Regulation and pathomechanistic role of matrix metalloproteinases in Idiopathic Pulmonary Fibrosis.

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by

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1. INTRODUCTION

1.1 Physiology of the Lung

1.1.1 Functional anatomy of the lung

The primary function of the lung is to provide a sufficiently sized and highly efficient area for gas exchange. In addition, the lung also serves as a reservoir for blood, moves air to and from the exchange surfaces of the lungs, protects the respiratory epithelia from dehydration, temperature changes, or other environmental variations and defends the respiratory system and the organism from invasion by pathogens. Respiratory diseases frequently interfere with ventilation, blood flow and gas exchange and might ultimately lead to respiratory failure and death.

The respiratory tract consists of the airways that carry air to and from the exchange surfaces of the lungs. The *conducting airways* consist of a series of branching tubes that become narrower, shorter and more numerous as they penetrate deeper into the lung, eventually reaching the terminal bronchioles which are the smallest airways without alveoli. Their function is to lead inspired gas to the gas-exchanging regions of the lung. Since they lack alveoli and therefore take no part in gas exchange, the conducting airways form the *anatomic dead space*. The *respiratory portion* of the airways includes the delicate *respiratory bronchioles* and the *alveoli* where gas exchange occurs; it makes up most of the lung and has a volume of 2 to 3 litres in adult humans.

The cellular composition of the airways is complex, comprising nearly 50 distinct cell types, at least 12 of which are epithelial cells on the surface of the conducting airways, respiratory bronchioles and alveoli.

The lung has two well defined interstitial connective tissue compartments arranged in series: the parenchymal interstitium and the loose binding connective tissue (peribronchovascular sheaths, interlobular septa and visceral pleura)¹. The parenchymal interstitium of the alveolar wall makes up about 33% of the total interstitial volume. These two compartments have significant anatomical and functional differences. For instance, collagen type IV is located mainly in the parenchymal compartment, the site where the extensive basement membrane of the capillary endothelium and alveolar epithelium are found; whereas the lymphatics are confined to the loose-binding connective tissue. The bulk of the lung interstitium is occupied by the ground substance and matrix of glycosaminoglycans^{1, 2} as well as several different interstitial cells such as mast cells, plasma cells and occasional leukocytes, and fibres such as collagen, elastin and reticulin³. The ground substance constitutes a complex group of large polysaccharide molecules whose interactions impart a gell-like structure to the interstitium². Thus the lungs are well designed to fulfill their major physiological role of gas exchange, whereby incoming fresh air is distributed through the branching airways, and in the terminal respiratory units, the mixing of gas occurs largely through molecular diffusion⁴. Incoming mixed venous blood flows through a series of branching arteries into a network of capillaries that provide a thin film of blood in close proximity to the gas in the terminal respiratory units¹. Thus, the matching of inspired air (ventilation) with incoming poorly

oxygenated blood occurs at the level of the gas exchange units of the lung and thereafter, oxygenated (arterialized) blood flows through a series of pulmonary veins to the left heart for distribution to the tissues of the body¹. Although gas exchange is nearly perfect in the lungs of healthy individuals, it is often impaired in patients with lung diseases because of hypoventilation, ventilation-perfusion mismatch, or right-to-left shunts^{5, 6}. The lungs are also endowed with an elaborate system of nerves, lymphatics and specialized cells that regulate gas exchange, protect the lungs and contribute to host defense properties^{7, 8}.

1.2 Interstitial Lung Disease

Under physiological conditions, the interstitial space of the lung is a delicate and almost invisible space between the basement membrane of the alveolar epithelium and the alveolar capillary endothelium⁹ (see Figure 1).

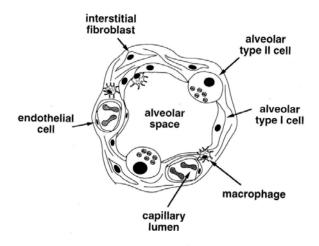


Figure 1: Schematic view of the lung parenchyma that surrounds an alveolar space showing the major cells that line and lie within the putative interstitial space.

(Adapted from Murray Nadel, Textbook of Respiratory Medicine, Volume 2)

Small numbers of interstitial macrophages, fibroblasts and myofibroblasts reside within this potential space. The matrix components of the lung, mainly collagen and related macromolecules, as well as noncollageneous proteins such as fibronectin and laminin^{10, 11} make up the other components of the lung interstitium.

Interstitial lung disease (ILD) is a compound phrase for description of more than 100 entities that are causing diffuse parenchymal lung diseases, characterized by a nonmalignant increase of the cellularity of the alveolar, interstitial and/or vascular spaces⁹. To some extent, these diseases possess similar clinical, radiographic, and pathophysiological features¹². However, they are are distinct in view of their underlying etiology and molecular pathophysiology. Patients complain of dyspnea on exertion followed by dyspnea at rest¹³⁻¹⁵. In many ILDs the underlying trigger is unknown but it may, in principle, be due to chronic inflammation (for example, sarcoidosis, hypersensitivity pneumonitis), or a direct epithelial injury resulting in epithelial apoptosis 16. Lung fibrosis then develops in response to chronic inflammation or repetitive injury of the distal lung epithelium. Regenerative and reparative processes take place at the epithelial and endothelial surfaces, ultimately leading to fibroblastic proliferation and excessive collagen deposition, the histologic hallmark of ILDs^{14, 16-18}. The term diffuse parenchymal lung disease (DPLD) which is thought to more fully replace the term ILD, more accurately describes these entities as many DPLDs involve not only the alveolar interstitium but also the small airways of the lungs including the alveolar ducts, terminal and respiratory bronchioles, and lymphatics along the bronchovascular bundle and interlobular septae⁹.

1.2.1 Classification of Interstitial Lung Disease

ILDs may develop in response to a variety of causes, e.g. in the frame of collagen/vascular diseases, in response to inorganic (asbestos, silicosis) or organic (hypersensitivity pneumonitis) dusts, or following medical interventions, such as chemo- or radiotherapy¹⁹. In contrast, the cause for the idiopathic interstitial pneumonias (IIP), (including non-specific interstitial pneumonia [NSIP] and idiopathic pulmonary fibrosis [IPF]), granuloma-forming ILD (sarcoidosis) and some rare forms such as eosinophilic pneumonia, pulmonary Langerhans cell histiocytosis and lymphangioleiomyomatosis, remains unknown up-to-date¹⁹.

Currently, seven distinct subtypes of idiopathic interstitial pneumonias (IIPs) have been proposed by the American Thoracic Society/European Respiratory Society (ATS/ERS) as shown in Table 1¹⁴.

Table 1: ATS/ERS classification of idiopathic interstitial pneumonias

Acute interstitial pneumonia (AIP)

Cryptogenic organizing pneumonia (COP)

Desquamative interstitial pneumonia (DIP)

Lymphoid interstitial pneumonia (LIP)

Nonspecific interstitial pneumonia (NSIP)

Respiratory bronchiolitis-interstitial lung disease (RB-ILD)

Idiopathic pulmonary fibrosis (IPF)



Figure 2: Schematic representation of the major categories of interstitial lung diseases (ILD), showing the overlap among various disorders.

Red = Most frequent forms of ILD in the Western World.

1.2.2 Prevalence of Interstitial Lung Disease

The prevalence and incidence of ILDs is often under-estimated: up to 750,000 patients may suffer from ILDs in the Western World²⁰, and almost 80% of these patients are diagnosed with sarcoidosis, idiopathic pulmonary fibrosis (IPF)/non-specific interstitial pneumonia (NSIP), or hypersensitivity pneumonia. In this regard, ILDs are less frequent than asthma, but more patients die from ILDs than from asthma each year, illustrating the severity of these diseases²⁰. Although some forms of ILD show a generally favorable course (sarcoidosis, early hypersensitivity pneumonitis in case of strict antigen removal), others present with poor prognosis and may even exhibit a rapidly fatal progressive disease course. IPF, which alone may affect up to 150,000 patients in the European Community^{20, 21} is characterized by an average survival time of 3-5 years upon diagnosis¹⁴ and represents the most aggressive form of ILD.

1.3 <u>Idiopathic Pulmonary Fibrosis (IPF)</u>

Idiopathic pulmonary fibrosis (or cryptogenic fibrosing alveolitis) [IPF or CFA] is a distinct type of idiopathic interstitial pneumonia of yet unknown etiology limited to the lungs and associated with a surgical lung biopsy showing a histological pattern of usual interstitial pneumonia (UIP)¹³. IPF is a severe and progressive disease^{19, 22} and it is relatively rare. Although the exact prevalence is not known, a prevalence is estimated¹⁵. rate of 3 6 cases 10,000 population to per

IPF is more frequently diagnosed in men than women, (male: female prevalence ratio of 1.4:1 and a male: female incidence ratio of 1.3:1). Although there is a wide range in the age of IPF patients (35 to 70 years), a majority of patients are over 60 years old. In addition, cases of IPF have been reported in young children and infants^{13, 14}. Following diagnosis, the mean survival time is 3.2 to 5 years^{18, 19, 23}.

1.3.1 Clinical and histological features of IPF

Patients with IPF typically complain of a dry, nonproductive cough and dyspnea for at least 3 to 4 months^{13, 14}. Dyspnea is often associated with exertion early in the disease course, but usually progresses to shortness of breath at rest¹⁸. Physical exercise is progressively diminished and impossible in later stages, even with longterm oxygen treatment^{13, 14}. On physical examination, 25% - 50% of patients have evidence of clubbing and bi-basilar, end-expiratory rales are observed in greater than 80% of patients¹⁵. Late in the course of the disease, cyanosis of the lips and fingers as well as signs of pulmonary hypertension may be seen²⁴. Recurrent respiratory infections, in part due to a compromised pulmonary innate immune defense, are a frequent observation and fuel further aggravation of this disease (Guenther, A., personal communication). Faced with an ever diminishing quality of life, the only option for IPF patients is lung transplantation, the long-term outcome being largely limited by fibroproliferation as a result of chronic rejection processes (bronchiolitis obliterans)²⁵⁻²⁷. IPF thus represents a prototypic ILD with a disastrous outcome.

Specific laboratory tests for the diagnosis of UIP are not available. A restrictive pattern is the classic finding on pulmonary function testing in IPF²⁸. Greater than 90% of patients with UIP will have abnormal chest radiographs at the time of diagnosis manifesting the characteristic pattern of diffuse bilateral interstitial or reticulonodular infiltrates in the basilar and subpleural regions of the lung ^{15, 18}.

Employing high-resolution computed tomography (HRCT) in IPF reveals coarse reticular or linear opacities (intralobular and interlobular septal thickening) commonly found in the periphery and lower lobes of the lungs, honeycomb cysts, and traction bronchiectasis²⁹. Ground glass opacities (ill-defined hazy zones representing active alveolitis or fibrosis of the intralobular and alveolar septae) may be locally present or completely absent ²⁹⁻³¹. Extensive honeycombing, septal thickening, and a lack of ground glass opacities reflect a poor prognosis¹⁸.

A surgical lung biopsy showing a UIP pattern increases the diagnostic certainty of IPF¹⁸. Video-assisted thoracoscopic surgical (VATS) biopsy is the preferred method of obtaining lung tissue and is generally safe^{32, 33}.

1.3.2 Diagnosis of IPF

IPF is diagnosed on the basis of clinical, histological and radiological findings¹³⁻¹⁵. Usual interstitial pneumonia (UIP) is the typical histological pattern that characterizes patients with the clinical disease called IPF^{12-14, 34}. UIP is characterized by temporal and spatial heterogeneity and the presence of fibroblast foci. These changes are readily seen with low power microscopy, with areas of normal appearing lung tissue

alternating with areas of peripheral fibrosis, inflammatory cells and distortion of the normal lung architecture resulting in the formation honeycomb cysts and fibroblast foci^{14, 34, 35}. Honeycomb cysts are enlarged and distorted airspaces, lined by hyperplastic alveolar type II cells, resulting from destruction of the normal alveoli¹⁸. Fibroblast foci are areas with aggregates of fibroblasts/myofibroblasts and connective tissue located just beneath hyperplastic type II pneumocytes and found at the border between fibrotic and normal lung. The distribution of pathological changes is subpleural, paraseptal and prominent at the bases¹⁴. A UIP pattern may also be seen in other conditions apart from IPF such as Hermansky-Pudlack syndrome (HPS), hypersensitivity pneumonitis or asbestos-induced lung fibrosis.

1.3.3 The initial trigger of IPF

The alveolar epithelial type II (AECII) cell is thought to be at the center of a pathomechanistic concept for sporadic or familial IPF, and also for other ILDs, such as the Hermansky Pudlack Syndrome (HPS), amiodarone-induced pneumonitis, or irradiation-induced lung fibrosis^{16, 36}. Both enhanced AECII cell apoptosis and hyperplasia have been reported in IPF specimen, ultimately inducing distorted epithelial-mesenchymal cross-talk, resulting in enhanced fibroblast activation and ECM synthesis. Ultrastructural studies have revealed the existence of proliferative alveolar epithelial cells immediately adjacent to injured epithelial cells³⁷⁻³⁹, suggesting that epithelial apoptosis and proliferation and hyperplasia occur simultaneously during the process of fibrosis. According to this concept, chronic endoplasmic reticulum (ER) or lysosomal stress have been reported to induce AECII

cell apoptosis and thus set the stage for the development of lung fibrosis³⁷⁻³⁹. In detail, mutations in the SFTPC {Surfactant Protein (SP)-C}⁴⁰ and the TR/TERT (telomerase)⁴¹ genes in familial forms of idiopathic interstitial pneumonias (mostly IPF and NSIP) provided initial evidence that ER-stress-induced apoptosis of AECII cells may represent an important pathogenetic trigger event⁴⁰. Furthermore, activation of ER stress pathway components including endoplasmic reticulum to nucleus signaling 1 (ERN1) (by proof of X-box binding protein (XBP) 1 splicing) and activating transcription factor 6 (ATF6) was observed in sporadic IPF and NSIP, and found to result in a persistent and overwhelming ER stress response and induction of epithelial apoptosis via DNA-damage-inducible transcript 3 (DDIT3)42. Drawn against this background it seems noteworthy that respiratory infections represent a common phenomenon in IPF⁴³. These respiratory infections frequently antecede the clinical appearance of the disease and also accelerate the clinical course. Consistent with these observations, bacterial⁴⁴, and – even more compelling – viral⁴⁵ infections can induce severe ER-stress. Thus, an intriguing and unifying concept for sporadic and familial IPF would consist of a genetic predisposition to an epithelial injury, a modifying environmental stimulus and a common downstream pathway resulting in fibrosis based on ER stress (or DNA damage) induced AECII cell apoptosis. Other diseases resulting in chronic AECII cell injury, such as HPS, amiodarone- or irradiation-induced lung fibrosis, might similarly result in epithelial apoptosis and subsequent fibrosis⁴⁶, and could thus be integrated into this concept.

1.3.4 <u>Mediators of distorted epithelial-mesenchymal interactions in lung fibrosis</u>

Balanced epithelial-mesenchymal interactions are of the utmost importance for proper lung development, in particular for regular definition of a proximal-distal axis and dichotomous branching⁴⁷. In the adult lung, mesenchymal-epithelial interactions warrant proper lung function and are a prerequisite for the maintenance of the trophic alveolar unit, but impaired epithelial-mesenchymal crosstalk between AECII cells and subepithelial fibroblasts, as well as dysregulated precursor cell recruitment, have recently been shown to contribute to the pathobiology of IPF^{17, 48}. It has been proposed that the AECII, by action of cyclooxygenase 2, releases PGE2, which then binds to the EP-2 receptor on fibroblasts, increases cAMP levels in the fibroblast and thereby inhibits the proliferation and transactivation of this cell ⁴⁹. In addition, several growth factors are released by the AECII cell that control the fibroblast phenotype. such as members of the Wnt, BMP, or TGF-β superfamilies⁵⁰. In particular, enhanced secretion and/or activity of Wnt and TGF-β superfamily members have been documented in IPF^{9, 51}. The fibroblast itself is a rich source of FGF-7. FGF-10. and HGF. HGF will be released by fibroblasts in dependency of cAMP levels and must be activated by extracellular serine proteases such as the HGF activator. FGF-7, FGF-10, as well as HGF are known to exert a marked influence on AECII cell proliferation, migration and survival⁵² and at least HGF has been shown to be released to a much weaker extent from IPF fibroblasts as compared to fibroblasts from healthy lungs⁵². Thus, loss of regenerative capacity of the resident AECII cell

population due to loss of FGF-7, FGF-10, or HGF may contribute to the pathogenesis of IPF.

1.3.5 Origin of activated (myo-)fibroblasts in IPF

While the initial injury in IPF is most likely affecting the AECII cell (see above), it is well accepted that the interstitial fibroblast/activated myofibroblast represents the key effector cell responsible for the increased ECM deposition that is characteristic for this disease 16, 36. Fibroblast foci represent the hallmark lesions of IPF, as they constitute aggregates of activated myofibroblasts, which promote excessive ECM deposition²³. The occurrence of fibroblast foci represents an important prognostic factor, since their numbers have been correlated with survival in IPF⁵³. Fibroblast foci occur in subepithelial layers, close to areas of alveolar epithelial cell injury and repair. The number of smooth-muscle-actin-positive, activated (myo)fibroblasts is significantly increased in multiple forms of pulmonary fibrosis including IPF, but their origin remains to be elucidated. Currently, three major theories attempt to explain this hallmark of maladaptive cell activation. It has been demonstrated that resident pulmonary fibroblasts proliferate in response to fibrogenic cytokines and growth factors, thereby increasing the local fibroblast pool via local fibroproliferation^{54, 55}. In addition, several recent studies have shown that bone marrow-derived circulating fibrocytes traffic to the lung during experimental lung fibrosis, and serve as progenitors for interstitial fibroblasts⁵⁶. In particular, collagen I-positive fibrocytes have been shown to traffic to injured lungs in a chemokine-dependent fashion, integrate into the lung ECM, and contribute to enhanced collagen synthesis in fibrosis⁵⁶⁻⁵⁸. Third, it was recently proposed that AECII cells are capable of undergoing the process of epithelial-to-mesenchymal transition (EMT), the phenotypic, reversible switching of epithelial to fibroblast-like cells, which is initiated by an alteration of the transcriptional and proteomic profile of AECII cells^{59, 60}. EMT is a highly controlled process initially discovered and described in embryonic development and morphogenesis⁵⁹. The orchestrated series of events initiating EMT include remodeling of epithelial cell-cell and cell-matrix adhesion contacts, reorganization of the actin cytoskeleton, and induction of mesenchymal gene expression. EMT has gained wide recognition as a mechanism that facilitates cancer progression and metastasis, as well as the development of chronic degenerative fibrotic disorders of the kidney, liver, and lung⁶¹⁻⁶⁴. Transforming growth factor beta (TGF- β) is a main inducer and regulator of EMT in multiple organ systems⁶⁵.

