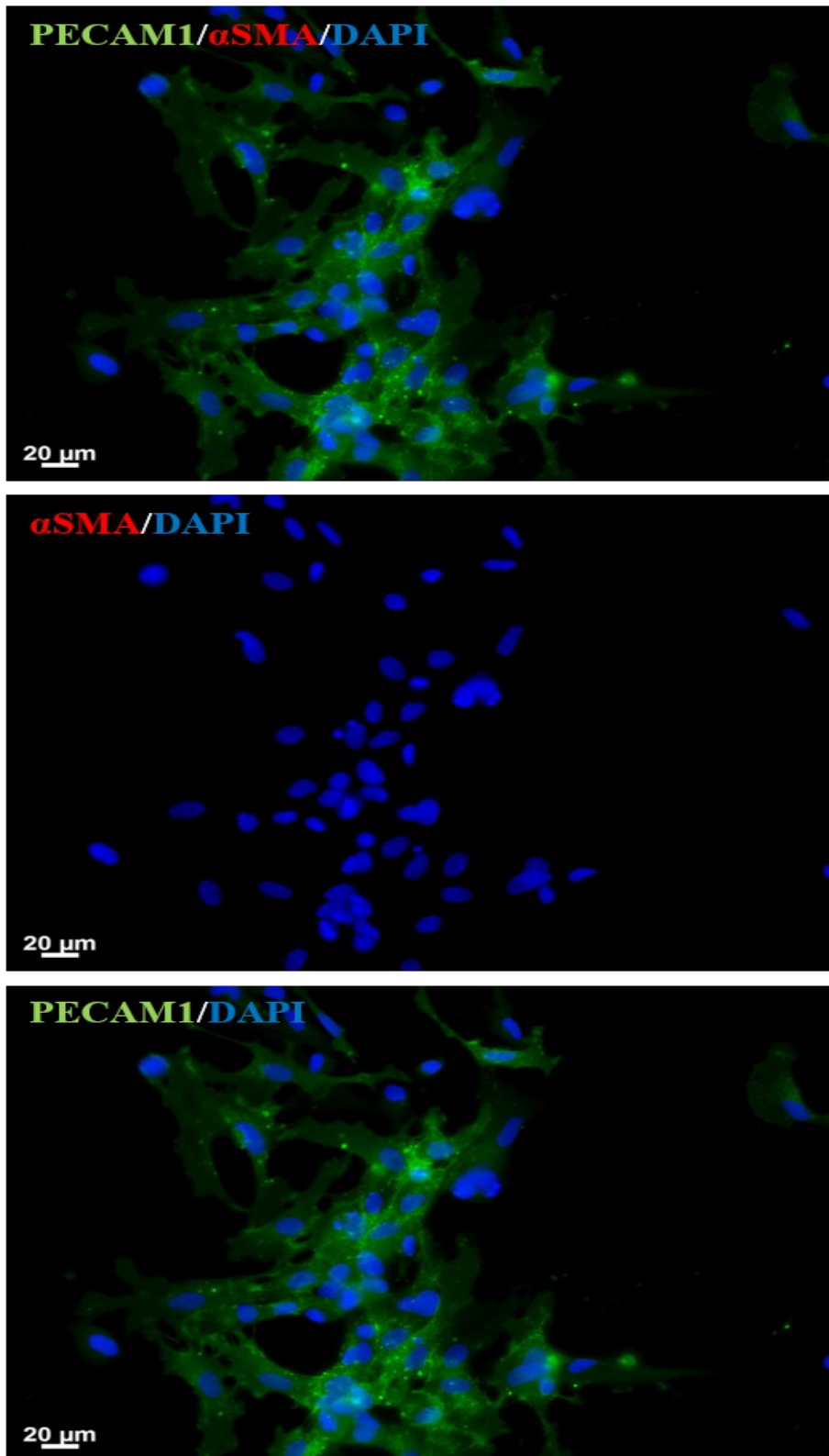
*The cells were seeded on a silicon stretch chamber but not exposed to uniaxial static stretch and served as negative control.*





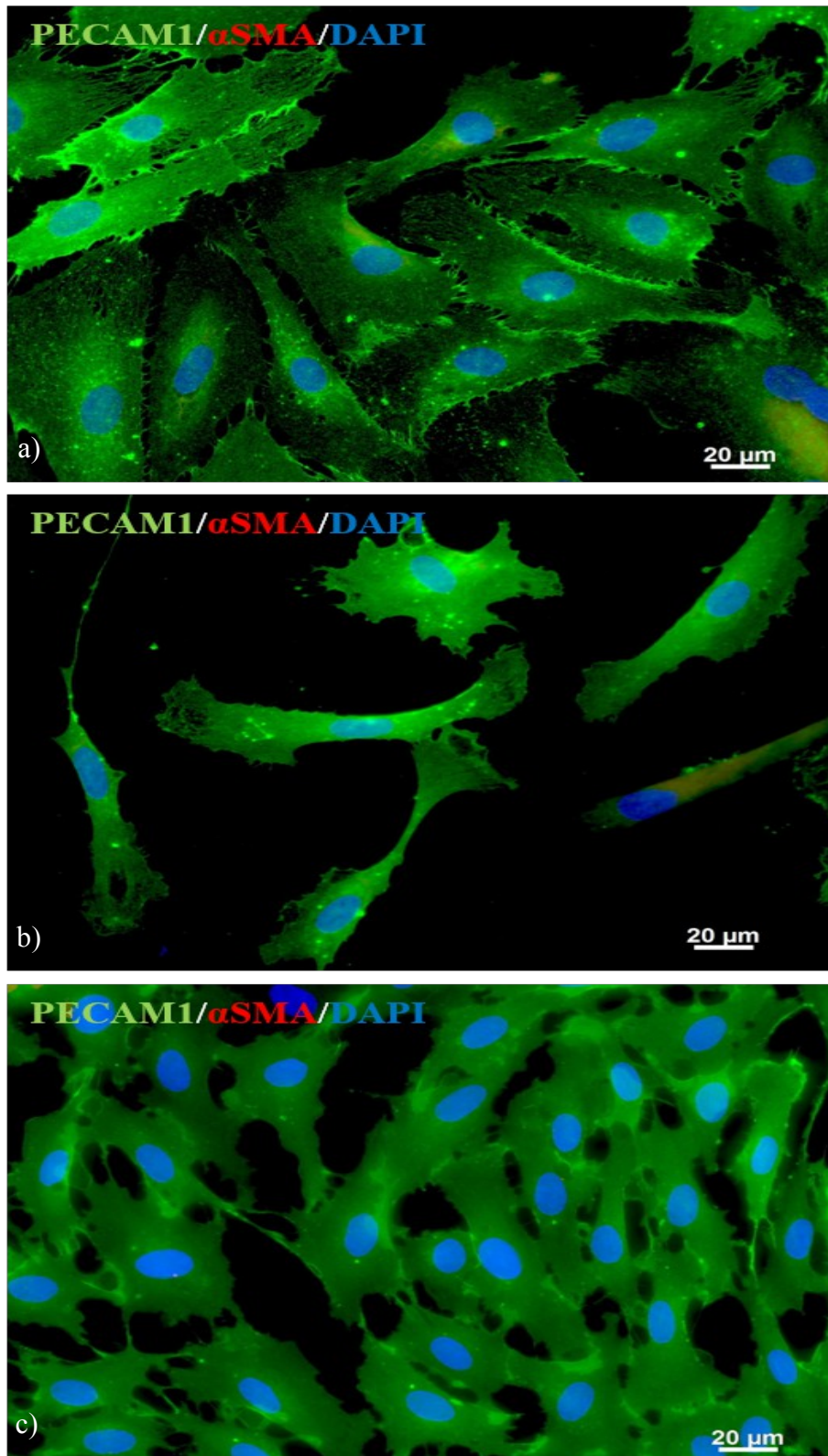
*Figure 25: Inhibiting Stretch Induced EndMT - Static Uniaxial Stretch*

*The cells in the stretch chamber without BMP-7 showed EndMT and served as a positive control for EndMT*



*Figure 26: Inhibiting Stretch Induced EndMT - Static Stretch and BMP-7 Treatment*

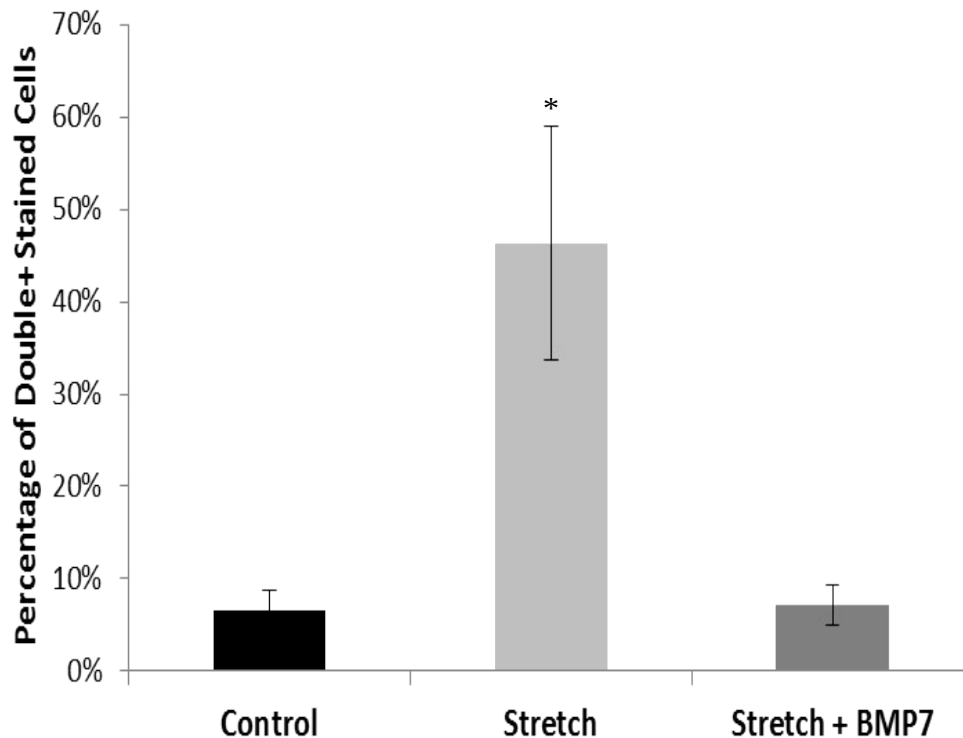
*The cells in the stretch chamber with BMP-7 retained their endothelial morphology and only stained for PECAM1 (EC marker)*



*Figure 27: Inhibiting Stretch Induced EndMT*

*Uniaxial static stretch induces EndMT which can be inhibited by BMP-7; a) Control, b) Uniaxial static stretch, c) Uniaxial static stretch and BMP-7 treatment*





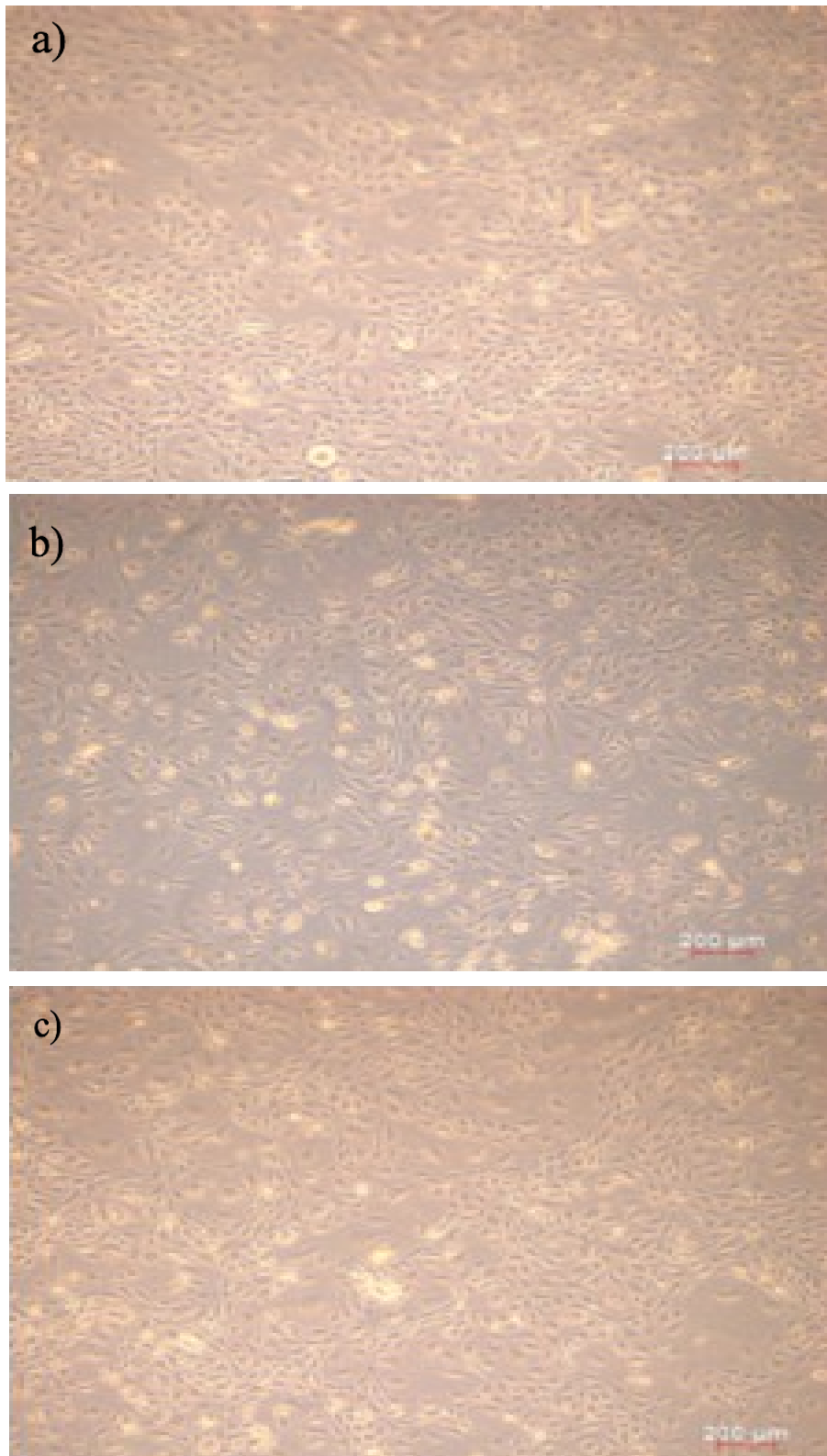
*Figure 28: Inhibiting Stretch Induced EndMT - Statistical Analysis*