1.3.6 <u>Major signaling pathways underlying matrix remodeling in the lung</u>

As part of a primary wound healing response, activation of the coagulation cascade and suppression of the fibrinolysis system has been observed in patients with IPF ^{66, 67}, and the cellular origin of these coagulation factors (alveolar macrophages and alveolar type II cells) was recently shown⁶⁸. Analysis of bronchoalveolar lavage fluids (BALF) revealed substantial activation of the extrinsic coagulation pathway (tissue factor[TF]; FVII), alongside with pronounced suppression of antithrombotic (activated protein C) or fibrinolytic (Plasminogen Activator Inhibitor [PAI]-1) activities^{66, 69}. These changes promote alveolar and interstitial fibrin deposition, forming a provisional matrix and thereby substantially contributing to lung fibrosis⁷⁰. Moreover, several procoagulant serine proteases such as TF/FVII, factor X and thrombin

induce fibrotic events via the Protease activated receptor (PAR)-1 and PAR-2⁷¹. In response to the activation of this G-protein coupled receptor, increased ECM production and secretion and induction of profibrotic growth factors such as TGF-ß and PDGF can be observed⁷¹. Vice versa, the urokinase system has repeatedly been shown to exert strong antifibrotic activity, most likely due to the activation of HGF and the removal of fibrin and ECM⁷². Persistent suppression of urokinase by PAI-1 overexpression, as seen in IPF patients and in animal models of lung fibrosis, would thus contribute to the development of lung fibrosis^{72, 73}. Alveolar deposition or overexpression of urokinase, knock out of PAI-1 or inhibition of the procoagulant pathways by heparin, factor Xa antagonists, direct thrombin inhibitors or activated protein C were shown to result in a substantial suppression of the fibrotic response in the bleomycin model of lung fibrosis, whereas knock out of urokinase or alveolar PAI-1 overexpression induced the opposite effect^{73, 74}.

With respect to scar formation as aberrant alveolar/interstitial wound healing response, there is currently no doubt that the TGF- β family represents the pivotal mediator system⁷⁵⁻⁷⁸. In vitro, TGF- β induces fibroblast chemotaxis, proliferation and transdifferentiation into myofibroblasts, and it largely promotes the production and secretion of extracellular matrix compounds, mainly collagen. Application of TGF- β encoding adenoviral vectors to the distal lung induces a progressive and severe lung fibrosis⁷⁹. Likewise, application of these vectors to the pleural space induces pleural fibrosis and subpleural lung fibrosis as seen in IPF. Increased TGF- β signaling is also observed in other animal models of lung fibrosis, such as the

bleomycin model, where collagen deposition is reduced by TGF- β antibodies and soluble TGF- β receptors⁸⁰. In lungs of IPF patients, increased expression of TGF- β has been observed in close proximity to areas of increased ECM deposition⁸¹. Apart from TGF- β , there are also other growth factors such as PDGF (platelet-derived growth factor), CTGF (connective tissue growth factor), members of the Wnt pathway, or IGF-I (insulin-like growth factor I) and endothelin, which may significantly contribute to the pathogenetic sequelae of IPF⁷⁵.

Apart from the proliferation of fibroblasts, the excessive deposition of matrix is a key feature of IPF and, most likely, is the result of excessive production of ECM compounds and a local imbalance between the matrix metalloproteinases (MMP) and their inhibitors (TIMP). In general, increased expression of TIMPs -1, -2 -3 and -4 and virtual absence of the collagen I specific MMP-1 has been observed in the lungs of IPF patients⁸², thus contributing to collagen deposition. In view of the coexistence of fibrotic scars and honeycomb cysts in the lungs of IPF subjects, it is vet not settled, if a spatial disarrangement of the collagenases (largely MMP-1) and the TIMPs may be the primary reason for the development of this structural heterogeneity^{82, 83}. In contrast, the two gelatinases MMP 2 and 9, known for their ability to destruct the basement membrane and thus to impair epithelial regeneration, were found to be increased in lung fibrosis⁸⁴. Matrilysin (MMP-7) was also found to be highly upregulated in IPF^{85, 86} and NSIP⁸⁵ by oligonucleotide microarray analysis and immunohistochemistry⁸⁷. In addition, MMP-7 knock out mice were protected from the bleomycin induced lung fibrosis⁸⁶ thus suggesting that MMP-7 is a key regulatory molecule in the pathogenesis of IPF and that it may directly or indirectly actively participate in pulmonary fibroproliferation⁸⁸. In contrast, MMP-9 KO mice showed decreased alveolar bronchiolization after bleomycin-induced lung fibrosis⁸⁹ and MMP-12 KO mice were not protected from bleomycin-induced lung fibrosis⁹⁰. Taking these together, underscores the important contribution of single MMPs in the dynamic regulation of the ECM and of remodeling processes in the lung⁹¹.

1.3.7 Influence of genetic background in IPF pathogenesis

Familial forms of IPF occur in approximately 10-20% of all IPF cases and are characterized by variable penetrance^{41, 92, 93}. The following gene mutations have been disclosed.

1.3.7.1 Surfactant Protein C mutations

Two families carrying separate mutations in the surfactant protein (SP)-C gene were reported with progressive pneumonitis and lung fibrosis⁴⁰. SP-C is hydrophobic protein and a normal component of alveolar surfactant. It is synthesized in a proform requiring C-terminal proteolytic processing for proper folding, assembly with lipid, and secretion^{40, 94, 95}. Childhood onset of interstitial pneumonitis and pulmonary fibrosis was reported in a family carrying an SP-C mutation^{40, 41, 92, 96}. The mutation results in a truncated form of SP-C that accumulates in the endoplasmic reticulum of type II alveolar epithelial cells^{40, 96}. A mutation, L188N, in the C-terminal SPC region

was found in some family members affected with disease, and also in two unaffected obligate heterozygote family members⁹⁷. Expression of the mutant SP-C protein in murine alveolar cells resulted in accumulation of proSP-C and cell toxicity, suggesting that misfolded SP-C, through induction of ER stress, may cause AECII cell apoptosis and lung fibrosis⁹⁷.

1.3.7.2 Telomerase mutation

Telomerase, a specialized polymerase that adds telomere repeats to the ends of chromosomes has two essential constituents: telomerase reverse transcriptase (hTERT) required for catalysis and an RNA component called hTR^{98, 99}. Dyskeratosis congenita is a rare hereditary disorder initially described on the basis of a triad of mucocutaneous manifestations: skin hyperpigmentation, oral leukoplakia, and nail dystrophy¹⁰⁰. Twenty percent of patients manifest with pulmonary fibrosis, which is the second most common cause of death⁴¹. Armanios and colleagues recently reported a pedigree with autosomal dominant dyskeratosis congenita that carried a null *hTERT* allele. Idiopathic pulmonary fibrosis was the only manifestation of disease in a patient with a carrier mutation¹⁰⁰. The presence of pulmonary fibrosis in dyskeratosis congenita, along with the presence of telomerase mutations in some families with IPF⁴¹, suggests that the fibrotic lesion in patients with short telomeres is provoked by a loss of alveolar cells⁴¹. Therefore, damage of epithelial cells leads to a remodeling response that manifests as UIP/IPF.

1.3.8 Treatment of lung fibrosis- translational approaches

In striking contrast to the field of PAH, a disease previously characterized by a similarly poor outcome, the therapeutic approach to patients with IPF has not changed dramatically over the last 10 years 101, 102. Apart from standard care, including long-term oxygen treatment, aggressive and early treatment of respiratory infections, and early listing for lung transplantation if suitable, all recently finished phase II/III trials exhibited unsatisfactory results^{18, 102}. Interferon-γ was definitely proven to be ineffective in IPF, Pirfenidone (targeting the TGF- β pathway), as well as bosentan (targeting the endothelin pathway), have provided initial hope, but failed to significantly affect primary study endpoints 102, 103. The only larger trial in IPF with a significant improvement in the primary study endpoint, albeit with a high drop out rate (1/3 of all patients), was the IFIGENIA trial, in which n-acetyl cysteine was tested against placebo and was found out to attenuate the loss of lung function 102. In the meantime, an encouraging increase in clinical trials in the field of IPF can be observed. Most of these studies are addressing secondary processes forwarding fibrosis *per se*. An overview is given in figure 3 outlined below.

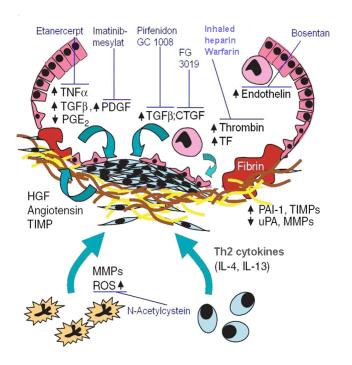


Figure 3: Overview of some of the key pathogenetic mechanisms and new treatment modalities in UIP/IPF.

(Adapted and modified from White, Lazar and Thannikal, 2003¹⁸)

1.4 Animal models of IPF

In order to study the evolution of human pulmonary fibrosis and the cellular and other profibrotic mediators involved in the disease several animal models have been developed. In a recent review, Moore and Hagaboam¹⁰⁴ have extensively discussed the characteristics, advantages and disadvantages of these animal models as summarized in Table 2.

Table 2: Advantages and disadvantages of various animal models of fibrosis 104

Model	Advantages	Disadvantages	
Bleomycin	 Most well-characterized Can be delivered intratracheally, intravenously, intraperitoneally or intranasally Clinically relevant Time frame for development of fibrosis is 24-28days 	 Fibrosis is reported to be self-limiting after 28days in the intratracheal model Development of fibrosis is limited in Balb/c mice Expense 	
FITC	 Ability to visualize areas of lung injury by characteristic green fluorescence Time frame for development of fibrosis is 14-28days Fibrotic response persists for at least 6 months Used in C57Bl/6 and Balb/c mice Persistent nature of fibrotic response makes it amenable to studying viral exacerbations of fibrosis post-FITC 	 Response can vary depending on the lot of FITC Solution must be fresh each day and vortexed before each injection Model is not clinically relevant 	
Irradiation	Clinically relevant C57Bl/6 mice are iradiation-fibrosis prone	 Fibrosis can take more than 30weeks to develop Expensive per diem costs C3H/HeJ and CBA/J mice are irradiation-fibrosis resistant 	
Fibrotic nodules resemble those seen in humans exposed to occupational dusts and particulates Persistent fibrotic stimulus		 Fibrosis can take 12- 16 weeks to develop Balb/c mice are resistant Special instrumentation is needed if delivered via aerosol 	
Transgenic	 Can study the overexpression of a particular molecule Can be expressed under inducible promoters, which allows expression only in adult mice 	 Compensations can occur in mice that constitutively express a transgene throughout development Amount of product produced may not be physiological 	

Viral vectors	 Can be used to deliver fibrotic or antifibrotic mediators Lentivirus vectors can infect many cell types 	 Immune response may prevent repeated dosing with adenoviral vectors Adenoviral vectors have tropism only for epithelial cells
Adoptive transfer of human fibroblasts into immunodeficient mice	Can study fibroblast from various human fibrotic diseases	Expense of immunodificient mice required for adoptive transfer of human cells

1.5 <u>Matrix metalloproteinases</u>

Matrix metalloproteinases (MMPs) belong to the family of zinc-containing endopeptidases collectively assigned to the "MB clan" of metalloproteinases and the metzincin superfamily¹⁰⁵⁻¹⁰⁸. The metzincin superfamily comprises enzymes with similar metalloproteinases domains; this superfamily is further subdivided into four multigene families: astacins, seralysins, ADAMs/ADAM-TS, and MMPs ^{109, 110}.

The metzincins are distinguished by the so-called metzincin fold and a highly conserved motif (HEXXHXXGXXH) containing three histidines, and a conserved "methionine turn" (XXMXP) that sits beneath the active site. The third histidine is bound to a zinc atom at the catalytic site¹¹⁰⁻¹¹².

The founding MMP family member was interstitial collagenase (MMP-1), first discovered in 1961 by Gross and Lapière in the tail skin of a tadpole (Xenopus)

undergoing metamorphosis¹¹³. The most recent member, epilysin (MMP-28) was reported separately by the groups of Parks and Stronginin in 2001^{114, 115}.

Currently, 26 related but distinct vertebrate MMPs are known, of which 24 are found in mammals¹¹⁶. They have both a descriptive name generally based on a preferred substrate and a MMP numbering system based on order of discovery 107, 116, 117 (Table 3). MMPs were initially characterized by their extensive ability to degrade extracellular matrix proteins including aggrecan, collagens, elastin, enactin, fibronectin, gelatin, laminin, proteoglycans, tenascin, and vitronectin 107. More recently, it has been recognized that MMPs cleave many other types of peptides and proteins and have many other important functions that may be independent of proteolytic activity 106, 109, 116. There is, however, little consensus about the grouping of MMPs and different authorities in the field classify MMPs based on their structural similarities, substrate specificity or tissue expression 118, 119. One clear division is between secreted MMPs and those attached to the cell surface by the intrinsic motifs: a transmembrane (TM) domain, a glycosylphosphatidylinositol (GPI) anchor or an amino terminal signal anchor (SA)^{116, 120}. Based on their substrate specificity, sequence similarity and domain organization, vertebrate MMPs are divided into six groups (Table 3, Figure 4).

Table 3: The family of Matrix Metalloproteinases

		MW (kD)		
F	MMP	Latent/	FOM and a theory of 120-136	
Enzyme		active	ECM and other Substrates ¹²⁰⁻¹³⁶	
Collagenases Collagenase 1 *ColA ¹³⁷ *ColB ¹³⁷	1	55/45	Collagens I, II, III, VII, X, XI; aggrecan, α ₂ -macroglobulin, C1q, casein,gelatin, fibronectin, myelin basic protein, link protein, SPARC, vitronectin, laminin, entactin, versican;	
Collagenase 2	8	75/58	autolytic, proMMP-1, proMMP-2, proMMP-9 Collagens I, II, III; aggrecan, α_2 -macroglobulin, C1q, casein,gelatin, fibrinogen; autolytic, angiotensin I & II, bradykinin, plasmin C1 inhibitor	
Collagenase 3	13	60/48	Collagens I, II, III, IV,VII,IX, X, XIV; aggrecan, α_2 -macroglobulin, C1q, casein, collagen telopeptides, gelatin, fibronectin, perlecan, large tenascin-C; autolytic, proMMP-9	
Collagenase 4 (Xenopus)	18	70/53	Rat collagen I	
Gelatinases Gelatinase A	2	72/66	Denatured collagens (gelatin), collagens I, II, III, IV, V, VII, X, XI; aggrecan, decorin, elastin, entactin, fibronectin, laminin, myelin basic protein, link protein, SPARC, tenascin, vitronectin; autolytic, α_2 -macroglobulin, proTNF α , latent TGF β , MCP-3, FGFR1, IGFBP-3, IL1- β 1, big endothelin-1, plasminogen, proMMP-1, proMMP-2, proMMP-9, proMMP-13	
Gelatinase B	9	92/86	Denatured collagens (gelatin), collagens IV, V, XI, XIV; aggrecan casein, decorin, elastin, laminin, myelin basic protein, link protein, SPARC, vitronectin; autolytic, α_2 -macroglobulin, angiotensin I & II, IL1- β 1, IL-2R α , carboxymethylated-transferrin, plasminogen, proTNF α , proTGF β 2, VEGF	
Stromelysins				
Stromelysin 1	3	57/45	Collagens III, IV, V, VII,IX, X, XI; aggrecan, casein, collagen telopeptides, decorin, gelatin, elastin, entactin, fibrin, fibrinogen, fibronectin, fibulin, laminin, myelin basic protein, link protein, perlecan, SPARC, tenascin, versican; autolytic, α_2 -macroglobulin, E-cadherin, proTNF α , IGFBP-3, IL1- β 1, osteopontin, plasminogen, u-PA 138 , PAI-1 139 , proMMP-1, -3, -7, -8, -9, -13.	
Stromelysin 2	10	57/44	Collagens III, IV, V; aggrecan, casein, gelatin, elastin, fibronectin, link protein; autolytic, proMMP-1, -7, -8, -9	

Stromelysin 3	11	51/44	Collagen IV, casein, gelatin, fibronectin, laminin; IGBFP-3, α_2 -macroglobulin, PAI-2
Matrilysins Matrilysin 1	7	28/19	Collagens I, IV; aggrecan, casein, decorin, gelatin, elastin, fibronectin, fibulin, laminin, link protein, myelin basic protein, SPARC, tenascin, versican; autolytic, α_2 -macroglobulin, osteopontin, plasminogen, proMMP-1, -2, -7, -9
Matrilysin 2	26	28/19	Gelatin, fibronectin, vitronectin; Fas-L, $\alpha_2\text{-macroglobulin},$ fibrinogen, proMMP-9
Membrane type MMPs			
(A) <u>Transmembrane</u> domain-bound MMPs MT1-MMP	14	66/56	Collagen I, II, III; aggrecan, gelatin, entactin, fibronectin, laminin, perlecan, vitronectin; α_2 -macroglobulin, factor XII, fibrin, fibrinogen proTNF α , proMMP-2, proMMP-13
MT2-MMP	15	72/60	Aggrecan, fibronectin, entactin, laminin, perlecan, proTNF α , proMMP-2
MT3-MMP	16	64/52	Collagen III, casein, gelatin, fibronectin, laminin, victronectin; α_2 -macroglobulin, proMMP-2
MT5-MMP	24	-/62	Chondroitin and dermatan sulphate proteoglycan, gelatin, fibronectin, proMMP-2
(B) <u>GPI-anchored</u> <u>MMPs</u>			
MT4-MMP	17	57/53	Fibrin, fibrinogen, gelatin, proTNF $\alpha^{140, 141}$
MT6-MMP	25	-	Collagen IV, gelatin, chondroitin and dermatan sulphate proteoglycan, fibrinogen, fibrin, fibronectin, proMMP-2
Other MMPs Macrophage elastase	12	54/45 and 22	Collagen I, IV, V; aggrecan, gelatin, fibronectin, elastin, entactin, laminin, myelin basic protein, osteonectin, vitronectin; α_2 -macroglobulin, casein, factor XII, proTNF α , fibrinogen
No trivial name	19	54/45	Collagen IV, aggrecan, COMP, fibronectin, gelatin, entactin, laminin, large tenascin-C; autolysis, fibrinogen, fibrin,
Enamelysin	20	54/22	Aggrecan, amelogenenin, COMP; autolysis

XMMP(xenopus)	21	70/53	Aggrecan, casein, gelatin
CA-MMP	23	-	Gelatin, casein, fibronectin
CMMP (Gallus)	27	51/42	Gelatin, casein; autolysis
Epilysin	28	-	Casein

ColA and ColB = Collagenase-like proteins A and B, respectively, are probably the murine homologues of MMP-1, COMP = cartilage oligomeric matrix protein, GPI = glycosylphosphatidylinositol, SPARC = secreted protein acidic and rich in cysteine.

1.5.1 Structure and Function of MMPs

Each MMP consists of a specific domain sequence with several domain motifs. MMPs are active at neutral pH and are generally secreted as the inactive proenzyme in the extracellular space or anchored to the plasma membrane ¹⁴².

Consequently, they generally consist of a signal peptide, a propeptide domain, a catalytic domain, hinge region and a C-terminal hemopexin-like domain (Figure 4)¹¹⁶. The hinge region and hemopexin-like domain are absent in MMP-7 and -26, the so-called minimal domain MMPs^{116, 118}. In addition, more complex MMPs possess other features such as fibronectin-like repeats, a collagen type V-like domain, furin cleavage site, vitronectin inserts or cysteine array motif^{116, 118}. These are discussed in more detail in the following sections.

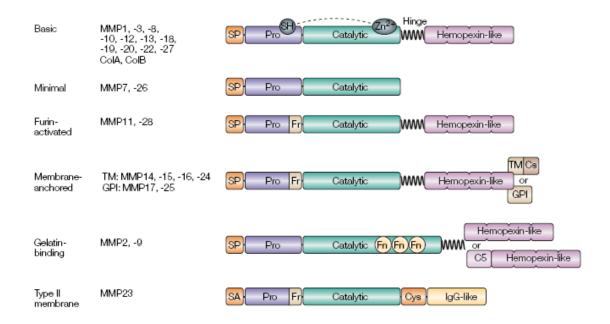


Figure 4: Domain structure of the mammalian MMP family.

Nine MMPs, including all of the cell membrane-anchored enzymes have a furin cleavage domain. C5, collagen-type-V-like domain; Col, collagenase-like protein; Cs, cytosolic; Cys, cysteine array; Fn, fibronectin repeat; Fr, furin-cleavage site; Pro, propeptide domain; SH, sulfurhydryl (thiol) group; SP, signal peptide; Zn, zinc (Adapted and modified from Parks, W.C., Wilson, C.L. and López-Boado, Y.S., 2004 ¹¹⁶).