*\* $p < 0.05$  vs. control and BMP-7. Analysis by ANOVA and data are expressed as mean  $\pm$  SEM.*

*Following BMP-7 treatment, significantly less cells stained for both the EC marker PECAM1 and the mesenchymal marker  $\alpha$ SMA compared to the cells in the stretch chamber not containing BMP-7.*

## 4.6 BMP-7 Supplied on a Drug Carrier

In regards to local treatment, gelatin sponges were used as carrier for BMP-7 in the cell stretch experiments. Instead of adding BMP-7 to the media, a gelatin sponge was soaked with BMP-7 and then added to the media of the stretch chamber. The set up was the same as the experiment “Uniaxial Stretch and Treatment with BMP-7” in order to compare the results and cell viability. It was shown that the stretched cells with the gelatin sponge preserved the endothelial phenotype and did not induce EndMT compared to the stretched cells only containing the media. Cell viability was maintained throughout the entire experiment. These results are promising for further investigations on collagen-based BMP-7 carriers in order to inhibit EndMT locally preventing side effects occurring with systemic application.

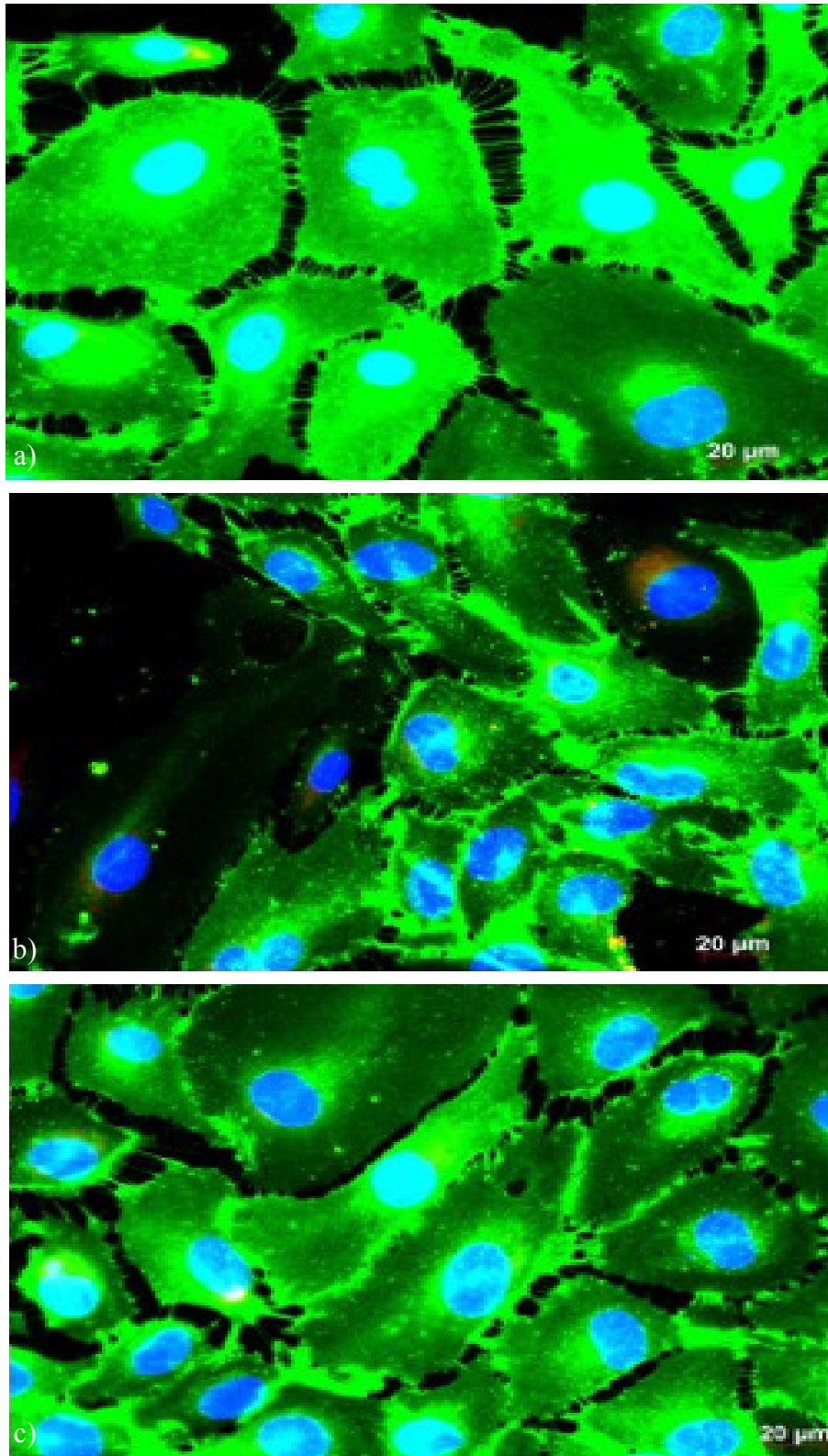


*Figure 29: Drug Carrier Testing - Cells Before Uniaxial Static Stretch*

*a) Control*

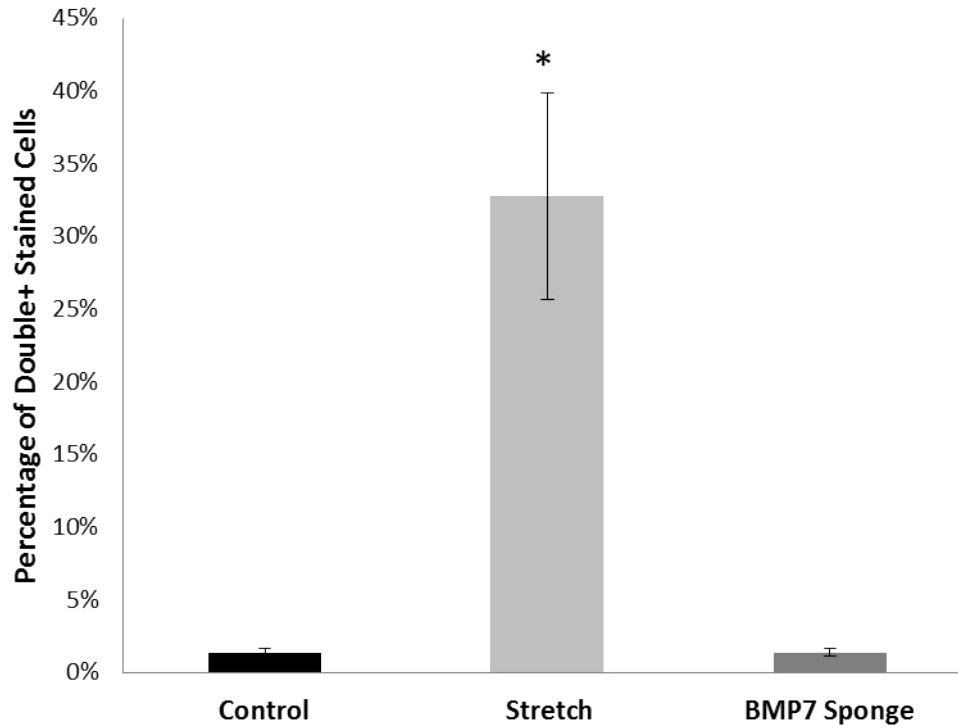
*b) Uniaxial static stretch*

*c) Uniaxial static stretch and BMP-7 gelatin sponge*



*Figure 30: Gelatin Sponge as a Drug Carrier for BMP-7.*

*a) Control b) Uniaxial static stretch c) Uniaxial static stretch plus gelatin sponge containing BMP-7. The stretched cells with the gelatin sponge contained the endothelial phenotype and did not undergo EndMT compared to the stretched cells only containing the media. Cell viability was not influenced by the gelatin sponge.*



*Figure 31: Inhibiting Stretch Induced EndMT - Statistical Analysis*

*\* $p < 0.001$  stretch vs. control and sponge. Analysis by ANOVA and data are expressed as mean  $\pm$  SEM.*

*There are significantly less cells showing double positive staining for the EC marker and mesenchymal marker compared to the stretched cells only containing the media. The stretched cells with the gelatin sponge preserved the endothelial phenotype and did not induce EndMT.*

## 5. Discussion

### 5.1 Summary

Even in this day of age, treatment of congenital heart disease still faces major obstacles. Despite advances in early diagnosis and treatment of severe congenital heart defects such as HLHS, mortality and morbidity due to the palliative nature of the reconstruction remain a challenge. Following a three-step surgery performed within the first weeks to months of life, the majority of patients reach adulthood nowadays but are left with a single ventricle physiology where the anatomically right ventricle supports the systemic circulation (Arnold, Loukanov and Gorenflo, 2014). As these patients reach 20 and 30 years of age, the prevalence of complications rises affecting not only the cardiovascular system but multiple other organ systems as well (Egbe *et al.*, 2016). With advances in fetal intervention, even complex lesions such as HLHS have become amenable to treatment modifications which significantly improved the long-term outcome for a subset of patients. However, there is more to be accomplished by focusing on pathologies aggravating disease progression pre- and postnatally.

In order to achieve the best possible outcome and prevent heart transplantation and complications, therapeutic strategies have to be adjusted to anatomical variabilities in each HLHS patient (Moon-Grady, Moore and Azakie, 2011). This should especially be considered in the milder borderline HLHS patients where a biventricular repair might be feasible. One limiting factor in borderline HLHS, is the presence of EFE tissue which impedes diastolic compliance and growth of the ventricle (McElhinney, Vogel, *et al.*, 2010; Friedman *et al.*, 2014). Therapeutically targeting EFE through surgical resection postnatally has shown to benefit LV growth when this novel procedure was introduced over a decade ago at Boston Children's Hospital (Emani *et al.*, 2009). Several publications by Boston Children's Hospital have shown that resection of EFE significantly improves outcome of patients with borderline LV by allowing catch-up growth of the LV (Tworetzky *et al.*, 2005; Emani *et al.*, 2009). As diagnosis of HLHS is made during routine prenatal echocardiography around 18-20 weeks of gestation and fetal cardiac interventions such as aortic valvotomy are already in place at specialized institutions around the world, EFE inhibition could be attempted during fetal life and subsequently postnatally as well in order to recruit the LV with the goal of biventricular physiology (Connor

and Thiagarajan, 2007). However, there are still a lot of unanswered questions regarding EFE formation and progression which need to be addressed in order to develop localized treatment options.