1.5.1.1 Propeptide domain

The propertide (pro-) domain of a typical MMP contains approximately 80 amino acids with a hydrophobic residue at the amino terminus. It also contains the consensus sequence PRCG(V/N)PD, where the thiol group of the cysteine residue at position 73 (the "cysteine switch") ligates with the zinc ion that is held by the histidine residues in the catalytic domain of the MMP¹⁴². The structure of the propertide domain of MMP-2, MMP-3 and MMP-9 is known to consist of three α helices and connecting loops 120. A peptide region after the third helix extends to the substrate-binding cleft of the catalytic domain (of MMPs) that contains a conserved cysteine, which forms a 4th ligand to an active site zinc ion. In this conformation, the enzyme is stable and inactive and is known as a zymogen^{143, 144}. The exception is MMP- 23, where the crucial cysteine residue is found in a distinct amino-acid sequence^{128, 145}. In addition MMP-11 ¹⁴⁶, MT-MMPs ¹⁴⁷, MMP-21, MMP-23 ¹²⁸ and MMP-28 ¹¹⁴ have a pro-protein processing sequence RX(K/R)R (where X denotes any amino acid), at the C-terminal end of the prodomain which is a target sequence for pro-protein convertases or furins 116.

1.5.1.2 Catalytic domain

The catalytic domain of MMPs contains approximately 160 -170 amino acid residues, including the binding motifs for three structural calcium (Ca2+) ions, one structural zinc (Zn²⁺) and one catalytic Zn²⁺ (hence the prefix "metallo") in the active site, which are required for the stability and the expression of enzymatic activity 142. The 50 - 54 residues at the C- terminus of the catalytic domain include a highly conserved HEXXHXXGXXH sequence (where X denotes any amino acid)^{111, 116}. The three histidines coordinate the active site Zn²⁺. On the carboxy side of the zinc active site, MMPs have a conserved methionine residue which is part of a 1,4 - β-turn or "Met-turn" that loops the polypeptide chain beneath the catalytic Zn²⁺ and forms a hydrophobic base for the Zn²⁺-binding site. A water molecule is the fourth ligand of the catalytic Zn^{2+118, 144, 148}. The carboxyl group of the glutamate residue (E) [which is adjacent to the first histidine] serves as a general base and facilitates the nucleophilic attack of the water molecule on the carbonyl carbon of the peptide scissile bond 120 and histidine residues that coordinate the Zn2+. The side chain of a substrate docks into a pocket to the right of the active site Zn2+ known as the specificity or S1' pocket 142. The size of the S1' pocket, one major determinant of substrate specificity, varies among the MMPs¹⁴². Structurally, the catalytic domain consists of a 5-stranded β -pleated sheet, three α -helices and bridging loops 111, 142. These backbone structures including the Met turn are similar to those of the members from other metalloproteinases families: the astacins, reprolysins (ADAMs) and serralysins¹¹¹. In addition, MMP-2 and MMP-9 have 3 repeats of fibronectin-type

II domain inserted in the catalytic domain that interact with collagens and gelatins ^{149,} ₁₅₀

1.5.1.3 Hinge region, hemopexin domain and other domains

With the exception of MMP7, -23 and -26, MMPs have a flexible proline-rich hinge and a C-terminal hemopexin-like domain, which functions in proper substrate recognition, activation of the enzyme, protease localization, internalization and degradation $^{151, 152}$. Structurally, the hemopexin domain encodes a 4- bladed β -propeller structure that is known to mediate protein-protein interactions 153 .

Other domains found in MMPs are restricted to subgroups of enzymes¹¹⁸. For example, the four membrane type (MT)-MMPs (MMP14, -15, -16 and -24) have type 1 transmembrane (TM) and cytosolic domains, whereas MMP-17 and - 25 have C-terminal hydrophobic extensions that function as glycosylphosphatidylinositol (GPI)-anchoring signals¹¹⁶. The gelatinases (MMP-2 and MMP-9) have three repeats of type II fibronectin- like domains that bind to collagen, gelatin and laminin^{154, 155}.

1.6 MMP gene arrangement

In addition to a common three-dimensional structure, MMPs have a similar gene arrangement, indicating that they probably arose by duplications of an ancestor gene¹⁵⁶. At least eight of the known human MMP genes (MMP-1, -3, -7, -8, -10, -12, -13 and -20) are clustered on chromosome 11 at 11q21- 23, whereas other MMP genes are 'scattered' along chromosomes 1, 8, 12, 14, 16, 20 and 22 ¹⁵⁷.

1.7 Activation of MMPs

MMPs are secreted in latent form as proenzymes, which require activation. MMPs become activated following a disruption of the bond between the thiol group of the conserved cysteine moiety (Cys73) of the propeptide domain and the active site Zn²⁺ of the catalytic domain (cysteine switch mechanism)¹⁴⁴. A water molecule then binds to the Zn²⁺ ion and replaces the cysteine residue after the dissociation. The noncatalytic zinc is then switched to a catalytic one resulting in an intermediate active enzyme^{148, 158}. In addition, the propeptide domain of the MMP is removed by autolytic cleavage or by other proteases. This cleavage causes a reduction in molecular mass by 8 -10 kDa and results in a fully active enzyme¹⁵⁸.

MMPs are generally activated by other proteinases *in vivo*. Several MMPs contain a furin cleavage site (RXKR or RRKR) between the propeptide and catalytic domains, which functions as a target sequence for pro-protein convertases or furins¹¹⁶. The cell-surface activation of proMMP2 by active MMP14, non-furin proMMP activation mechanism has been described in detail¹⁵⁹⁻¹⁶².

In vitro, MMPs are also activated by chemical and physical agents such as aminophenylmercuric acetate (APMA), low pH, and heat treatment ^{106, 120, 148}. Additionally, it has been demonstated *in vitro*, that during inflammation oxidants generated by leukocytes or other cells can both activate MMPs (through oxidation of the propeptide domain thiol group) and subsequently inactivate MMPs (through

modification of amino acids that are crucial for catalysis), providing a mechanism to control huge surges of proteolytic activity^{163, 164}.

1.7.1 Regulation of MMP activity

The catalytic activity of MMPs is tightly regulated at multiple levels including gene expression (transcription and translation), compartmentalization, zymogen activation, inhibition by their endogenous inhibitors, the TIMPs and substrate availability and affinity^{109, 120, 165-167}. Recently, epigenetic modifications have been shown to contribute to MMP regulation¹⁶⁸.

MMPs are expressed at very low levels in normal healthy tissues. In contrast, MMP expression can be detected in all repair or remodelling processes, in all diseased or inflamed tissues and in all cell types grown in culture¹¹⁶. As a result of these different patterns and levels of MMP expression, it is expected that activated cells either in a tissue or culture express MMPs. The transcript levels are regulated by specific signals that are temporally limited and spatially confined¹¹⁶.

Latent MMPs are kept in a catalytically inactive state by the sulfurhydryl bond between the conserved cysteine moiety (Cys⁷³) of the propeptide domain and the active site Zn²⁺ of the catalytic domain. By the "cysteine switch mechanism"¹⁴⁴ pro-MMPs are converted to active enzymes. After the propeptide domain has been cleaved, the active MMPs can be inhibited by their natural inhibitors, the TIMPs and internalization¹⁶⁹.

Compartmentalization (that is, where and how in the pericellular environment an MMP is released and held) is important for regulating the specificity of proteolysis and the affinity of the enzyme-substrate interaction¹¹⁶. Some MMPs are anchored to the cell membrane, thereby maintaining a high enzyme concentration locally and targeting their catalytic activity to specific substrates in the pericellular space¹¹⁶. In addition, specific cell–MMP interactions have been suggested to function as accessory factors that mediate the activation of the pro-enzyme and the binding of both substrate and MMP, thereby increasing the probability of proteolysis¹¹⁶. For example the binding of MMP2 to the $\alpha_V \beta_3$ -integrin¹⁷⁰, MMP1 to the $\alpha_Z \beta_1$ -integrin¹⁷¹, MMP9 to CD44¹⁷³ and MMP7 to surface proteoglycans^{174, 175}.

1.8 Genetic knockout of MMPs in mice

MMPs have distinct but often overlapping substrate specificities *in vitro*¹⁷⁶, inferring possible genetic redundancy *in vivo*¹⁵². At least 14 mouse MMP mutants have been generated: *Mmp-2*, *3*, *7*, *8*, *9*, *10*, *11*, *12*, *13*, *14 19*, *20*, *23*, *24*, and *28*. The initial characterization of these knockout mice has shown obvious postnatal phenotypes, with all MMP-knockout lines surviving to birth (reviewed by Page-McCaw, Ewald and Werb,2007¹⁵²). Mouse MMP double mutants have also been generated for *Mmp2/Mmp-9*¹⁷⁷, *Mmp2/Mmp14*¹⁷⁸ and *Mmp13/Mmp9*¹⁷⁹. The functional role of MMP-13 in liver fibrosis and skeletal development has been studied employing MMP-13 KO mice¹⁸⁰⁻¹⁸⁴. Liver fibrosis was attenuated in MMP-13 KO mice¹⁸⁰, however MMP-13 null mice showed profound defects in growth plate cartilage,

delayed endochondral ossification and bone remodelling^{181, 182, 184}. However, the role of MMP-13 in lung fibrosis remains to be established.

1.9 Tissue inhibitors of metalloproteinases

Tissue inhibitors of metalloproteinases (TIMPs) are specific regulators of MMP activity that bind and inhibit their specific active MMPs in a 1:1 stoichiometry¹²⁰. The TIMP family consists of four members (TIMP-1, TIMP-2, TIMP-3, and TIMP-4) that have been identified in vertebrates¹⁸⁵. Their expression is regulated during development and tissue remodeling. TIMPs have been shown to bind to the proenzyme forms of MMP-2 ^{186, 187} and MMP-9 ^{150, 187} with high degree of specificity, an interaction that provides an extra level of regulation by preventing activation. Moreover, TIMP-1 and TIMP-2 are capable of inhibiting the activities of all known MMPs and as such play a key role in maintaining the balance between extracellular matrix deposition and degradation in different physiological processes¹⁸⁵. Under pathological conditions associated with unbalanced MMP activities, changes of TIMP levels are considered to be important because they directly affect the level of MMP activity¹⁸⁸.

1.9.1 Structure and Biological Functions of TIMPs

TIMPs (21 to 29 kDa) have an amino (N)-and carboxy (C)-terminal domain of approximately 125 and 65 amino acids, respectively, with each containing three conserved disulfide bonds^{189, 190}. The N-terminal domain folds as a separate unit and is capable of inhibiting MMPs¹⁸⁹. In 1994, the structure of the N-terminal domain of TIMP-2 was first resolved by nuclear magnetic resonance (NMR)¹⁹¹. The complete structure of TIMP-1 and of its mechanism of inhibition was determined in 1997 by X-ray crystallographic studies of the TIMP-1–MMP-3 complex¹⁹², and that of the TIMP-2–MT1-MMP complex was determined soon after this¹⁹³. The overall shape of the TIMP molecule is like a wedge, which slots into the active-site cleft of an MMP in a manner similar to that of the substrate¹⁹³.

TIMP-1 is also called erythroid potentiating activator (EPA), fibroblast collagenase inhibitor or collagenase inhibitor 194 . It is a 184 amino acid glycoprotein of 28.5 kDa. It has 41% sequence homology with the non-glycosylated 21.5 kDa TIMP-2 protein 119 , $^{195, 196}$. TIMP-1 has been located in the nucleus of fibroblasts, or shown to bind to the cell surface of MCF-7 breast carcinoma cells and subsequently translocates to the nucleus $^{197, 198}$. It is expressed by all cell types and as such is more widely distributed than the other TIMPs 194 . It forms a non-covalent, stoichiometric complex with both latent and active MMPs and inhibits the activity of all active MMPs 196 . TIMP-1 preferentially binds and inhibits proMMP-9 (K_d ≈ 35 nM) 187 . Its binding to MMP-9 occurs via a reversible non-covalent binding to the catalytic domain of the MMP protein 187 . It is not cleaved by the binding process and may be recovered with full

activity from complexes with MMP-3 ¹⁹². In addition to its MMP-inhibitory role, TIMP-1 has also been demonstrated to have erythroid-potentiating ^{194, 199} and cell growth - promoting activities²⁰⁰.

TIMP-2 (also called CSC-21K) is a 194 amino acid unglycosylated protein of 21.5 kDa that has 41% and 44% sequence homology to TIMP-1 and TIMP-3, respectively $^{119,\ 195,\ 196}$. It is expressed by a variety of cell types, forms a non-covalent stoichiometric complex with both latent and active MMPs, inhibiting all active MMPs, showing a preference for MMP-2. It regulates the activation of proMMP-2 by binding to its C-terminal domain ($K_d\approx 5 \text{nM}$) 186 . Likewise to TIMP-1, TIMP-2 also has erythroid - potentiating activity and cell growth–promoting activities $^{194,\ 199}$ and both TIMP-1 and TIMP-2 have been shown to have anti-apoptotic activity $^{201-203}$. TIMP-2 equally inhibits endothelial cell growth induced by basic fibroblast growth factor 204 .

TIMP-3 is a 27 kDa glycoprotein (24 kDa unglycosylated), constitutively expressed by many cells in culture and has a markedly high expression in the eye²⁰⁵. It has a more basic K_i than other TIMPs and differs from them because it is localized to the extracellular matrix ^{196, 206}. TIMP-3 also forms a non-covalent stoichiometric complex with both latent and active MMPs, and inhibits the activation of proMMP-2 by MT5-MMP (MMP-15) ²⁰⁷. Sorsby's fundus dystrophy, an autosomal dominant form of blindness due to macular degeneration, is reported to be caused by mutation in the gene encoding TIMP-3. Mutations are all found in the C-terminal domain and include the substitution of a residue for a cysteine, a nonsense mutation, or a splice

mutation, resulting in the deposition of the mutant TIMP-3 in Bruch's membrane $^{208-210}$. In addition, TIMP-3 has pro-apoptotic activity, possibly through the stabilization of TNF- α cell receptor 1, Fas, and TNF-related apoptosis, inducing ligand receptor-1, as shown for some tumor cells $^{211,\ 212}$. Furthermore, overexpression of TIMP-1, TIMP-2, and TIMP-3 reduces tumor growth 213 . These activities are also distinct from MMP inhibition and their mechanisms of action are yet to be resolved.

TIMP-4 is a 24 - 29 kDa glycoprotein. It shows 37 % amino acid homology to TIMP-1 and 51 % homology with TIMP-2 and TIMP-3 ²¹⁴. TIMP-4 is secreted extracellularly, predominantly in heart and brain tissue. It may function in a tissue specific fashion in extracellular matrix homeostasis. TIMP-4 binds to the proMMP-2 hemopexin-like domain and inhibits MT1-MMP but unlike TIMP-2 it neither forms a trimolecular complex nor activates pro-MMP-2 ^{189, 215}. TIMP-4 has a strong inhibitory effect on the invasion of human breast cancer cells across reconstituted basement membranes suggesting that TIMP-4 may have an important role in inhibiting primary tumor growth and progression^{216, 217}.

1.10 **Hypothesis**

The expression level and spatial distribution of MMPs, especially the collagenases, largely determines the collagen deposition and the ultrastructural changes in fibrotic lung diseases.

1.10.1 Aims and Objectives

This study aims to define the spatial expression and the nature of MMP activity in IPF versus donor lungs, the contribution of TIMPs in the local inhibition of MMPs and the relative contribution of single MMPs in the process of fibrotic repair.

In this light, the first goal was to characterize the cell-specfic distribution and expression, and the activation status of MMPs [collagenases, gelatinases, matrilysin, macrophage metalloelastase, membrane type matrix metalloproteinases (MT-MMPs)] and TIMPs in lungs from IPF patients and from organ donors.

The second goal of the study was to further investigate the pathomechanistic role of collagenases in fibrotic lung diseases. Mice lack the orthologue of human MMP-1. Therefore, we further investigated the relevance of MMP-13 in context of fibrotic lung diseases by examining the fibrogenic response to bleomycin in MMP13^{-/-} mice and their wild type littermates.

MATERIALS 2.1 Chemicals

2. MATERIALS

2.1. Chemicals

_1-Propanol	Merck KGA, Darmstadt, Germany	
2-mercaptoethanol	Sigma-Aldrich, St.Loius, MO, USA	
2-methoxyethanol, ReagentPlus®	Sigma-Aldrich, St.Loius, MO, USA	
3,4-Dehydro-DL-proline	Sigma-Aldrich, Germany	
(C ₅ H ₇ O ₂ FW113.1)		
Acetic acid	Merck KGA, Darmstadt, Germany	
Acetone	Merck KGA, Darmstadt, Germany	
Acetonitrile (Lichrosolv®) for HPLC	Merck KGA, Darmstadt, Germany	
Acrylamide-Bisacrylamide	BioRad Laboratories, Hercules,CA, U.S.A	
Agarose	Sigma-Aldrich, St.Loius, MO, USA	
Ammonium persulphate	Sigma-Aldrich, St.Loius, MO, USA	
Bovine serum albumin Fraction V	Carl Roth GmbH & Co.,Germany	
Bromophenol blue	Fischer Scientific, NJ, U.S.A	
BSA Protein assay Kit	Pierce, Rockford, IL, U.S.A	
Calcein-AM, C3099	Molecular Probes, Oregon, U.S.A	
Calcium Chloride dihydrate	Merck KGA, Darmstadt, Germany	
Captopril	Fagron GmbH, Barbüttel	
Chloramine -T hydrate	Sigma-Aldrich, St.Loius, MO, USA	
Dabsyl chloride (DABS-CI)	Sigma-Aldrich, Germany	
Deoxycholic acid sodium salt	Fluka AG Chemicals, Germany	
Dimethylsulfoxide	Merck KGA, Darmstadt, Germany	
Dodecyl sodium salt (SDS)	Sigma-Aldrich, St.Loius, MO, USA	

MATERIALS 2.1 Chemicals

Enhanced Chemiluminescence (ECL)™	GE Healthcare Ltd, Buckinghamshire, United
Plus reagents	Kingdom
Ethanol	Carl Roth GmbH & Co., Germany
Gelatin type A from Porcine skin	Sigma-Aldrich, St.Loius, MO, USA
Glycin	Carl Roth GmbH & Co., Germany
Heparin	Roche, Basel, Switzerland
LMP-Agarose (ultra pure)	Roche, Basel, Switzerland
L-Proline	Sigma-Aldrich, Germany
Methanol	Merck KGA, Darmstadt, Germany
N,N - Dimethylformamide HPLC Gr	Merck KGA, Darmstadt, Germany
Normal Goat Serum	Alexis Biochemicals, Grünberg, Germany
ortho-Phthalaldehyde (OPA)	Sigma-Aldrich, Germany
p-amino phenyl mercuric actetate	Calbiochem, USA
Ponceau S solution	Fluka AG Chemicals, Germany
Porcine Gelatin for electrophoresis	Sigma-Aldrich, St.Loius, Missouri, USA
Potassium chloride for analysis	Merck KGA, Darmstadt, Germany
Potassium dihydrogen phosphate	Merck KGA, Darmstadt, Germany
Protease Inhibitor cocktail	Roche Applied Sc., Minneapolis, USA
Rat tail collagen type 1	BD Biosciences, MA, U.S.A
Restore™ W. Blot Stripping Buffer	Pierce, Rockford, IL, U.S.A
RNeasy® Mini Kit	Qiagen, Valencia, CA, U.S.A
SERVA Blue G	SERVA Biochemika, Heidelberg, Germ.
Skimmed milk powder	BioRad Laboratories, CA, U.S.A
Sodium azide (ultra pure)	Merck KGA, Darmstadt, Germany