The goal of this project was to shed light on the pathomechanism of EFE development and progression in HLHS. There are three major hypotheses regarding the cause of EFE formation, including ischemia, infection and mechanical injury. EFE has been reported in association with mumps, coxsackie, adenovirus or lactobacillus induced myocarditis, arguing for an infectious origin (Fruhling L, Korn R, LaVillaureix J, Surjus A, 1962; Amrikachi *et al.*, 1997; Ni *et al.*, 1997). With regard to ischemia, EFE has been detected in vascular conditions such as myocardial infarction, twin-twin transfusion and lymphatic obstruction (Lazda, no date; KLINE *et al.*, 1964; Hutchins and Bannayan, 1971). The majority of EFE cases is seen in patients with dilated, restrictive or hypertrophic cardiomyopathies and congenital malformations such as aortic stenosis, coarctation of the aorta, anomalous coronary artery, intracranial arteriovenous fistula and especially HLHS (Bland, White and Garland, 1933; OPPENHEIMER, 1953; ANDERSEN and KELLY, 1956; Ursell *et al.*, 1984; Newbould, Armstrong and Barson, 1991; Lurie, 2010). The latter indicates that the majority of EFE cases were associated with mechanical insults on the ventricle due to pressure or volume overload (Lurie, 2010). Cardiac fibrosis is also a consequence of mechanical strain on the ventricle but unlike cardiac fibrosis, EFE presents as a thickening of the endocardial layer only without myocardial involvement (Lurie, 2010). The thickening of the endocardium without myocardial involvement, points toward an endocardial endothelial origin which has been confirmed in a recent study from Boston Children's Hospital: EFE resected during routine LV rehabilitation surgery was examined and revealed that EFE derives from endocardial ECs undergoing transformation into mesenchymal cells through an imbalance of the BMP/TGF- $\beta$ 1 pathway (Xu *et al.*, 2015). TGF- $\beta$ 1 is the main stimulator of EndMT and BMP-7 has been shown to inhibit this process. In my cell culture model, I established that isolated ECs follow the same stimulator and inhibitor signaling for the regulation of EndMT. When the balance of the growth factors in the media was changed to TGF- $\beta$ , a known stimulator of EndMT, HCAEC changed morphology. The cells underwent a transformation from a hexagonal shape which is the typical appearance of endothelial and endocardial ECs to a spindle-shaped phenotype

which is indicative for fibroblasts. To confirm the light microscopic appearance, cells were stained with markers for ECs and fibroblasts, respectively. The presence of active EndMT was confirmed by visualizing and counting all cells which stained for both an EC marker and a fibroblast marker at the same time. The mesenchymal marker  $\alpha$ SMA was used instead of FSP1, since it has been reported that FSP1 is not specific for all fibroblasts in cardiac remodeling and fibrosis (Kong et al., 2013). In addition, double-stained cells were identified which are indicative of ECs actively undergoing EndMT.

EndMT is a physiological process seen in heart development: the endocardial ECs in the atrioventricular canal give rise to the mesenchymal endocardial cushion cells which form the heart valves and septum (Yoshimatsu and Watabe, 2011). Furthermore, there is indication that EndMT may play a role in embryonic vascular development and formation of intimal thickening (Arciniegas et al.). However, EndMT is an important mechanism in pathologies of several organ systems as well. EndMT recently presented as new therapeutic target for fibrotic disorders as it was identified as key player in the pathogenesis of pulmonary, intestinal, cardiac and kidney fibrosis (Zeisberg *et al.*, 2007, 2008; Rieder *et al.*, 2011). It was demonstrated that ECs do not only contribute to the etiology of kidney fibrosis but furthermore to the progression of diabetic nephropathy as latest studies indicate (Zeisberg *et al.*, 2008; Li, Qu and Bertram, 2009). In myocardial infarction EndMT may be a source of cardiac tissue repair after infarction and acute ischemic injury (Aisagbonhi *et al.*, 2011). Furthermore, in whole heart models of mechanical stretch such as cardiac hypertrophy and dyssynchronous pacing induced heart failure, EndMT was upregulated leading to cardiac fibrosis (Zeisberg et al., 2007; Mai et al., 2014; Illigens et al., 2017). Even in the pathology of cancer it was reported that cells achieve the ability to migrate and transform into malignant cells via EndMT (Kalluri and Zeisberg, 2006). The main regulator is TGF- $\beta$  but the stimulating events for TGF- $\beta$  release are not well described. One aim of this study was to establish a potential trigger for TGF- $\beta$  release and subsequent EndMT stimulation and thus, making this pathomechanism amenable for treatment potentially as early as the fetal stage.

To further elucidate on the triggers for TGF- $\beta$  release, we evaluated the clinical progression of the disease. Clinical observations indicate that mechanical strain such as distention of the LV in utero negatively impact progression of EFE formation. This was



the basis to further pursue the notion that distention of the LV myocardium might play a significant role in the development and progression of EndMT. It is already shown that mechanical forces can lead to changes in EC behavior. In general ECs provide a selective permeability wall between the vessel wall and the blood and obtain metabolic and synthetic functions reacting to physical and chemical stimuli (Sumpio, Riley and Dardik, 2002). In the pathogenesis of atherosclerosis it was shown that laminar blood flow and high fluid shear stress are protective for ECs by inducing an atheroprotective gene program (Traub and Berk, 1998). On the contrary, atherosclerosis is seen in areas with turbulent blood flow and low fluid shear stress (Yoshizumi et al., 2003). ECs need to be stabilized by their environment through laminar blood flow and shear forces which could be inhibited by the thickened endocardium due to EFE formation and lack of flow due to aortic stenosis as well. In cell culture models using human umbilical vein endothelial cells it has also been reported that cyclic stretch can induce EndMT through an integrin  $\beta 1$  pathway which further supports the notion that endothelial cells respond to mechanical forces by undergoing EndMT (Suzuki et al., 1997). Based on this evidence, an in vitro model was developed mimicking the clinical situation. Human coronary artery endothelial cells were used since they share the same embryogenic origin as endocardial cells (Wu *et al.*, 2012). To mimic LV distention, a cell culture model was created in which cells were exposed to uniaxial static stretch while seeded on stretching chambers. Following testing of several time frames and stretch amounts, I determined that exposure of endothelial cells to 10% stretch over an 8-hour period induced equivalent amount of cells undergoing EndMT as treatment with TGF- $\beta$ . These results suggested a mechanical trigger in the pathomechanism of EFE development. EndMT through static stretch was mediated by the TGF- $\beta 1$  pathway which was confirmed by detecting a down-stream transcription factor (Twist) which regulates EndMT through downregulation of VE-cadherin. Therefore, the stretched cells were stained for Twist and co-localization with nuclei was determined. Twist is a member of a large protein family called basic helix-loop-helix (bHLH) transcription factors. Each of these proteins include a region called the bHLH domain which enables them to target a special sequence of DNA. It is a negative regulator of endothelial gene expression but also supports mesenchymal gene expression (Peinado, Olmeda and Cano, 2007; Khanbabaei, Teimoori and Mohammadi, 2016). The positive staining for the

intranuclear marker Twist in the stretched cells indicates that uniaxial static stretch activates the TGF- $\beta$ 1 pathway and induces EndMT. It was found that mechanical stress activated the TGF- $\beta$  pathway and induced EndMT equivalent to exposing resident HCAEC in culture to TGF- $\beta$ 1. These results provide insight into a possible cause for EndMT resulting in thickening of the endocardium (i.e. endocardial fibroelastosis). These data also confirm EndMT by showing loss of endothelial function through lack of uptake of acylated LDL.