Sodium Chloride	Carl Roth GmbH & Co., Germany
Sodium Citrate	Sigma-Aldrich, St.Loius, MO, USA
Sodium dihydrogen phospahate	Fisher Scientific GmbH, Germany
Sodium hydrogen carbonate	Merck KGA, Darmstadt, Germany
TEMED	BioRad Laboratories, Hercules,CA, U.S.A
Trans-4-hydroxy-L-proline	Sigma-Aldrich, Germany
Triethylamine	Sigma-Aldrich, St.Loius, MO, USA
TRIS-HCI	Carl Roth GmbH & Co., Germany
Triton-X-100	Sigma-Aldrich, St.Loius, MO, USA
Tween-20	Sigma-Aldrich, St.Loius, MO, USA
Zinc Chloride	Sigma-Aldrich, St.Loius, MO, USA

2.2 <u>Injecting solutions and substances</u>

Solution/ Substance	Manufacturer
Albumin, FITC conjugate from bovine	Sigma-Aldrich, St.Loius, MO, USA
Bleomycin sulphate ®	Sigma-Aldrich, St.Loius, MO, USA
Enrofloxacine	Bayer Vital GmbH, Leverkusen, Germany
(Oral solution Baytril 2,5%®)	
Isofluorane Forene®	Abbott, Wiesbach, Germany
Physiological saline solution	Baxter S.A., München, Germany

2.3 Consumables

Material	Manufacturer/Supplier
0.5ml, 1ml, 1.5ml, 2ml Eppendorf tubes	Eppendorf, Germany
1.8ml brown glass vials with caps	VWR International, Darmstadt, Germany
10ml,15ml, 50ml Falcon tubes	Becton Dickinson Biosciences, Germany
2ml, 5ml, 10ml, 25ml disposable pipettes	Becton Dickinson Biosciences, Germany
5ml, 10ml VWR vials with screw cap	VWR International, Darmstadt, Germany
Combi-Stopper	Intermedica GmbH
	Kliein-Winternheim, Germany
Gauze	Lohmann und Rauscher
5 x 4 cm Purzellin®	Rengsdorf, Germany
Gauze balls size 6	Fuhrman Verrbandstoffe GmbH
	Much, Germany
Heating pad Thermo-Lux®	Witte und Suttor, Murrhardt, Germany
Hybond-C polyvinylidene difluoride	Amersham Biosciences, GE Healthcare,
(PDVF) membrane	Buckinghamshire, United Kingdom
Medical adhesive bands Durapore®	3M Company, St. Paul, MN, USA
Pyrex glass tubes (15ml)	VWR International, Darmstadt, Germany
Napkins	Tork, Mannheim, Germany
Needles 26G (0,9mm x 25mm)	Becton Dickinson Biosciences, Germany

MATERIALS 2.3 Consumables

BD Microlance 3®	
Perfusor-tubing	Braun, Melsungen, Germany
150 cm Original-Perfusor®-tubing	
Respirator N95 Particulate	3M Canada Company, Ontario, Canada
Single use gloves Transaflex®	Ansell, Surbiton Surrey, UK
Single use syringes 1ml, 2ml, 5ml, 10ml	Braun, Melsungen, Germany
Inject Luer®	
Surgical instruments	Martin Medizintechnik, Tuttlingen, Germany
Centricon® Centrifugal Filter devices	Millipore Corporation, Bedford, MA, U.S.A
Centricon YM-10	
Surgical threads non-absorbable	Ethicon GmbH
Size 5-0 ETHIBOND EXCEL®	Norderstedt, Germany
Surgical threads with needle	Ethicon GmbH, Norderstedt, Germany
Size 5-0, 6-0 and 7-0 ProleneTM	
Threads Nr. 12	Coats GmbH, Kenzingen, Germany
Tracheal cannula, 20G	Becton Dickinson/ MEDEX Inc.
	Carlsbad, CA, U.S.A

MATERIALS 2.4 Histology

2.4 <u>Histology</u>

Material	Company	
Automated Cryotome	Leica Microsystems, Nussloch, Germany	
Automated microtome RM 2165	Leica Microsystems, Nussloch, Germany	
Cooling plate EG 1150C	Leica Microsystems, Nussloch, Germany	
Cover slips 24x36mm	VWR, Menzel, Germany	
Digital Camera Microscope DC 300F	Leica Microsystems, Nussloch, Germany	
Eosin Y solution, alcoholic	Sigma Diagnostics®,St. Loius, MO, U.S.A	
Flattening bath for paraffin sections HI	Leica Microsystems, Nussloch, Germany	
1210		
Flattening table HI 1220	Leica Microsystems, Nussloch, Germany	
Formaldehyde alcohol free ≥37%	Roth, Karlsruhe, Germany	
Graded Ethanol 70%, 95%, 99,6%	Fischer, Saarbrücken, Germany	
Histological glass slides Superfrost	R. Langenbrinck, Emmendingen, Germany	
Plus®		
Hydrogen peroxide 30% pro analysi	Merck Darmstadt, Germany	
Isopropanol (99,8%)	Fluka Chemie, Buchs, Swiss	
Kernechtrot Aluminium sulfate	Chroma, Münster, Germany	
Mayer's Hematoxylin solution	Sigma-Aldrich, St. Loius, MO, U.S.A	
Methanol, HPLC Grade	Fischer Scientific, New Jersey, U.S.A	
Microtom blades S35	Feather Safety Razor Co.Ltd, Japan	
Mounting medium Pertex®	Medite GmbH Burgdorf, Germany	
Paraffin	Allegiance Health Care, Corp. IL, U.S.A	

MATERIALS 2.4 Histology

Paraffin embedding medium Paraplast	Sigma Aldrich, Steinheim, Germany	
Plus®		
Picric acid	Fluka Chemie, Buchs, Swiss	
Resorcin Fuchsin	Chroma, Münster, Germany	
Roti-Histol (Xylolersatz)	Roth, Karlsruhe, Germany	
Shandon Cytospin 4	Thermo Electron Corporation, Waltham,	
	U.S.A	
Stereo light microscope DMLA	Leica Microsystems, Nussloch, Germany	
Tissue embedding machine EG 1140H	Leica Microsystems, Nussloch, Germany	
Tissue processing automated machine	Leica Microsystems, Nussloch, Germany	
TP 1050		
Universal-embedding cassettes	Leica Microsystems, Nussloch, Germany	
Urine pots with covers, 100ml	Corning Incorporated, Mexico	
Van Giesson's solution	Polyscientific, Bay Shore, NY, U.S.A	
Xylene	Fischer Scientific, New Jersey, U.S.A	

MATERIALS 2.5 Antibodies

2.5 Antibodies

Antibody	Catalogue Number & Description	Company
Anti-ß-actin (AC-15),	AB6276; loading control; recognizes	Abcam, Germany
mouse monoclonal	42kDa human ß- actin	
Actin (C-11), loading	SC1615; recognizes actin isoforms of	Santa Cruz
control, goat polyclonal	human/mouse	Biotechnology,
		Inc, U.S.A
Anti-MMP-1 (Ab-1);	IM35L; recognizes both latent	Calbiochem,
monoclonal,	(57/52kDa) and active (46/42kDa)	Darmstadt,
mouse anti-human	MMP-1	Germany
Anti-MMP-2 (468-483)	IM33L; recognizes both latent (72kDa)	Calbiochem,
(Ab-3); monoclonal	and active (66kDa) MMP-2	Darmstadt,
mouse anti-human		Germany
Anti-MMP-7 (Ab-4);	PC492; recognizes the ~ 28 kDa latent	Calbiochem,
rabbit polyclonal	and the ~ 18 kDa active forms of MMP-	Darmstadt,
	7	Germany
Anti-MMP-8 (Ab-1);	IM38L; recognizes the ~ 85kDa latent	Calbiochem,
mouse monoclonal	and the ~ 64kDa active	Darmstadt,
antibody (115-13D2)	MMP-8 protein.	Germany
Anti-human MMP-9;	AF911; recognizes human and mouse	R&D Systems
goat polyclonal antibody	pro (92kDa) and active (86kDa) MMP-9.	GmbH, Germany.

MATERIALS 2.5 Antibodies

Anti-MMP-13 (Ab-4);	IM78; recognizes both the ~ 60 kDa	Calbiochem,
mouse monoclonal	latent and the ~ 48 kDa active forms of	Darmstadt,
antibody (VIIIA2)	MMP-13 protein	Germany
Anti-TIMP-1 (Ab-1);	IM32L; recognizes the ~ 28kDa TIMP-1	Calbiochem,
mouse monoclonal	protein	Darmstadt,
antibody (7-6C1)		Germany
Anti-TIMP-2 (Ab-2);	IM56L; recognizes the ~ 27kDa TIMP-2	Calbiochem,
mouse monoclonal	protein	Darmstadt,
antibody (67-4H11)		Germany
Anti-TIMP-3; mouse	IM43L; recognizes both the ~ 27kDa	Calbiochem,
monoclonal antibody	glycosylated and the ~ 24kDa	Darmstadt,
(136-13H4)	unglycosylated forms of TIMP-3.	Germany
Anti-human TIMP-4;	AF974; recognizes human TIMP-4	R&D Systems
(HOM01), goat	protein (~29kDa glycosylated & ~24kDa	GmbH, Germany
polyclonal antibody	non-glycosylated forms).	

2.6 <u>Machines, systems and software</u>

Machine/System/Software	Company
Agilent HewLettPackard Series 1100	Agilent Technologies
HPLC system	Waldbronn, Germany
Applied Biosystems' 7500 Real-Time	Applied Biosystems, Foster City, CA, U.S.A
PCR System	
Biorad 200/2.0 electrophoresis power	BioRad, Hercules, CA, U.S.A
supply	
BioRad iCycler [™] 96 well Reaction	BioRad, U.S.A
Module	
Computer Q 550 IW	Leica Microsystems, Nussloch, Germany
Consort EV 231 Elect. Power Supply	Belgium
Eppendorf BioPhotometer	Eppendorf, Hinz GmbH, Germany
Eppendorf Centrifuge 5417R	Eppendorf, Hinz GmbH, Germany
Fluorochem [™] 8900	Alpha Innotech, San Leandro, CA, U.S.A
Gel Logic 2000 Imaging System	Carestream/Kodak Molecular Imaging
	System, CT, U.S.A
H1221 pH meter	HANNA INSTRUMENTS GmbH,
	Kehl am Rhein,Germany
Heraeus Instruments,	Hanau, Germany
(Oven/Dessicator)	
Hettich Zentrifugen Universal 30 RF	Tuttlingen, Germany
HP Chem Station for LC and LC/MS	Agilent Technologies Waldbronn, Germany
systems	

Intrapulmonary Aerosolizer: Series IA-	Penn-Century, Inc, Philadephia, PA, U.S.A
1C Microsprayer™ with series FMJ-250	
High-Pressure Syringe	
Labnet Shaker 35	Labnet International Inc, NJ, U.S.A
Lichrosorb RP18 RP-HPLC column	Merck KGA, Darmstadt, Germany
LYPH.LOCK6 Freeze Dry System	Labconco Corp., MO, U.S.A
Mettler Toledo AB 54 Balance	Mettler Instrument Corp., Switzerland
Mettler Toledo AG 285 Balance	Mettler Instrument Corp., Switzerland
Mettler Toledo MX5 Balance	Mettler Instrument Corp., Switzerland
Mettler Toledo PB 801 Balance	Mettler Instrument Corp., Switzerland
PowerPac Basic, BioRad	BioRad, Hercules, CA, U.S.A
Primer Express® Software Version 3.0	Applied Biosystems, Foster City, CA, U.S.A
Scotsman's Ice machine	Scotsman Ice Sytems, IL, U.S.A
Software Q Win V3	Leica Microsystems, Nussloch, Germany
SPOT ™ 2.3.0 software	Diagnostic Systems Inc, MI, U.S.A
Tecan Spectra Fluor⊕, Magellan™	Tecan Austria GmbH
TJ 25 Centrifuge	Beckman Coulter™, U.S.A
Trans-Blot SD Semi-dry Transfer Cell	BioRad, Hercules, CA, U.S.A
VLM EVA 2 metal block thermostat	VLM GmbH, Bielefeld, Germany

3. METHODS

3.1 <u>Human Lungs</u>

3.1.1 Study Population and Specimen Collection

The study was approved by the local ethics committee, and informed consent was obtained from all study subjects. Explanted lungs (n=16 for sporadic IPF) or non-utilized donor lungs or lobes fulfilling transplantation criteria (n=6; human donors, HD) were obtained from the Dept. of Thoracic Surgery in Vienna, Austria. All IPF diagnoses were made according to the Consensus Conference criteria¹⁴ and a UIP pattern was proven in all IPF lungs. All IPF subjects experienced progress under a preceding course of steroids.

All lungs or lobes of normal tissue were taken from donors who died from brain death. The lungs fulfilled selection criteria for organ transplantation but were not transplanted due to technical reasons. Donor lobes were obtained when donor lungs needed to be reduced by size in order to be compatible with recipient's anatomical dimensions. Informed consent was obtained at the time of transplant evaluation. Donor lungs had no evidence of active infection, chest radiographic abnormalities, and no past medical history of underlying systemic or lung disease. Two additional control samples were purchased: a pool of total RNA from six normal lungs obtained from CLONTECH and total RNA from one normal lung from AMBION.

IPF and donor lungs were transferred from Vienna (Department of Thoracic Surgery, Vienna General Hospital, Vienna, Austria) to Giessen (University of Giessen Lung Center) on crushed ice, after being flushed with preserving solution. In addition, at the surgical theater in Vienna, samples were obtained and snap-frozen in liquid nitrogen. In Giessen, the lungs were processed following a predefined algorithm and samples were stored at -80°C.

Lung tissues were dissected according to the scheme shown in figure 5.

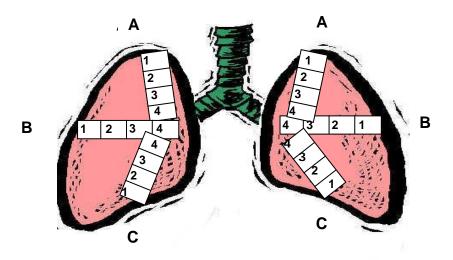


Figure 5: Schematic diagram showing IPF lung tissue dissection.

A= the apical region, B = the hilar region, and C= the basal region

METHODS 3.2 Animals

Table 4 depicts which lung tissues were used as controls for the specific analysis.

Table 4: Overview of control specimen used in this study

IPF	Control
C1 - C3	Vienna donor lung/lobe
C1 - C3	Vienna donor lung/lobe
C1	Vienna donor lung/lobe
C1	Commercial RNA from Ambion and Clontech
C1	Vienna donor lung/lobe
	C1 - C3 C1 - C3 C1 C1 C1 C1 C1 C1 C1

3.2 Animals

MMP-13^{-/-} and wild type littermates were generated from the intercross between heterozygous MMP-13 ^{+/-} mice in a C57BL/6J and 129/Sv hybrid background. Generated MMP-13^{-/-} mice were genotyped by Southern blotting and by PCR analysis as described elsewhere ¹⁸⁰. Mice received food and water *ad libitum*, and were kept under controlled light (12/12-hours light/dark cycle) and temperature (16° - 25°C) throughout the experimental period. All experiments were performed according to the protocol approved by the Institutional Laboratory Animal Care and Use Committee of Columbia University in the City of New York. Animals received humane care according to the criteria outlined in the "Guide for the Care and Use of Laboratory Animals".

3.3 Induction of pulmonary fibrosis in mice by bleomycin treatment.

Eight to twelve week-old and gender-matched MMP-13^{-/-} and wt littermates were used in the study. Pulmonary fibrosis was induced in mice by intratracheal administration of a single dose of bleomycin sulphate (5U /kg body weight), reconstituted in 0.9% NaCl solution. Control animals received 0.9% NaCl intratracheally. The solution was aerosolized by use of a microsprayer at a volume of 200µl. For the analyses, mice were euthanized 7, 14 and 28 days after bleomycin or saline administration. Unless otherwise specified, for every variable analyzed, five control and experimental mice were used. The experimental protocol for the mouse experiments is shown in Figure 6.

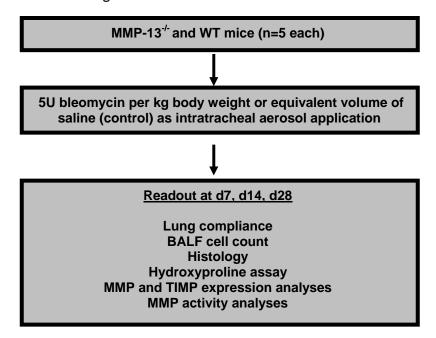


Figure 6: Schematic protocol for mouse experiments. Mice were euthanized at d7, d14 and d28 after bleomycin or saline administration. Samples were retrieved for analyses; n= number of mice in the group.

3.4 Quasi-static lung compliance

To determine the pulmonary compliance of the lung, an open-chest model was used as previously described 218 and the quasi-static lung compliance was measured using the Flexivent apparatus (SCIREQ, Inc. Montréal, Québec, Canada). Briefly, mice were euthanized by CO₂ asphyxia and after a careful tracheotomy; a 20-gauge catheter was inserted into the trachea and secured with a silk suture. A mediastinal dissection was performed, and the anterior chest wall was completely resected. Before lung compliance measurements, the Flexivent apparatus was calibrated for a transpulmonary pressurevolume (PV) of 30cm H₂O; a water manometer was connected in circuit with a tube that was inserted into the three-way stopcock into which the flexivent's plastic tubing had also been inserted. The flexivent plastic tubing was inserted into the tracheal cannula and an attached 10ml syringe was used to install the desired volume of air. Three measurements were taken per animal with a 30s interval between each measurement. The quasi static pressure-volume curve for each measurement was digitally converted by the instruments software. Data from each pressure-volume curve were collected, and pooled mean pressure-volume (quasi-static expiratory compliance (E_{cst}) parameters were obtained for each animal.

3.5 Bronchoalveolar lavage

Euthanized mice with a tracheal cannula inserted as described above were carefully lavaged with 1ml of PBS twice, so that ~ 2ml of bronchoalveolar lavage fluid (BALF) were recovered from each mouse. The BALF was centrifuged at 300g for 10 minutes at 4°C, and the supernatant was aliquoted and frozen at -80°C until analysis. Total cell counts were performed on the cell pellets employing an improved Neubaur hemocytometer. Cells were then cytospun at 700 r.p.m for 3 minutes (Cytospin 3[®], Thermo Shandon, Ltd, Astmoor, United Kingdom) and stained with a modified Wright's stain (DiffQuik, American Scientific Products, McGaw Park, IL). Differential cell counts were performed on 200 cells using standard morphological criteria as described elsewhere ⁹⁰.

3.6 Processing of human and murine lungs

Shock-frozen tissue and paraffin sections were prepared from explanted human lungs immediately after retrieval. For paraffin sections, human tissues were fixed by submersion for 72h at RT and murine lungs were perfused via vasculature at 25 cmH₂O followed by submersion for 24h at RT in 10% neutral buffered formalin. Sections were dehydrated through a series of graded ethanol and xylene, and embedded in paraffin. 3µm thick paraffin sections were cut, transferred onto glass slides and incubated overnight at 37°C. For frozen sections of human lungs, the lung samples were snap-frozen in liquid nitrogen already at the surgical theatre and stored at -80°C. For frozen sections, murine lungs were distended to total lung capacity with Tissue-Tek[®] OCT compound, removed en bloc, snap-frozen in liquid

nitrogen and stored -80°C. 10µm thick sections were cut by cryostat, transferred onto superfrost glass slides, air dried and stored at -20°C.