As a next step, we were interested to determine whether TGF- $\beta$  could be inhibited to block EndMT formation. It has previously been reported that EFE formation in HLHS is caused by an imbalance of the TGF- $\beta$  and BMP signaling with an upregulation of TGF- $\beta$  and downregulation of BMP proteins promoting EndMT of endocardial endothelial cells lining the LV (Xu *et al.*, 2015). As indicated by a paper by Xu *et al.*, epigenetic modification of the BMP-7 promoter plays a key role in the misbalance of the TGF- $\beta$ /BMP pathway in EFE tissue obtained from HLHS patients (Xu *et al.*, 2015). My cell culture model of TGF- $\beta$  induced EndMT was used to establish that BMP-7 was a suitable inhibitor of the process and provided data for BMP-7 dosage. Uniaxial stretch experiments were designed to supply BMP-7 during the entire time ECs were exposed to the mechanical stimulus. Stretched cells without BMP-7 treatment underwent EndMT while those treated with BMP-7 retained their endothelial phenotype and only showed a few double-stained cells indicative of EndMT. It could be shown that BMP-7 significantly reduces the number of ECs undergoing EndMT when either exposed to TGF- $\beta$ 1 alone, or when exposed to uniaxial static stretch which stimulated the TGF- $\beta$ 1 pathway as indicated by Twist activation. TGF- $\beta$  and BMP pathways are in balance during development and tipping the balance toward TGF- $\beta$  results in EndMT (Xu *et al.*, 2015). Looking at previous studies it has been shown that BMP-7 treatment could successfully inhibit fibrosis of the heart and kidneys (Zeisberg *et al.*, 2003, 2007). Furthermore, clinical trials are currently on the way to test BMP-7 derivatives which were introduced by Kalluri *et al.* in order to treat fibrosis (Sugimoto *et al.*, 2012). These BMP-7 based small peptide agonists of BMP signaling are already in their first clinical trials for treatment of renal fibrosis and other fibrotic diseases. In this trial THR-184, a peptid agonist of the BMP-7 pathway, is administered intravenously to patients who are scheduled for cardiac surgery and are at increased risk to develop acute kidney

injury (Clinicaltrials.gov, Andreas Orfanos, 2013). As my results indicate, BMP-7 was able to prevent EndMT both induced by TGF- $\beta$ 1 and by uniaxial static stretch. These results support the conclusion that BMP-7, a drug already FDA approved for orthopedic lesions, could be a therapeutic agent to treat EFE and consequently preserve the growth potential of the LV and successfully alter the course for patients diagnosed with imminent HLHS. Treatment to inhibit EFE formation could be used as adjunct therapy to post-natal surgical resection of EFE to prevent recurrence of EFE which is currently requiring subsequent resection in several cases.

From a clinical point of view, BMP-7 treatment is a reasonable approach, however, not as systemic application. Considering the fact that BMP-7 is involved in the developmental process of several organs such as skeletal, kidney, and brown adipose tissue development, the next part of the study aimed for a local treatment option in order to avoid adverse effects of systemic treatment (Manson *et al.*, 2015; Seale, 2015; Salazar, Gamer and Rosen, 2016). BMP-7 is already used for special bone fractures where BMP-7 is applied locally through an absorbable gelatin sponge. BMPs have been in clinical use for local treatment of specific orthopedic lesions for a decade and are FDA approved for use in humans for non-union, tibial bone fractures and spinal fusion (White et al., 2007). BMP-7 (osteogenic protein 1 (OP-1); Stryker Biotech, Hopkinton, MA) and BMP2 (rhBMP-2, (InFUSE); Medtronic Sofamor Danek, Memphis, TN) are the two BMPs which are currently available for clinical applications and are manufactured by mammalian cell expression (Bishop and Einhorn, 2007). Collagen-based carriers containing BMP-7 are the basis for some of these applications in order to maintain the concentration of BMP-7. Stryker Biotech is using a purified type I bovine bone collagen powder as a carrier (Stryker, 2017). Whereas BMP-2 is placed on absorbable gelatin sponges (Medtronic, 2017). There are also reports about injectable hydrogel containing BMP-7 (Dyondi, Webster and Banerjee, 2013). Aiming for a possible local treatment option in HLHS patients, experiments were started with BMP-7 loaded gelatin sponges to assess cell viability and interference with protein activity. One mm<sup>2</sup> gelatin sponges were added to cells in the stretch chambers and control cells, and were compared to cells in stretch chambers where BMP-7 was contained in the media. No adverse events on cell viability as indicated by trypan blue staining was observed through gelatin sponges. BMP-7 supplied on a gelatin-based drug carrier was equally as

effective to inhibit EndMT as when added to the media. In terms of clinical relevance, this is important information since the goal is to supply an EndMT inhibitor directly at the source of the disease which would allow for locally applied BMP-7 during surgical repair of HLHS. When EFE is resected in the setting of surgery, a BMP-7 sponge could inhibit EFE in areas of incomplete resection and also prevent re-development of EFE. The young patient of HLHS would also benefit of a localized drug treatment instead of a surgical one. In the long run, there could be the possibility of placing BMP-7 containing drug delivery devices into the cavity of the LV as adjunct therapy to fetal aortic valvuloplasty to inhibit EFE in the very beginning with better chances of recovery of the LV. BMP-7 treatment for EFE could also be a breakthrough in other patient groups as EndMT is a process found in several pathologies in the heart, kidney and lungs as well as in cancer pathology (Zeisberg et al., 2007, 2008).

## **5.2 Limitations**

Two blinded investigators analyzed all immunohistochemical data but it is acknowledged that the methodology has inherent problems. ECs from the coronary arteries and not endocardial ECs were used but preliminary data indicate that rat endocardial cell culture which we have already established show the same results. Experiments in vitro alone are not necessarily equivalent to the in vivo situation but animal data indicate that there is a close correlation and more in vivo experiments are currently performed (Shimada *et al.*, 2014). Furthermore, direct access to EFE tissue will also lead to isolation of cells further analysis of the same mechanical stimuli and inhibitor studies as described here.