3.7 Gelatin zymography in murine BALF samples

Bronchoalveolar lavage fluid (BALF) was pooled from experimental animals at the indicated time points, concentrated five-fold using YM-10 Centricon centrifugal filters and the total protein concentration was measured with the BCA protein assay kit. 50µg of BALF total protein was mixed with 4x Laemmli sample buffer without ß-2mercaptoethanol and in absence of boiling, and the proteins were separated in nonreducing, 10 % polyacrylamide gel copolymerized with 1 mg/mL of porcine skin gelatin. After electrophoresis (100V, 1h) SDS was removed from the gels by two washes in 2.5% Triton X-100, 30 minutes each time. Subsequently, the gels were equilibrated in developing buffer (50 mM Tris [pH 7.4], 10 mM CaCl₂, 1µM ZnCl₂, 1% Triton-X-100) for 30 minutes, then incubated in fresh developing buffer at 37°C for 18 hours. The gel was stained with 0.5% Coomassie Blue R-250 for 1 hour, followed by destaining (20% methanol, 20% ethanol, 7% acetic acid). Gelatinolytic activity was detected as clear bands on a dark blue background. The protein molecular weight marker and specific recombinant gelatinases were included in the gel for identification. Control gels were incubated in buffer containing 20mM EDTA.

3.8 <u>Macrophage Chemotaxis to BALF samples</u>

BALF that served as the chemoattractant was retrieved from experimental animals at d7. Fluorescently labeled alveolar macrophages (AMJ2-C11 macrophage cell line [ATCC]) served as the target cells. BALF was diluted 8-fold and 29µl was placed in the bottom chamber of a 96 well chemotaxis chamber (101-5, Neuroprobe, Inc.) and 28 µl of serum-starved macrophages at 1.5 x 10⁴ cells/ml was placed on top of the filter above its corresponding well so that beads were formed. After 1 hour of incubation at 37°C at 5% CO₂ the number of macrophages that migrated into the lower chamber was determined by reading the fluorescence intensity using a fluorescent plate reader (Ex/Em = 485/530nm). Results are reported as the directed versus random migration ratio; i.e, the ratio of the intensity of fluorescence in the test wells to that of the blank (wells containing only media).

3.9 Analysis of collagenase activity in human lung homogenates

Collagenase activity in human lung homogenates was determined using the EnzChek® Gelatinase/Collagenase assay kit from Molecular Probes, with some modifications. As substrate, the DQ™ collagen, fluorescein conjugate called D-12060 collagen, in which heavy labeling with fluorescein results in quenching of fluorescence was used. Briefly, a serial dilution of standard stock solution (500 U/ml) of collagenase, type IV from *Clostridium histolyticum*, was prepared in 1X reaction buffer (50mM Tris, 150mM NaCl, 5mM CaCl₂, pH 7.6). 50µg protein in each lung homogenate was diluted to100µl in 1X reaction buffer. Wells of a black flat-bottom 96-well optical bottom microtiter plate were coated (50 µl per well) with 100µg/ml of DQ-collagen in low gelling agarose, in the dark. After the agarose solidified, 100µl of

standards and 100µl of sample were added to the wells in triplicates followed by 100µl 1X reaction buffer. For controls (blanks), 200µl 1X reaction buffer was added to coated and uncoated wells. Samples were incubated at 25°C, protected from light for 2 hours. The fluorescence intensity was read in a fluorescence microplate reader at Ex/Em of 495/515nm. For each standard and sample background fluorescence was corrected by subtracting values derived from the blanks. A standard curve was obtained from the standard to confirm the linearity between collagenase activity and substrate degradation. Collagenase activity was calculated by the computer software (Magellan) and expressed as unit of activity per unit sample volume (mU/ml).

3.10 Hydroxyproline determination in human lungs

Hydroxyproline levels in human lung tissues were determined following the protocols of Ikeda 219 and Sormiachi 220 . Human lung tissues in 1000µl of distilled H₂O (dH₂O) were thoroughly homogenized employing a Potter homogenizer. 500µl of each lung homogenate were transferred into pre-weighed and labeled 2ml eppendorff tubes and then dried for 12 h employing a speed vaccum and the lung dry weight was measured. The dry lung was hydrolyzed with 500µl of 6N HCl at 116°C for 16 h²²¹. After cooling, a 1:10 dilution of each hydrolysate (10µl hydrolysate + 90µl dH₂O) was prepared and 10µl of diluted hydrolysates were transferred to 1.8ml brown glass vials. External standard solutions (in duplicate) containing trans-4-hydroxy-L-proline and L-proline were prepared in 1.8ml brown glass vials at concentrations and volumes shown in Table 5.

Table 5: Trans-4-hydroxy-L-proline and L- proline external standards

No.	Hydroxyproline	Proline
1	50μl of 0.1μmol/ml	50μl of 0.1μmol/ml
2	20μl of 0.1μmol/ml	20μl of 0.1μmol/ml
3	10μl of 0.1μmol/ml	10μl of 0.1μmol/ml
4	50μl of 0.01μmol/ml	50μl of 0.01μmol/ml
5	20μl of 0.01μmol/ml	20μl of 0.01μmol/ml
6	10μl of 0.01μmol/ml	10μl of 0.01μmol/ml
7	5μl of 0.01μmol/ml	5μl of 0.01μmol/ml

For derivatization, 20µl of triethylamine and 20µl of 0.1µmol/ml 3, 4-dehydro-DL-proline (internal standard) were added to the diluted hydrolysates and external standards, and mixed. Then all the samples were frozen with liquid nitrogen and were dried under vacuum. To block the primary amino group, 20µl of 14.9 mM *ortho*-pthalaldehyde (OPA) solution were added to the dried samples (lung hydrolyates or external standards), and mixed thoroughly. The solution was incubated for 5 min at room temperature, and then 20µl of dabsyl-Cl in acetonitrile (1.3mg/ml) were added to all samples solutions and mixed well. Dabsylation was performed for 20min at 70°C with all vials capped. After 10 min at RT, 60µl of 50% acetonitrile in dH₂O were added to the samples, mixed well and stored at 4°C until RP-HPLC analysis. The detection of dabsylated amino acids (secondary amino groups) was carried out at 279.8nm and 436.8nm on the column, Merck Lichrosorb RP 18 (4.6 x 150mm, particle size 5µm). The column was maintained at 50°C and eluent flow-rate was

1.5ml/min.The gradient elution programme and mobile phases used for separating hydroxyproline, dehydroproline and proline are given in Table 6.

Table 6: Gradient elution program for separation of hydroxyproline 219, 220

Time (min)	Solvent A (%)	Solvent B (%)
0 - 3	55 (isocratic)	45
3 - 8	40	70
8 -12	33	67
12 -13	0	100
13 -15	0 (isocratic)	100
15 -15.5	55	45

Solvent A: 40mM Na₂HPO₄ adjusted to pH 3.0 with ortho-phosphoric acid.

Solvent B: 4% (v/v) dimethylformamide in acetonitrile-dH₂O (4:1).

A standard curve was obtained from the external standards. The hydroxyproline content of the lung hydrolysates was calculated using the HP Chem Station for LC and LC/MS systems software and expressed as microgram hydroxyproline per milligram lung dry weight (µg/mg).

3.11 Hydroxyproline determination in murine lungs

Hydroxyproline levels in murine lungs were determined following the protocol of Woessner²²². The mouse lung tissue was homogenized in $500\mu l$ of distilled H_2O (dH_2O), then lyophilized for 12 h and the lung dry weight was measured. The dry lung was hydrolyzed with 4 ml of 6N HCl at $116^{\circ}C$ for 16 h. 2ml of the hydrolysate were evaporated and the powder was then reconstituted with 2ml of dH_2O and then

re-evaporated. This powder was then reconstituted with 2 ml of dH_2O and the pH adjusted to pH 7.0. Standard solutions containing 3, 4 D-L- hydroxyproline (Sigma) were made at concentrations of 0, 1, 2, 3, 4, 5, 6, 7, 8, 9 and 10 μ g/ml. Sample solution (2 ml) was taken and oxidized with 1 ml of Chloramine-T (Sigma) for 20 min. The reaction was then stopped with 1 ml of 3.15 M perchloric acid. After 5 min, 1 ml of *p*-dimethylaminobenzaldehyde solution was added. The sample was thoroughly vortexed until appearing lucent, incubated in a 60°C bath for 20 minutes, and then cooled under tap water for 5 min. The absorbence of the solutions was determined at 557 mm. The hydroxyproline content of the lung samples was calculated from the standard curve and expressed as mg hydroxyproline per lung dry weight.

3.12 Quantitative real time reverse transcription- polymerase chain reaction (RT-PCR) analysis.

Total RNA was extracted from lung tissue using the Qiagen RNeasy® Mini kit, according to recommendations from the manufacturer. Briefly, snap frozen lungs were homogenized in buffer RTL containing 1% v/v ß- mercaptoethanol. After full speed centrifugation for 3 min, supernatants were collected and mixed with an equal volume of 70% ethanol (RNase-free). The mixture was added to an RNeasy mini column and centrifuged again. RNase-free DNase I was added to the filter membranes for \geq 15 min at 20-30°C in order to eliminate contaminating genomic DNA. Following washes of the column RNA was eluted two times from the filter using 40µl of RNase free water each time. The RNA concentration and quality were assessed by measuring the A₂₆₀ and A₂₆₀/A₂₈₀ respectively.

2 μg of total RNA was reverse-transcribed using a first-strand cDNA kit with random hexamers (Applied Biosystems, Foster City, CA) according to the manufacturer's recommendations. Two negative controls, one without the RNA template and other lacking reverse transcriptase were included. Human *MMP-1*, *MMP-2*, *MMP-7*, *MMP-8*, *MMP-9*, *MMP-12*, *MMP-14*, *TIMP-1*, *TIMP-2*, *TIMP-3*, and *TIMP-4* as well as murine *Mmp-7*, *Mmp-8*, *Mmp-13*, *Timp-1*, *Timp-2*, *Timp-3*, and *Timp-4* mRNA transcripts were quantified using Power SYBR Green® PCR and with human and mouse smooth muscle actin (*mACTB*) as internal controls respectively for human and murine samples. Each sample was run in triplicates. The negative control reactions were also included. The PCR reaction and analyses were carried out using the ABI Prism 7500 Sequence Detector and software. The relative abundance of the target genes was obtained by calculating against a standard curve and normalized to an internal control. PCR primers were purchased from ABI TaqMan Gene Expression Assays (Applied Biosystems) and QuantiTect® Primer Assays (Qiagen).

Table 7: Primers used for the amplification of cDNA from human lung tissue

by Power SYBR Green® PCR

cDNA	Primer sequence (5' 3')
	(F = Forward primer; R = Reverse primer)
hMMP-1	F: CCT CGC TGG GAG CAA ACA
	R: TTG GCA AAT CTG GCG TGT AA
hMMP-2	F: CGT CTG TCC CAG GAT GAC ATC
	R: ATG TCA GGA GAG GCC CCA TA
hMMP-7	F: GCT GGC TCA TGC CTT TGC

	R: TCC TCA TCG AAG TGA GCA TCT C
hMMP-8	F: CCC AAC TAT GCT TTC AGG GAA A
	R: GCC TGA ATG CCA TCG ATG T
hMMP-9	F: GGA CGA TGC CTG CAA CGT
	R: CAA ATA CAG CTG GTT CCC AAT CT
hMMP-12	F: TGC ACG CAC CTC GAT GTG
	R: GGC CCC CCT GGC ATT
hMMP-13	F: TTC TTG TTG CTG CGC ATG A
	R: AGG GTC CTT GGA GTG GTC AA
hMMP-14	F: TCA GGG TTC CCC ACC AAG A
	R: AAC AGA AGG CCG GGA GGT A
hTIMP-1	F: CGC TGA CAT CCG GTT CGT
	R: TGT GGA AGT ATC CGC AGA CAC T
hTIMP-2	F: GGG CAC CAG GCC AAG TT
	R: CGC ACA GGA GCC GTC ACT
hTIMP-3	F: CCT GGC TAC CAG TCC AAA CAC
	R: TGC AGT AGC CGC CCT TCT
hTIMP-4	F: GCA CCC TCA GCA GCA CAT C
	R: CTG GAG ATT TTG GCC CGA AT
hß-actin	F: GCG CGG CTA CAG CTT CA
(hACTB)	R: CTT AAT GTC ACG CAC GAT TTC C

Table 8: Primers used for the amplification of cDNA from murine lung
by Power SYBR Green® PCR

Gene	Assay name	Catalog number
Mmp -7	Mm_Mmp7_1_SG Quantitect Primer Assay(200)	QT00110012
Mmp -8	Mm_Mmp8_1_SG Quantitect Primer Assay(200)	QT00113540
Mmp -13	Mm_Mmp13_1_SG Quantitect Primer Assay(200)	QT00111104
Timp -1	Mm_Timp1_1_SG Quantitect Primer Assay(200)	QT00996282
Timp -2	Mm_Timp2_1_SG Quantitect Primer Assay(200)	QT00138558
Timp -3	Mm_Timp3_1_SG Quantitect Primer Assay(200)	QT00105469
Timp -4	Mm_Timp4_1_SG Quantitect Primer Assay(200)	QT00151844
mß-actin	Mm_Actb_2_SG Quantitect Primer Assay(200)	QT01136772
(mACTB)		

3.13 Western blot analysis of MMPs and TIMPs in human tissues

Human lung tissue samples were pulverized in liquid nitrogen and incubated in RIPA buffer (50mM Tris-HCl pH 7.5, 150mM NaCl, 1mM EDTA, 1% (v/v) Triton-X-100, 1% NP-40, 0.1% SDS, 1mM PMSF, Complete protease inhibitor cocktail [Roche]). Protein concentrations were determined with the BCA Protein Assay Kit (Pierce, Rockford, IL). 50 µg total protein per sample were mixed with denaturing Laemmli sample buffer, electrophoresed on a 10% denaturating SDS-polyacrylamide gel and transferred onto polyvinylidene difluoride (PVDF) membranes using a semi-dry transfer cell. Non-specific sites on the membranes were blocked for 2 hours at room temperature with 5% (w/v) non-fat dried milk in TBS/T (Tris-buffered saline [TBS; 50

mM Tris-HCl, pH 7.5, 50 mM NaCl], and 0.1 % Tween 20). Membranes were incubated with primary antibody in 5% (w/v) non-fat dried milk in TBS/T at 4°C, overnight, with gentle shaking. Primary antibodies used were purchased from Calbiochem except otherwise indicated as shown in Table 9. Membranes were washed 3 x 15 minutes in 1 \times TBS/T and incubated for 1 hour at room temperature with respective horse-radish peroxidase-conjugated secondary antibodies to mouse, goat and rabbit IgGs (1:2000 in 5% (w/v) non-fat dried milk in 1x TBS/T.

Table 9: <u>Antibodies and dilutions used in western blot</u>

Antibody	Dilution used
Monoclonal anti- human MMP-1	1:1000
Monoclonal anti- human MMP-2	1:1000
Rabbit polyclonal anti-human MMP-7	1:5000
Monoclonal anti-human MMP-8	1:1000
Goat polyclonal anti-human MMP-9 (R & D systems)	1:1000
Monoclonal antibody to latent and active human MMP-13	1:500
Monoclonal anti-human TIMP-1	1:500
Monoclonal anti- human TIMP-2	1:500
Monoclonal anti-human TIMP-4 (R & D systems)	1:500
Monoclonal anti-human ß-smooth muscle actin (Abcam)	1:10,000

After four washes with 1xTBS/T, membranes were developed with the Enhanced Chemiluminescence (ECL) Plus detection system using radiographic film. The band

intensity of exposed film was analyzed by densitometric scanning and quantified using AlphaEase[®]FC Imaging System. The density of the protein of interest was related to the density of the housing-keeping gene (β -actin). Experiments were repeated three times per each patient sample.

3.14 <u>Histopathology and Immunohistochemistry</u>

Formalin fixed, paraffin-embedded human and murine lung tissue sections were routinely stained with haematoxylin and eosin (H & E) stain and with Masson-Trichrome stain in order to assess the histological phenotype and extent of collagen deposition. For each human patient or murine sample, 3 slides were analysed and 5 random fields were examined. Immunohistochemistry for human MMP-13 and for murine MMP-7 was also performed on paraffin sections. Monoclonal anti-human MMP-13 antibody (5µg/ml) and goat anti-mouse MMP-7 antibody (15µg/ml) were antibodies for human and murine sections respectively. After deparaffinization and rehydration, endogenous peroxidase activity was guenched by treating tissue sections in 3% H₂O₂ in methanol. Antigens were retrieved by microwaving for 20 minutes in 10mM citrate buffer, pH 6.0 and cooling at RT. Sections were washed with phosphate-buffered saline (PBS, pH 7.4) and immunohistochemistry performed using the Labeled-[strept] Avidin-Biotin (LAB-SA) method according to the manufacturer's recommendations. Briefly, non-specific background was eliminated by incubating sections with blocking solutions A and B for 30 min and 10 min, respectively, followed by overnight incubation at 4°C with mouse anti-human MMP-13 (5µg/ml) [human sections] or goat anti-mouse MMP-7

(15µg/ml) [murine sections]. After three washes in PBS, a biotinylated secondary anti-IgG was applied followed by the streptavidin-peroxidase conjugate. The presence of peroxidase was revealed by adding the chromogenic substrate solution. The formation of a brown deposit demonstrates the location of the antigen and the reaction was terminated by washing in distilled water. Stained sections were counterstained with haematoxylin; slides were dehydrated with graded series of alcohol, cleared in xylene and mounted in HistomountTM. For negative control slides, the primary antibody was replaced by the corresponding non-immune serum.

3.15 <u>Immunohistochemistry combined with in situ zymography</u>

Immunohistochemical (IHC) localization of MMP-1 and MMP-9 protein was performed on 10µm cryostat sections of human lungs followed by in situ zymography (ISZ) in order to co-localize the MMP protein signal with the collagenolytic and gelatinolytic activity in the tissue. Briefly, cryostat sections were air-dried for 1 hour at RT and fixed with ice cold acetone for 10 min at RT. The IHC procedure for the desired antigen was performed according to standard procedures using a fluorescently labeled secondary antibody (rhodamine RedTM-X-conjugated) with spectral properties other than FITC. Non-specific antigenic sites were blocked with 5% goat serum in TBS at 4°C for 1 hour. Sections were incubated at 4°C overnight with primary antibody in TBST containing 1% goat serum. Control sections were incubated with the corresponding non-immune serum. After 3 x 5min washes with TBS, sections were incubated at 4°C for 90min with rhodamine RedTM-X-conjugated secondary antibody in TBST containing 1% goat serum. ISZ was then performed in order to localize collagenolytic and gelatinolytic activity in the lung tissue^{223, 224}.

Briefly, sections were overlaid with 1 % low gelling temperature (LGT) agarose containing 100µg/ml highly quenched FITC-labeled DQ-collagen or DQ-gelatin, covered with a coverslip and gelled at 4°C. Sections were incubated at 37°C for 12 hours and examined. Control incubations were performed on serial cryostat sections by adding 30mM Captopril to the incubation medium. The presence of auto-fluorescence in sections was tested by incubating in agarose-containing medium lacking DQ-collagen or DQ-gelatin. Nuclei were counterstained by adding 1 µg/ml 4′, 6-diamino-2-phenylindole [DAPI] to the incubation medium. Sections were analyzed

by comparing: (a) the localization of the fluorescence of FITC (activity) with that of rhodamine red (antigen); (b) the fluorescence of FITC formed after incubation in the presence of the DQ substrate with that produced after incubation in the absence of DQ substrate or in the presence of the DQ substrate and MMP inhibitors.