## **5.3 Perspectives and Conclusion**

The resection of EFE tissue in HLHS patients can improve the outcome of function of the left ventricle (Tworetzky et al., 2005). Therefore, targeting EFE opens the field for new therapeutic possibilities in the treatment of HLHS. Regarding EFE, it is well established that the tissue is derived from ECs of the endocardium through a process called EndMT which transforms endothelial cells into collagen producing mesenchymal

cells (Xu *et al.*, 2015). This study focused on the cause of EndMT development and elucidate on possible treatment options. For this purpose, an EndMT cell model was successfully created by treating cells with TGF- $\beta$ 1 to investigate possible treatment options. With regard to the finding of mechanical distention triggering EFE, cells were exposed to uniaxial static stretch which was used as second experimental set-up. It was found that uniaxial static stretch can induce EndMT in ECs by activation of the TGF- $\beta$  pathway. In both EndMT models, HCAEC underwent significantly less EndMT when treated with BMP-7, a TGF- $\beta$  pathway inhibitor. Based on FDA approved BMP-7 options (White *et al.*, 2007), collagen carriers for orthopedic lesions, the influence on cell viability of gelatin sponges was tested. These cell models gave more insight on the pathomechanism of EndMT and could serve to develop possible local treatment options with BMP-7 as therapeutic agent. In the future, it should be investigated whether inhibition of EndMT induced by uniaxial static stretch is possible. This could be achieved by reducing the stretch membranes to their original length or by treating the cells with BMP-7 after stretching. Furthermore, it would be interesting to know whether other mechanical stimuli such as cyclic stretch and/or in combination with changes in flow would also induce EndMT. Also, there will be an emphasis on the development of a drug eluting device for local treatment with BMP-7 which can be ideally applied in utero during the aortic valvuloplasty procedure. As in most cases EFE already exists at the time when aortic valvuloplasty is installed, it is even more important to find out the impact of BMP-7 when cells already have undergone EndMT. In the settings of this study an isolation method for endocardial cells from the left ventricle of rat hearts was established. These cells could serve for a new cell culture EndMT model in the future. All in all, this model provides insight on the pathomechanism of EFE development with regard to BMP-7 being a possible agent for local treatment of EFE in HLHS patients. The cell culture results so far are promising but need to be repeated with rat endocardial cells which I have already established a new protocol for isolation and maintenance in culture. This could be a life changing therapy for patients with univentricular Fontan circulation who eventually end up with heart failure in their 30s. Preventing EFE in HLHS patients and establishing a better hemodynamics in these patients, could improve the outcome of the LV and maybe even lead to biventricular repair with less mortality and co-morbidity.

## 6. Summary

**Introduction:** Successful postnatal surgical removal of Endocardial Fibroelastosis (EFE) can improve the outcome of Hypoplastic Left Heart Syndrome, one of the severest congenital heart failures by preserving ventricular function and growth potential (Xu *et al.*, 2015). It has been shown that the transformation of endothelial to mesenchymal cells (EndMT) leads to the development of EFE tissue (Xu *et al.*, 2015). The pathomechanism of EFE is still unknown and was investigated in this study to develop local prenatal treatment options.

**Methods:** Cells from human coronary arteries were used for the experiments as they have the same embryonic origin as endocardial cells (Wu *et al.*, 2012). The cells were exposed to 10ng/ml TGF- $\beta$ 1, 100 ng/ml rhBMP-7 (=TGF- $\beta$ 1 inhibitor), 10 ng/ml TGF- $\beta$ 1 plus 100 ng/ml rhBMP-7 for 72 hours or exposed to 10% of uniaxial static stretch for 8 hours. Cells treated with media only served as control.

**Results:** The treatment with TGF- $\beta$ 1 as well as uniaxial static stretch of already 10% changes cell morphology. Uniaxial static stretch is also regulated by TGF- $\beta$  shown by the activation of transcriptional factors of the TGF- $\beta$ 1 pathway (Twist) in the nucleus. The cells did not only express the endothelial marker PECAM1 as in the control but in addition stained for the mesenchymal marker  $\alpha$ SMA showing active EndMT. After treating the cells with BMP-7 to inhibit the TGF- $\beta$  pathway there were significantly less cells undergoing transformation from an endothelial to a mesenchymal cell.

**Conclusion:** This in vitro model showed that uniaxial static stretch activates the growth factor TGF- $\beta$  and induces EndMT which can be inhibited by BMP-7. That information makes it possible to develop therapeutic strategies for local treatment in utero.

## 7. Zusammenfassung

**Einleitung:** Die erfolgreiche postnatale Entfernung der Endokardfibroelastose (EFE) kann das Outcome der Ventrikelfunktion beim Hypoplastischen Linksherz, einem der schwersten angeborenen Herzfehler, deutlich verbessern (Xu *et al.*, 2015). Als Ursache für die EFE Entstehung wurde von uns die Transformation von Endothelzellen zu mesenchymalen Zellen (EndMt) nachgewiesen (Xu *et al.*, 2015). Der Pathomechanismus von EFE-Entwicklung durch EndMt ist aber unbekannt und wurde in dieser Studie untersucht um potenziell pränatale Lokaltherapien entwickeln zu können.

**Material und Methoden:** Zellkulturen von menschlichen Koronararterien (HCAEC) wurden verwendet, da sie den gleichen embryologischen Ursprung wie Endokardzellen haben (Wu *et al.*, 2012). Die Zellen wurden für 72h mit 10ng/ml TGF- $\beta$ 1, 100 ng/ml rhBMP-7 (=TGF- $\beta$ 1 inhibitor), 10 ng/ml TGF- $\beta$ 1 plus 100 ng/ml rhBMP-7 behandelt, oder 8 Stunden statischem Stretch von 10% in Stretchkammern aus Silikon ausgesetzt. Als Kontrolle wurden Zellen nur mit Nährmedium behandelt.

**Ergebnisse:** Die Gabe von TGF- $\beta$ 1 und auch statischer Zug von bereits 10% veränderte die Zellmorphologie. Statischer Zellzug unterliegt ebenfalls der Kontrolle von TGF- $\beta$ , was durch die Aktivierung von Transkriptionsfaktoren (Twist) des TGF- $\beta$  Signalweges im Nuclius gezeigt werden konnte. Die Zellen exprimieren nicht nur den Endothelzellmarker PECAM1 wie in der Kontrollgruppe, sondern zusätzlich auch den mesenchymalen Marker  $\alpha$ SMA als Indiz für aktive EndMT. Mit der gleichzeitigen Gabe von BMP-7 zur Blockierung des TGF- $\beta$  Pathway, zeigen sich signifikant weniger Zellen, die einer Transformation von endothelialer zur mesenchymalen Zelle unterlaufen.