In murine lung immunohistochemical detection of macrophages in 10µm cryosection slides was performed with a fluorescent detection method according to the manufacturer's recommendations. Macrophages were detected with purified rat antimouse Mac-3 monoclonal antibody and with rhodamine RedTM-X-conjugated affinipure Fab fragment goat anti-rat IgG (H+L). ISZ was then performed as outlined above.

3.16 Data analysis

Data are expressed as the mean \pm SEM. Statistical analyses were performed with Graphpad Prism 4.02 software. Means between two groups were compared with 2-tailed unpaired Student's T-test, Wilcoxon test and 2-way ANOVA. Furthermore, statistical comparisons between IPF and normal groups were performed using the nonparametric Kruskal-Wallis test. P < 0.05 was considered statistically significant.

4. RESULTS

4.1 <u>IPF patient lungs manifest the typical UIP histological pattern with</u> marked collagen deposition in the matrix.

As a prerequisite of our study the histological phenotype of our patient population was examined.

4.1.1 <u>Histological phenotype of patient lungs</u>

A well known histological hallmark of IPF is the presence of fibroblastic foci in areas of active tissue remodelling adjacent to areas of normal tissue architecture²²⁵. We showed by haematoxylin and eosin staining of lung sections the typical UIP histological pattern in our patient study population (Figure 7b, 7e).

Masson trichrome stain showed deposition of collagen and other matrix proteins in the pulmonary interstitium of IPF patients compared to control lungs (Figure 7d, 7f versus 7b).

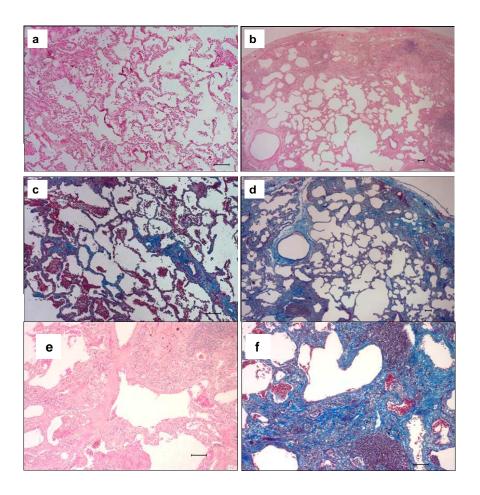


Figure 7: IPF lungs show the typical histological pattern of usual interstitial pneumonia and increased collagen deposition.

Paraffin sections from control and IPF lungs were stained with routine stains for histological assessment. Shown are representative lung sections from a control lung (a, c) and an IPF lung (b, d, e, f) stained with either haematoxylin & eosin (a, b, e) for general histological assessment and Masson trichrome for collagen and other matrix proteins (c, d, f). a & c,10X objective , scale = $100\mu m$;

b & d, 4 x objective for IPF, scale = $250\mu m$); e & f, 10x objective, scale = $100\mu m$].

4.1.2 Hydroxyproline levels are increased in IPF lungs

Collagen deposition in IPF lung parenchyma was quantified by determining the hydroxyproline content (Figure 8) of lung tissues. Compared to control lungs we observed a moderate increase in lung hydroxyproline in two out of three different peripheral regions of the same lobe. The highest hydroxyproline content was encountered in the peripheral samples.

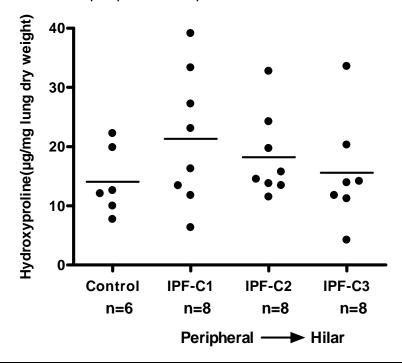


Figure 8: Hydroxyproline content of control and IPF patient lung tissues.

Lung tissue was taken from 3 different regions from the basal part of IPF lungs (n=8) and analysed for collagen deposition as described in the methods. Given are the hydroxyproline content in µg/mg lung dry weight. Comparison to control lung (n=6) was undertaken. Data are from three independent experiments and are presented as scatter dot-plot wherein the horizontal line within each group represents the mean hydroxyproline value.

4.2 <u>Expression of MMPs and TIMPs in IPF and control lungs</u>

The expression of MMPs and TIMPs at the transcriptional level was analyzed in homogenates from IPF and donor lungs and commercially available normal lung preparations (see Table 2 in Methods). In addition, MMP and TIMP protein expression was analyzed in IPF and donor lung homogenates and MMP-13 protein was localized in lung tissue. Furthermore, collagenolytic and gelatinolytic activities were analyzed.

4.2.1 <u>mRNA expression of collagenases and matrilysin are upregulated</u> <u>in IPF lungs compared to control lungs</u>

We first investigated MMP and TIMP expression at the mRNA level in IPF and control lungs by quantitative real time PCR. As compared to control lungs, mRNA expression of the collagenases MMP-1 and MMP-13 mRNA was greatly increased (44.8 fold and 57.1 fold, respectively). In addition, MMP-7 mRNA expression in the lungs of IPF patients was upregulated 11.8 fold (Figure 9). Expression of MMP -2, -8, -9, -12 and -14 was not significantly different between IPF and donor lungs. Among the TIMPs, only TIMP-4 was found to be significantly altered at the mRNA level, with a 2.5 fold reduction in expression in the IPF versus donor lungs.

Quantitative Real time RT-PCR

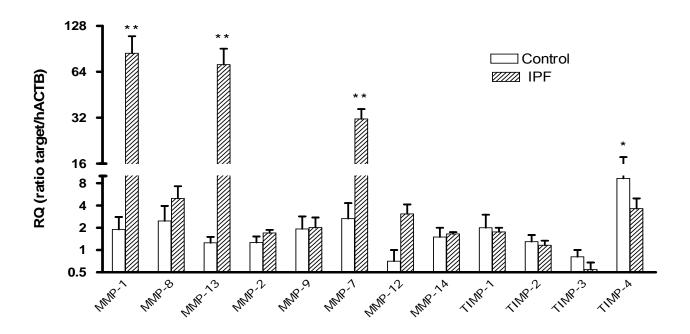
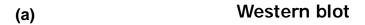


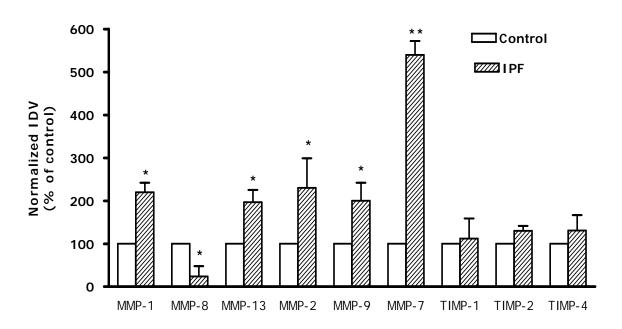
Figure 9: mRNA expression in control lungs and IPF patients.

Total RNA from control (n =7) and IPF patients (n =14) was reversed transcribed as described in the methods. mRNA transcripts of MMPs and TIMPs were quantified by quantitative RT-PCR and normalized as a ratio to human &-actin as housekeeping gene. Data presented is the average quantification of 2 independent experiments. *p<0.05, **p < 0.01 compared to the control.

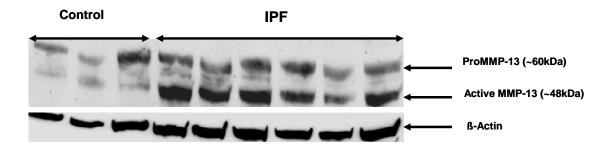
4.2.2 <u>Increased protein content of MMP-1,-2, -7, -9, -13 in IPF lungs</u> compared to controls

We employed western blot analysis to determine the expression of MMPs and TIMPs at the protein level. After densitometric analysis of the protein band intensities (Figure 10a), significant upregulation of protein in IPF versus control lungs was observed for MMP-1 (~2 fold), MMP-2 (~2 fold), MMP-7 (5.5), MMP-9 (~2 fold) and MMP-13 (~2 fold). In contrast, MMP-8 protein levels were reduced in IPF. None of the TIMPs showed significantly different expression at the protein level. We became interested in MMP-13 because among the MMPs analyzed the mRNA was increased the most. However, there was a lower level of protein than was anticipated from the mRNA expression data. We then examined the protein expression utilizing antibodies specific for the pro-and active form of MMP-13. We clearly demonstrated a high abundance of active MMP-13 protein in the IPF versus donor lungs (Figure 10b). To further corroborate these data and to identify the cellular source of MMP-13, immunohistochemistry was performed. Weak MMP-13 immunoreactivity was detected in the normal lung tissue (Figure 10c). However, in the lungs of IPF patients immunoreactive MMP-13 was easily detected in macrophages, in the alveolar and bronchiolar epithelial cells, alveolar septae and in the interstitial space (Figure 10d and 10e).





(b)



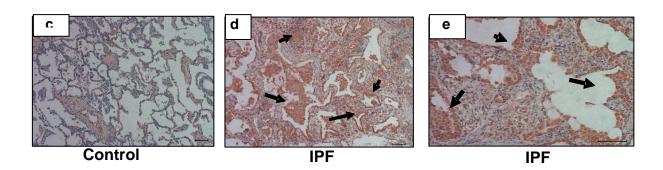


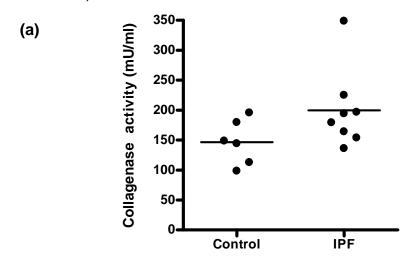
Figure 10: MMP-13 protein expression is upregulated in IPF lungs

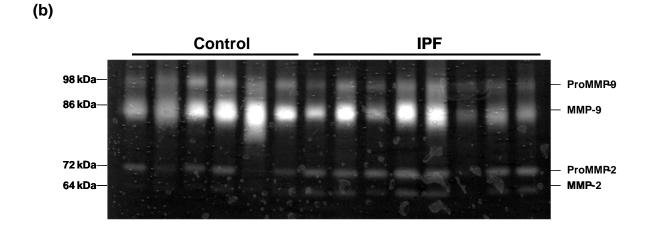
(a) Protein expression of MMPs and TIMPs were analyzed by Western blot and data are shown as the normalized integrated density value (IDV) to that of actin as loading control. Data presented are from three independent experiments. *p< 0.05 and ** p<0.001 compared to control. (b) Representative Western blot demonstrating increased protein expression of both the latent and active form of MMP-13 in IPF versus control.(c) Only weak MMP-13 immunoreactivity was observed in the control lungs, 10x objective, scale = 100μm. (d) MMP-13 immunohistochemistry confirms increased MMP-13 expression in IPF lungs. MMP-13 localized mainly to alveolar, bronchiolar epithelia cells (arrow), and alveolar macrophages; 10x objective, scale = 100μm. (e) Higher power view of showing abundant MMP-13 immunoreactivity (arrows); 20X objective, scale = 50μm.

4.2.3 <u>Increased collagenase and gelatinase activity in IPF versus</u> control lungs

We further investigated if the increased protein levels of the collagenases and gelatinases would result in an increased activity. Collagenase activity in lung homogenates was measured by a fluorescence substrate assay and gelatinase activity was assessed by gelatin substrate zymography. Increased collagenase activity was observed in IPF compared to control lungs (Figure 11a). However, due to the limited number of samples investigated, this change did not reach statistical significance. We observed no difference in MMP-9 activity between IPF and control

lungs, but MMP-2 activity was significantly increased in IPF as compared to controls (Figures 11b, 11c and 11d). Development of lytic zones could be fully inhibited in control gels which were incubated in the presence of EDTA (data not shown).





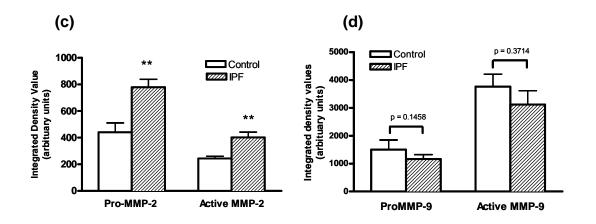


Figure 11: Increased collagenase and gelatinase activity in IPF versus control lungs.

(a) Microtiter plate based fluorescent substrate assay for collagenase activity in control (n=6) and IPF (n=8) lungs. Shown is a scatter-dot plot representing data from three independent experiments, wherein the horizontal line within each group represents the mean value. (b) Representative zymogram of gelatin substrate zymography of homogenates of control and IPF lungs; lytic zones of MMP-2 and MMP-9 activity appear white over the dark background. Densitometric analysis of pro- and active-MMP-2 activity (c); and of pro- and active MMP-9 activity (d). ** $p < 0.01\ IPF$ compared to controls.

4.2.4 <u>Spatial distribution of MMP antigen signal and collagenolytic and</u> gelatinolytic activity in IPF and controls

In order to better judge on the spatial distribution of MMP protein signal and activity and in face of overlapping substrate specificities 118, 120, we employed collagen in situ zymography (ISZ) alongside with MMP-1 and -13 immunohistochemistry in order to correlate MMP-1 and -13 with collagenase activity. In addition, gelatin in situ zymography was performed simultaneously with MMP-2 immunohistochemistry in order to correlate MMP-2 with gelatinase activity. Whereas minimal activity and protein expression were observed in control lung tissue (Figure 12), we observed abundant MMP-1 and MMP-13 protein staining and partial co-localization with collagenase activity in alveolar epithelial cells lining fibroblastic foci and areas of dense fibrosis. We also found that MMP-2 protein and gelatinolytic activity co-localized in the endothelial and interstitial spaces of IPF lungs, but was not present

in the control lungs, thus underscoring the contribution of MMP-2 to the matrix remodelling process.

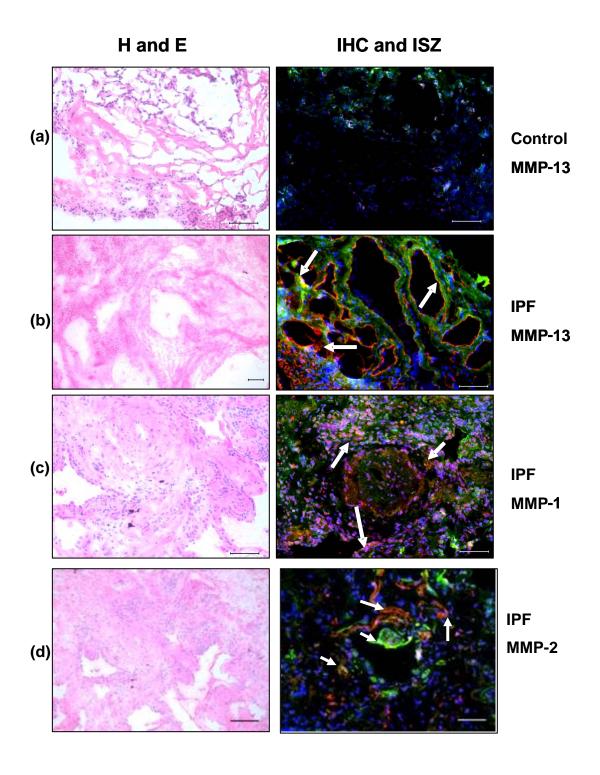


Figure 12: In situ zymography of MMPs in IPF.

Representative photomicrograph of in situ zymography combined with immunohistochemistry showing little collagenolytic activity and almost absence of MMP-1 (not shown) and MMP-13 protein signals in controlsl (a). In IPF tissues collagenolytic activity co-localized with MMP-13 (b), and MMP-1(c) in alveolar epithelial cells. Gelatinolytic activity co-localizes with MMP-2 (d). (Blue=nuclei, red = MMP-13 in (a) and (b), MMP-1 in (c), and MMP-2 in (d); green = collagenase activity (a, b, c) or gelatinase activity (d) 10X objective, Scale = 100 µm.

4.3 Role of MMP-13 in the pathogenesis of fibrotic lung disease

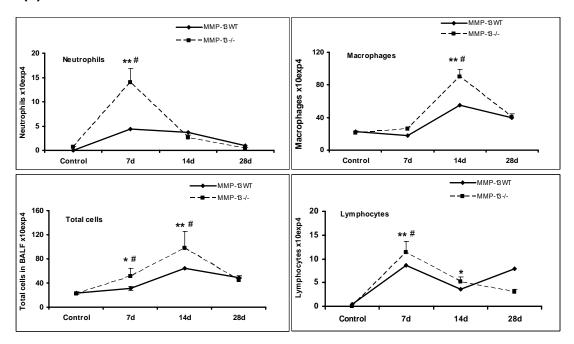
As a result of our studies, we identified MMP-1 and MMP-13 as key collagenases upregulated in human IPF. Because rodents lack the orthologue of human MMP-1, we further investigated the relevance of the collagenase, MMP-13, in a murine model of bleomycin induced lung fibrosis. We examined the fibrotic response to bleomycin in MMP-13^{-/-} and their in-bred wild type littermates.

In addition, we analyzed MMP activity in BAL fluid and expression of collagenases and TIMPs in lung homogenates pre- and post injury.

4.3.1 MMP-13^{-/-} mice develop exaggerated inflammation following bleomycin challenge.

To characterize the bleomycin-induced inflammatory response in MMP-13-/- and wt littermates, we assessed total cell counts and cell differentials of cytospins from BALF at various time points after treatment (Figure 13a). Likewise to their littermate wt controls. MMP-13-/- mice showed a significantly elevated total cell count in response to bleomycin challenge which was caused largely by an influx of granulocytes and lymphocytes into the alveolar space of both mice. However, the extent of cell transmigration seemed to be much higher in the MMP-13^{-/-} mice. reaching a significantly increased total cell count on d7 and d14 and a significantly increased relative number of neutrophils. Histological examination of lung sections from control MMP-13^{-/-} and wt mice revealed an essentially normal lung architecture. with no differences between wt and MMP-13^{-/-} mice (Figure 13b, 13e). 7d and 14d after bleomycin treatment, both, wt mice (Figure 13c and 13d, respectively) and MMP-13^{-/-} mice (Figure 13f and 13g, respectively) developed patchy areas of inflammation throughout the lung parenchyma, with MMP-13-/- mice displaying a more severe and longer lasting inflammatory response to bleomycin. In accordance with the BALF findings, septal infiltration with lymphocytes and neutrophils was more prominent in MMP-13^{-/-} as compared to wt mice, with some predominance in subpleural and peribronchial areas.

(a)



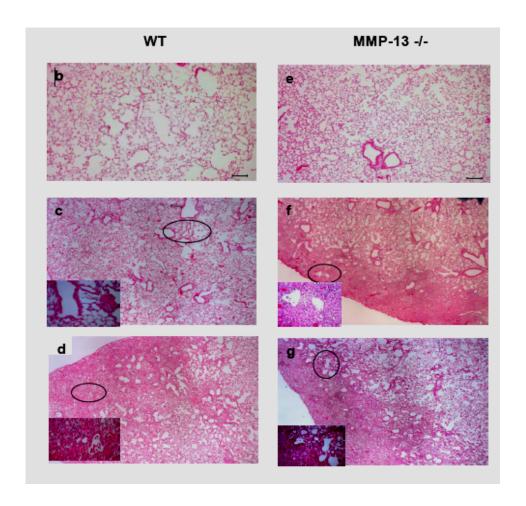


Figure 13: Inflammatory changes in MMP-13⁻/- and wt mice after bleomycin challenge

Total cells and percentages of macrophages, neutrophils and lymphocytes were determined in BALF from wt and MMP-13 ^{-/-} mice, 7 days after saline application (control) and 7d, 14d, and 28d after bleomycin treatment (a). Results are presented as mean ± SEM, n = 4 - 5 per group. **p*< 0.05 versus control, **p* < 0.05 versus corresponding wt value. Histologic evaluation of lungs at 7 and 14 days postbleomycin challenge in wt and MMP-13-^{-/-} mice. Representative lung sections from wt (b, c, d) and MMP-13-^{-/-} (e, f, g) mice were H&E-stained. Inserts in (c) and (d) and (f) and (g) show phenotypic changes as marked. (Objective: a & b, x10; b, c, e, & f, x4; inserts, x20). Scale = 100μm.