**Schlussfolgerung:** Durch das in vitro Modell konnte auf mehreren Ebenen gezeigt werden, dass statisch-mechanischer Zug den Wachstumsfaktor TGF- $\beta$  aktiviert und EndMt induziert, was durch BMP-7 inhibiert werden kann. Diese Informationen lassen es nun zu, dass pharmakologische Interventionen entwickelt werden, die idealerweise zur Therapie in utero verwendet werden können.



## 8. Abbreviations

AB	Antibody
ALK	Activine receptor-like kinase receptors
AS	Aortic Stenosis
A-V	Atrioventricular
BB	Blocking Buffer
bHLH	Basic helix-loop-helix
BMP	Bone morphogenetic proteins
CAFs	Cancer associated fibroblasts
DAPI	4',6-diamidino-2-phenylindole
DMSO	Dimethyl sulfoxide
EBM-2	Endothelial cell basal medium-2
EC	Endothelial cell
EDTA	Ethylene-Diamine-Tetra-Acetic acid
EFE	Endocardial fibroelastosis
EGM-2 MV	Endothelial cell growth medium
EMT	Epithelial to mesenchymal transition
EndMT	Endothelial to mesenchymal transition
FBS	Fetal bovine serum
FOP	Fibrodysplasia ossificans progressive
GA-1000	30 mg/ml of Gentamicin, 15 µg/ml Amphotericin
hEGF	Human epidermal growth factor
hFGF-β	Human acidic fibroblast growth factor 1
HLHS	Hypoplastic left heart syndrome
HT	Heart transplantation

LV	Left ventricle
MA	Mitral Atresia
OFT	Outflow tract
PBS	Phosphate buffered saline
PECAM-1	Platelet/endothelial cell adhesion molecule-1
R3-IGF-1	Recombinant analog of insulin-like growth factor
RV	Right ventricle
TGF- $\beta$	Transforming growth factor beta
VE-cadherin	Vascular endothelial cadherin
VEGF	Vascular endothelial growth factor
$\alpha$ SMA	$\alpha$ -Smooth Muscle antibody

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## 12. Publikationsverzeichnis

### 12.1 Kongressbänder

**Vorisek C**, Shimada S, Axt-Fliedner R, Friehs I. BMP-7 als mögliche pränatale Therapiestrategie der Endokardfibroelastose bei Patienten mit Hypoplastischem Linksherz. Kongressband der Deutschen Gesellschaft für Gynäkologie und Geburtshilfe 2016;

**Vorisek C**, Shimada S, Axt-Fliedner R, Friehs I. Mechanische Belastung als Ursache für die Entstehung der Endokardfibroelastose bei Patienten mit Hypoplastischem Linksherz. Kongressband der Deutschen Gesellschaft für Pränatal- und Geburtsmedizin 2016;

**Vorisek C**, Shimada S, Axt-Fliedner R, Friehs I. Hemmung der Endokardfibroelastose am Hypoplastischen Linksherz im Zellkulturmodell. Kongressband des Kongresses für Perinatale Medizin 2015;

### 12.2 Präsentationen

07/2017      **Poster:** „What Stimulates Endocardial Fibroelastosis Formation?“,  
7<sup>th</sup> World Congress of Pediatric Cardiology and Cardiac Surgery,  
Barcelona, Spain

10/2016      **Poster:** „BMP-7 als mögliche pränatale Therapiestrategie der  
Endokardfibroelastose bei Patienten mit Hypoplastischem Linksherz“,  
Kongress der Deutschen Gesellschaft für Gynäkologie und Geburtshilfe,  
Stuttgart

06/2016      **Vortrag:** „Mechanische Belastung als Ursache für die Entstehung der  
Endokardfibroelastose bei Patienten mit Hypoplastischem Linksherz“,  
Sitzung „**best of the best**“, Kongress der Deutschen Gesellschaft für  
Pränatal- und Geburtsmedizin, Bonn

12/2015      **Poster:** „Hemmung der Endokardfibroelastose am Hypoplastischen Linksherz im Zellkulturmodell“, Kongress für Perinatale Medizin, Berlin

### **12.3 Auszeichnungen**

06/2016      **Bester Vortragspreis,** „Mechanische Belastung als Ursache für die Entstehung der Endokardfibroelastose bei Patienten mit Hypoplastischem Linksherz“, Kongress der Deutschen Gesellschaft für Pränatal- und Geburtsmedizin, Bonn

10/2016      **Bester Posterpreis:** „BMP-7 als mögliche pränatale Therapiestrategie der Endokardfibroelastose bei Patienten mit Hypoplastischem Linksherz“, Kongress der Deutschen Gesellschaft für Gynäkologie und Geburtshilfe, Stuttgart



### **13. Declaration/ Ehrenwörtliche Erklärung**

#### Erklärung zur Dissertation

“Hiermit erkläre ich, dass ich die vorliegende Arbeit selbstständig und ohne unzulässige Hilfe oder Benutzung anderer als der angegebenen Hilfsmittel angefertigt habe. Alle Textstellen, die wörtlich oder sinngemäß aus veröffentlichten oder nichtveröffentlichten Schriften entnommen sind, und alle Angaben, die auf mündlichen Auskünften beruhen, sind als solche kenntlich gemacht. Bei den von mir durchgeführten und in der Dissertation erwähnten Untersuchungen habe ich die Grundsätze guter wissenschaftlicher Praxis, wie sie in der “Satzung der Justus-Liebig-Universität Gießen zur Sicherung guter wissenschaftlicher Praxis” niedergelegt sind, eingehalten sowie ethische, datenschutzrechtliche und tierschutzrechtliche Grundsätze befolgt. Ich versichere, dass Dritte von mir weder unmittelbar noch mittelbar geldwerte Leistungen für Arbeiten erhalten haben, die im Zusammenhang mit dem Inhalt der vorgelegten Dissertation stehen, oder habe diese nachstehend spezifiziert. Die vorgelegte Arbeit wurde weder im Inland noch im Ausland in gleicher oder ähnlicher Form einer anderen Prüfungsbehörde zum Zweck einer Promotion oder einer anderen Prüfungsverfahrens vorgelegt. Alles aus anderen Quellen und von anderen Personen übernommene Material, das in der Arbeit verwendet wurde oder auf das direkt Bezug genommen wird, wurde als solches kenntlich gemacht. Insbesondere wurden alle Personen genannt, die direkt und indirekt an der Entstehung der vorliegenden Arbeit beteiligt waren. Mit der Überprüfung meiner Arbeit durch eine Plagiatserkennungssoftware bzw. ein internetbasiertes Softwareprogramm erkläre ich mich einverstanden.”

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Carina Vorisek

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## **15. Curriculum Vitae**