4.3.2 Regulation of chemotatic activity in macrophages from MMP-13^{-/-} mice

To better understand the sustained inflammatory reaction in MMP-13^{-/-} versus wt mice in response to bleomycin, we performed a macrophage chemotaxis assay using BALF from MMP-13^{-/-} and wt mice as the chemoattractant, and fluorescently labeled alveolar macrophages (AMJ2-C11 macrophage cell line) as responding cells. We noted that BALF from MMP-13^{-/-} mice, obtained 7days after bleomycin challenge, exerted a slight, although significant, increase in chemoattraction to macrophages as compared to BALF from bleomycin or saline challenged wt mice (Figure 14).

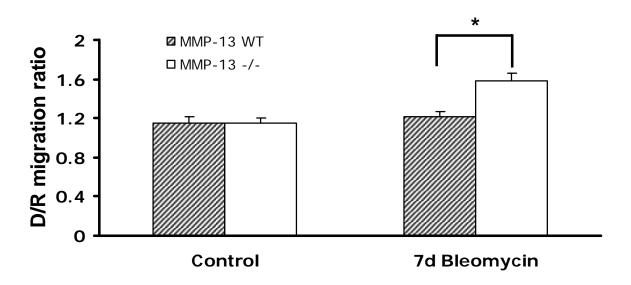


Figure 14: Increased macrophage chemotaxis to BALF from bleomycin challenged MMP-13^{-/-} versus wt mice

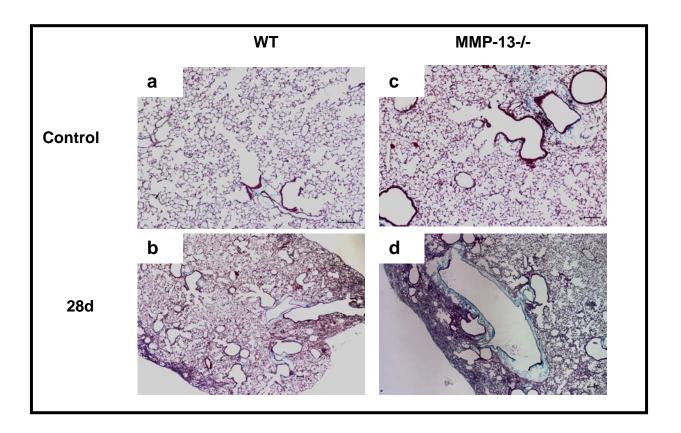
BALF was obtained from control and bleomycin treated and MMP-13- $^{-1}$ and wt mice (n = 3 per group). The alveolar macrophage cell line AMJ2-C11 was used as responding cells. Data are presented as mean \pm SEM, and are a representative of 2 independent experiments. * p< 0.05 comparing chemotaxis induced by 7d treated MMP-13- $^{-1}$ versus wt mice.

4.3.3 <u>MMP-13--- mice show more extensive lung fibrosis in response to</u> bleomycin administration.

We then analyzed the lung collagen content and extent of lung fibrosis in bleomycin challenged MMP-13^{-/-} and wt mice. Figure 15 shows representative Mason-Trichrome-stained lung sections (3 slides per lung and 5 random microscopic fields per slide examined) from control and bleomycin challenged wt (a & b) and MMP-13^{-/-} (c & d) mice at d28. As evident from this figure, MMP-13^{-/-} mice showed more extensive lung fibrosis and collagen deposition as compared to WT mice. Similar results were already observed at d14 (data not shown).

Analysis of lung hydroxyproline content (Figure 15e) revealed similar results: hydroxyproline levels were similar in control wt and MMP-13^{-/-} mice in the absence of challenge, and challenge with bleomycin resulted in a significant increase in lung hydroxyproline content in wt and MMP-13^{-/-} mice. However, hydroxyproline content of MMP-13^{-/-} mice was significantly higher compared to the respective wt mice, 28d after bleomycin challenge.

In line with this observation, the lung compliance (Figure 16) of bleomycin challenged MMP-13^{-/-} mice on d28 were significantly decreased as compared to bleomycin challenged wt mice.



(e)

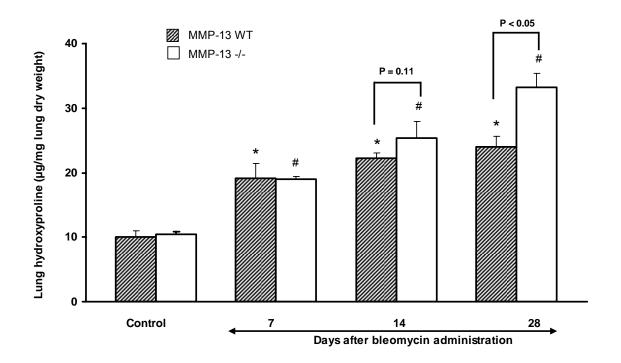


Figure 15: Increased lung collagen content in MMP-13^{-/-} versus wt mice in response to bleomycin treatment.

Representative lung sections from wt (a and b) and MMP-13^{-/-} (c and d) mice stained with Masson-Trichrome stain for collagen and matrix proteins are given. (e) Hydroxyproline content in wt and MMP-13^{-/-} lungs, control (saline) and at 7, 14 and 28d after bleomycin treatment, (n = 4-5 per group)

Data are representative of two experiments and presented as mean \pm SEM.

*p < 0.05 compared to wt control; p < 0.05 compared to MMP-13^{-/-} control.

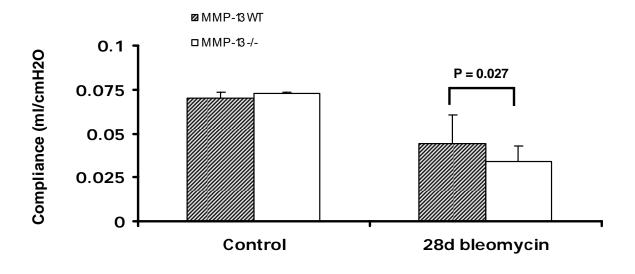
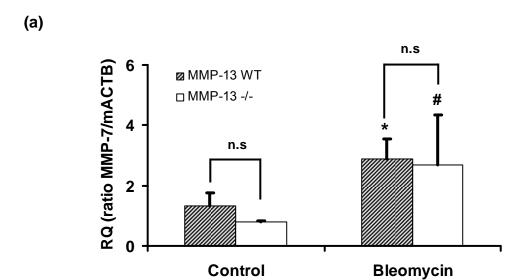


Figure 16: Lung compliance of control and d28 bleomycin treated MMP-13^{-/-} and wt mice.

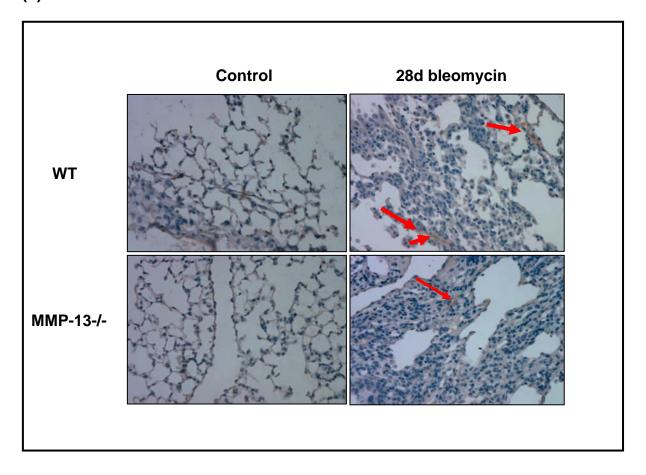
Lung compliance of mice (n = 3-5 per group) was measured as described in methods. Data are presented as mean compliance \pm S.E.M, p value is indicated.

4.3.4 Expression of MMPs and TIMPs in MMP-13^{-/-} and WT mice

We next assessed the effect of bleomycin on the expression pattern of MMPs and TIMPs and to identify a potential compensation for the loss of MMP-13 in MMP-13-/mice. As depicted in Figure 17a, MMP-7 mRNA was upregulated in wt and in MMP-13^{-/-} mice in response to bleomycin challenge (d28), however, MMP-7 mRNA was not different in wt versus MMP-13^{-/-} treated mice and this was also encountered in lung specimen from the other time points post bleomycin challenge (data not shown). Such observation was further corroborated by immunohistochemistry of lung sections obtained d28 after bleomycin challenge: in here, MMP-7 immunostaining (Figure 17b) was absent in untreated lungs, but was found to be present in MMP-13^{-/-} and wt mice (Figure 17b). In contrast, MMP-8 mRNA expression was found to be elevated in MMP-13^{-/-} versus wt mice in absence of any challenge. In response to bleomycin challenge MMP-8 was induced in MMP-13-/and wt mice, however, with much higher mRNA levels in the MMP-13^{-/-} mice (Figure 17c). As expected, MMP-13 transcripts were not observed in the MMP-13^{-/-} mice. whereas MMP-13 was found to be greatly induced in response to bleomycin challenge in wt mice (Figure 17d).



(b)



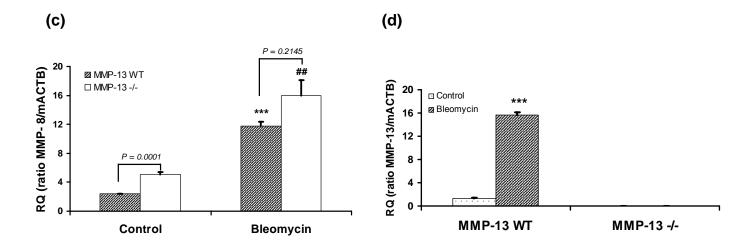
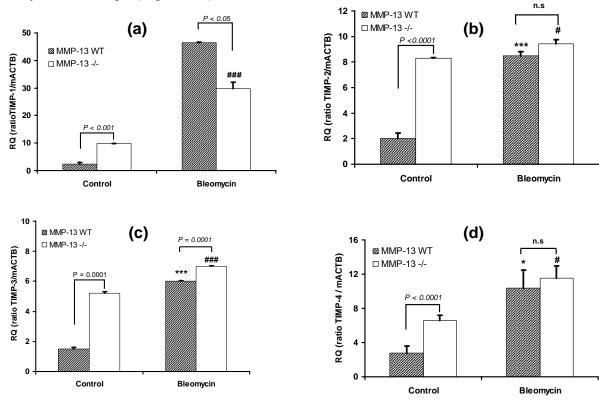


Figure 17: Expression of *Mmps-7, 8, 13* in lungs of wt and MMP-13^{-/-} mice in response to bleomycin challenge.

Total lung RNA was obtained from saline treated (control, left bar) or bleomycintreated (right bar) mice at d28 and analyzed for mRNA transcripts of Mmp-7 (a), Mmp-8 (c), Mmp-13 (d) using quantitative RT- PCR and normalized as a ratio to mouse \mathcal{B} -actin as housekeeping gene. Data are shown as mean ddC_t \pm SEM from 3-5 mice per treatment group. * p < 0.05, ** p < 0.001, ***p < 0.0001 compared to wt control; * p < 0.05, ** p < 0.0001 compared to MMP-13-/- control.(b) MMP-7 protein immunostaining in distal airways of wt (upper panel) versus MMP-13-/- (lower panel) mice. No MMP-7 staining was observed in respective control lungs (left upper and lower panels).

We also analysed mRNA expression level of *Timp-1* (Figure 18a), *Timp-2* (Figure 18b), *Timp-3* (Figure 18c) and *Timp-4* (Figure 18d) in the lungs of wt and MMP-13^{-/-} mice, control and 28d after bleomycin treatment. We observed significantly elevated levels of these mRNAs in MMP-13^{-/-} versus wt mice in absence of bleomycin challenge (Figure 18) and significant induction of these TIMPs in response to bleomycin challenge (Figure 18)



mRNA expression of *Timps-1 (a)*, *-2 (b)*, *-3 (c) and -4(d)* was analysed by quantitative RT-PCR in lung specimen of saline treated (control, left bars) and bleomycin challenged (d28, right bars)

mice. Data are shown as mean ddct \pm SEM from 3-5 mice per treatment group.

Figure 18: mRNA expression of *Timps* in wt and MMP-13^{-/-} mice

^{*} p< 0.05, ** p< 0.001, ***p< 0.0001 compared to wt control;

 $^{^{\#}}$ p< 0.05, $^{\#\#}$ p< 0.001, $^{\#\#}$ p< 0.0001 compared to MMP-13^{-/-} control.

5. DISCUSSION

5.1 Role of MMPs and TIMPs in Pulmonary Diseases

MMPs have been implicated in various pathologies. Redundant diseases associated with MMP regulation include: aortic aneurysms²²⁶⁻²²⁹, atherosclerosis²³⁰⁻²³², rheumatoid and osteoarthritis^{233, 234}, nephritis²³⁵⁻²³⁸, tumor growth and migration²³⁹⁻²⁴¹, and tissue ulcers^{118, 120, 242, 243}. Dysregulation of MMP activity has been associated with lung diseases such as cancer, emphysema and fibrosis^{91, 117, 244-248}. For this reason, MMPs have become an important focus for basic science studies and clinical investigations by lung biology researchers and are important players in the future diagnosis and treatment of lung disease involving excessive matrix remodelling.

Almost all of the MMPs can be identified in lung tissue. In view of the predominant extracellular matrix (ECM) compounds of the lung, in particular type I and II collagen and elastin, the collagenases, gelatinases, matrilysin and macrophage metalloelastase are of major interest. These MMPs are counterbalanced by the four TIMPs, among which TIMP 1 and 2 seem to play a major role in ILDs²⁴⁹. Under conditions of lung fibrosis a differential pattern of MMP and TIMP expression has been observed and suggested to contribute to the evolution of the disesase ^{250, 251}. In IPF, MMP 9 expression was found to be elevated ²⁵², whereas in COP or NSIP, MMP 2 expression seemed to be preferentially upregulated ²⁵³. The gelatinases (MMP-2 and -9)²⁵³⁻²⁵⁵ and neutrophil elastase (MMP-8)²⁵⁵ have been shown to be

elevated in the BALF and lungs of IPF patients. RNA and protein levels of MMP-7 in particular, have been shown to be increased in both human and experimental lung fibrosis⁸⁶. In addition to MMP-7, increased MMP-1 expression levels were recently found in BALF and plasma of IPF patients^{86, 256}.

Recently, epigenetic modifications have been shown to contribute to MMP regulation ¹⁶⁸. Studies performed using transgenic or knock out mice also revealed the fundamental significance of MMPs in the development of emphysema^{257,258} and lung fibrosis⁸⁶. As an example, MMP-1 overexpressing mice do develop emphysema in spite of normal elastin content ²⁵⁷. In parallel, increased collagenase (MMP-1) activity has been observed in BAL and lung parenchyma from emphysema patients and could be localized to alveolar type II cells²⁵⁹. Furthermore, MMP-12 KO mice were shown to have a normal lung phenotype even after exposure to cigarette smoke ²⁶⁰, and in yet another study, MMP-12 KO mice were not protected from bleomycin-induced lung fibrosis⁹⁰. MMP-7 KO mice were shown to be protected from bleomycin-induced lung fibrosis⁸⁶ and MMP-9 KO mice showed decreased alveolar bronchiolization after bleomycin-induced lung fibrosis⁸⁹, thus underscoring the important contribution of single MMPs in the dynamic regulation of the ECM and of remodeling processes in the lung⁹¹.

MMP-13 (also known as collagenase-3) is the major interstitial collagenase of rodents and has a high specificity for degrading insoluble fibrillar collagens especially type II and I collagens ^{180, 261}. The expression of MMP-8 and -13 were shown to be down-regulated in a model of pulmonary fibrosis induced in rats with

paraquat and hyperoxia ²⁵¹. MMP-13 was recently shown to play a role in the pathogenesis of liver fibrosis ¹⁸⁰ but its role has never been studied in murine models of lung fibrosis such as the bleomycin-induced lung fibrosis model.

Less is known about the regulation of TIMPs under conditions of IPF. Under experimental conditions, it is observed that the concentration of TIMP-1 and TIMP -2 proteins was elevated more than 200 fold in bleomycin induced lung fibrosis, suggesting an imbalance of MMP and TIMP. In addition, Selman and colleagues reported increased TIMPs 1, -2, -3 and -4 expression levels in the lungs of IPF patients compared to normal lungs⁸².

Taken together, elevated levels of MMPs have been implicated in the pathophysiology of various lung diseases, including acute respiratory distress syndrome, asthma, bronchioectasis, and cystic fibrosis. MMPs, EMMPRIN^{257, 262}, and TIMPs are produced by many of the resident cells in the lung, hence complicating the analysis of their role under disease conditions. Potential use of MMP inhibitors for treatment of these disorders in patients remains to be explored.

5.2 Regulation of MMP and TIMP expression and activity in human lungs.

In the present study, the expression pattern of several MMPs and TIMPs was analyzed in explanted IPF versus normal and donor lungs and the spatial distribution of MMP-13 and MMP-2 antigen signal correlated with the collagenolytic and gelatinolytic activity as assessed employing in situ zymography. Among all MMPs and TIMPs studied in lung homogenates, only the collagenases MMP-1 and MMP-13, and MMP-7 were found to be significantly upregulated on the mRNA and protein level and served as an explanation for the overall increased collagenolytic activity in IPF lung homogenates. Although the gelatinases MMP-2 and MMP-9 were unchanged on the mRNA level, a roughly 2 fold increase in these gelatinases was encountered at the protein level in IPF versus donor lung homogenates. Against our anticipation, TIMPs-1 - 4 were not found to be significantly increased in IPF homogenates, neither at the mRNA nor at the protein level. At a quick glance, this observation contrasts with the observed increase in hydroxyproline content, especially in the subpleural areas of IPF. Our data seem also not to support the previous suggestion of a far-reaching blockade of MMP activity by overwhelming TIMP levels⁸² as the underlying principle of increased ECM content in IPF. Despite our restriction to only study MMP-1, -2 and -13 on the level of in situ zymography 224 and some theoretical limitations of assessing MMPs on the level of lung homogenate ^{263, 264}, the most reasonable explanation for our observation is, however, that either production and deposition of ECM exceeds the capacity of the ECM degradation

machinery or that the spatial distribution of proteolytic activity is poorly distributed or both ²⁶⁵. Indeed, our in situ zymography data do suggest that collagenolytic and gelatinolytic activities were not exclusively co-localizing with the respective MMP antigen signals in the lung tissues, suggesting free and overwhelming MMP activity within the airways and weaker activity in the scar regions. Such an observation may also serve as a potential explanation for the development of honeycomb cysts, an obviously inappropriate loss of lung architecture and puzzling feature of IPF and many other progressive forms of lung fibrosis ^{13, 14, 34, 266-268}.

5.3 <u>Upregulation of MMP-13 protein in human IPF lungs</u>

A prominent and novel finding is our observation of the upregulation of pro- and active MMP-13 protein in IPF lungs. In lung tissue of IPF patients MMP-13 protein was found to be localized in alveolar and bronchiolar epithelial cells, alveolar macrophages, the alveolar septae and areas of remodelled interstitial lung tissue. This was an interesting finding, as the group of Selman⁸² showed MMP-1, otherwise known as interstitial collagenase, to be localized in the bronchiolar epithelial cells but not in the interstitial space, where abundant extracellular matrix is found. Ortiz and colleagues²⁶⁹ previously demonstrated MMP-13 overexpression in lungs of silicatreated TNF receptor-deficient mice and by in situ hybridization, showed that MMP-13 mRNA hybridized to the cytoplasm of macrophages inside silicotic nodules. Therefore, our results suggest that MMP-13 might be playing an important role in the pathogenesis of IPF especially at the level of tissue repair and remodeling.

5.4 Role of MMP-13 in pulmonary fibrosis

MMP-13 is the major proteinase that shows substrate specificity towards interstitial fibrillar collagens I, II, III and gelatin, preferentially cleaving type II collagen¹⁷⁹. Drawn against this background and as MMP-13 had been recently shown to play a significant role in bone remodeling defects in skeletal diseases^{179, 184}, to reduce liver fibrosis¹⁸⁰, and to increase collagen accumulation in atherosclerotic plagues²⁷⁰, we hypothesized that MMP-13 might also play a role in pulmonary fibrosis.

Employing the model of bleomycin induced lung fibrosis we investigated the role of MMP-13 in pulmonary fibrosis and firstly observed an exaggerated early inflammation in the MMP-13^{-/-} mice. In detail, total BALF cell numbers of bleomycin challenged MMP-13^{-/-} mice progressively and significantly increased during the first 14 days as compared to challenged WT littermates and this was found to be due to an exaggerated neutrophilic response in the MMP-13-/- mice. Contrary to our findings, previous studies reported less neutrophil accumulation in MMP-9^{-/-} mice⁸⁹, and MMP-12-/- mice 90, 271 after bleomycin treatment whereas in WT mice a remarkable infiltration of neutrophils and lymphocytes characterized the initial inflammatory response to bleomycin. MMPs are known to proteolytically process chemokines and activate pro-inflammatory cytokines causing an increase in their chemotactic activity for inflammatory cells^{258, 272, 273}. In spite of these known effects of MMPs, BALF from MMP-13^{-/-} mice exerted a stronger chemoattraction toward macrophages. The underlying reason for the observed hyperinflammation in MMP-13^{-/-} mice is currently not clear and deserves further studies. However, an

explanation for our observation could be a putative role of MMP-13 in the proteolytic inactivation of pro-inflammatory cytokines or chemokines.

Starting at d14 after bleomycin challenge, an augmented fibrotic response was encountered in the MMP-13^{-/-} mice and it is currently unclear if this augmented fibrotic response was caused by the more extensive inflammation or the pure absence of MMP-13. This holds especially true when considering that MMP-7 was found to be similarly upregulated in both MMP-13^{-/-} and wt mice, and the TIMPs were not dramatically altered in MMP-13^{-/-} versus wt mice in response to bleomycin challenge. One intriguing interpretation of our experimental data would also be a compensatory, but insufficient upregulation of MMP-8 in order to substitute for missing MMP-13. Somewhat in line with such reasoning, Raulo and colleagues²⁷⁴ had previously reported a positive correlation (in tracheal epithelial lining fluid [TELF] of horses) between immunoreactivity of MMP-8 and MMP-13 and the amount of degradation of type I collagen. Macrophages and epithelial cells were observed as the major cellular sources of MMP-8 and MMP-13 in the above study. Supporting the hypothesis of reciprocal compensation of MMP deficiency, Lindsey and colleagues²⁷⁵ reported significantly lower levels of MMP-13 in the left ventricle of MMP-7^{-/-} mice whereas pro-MMP-8 levels were elevated. Our data show increased fibrosis in MMP-13^{-/-} mice in response to bleomycin, in contrast to MMP-7^{-/-} mice that were shown to be protected from bleomycin-induced lung fibrosis⁸⁶. Obviously, this differential and, indeed, opposite impact of knock out of single MMPs on the development of lung fibrosis indicates distinct roles of each MMP. Although purely

speculative at the present time, our observations alongside with unpublished data could suggest that MMP-13 and MMP-8 are regulated synergistically in fibrotic repair. However, further studies are required to define such a possible interaction.

We observed no difference in MMP-2 and MMP-9 activities between bleomycintreated MMP-13^{-/-} and wt mice. Since there was a robust increase in the BALF total cell count in MMP-13^{-/-} versus wt mice, with a huge proportion of these cells being macrophages, it might be speculated that, following bleomycin administration MMP-13^{-/-} mice would have more gelatinase activity being released as the enzyme is secreted into the alveolar lining layer. However, the lack of an increase in total gelatinolytic activity of the cell free BALF does not support such a concept. In addition, macrophages secrete the gelatinases into the alveolar lining layer but these enzymes may be diluted out at the time of lavaging and retrieving the BAL fluid from the lungs. Alternatively, a larger portion of the increase in the gelatinase post-bleomycin could originate from the epithelial cells and therefore, the difference in macrophage gelatinase becomes undetectable.

In summary, in an attempt to define the spatial expression and the nature of MMP activity in IPF, the contribution of TIMPs in the local inhibition of MMPs and the relative contribution of single MMPs in the process of fibrotic repair in IPF, we could show that

(a) TIMPs are not excessively upregulated as compared to MMPs in IPF lungs; the collagenolytic activity of IPF tissue homogenates is higher as compared to donor

lungs, thus the increase in collagen deposition seems to be largely due to excessive matrix synthesis and deposition rather than blockade of MMPs;

- (b) collagenolytic and gelatinolytic activities do not colocalize completely with single MMP antigen signals in the lung tissues, suggesting a predominance of MMP activity within the airways and weaker activity in the scar regions (possibly providing one explanation for the process of honeycombing) and
- (c) MMP-13 seems to play a significant role in IPF, as this collagenase is dramatically upregulated in lung tissue from IPF patients and as increased inflammation and excessive fibrosis are encountered in bleomycin challenged MMP-13^{-/-} versus control lungs.

Our data therefore suggest that MMP-13 plays an important, (if not pivotal) role in the remodeling process in IPF, both in view of matrix deposition in the scarring areas, as well as in view of the development of honeycomb cysts, similar to the induction of emphysema formation in MMP-1 overexpressing mice^{257, 276}.

6. SUMMARY

Idiopathic pulmonary fibrosis (IPF) is a severe epithelial-fibroblastic disease with poor prognosis, characterised by excessive deposition of collagen in the pulmonary interstitium. Several matrix metalloproteinases (MMPs) and the tissue inhibitors of metalloproteinases (TIMPs) have been demonstrated to be strongly up-regulated in human and experimental lung fibrosis, thus underscoring their dynamic regulation of extracellular matrix and of remodeling processes in the lung. To investigate the spatial regulation, nature of MMP activity and the contribution of TIMPs in the local inhibition of MMPs in IPF, we examined IPF in comparison to donor lung tissue for regulation of MMPs and TIMPs, and the relative contribution of single MMPs to the fibrotic lung repair process.

We identified MMP-7 and the collagenases, MMP-1 and -13, as key proteases upregulated in human IPF. A prominent and novel finding of our work is the upregulation of pro- and active MMP-13 protein in IPF patients. MMP-13 protein was localized in alveolar and bronchiolar epithelial cells, the alveolar septae and extracellular matrix of remodelled lung tissue. TIMP proteins were not differentially regulated; an observation that somehow contrasted with the increased hydroxyproline content, especially in the subpleural areas of IPF. We have shown by a combination of immunohistochemistry and in situ zymography that collagenolytic and gelatinolytic activities did not exclusively co-localize with a single MMP antigen signal in the lung tissues, suggesting predominant MMP activity within the airways and weaker activity in the scar regions.

Since mice lack the orthologue of human MMP-1, we investigated further the relevance of MMP-13 in the context of fibrotic lung diseases by examining the fibrogenic response to bleomycin in MMP-13^{-/-} mice and wt littermates. In response to bleomycin challenge and likewise to their littermate wt controls, MMP-13-/- mice were characterized by elevated total cell counts in BALF caused largely by an influx of granulocytes and lymphocytes. Seven and fourteen days after bleomycin treatment, both wt and MMP-13^{-/-} mice developed patchy areas of inflammation throughout the lung parenchyma, with MMP-13^{-/-} mice again displaying a more severe inflammatory response to bleomycin that persisted for a longer time period. Likewise, the extent of fibrosis was more prominent in MMP-13^{-/-} versus wt mice. with increased levels of hydroxyproline and more significant histologic signs of fibrosis. It is currently unclear if this augmented fibrotic response was caused by the more extensive inflammation or the absence of MMP-13, especially when considering that MMP-7 was found to be similarly upregulated in both MMP-13^{-/-} and wt mice and the TIMPs were not dramatically altered in MMP-13^{-/-} versus wt mice.

In conclusion, in an attempt to define the spatial regulation and the nature of MMP activity in IPF, the contribution of TIMPs in the local inhibition of MMPs and the relative contribution of single MMPs in the process of fibrotic repair in IPF, we could show that (a) TIMPs are not excessively upregulated as compared to MMPs in IPF lungs; (b) the collagenolytic activity of IPF tissue homogenates is higher as compared to donor lungs, thus the increased collagen deposition seems to be largely due to excessive matrix synthesis and deposition rather than blockade of

MMPs, (c) collagenolytic and gelatinolytic activities do not colocalize completely with single MMP antigen signals in the lung tissues, suggesting the predominance of MMP activity within the airways and weaker activity in the scar regions (possibly providing one explanation for the process of honeycombing) and (d) MMP-13 seems to play a significant role in IPF, as this collagenase is dramatically upregulated in tissues from IPF patients and as excessive inflammation and fibrosis are encountered in bleomycin challenged MMP-13^{-/-} mice versus control mice lungs.

Our data therefore suggest that MMP-13 plays an important, (if not pivotal) role in the remodeling process in IPF, both in view of matrix deposition in the scarring areas, as well as in view of the development of honeycomb cysts, similar to the induction of emphysema formation in MMP-1 overexpressing mice. However, the mechanism of action of MMP-13 together with related mediators remains a subject for further investigation.

7. ZUSAMMENFASSUNG

Die Idiopathische Interstitielle Pneumonie (IPF) interstitielle ist eine epitheliale-mesenchymale Lungenerkrankung. der eine gestörte Interaktion zugrunde liegt, und die aufgrund eines rasch-progredienten und therapierefraktären Verlaufs eine äußerst schlechte Prognose aufweist. Ein Charakteristikum der IPF ist die exzessive Deposition von Komponenten der extrazellulären Matrix wie z.B. Kollagen. Für verschiedene Matrixmetalloproteinasen (MMPs) und deren Inhibitoren (tissue inhibitors of metalloproteinases, TIMPs) konnte eine differentielle Regulation bei der Lungenfibrose gezeigt werden, die auf eine dynamische Regulation des Stoffwechsels der Extrazellulärmatrix und auf kontinuierlich ablaufende Umbauprozesse in der Lunge hinweisen. Anhand von Lungengewebe von IPF Patienten wurde in der vorliegenden Arbeit die kompartimentalisierte Regulation der MMPs, die Quelle und Eigenschaften der MMP Aktikivät und die Beteiligung der TIMPs bei der IPF näher untersucht. Darüberhinaus wurde die relative Beteiligung einzelner MMPs am bei der Lungenfibrose gestörten Wundheilungsprozess untersucht.

Wir konnten mit MMP-7 und den Kollagenasen MMP-1, MMMP-8 und MMP-13 wichtige Schlüsselenzyme identifizieren, die bei der IPF heraufreguliert sind. Dabei konnte in der vorliegenden Arbeit erstmals die gesteigerte Expression von MMP-13 (latentes und aktives Protein) im Lungengewebe von IPF Patienten gezeigt werden. MMP-13 wurde in alveolären und bronchiolären Epithelzellen, in Alveolarsepten und in der extrazellulären Matrix von bereits umgebautem Lungengewebe gefunden. Die

MMP Inhibitoren (TIMPs) hingegen zeigten keine differentielle Regulation, ein Befund, der im Widerspruch zu dem –vorallem in subpleuralen Gebieten der IPF Lunge- deutlich erhöhten Hydroxyprolin Gehalt steht. Mittels immunhistochemischer Verfahren in Kombination mit *in situ* Zymograhie konnte gezeigt werden, dass die gelatinolytischen und kollagenolytischen Aktivitäten nicht zwangweise mit einzelnen MMP Antigensignalen im Lungengewebe kolokalisiert waren; die prädominante MMP Aktivität wurde in den Atemwegen detektiert, in den fibrotischen Herden hingegen zeigte sich eine schwächere Aktivität.

Da orthologe knockout Mäuse für humane MMP-1 nicht verfügbar waren, lag der weitere Focus auf der Untersuchung der Rolle von MMP-13 bei der Fibroseentwicklung am Modell der Bleomycin-induzierten Lungenfibrose unter Verwendung vom MMP-13 knockout Mäusen. Hinsichtlich der Zahl und der prozentualen Zusammensetzung lavagierbarer Zellen im alveolären Kompartiment. zeigte sich sowohl bei MMP-13^{-/-} wie auch bei Wildtyp Mäusen ein Anstieg der Gesamtzellzahl, der auf einen Einstrom von Granulozyten und Lymphozyten in den Alveolarraum zurückzuführen war. An Tag 7 und Tag 14 nach Bleomycinapplikation histologisch beiden Mäusen eine Entzündungsreaktion war bei des Lungenparenchyms mit unregelmäßigem Verteilungsmuster festzustellen, das bei den MMP-13^{-/-} Tieren jedoch stärker ausgeprägt war und über einen längeren Zeitraum bestand hatte. Dabei zeigten die Septen der Knockout Tiere eine stärkere Infiltration mit granulozytären und lymphozytären Zellen, mit einer Akzentuierung subpleuraler und peribronchiolärer Areale. Derzeit ist allerdings unklar inwieweit die

verstärkt ablaufende Fibroseantwort auf die gesteigerte Inflammationsreaktion oder das Fehlen von MMP-13 zurückzuführen ist, insbesondere wenn man berücksichtigt, dass MMP-7 sowohl in MMP-13 -/- wie auch Wildtyp-Mäusen heraufreguliert war und die TIMPs in MMP-13 -/- im Vergleich zu Wildtyp Tieren nicht substantiell verändert waren.

Die wichtigsten Befunde dieser Arbeit lassen sich wie folgt zusammenfassen: (a) im Gegensatz zu MMPs werden deren Inhibitoren (TIMPs) in Lungen von IPF Patienten nicht überhöht exprimiert. (b) die kollagenolytische Aktivität im Homogenat von IPF Lungen ist höher als in Homogenaten von Donorlungen. Die erhöhte Kollagendeposition scheint daher eher durch eine überhöhte Synthese von Komponenten der extrazellulären Matrix als durch die Inhibition der Degradation (MMP Inhibition) zustande zukommen. (c) die kollagenolytischen und gelatinolytischen Aktivitäten sind nicht zwingend mit dem Antigensignal einzelner MMPs co-lokalisiert, was eine Prädominanz der MMP Aktivität in den Atemwegen und eine geringere Aktivität in den fibrotischen Arealen vermuten lässt, und somit eine mögliche Erklärung für den Umbau zur Honigwabenlunge (honeycombing) liefert. (d) die erhebliche Überexpression von MMP-13 in IPF Lungen und die gesteigerte Fibroseantwort bei MMP-13 knockout Mäusen nach Bleomycin Schädigung legt nahe, dass diese Kollagenase eine wichtige Rolle bei der Fibroseentwicklung spielt. Die hier gezeigten Daten belegen, dass MMP-13 eine wichtige, wenn nicht sogar eine Schlüsselrolle bei den Umbauprozessen im Rahmen einer IPF einnimmt, und zwar sowohl was die Deposition von Extrazellulärmatrix in

den Fibroseherden betrifft, wie auch im Hinblick auf die Bildung der Honigwaben Zysten (analog zur Induktion der Emphysembildung bei MMP-1 überexprimierenden Mäusen). Zur Aufklärung der Mechanismen, über die die MMP-13 Effekte vermittelt werden, sowie zur Identifizierung der beteiligten Mediatoren müssen weiterführende Studien durchgeführt werden.

8. ABBREVIATION

AECsII Type II Aveolar epithelial cells

ANOVA Analysis of variance

ARDS Adult respiratory distress syndrome

BALF Bronchoalveolar lavage fluid

BCA Bicinchoninic acid

BOOP Bronchiolitis obliterating organizing pneumonia

BSA Bovine serum albumin

CaCl₂ Calcium chloride

cDNA Complementary deoxyribonucleic acid

COMP Cartilage oligomeric matrix protein

COPD Chronic obstructive pulmonary disease

DAPI 4', 6-diamino-2-phenylindole

DPLD Diffuse parenchymal lung disease

DQ Dye-quenched

ECL Enhanced Chemiluminescence

ECM Extracellular matrix

EDTA Ethylene diamine tetra-acetic acid

ELISA Enzyme-linked immunosorbent assay

EMMPRIN Extracellular matrix metalloproteinase inducer

Ex/Em Excitation/Emission

FITC Fluorescein isothiocyanate

FN Fibronectin

GPI Glycosylphosphatidyl inositol

H & E Haematoxylin and eosin stain

HP Hypersensitivity pneumonitis

HPS Hermansky-Pudlack Syndrome

HRCT High resolution computed tomography

IDV Integrated density value

IgG Immunoglobulin

IGFBP-3 Insulin growth factor binding protein-3

IHC Immunohistochemistry

IIP Idiopathic interstitial pneumonitis

IL1-β Interleukin 1-beta

ILD Interstitial lung disease

IPAH Idiopathic pulmonary arterial hypertension

IPF Idiopathic pulmonary fibrosis

ISZ In situ zymography

kDa Kilo Dalton

KO Knockout

MCP-3 Monocyte chemoattractant protein-3

MgCl₂ Magnesium chloride

MMPs Matrix metalloproteinases

mRNA Messenger ribonucleic acid

MWM Molecular weight marker

MT-MMP Membrane type- matrix metalloproteinase

NaCl Sodium chloride

NO Nitric oxide

NSIP Non-specific interstitial pneumonia

PAH Pulmonary arterial hypertension

PAI -1 Plasminogen activator inhibitor-1

PBS Phosphate buffered saine

PDGF Platelet derived growth factor

PH Pulmonary hypertension

ROS Reactive oxygen species

RT-PCR Reverse Transcription- Polymerase chain reaction

RV Right ventricle

SDS-PAGE Sodium dodecyl-sulphate - polyacrylamide gel electrophoresis

SEM Standard error mean

SMC Smooth muscle cell

SPARC Secreted protein acidic and rich in cysteine

SP-C Surfactant protein-C

TBS Tris buffered saline

TBS/T Tris buffered saline containing Tween-20

TELF Tracheal epithelial lining fluid

TGF-β Transforming growth factor-beta

TIMPs Tissue inhibitors of metalloproteinases

TM Transmembrane

TNF- α Tissue necrosis factor-alpha

t-PA Tissue-type plasminogen activator

UIP Usual interstitial pneumonia

u-PA Urokinase-type plasminogen activator

uPAR Urokinase-type plasminogen activator receptor

VATS Video-assisted thoracoscopic surgery

VEGF Vascular endothelial growth factor

VN Vitronectin

W.H.O World Health Organization

WT Wild type

ZnCl₂ Zinc chloride

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10. ERKLÄRUNG

"Ich erkläre: Ich habe die vorgelegte Dissertation selbständig, ohne unerlaubte fremde Hilfe und nur mit den Hilfen angefertigt, die ich in der Dissertation angegeben habe. AlleTextstellen, die wörtlich oder sinngemäß aus veröffentlichten oder nicht veröffentlichten Schriften entnommen sind, und alle Angaben, die auf mündlichen Auskünften beruhen, sind als solche kenntlich gemacht. Bei den von mir durchgeführten und in der Dissertation erwähnten Untersuchungen habe ich die Grundsätze guter wissenschaftlicher Praxis, wie sie in der "Satzung der Justus-Liebig-Universität Gießen zur Sicherung guter wissenschaftlicher Praxis" niedergelegt sind, eingehalten."

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